

## DCM EXHIBIT B

**Attach as Exhibit B, a list of the present officers, directors, governors (and, in the case of an Applicant that is not a corporation, the members of all standing committees, grouped by committee), or persons performing functions similar to any of the foregoing, of the designated contract market or of any entity that performs the regulatory activities of the Applicant, indicating for each:**

- a. Name**
- b. Title**
- c. Dates of commencement and termination of present term of office or position**
- d. Length of time each present officer, director, or governor has held the same office or position**
- e. Brief account of the business experience of each officer and director over the last five (5) years**
- f. Any other business affiliations in the derivatives and securities industry**
- g. For directors, list any committees on which they serve and any compensation received by virtue of their directorship**
- h. A description of:**

- (1) Any order of the Commission with respect to such person pursuant to section 5e of the Act;**
- (2) Any conviction or injunction against such person within the past ten (10) years;**
- (3) Any disciplinary action with respect to such person within the last five (5) years;**
- (4) Any disqualification under sections 8b and 8d of the Act;**
- (5) Any disciplinary action under section 8c of the Act; and**
- (6) Any violation pursuant to section 9 of the Act.**

Please see below for items a-h for officers and directors, and please see Appendix A for the referenced attachments. None of the officers or directors have been subject to any of the items in h(1)-h(6).

<b>Name</b>	<b>Title</b>	<b>Date of Commencement</b>	<b>Tenure</b>	<b>Business Experience</b>	<b>Business Industry Affiliations</b>	<b>Committees</b>	<b>Comp</b>	<b>8a(2) &amp; 1.63 Cert</b>	<b>Director Type</b>
Paul Chou	CEO, Director	December 2013	>4 years	See Attached	None	Risk Management Committee, Nominating Committee, Appeals Committee	Employee	See Attached	N/A
Juthica Chou	COO & CRO, Director	December 2013	>4 years	See Attached	None	Participant Committee, Disciplinary Panel	Employee	See Attached	N/A
Zach Dexter	CTO, Director	December 2013	>4 years	See Attached	None	Risk Management Committee, Appeals Committee	Employee	See Attached	N/A
Jennifer Liu	CFO	March 2018	9 mos	See Attached	None	None	Employee	See Attached	N/A
Carl Mahler	Director	May 2017	>1 year	See Attached	Partner - Gelber Group	Risk Management Committee, Regulatory Oversight Committee, Participant Committee, Nominating Committee, Disciplinary Panel	\$12k/yr + 16bps LHI shares	See Attached	Public
Ananda Radhakrishnan	Director	May 2017	> 1 year	See Attached	Vice President - American Banker Association	Risk Management Committee, Regulatory Oversight Committee, Participant Committee, Nominating Committee, Appeals Committee	\$12k/yr + 16bps LHI shares	See Attached	Public

<b>Name</b>	<b>Title</b>	<b>Date of Commencement</b>	<b>Tenure</b>	<b>Business Experience</b>	<b>Business Industry Affiliations</b>	<b>Committees</b>	<b>Comp</b>	<b>8a(2) &amp; 1.63 Cert</b>	<b>Director Type</b>
Nicholas Owen Gunden	Director	October 2017	1 year	See Attached	None	Disciplinary Panel	None	See Attached	Market Participant
Andrew Baine	Director	April 2018	6 mos	See Attached	None	Risk Management Committee	None	See Attached	Market Participant