

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
September 30, 2002

		B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
	Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
						(4% of Seg, SEC	Capital		4d(2)	Pt. 30 Required
		(a)	(b)			or \$250,000	(c)	(d)	(e)	(f)
1	ABN AMRO INCORPORATED	Y	CBOT	08/31/2002	1,190,128,538	105,432,023	88,627,138	1,084,696,515	1,737,863,846	43,448,725
2	ABN AMRO SAGE CORPORATION	Y	NFA	08/31/2002	42,922,226	6,191,626	6,191,626	36,730,600	130,239,301	0
3	ADM INVESTOR SERVICES INC	N	CBOT	08/31/2002	62,964,737	15,234,602	16,286,052	46,678,685	400,588,299	14,941,815
4	ADVEST INC	Y	NFA	08/31/2002	41,722,655	1,000,000	0	40,722,655	0	0
5	AG EDWARDS & SONS INC	Y	CBOT	08/31/2002	578,536,495	42,869,121	2,323,716	535,667,374	61,909,715	16,664
6	AGE COMMODITY CLEARING CORP	N	CBOT	08/31/2002	11,317,061	1,195,335	3,065,444	8,251,617	39,383,209	0
7	AIG CLEARING CORPORATION	N	NYME	08/31/2002	120,515,633	250,000	5,175,648	115,339,985	0	0
8	ALARON TRADING CORPORATION	N	CME	08/31/2002	3,343,179	1,739,434	949,917	1,603,745	47,890,388	38,546
9	ALCO COMMODITIES INC	N	NFA	08/31/2002	458,531	250,000	0	208,531	0	0
10	AMERICAN NATIONAL TRADING CORP	N	NFA	08/31/2002	1,200,679	345,911	268,044	854,768	11,757,483	0
11	BANC OF AMERICA FUTURES INCORPORATED	Y	CME	08/31/2002	66,590,859	4,176,335	18,474,475	48,116,384	104,230,873	177,491
12	BANC ONE CAPITAL MARKETS INC	Y	CBOT	08/31/2002	383,416,383	63,723,395	105,946,390	277,469,993	1,968,162,665	8,394,045
13	BARCLAYS CAPITAL INC	Y	CBOT	08/30/2002	370,775,967	55,189,650	98,286,285	272,489,682	1,366,018,790	47,463,642
14	BAXTER FINANCIAL SERVICES LTD	N	NFA	08/30/2002	57,094	250,000	0	-192,906	0	0
15	BEAR STEARNS & CO INC	Y	CBOT	08/30/2002	1,782,083,667	41,426,800	0	1,740,656,867	0	0
16	BEAR STEARNS SECURITIES CORP	Y	CME	08/30/2002	2,297,040,520	649,543,713	84,454,341	1,647,496,807	1,442,516,306	147,591,481
17	BIELFELDT & COMPANY LLC	N	CBOT	08/31/2002	445,641	250,000	0	195,641	0	0
18	BLAYLOCK AND PARTNERS LP	Y	NFA	08/31/2002	2,551,860	250,000	0	2,301,860	0	0
19	BNP PARIBAS BROKERAGE SERVICES INC	Y	CME	08/31/2002	16,949,412	1,865,677	9,364,185	7,585,227	46,641,931	0
20	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	08/31/2002	50,756,722	8,792,566	10,495,196	40,261,526	238,685,650	13,408,094
21	BNP PARIBAS SECURITIES CORP	Y	CBOT	08/31/2002	332,440,998	267,523	0	332,173,475	0	0
22	BNY CLEARING SERVICES LLC	Y	CFTC	06/30/2002	92,671,687	16,883,808		75,787,879	0	0
23	BOSTON CABOT LLC	N	NFA	08/31/2002	583,938	250,000	0	333,938	0	0
24	C CZARNIKOW SUGAR FUTURES INC	N	NFA	08/31/2002	1,512,970	250,000	119,938	1,262,970	722,665	0
25	CANTOR FITZGERALD & CO	Y	CBOT	08/31/2002	64,382,185	751,367	20,236	63,630,818	250,831	0
26	CAPITAL MARKET SERVICES LLC	N	NFA	08/31/2002	472,216	250,000	0	222,216	0	0
27	CARGILL INVESTOR SERVICES INC	N	CBOT	08/31/2002	82,373,611	42,174,863	53,391,813	28,981,798	1,028,251,719	109,893,467
28	CARR FUTURES INC	Y	CME	08/31/2002	192,330,463	91,903,386	121,534,868	70,795,595	2,252,919,871	1,250,136,977
29	CDC SECURITIES	Y	NFA	08/31/2002	76,314,342	250,000	0	76,064,342	0	0
30	CIBC WORLD MARKETS CORP	Y	NYME	08/31/2002	919,658,910	18,134,859	9,481,266	901,524,051	4,958,439	0
31	CLIFDEN FUTURES LLC	N	NFA	08/31/2002	462,472	250,000	0	212,472	380,335	0
32	COMMERZ FUTURES LLC	Y	CME	08/31/2002	8,366,903	1,818,539	3,462,259	4,904,644	78,862,546	6,653,870
33	COUNTRY HEDGING INC	N	NFA	08/31/2002	3,754,119	973,253	2,353,546	1,400,573	30,355,992	51,303
34	CREDIT LYONNAIS ROUSE USA LIMITED	N	NYME	08/31/2002	22,700,753	3,500,842	15,275,852	7,424,901	135,585,357	196,107
35	CREDIT SUISSE FIRST BOSTON CORPORATION	Y	CBOT	08/31/2002	3,577,486,529	52,800,799	61,833,191	3,515,653,338	1,057,444,840	210,808,314
36	CROSSLAND LLC	N	CBOT	08/30/2002	3,486,072	374,961	68,129	3,111,111	9,503,407	0
37	CUNNINGHAM COMMODITIES INC	N	CBOT	08/31/2002	1,762,228	250,000	122,663	1,512,228	6,109,390	104,517
38	DAIWA SECURITIES AMERICA INC	Y	CME	08/31/2002	228,485,994	5,567,720	5,957,643	222,528,351	99,203,580	1,448,993
39	DEUTSCHE BANK FUTURES INC	N	CME	08/31/2002	154,106,742	42,608,443	134,799,240	19,307,502	1,121,804,004	10,525,758
40	DEUTSCHE BANK SECURITIES INC	Y	CBOT	08/31/2002	1,319,458,897	80,803,184	48,689,760	1,238,655,713	1,127,419,940	136,731,470
41	DIRECT TRADING GROUP LLC	N	NFA	08/31/2002	500,651	250,000	18,111	250,651	1,694,979	0
42	DONALDSON LUFKIN & JENRETTE SEC CORP	Y	CBOT	08/31/2002	1,194,008,000	84,533,060	0	1,109,474,940	0	0
43	DORMAN TRADING LLC	N	CME	08/31/2002	4,208,219	858,957	281,060	3,349,262	21,503,685	0
44	DUNAVANT COMMODITY CORP	N	NYBOT	06/30/2002	14,192,799	898,152	0	13,294,647	32,301,735	0
45	EAGLE MARKET MAKERS INC	N	CBOT	08/31/2002	1,912,605	250,000	24,655	1,662,605	1,773,697	14,322
46	EM COMBS & SON	N	CBOT	08/31/2002	1,781,672	250,000	22,649	1,531,672	3,012,514	0
47	FAHNESTOCK & CO INC	Y	NFA	08/31/2002	169,064,555	8,428,951	0	160,635,604	1,043,500	0
48	FARR FINANCIAL INC	N	NFA	08/31/2002	802,818	424,133	148,428	378,685	10,821,857	41,570
49	FC STONE LLC	N	CME	08/31/2002	15,286,179	8,307,262	10,554,869	4,731,310	251,421,967	925,047

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		(a)	(b)			(4% of Seg, SEC or \$250,000	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
50	FCT GROUP LLC	N	CME	08/31/2002	3,593,694	250,000	402,649	3,191,045	6,971,836	0
51	FIMAT USA INC	Y	CME	08/31/2002	176,247,867	64,718,476	107,142,902	69,104,965	2,294,604,267	365,521,489
52	FIRST CAPITOL GROUP LLC	N	NFA	08/31/2002	1,784,314	589,497	507,031	1,194,817	20,096,430	1,630
53	FIRST OPTIONS OF CHICAGO INC	Y	CME	08/30/2002	228,945,025	7,990,448	20,953,707	207,991,318	436,865,164	13,852,570
54	FOREX CAPITAL MARKETS LLC	N	NFA	08/31/2002	7,594,709	250,000	0	7,344,709	0	0
55	FORTIS CLEARING CHICAGO LLC	N	CBOT	08/31/2002	5,616,396	1,467,423	567,019	4,148,973	37,501,449	1,569,265
56	FRIEDBERG MERCANTILE GROUP INC	Y	NFA	08/31/2002	1,385,995	250,000	42,982	1,135,995	4,247,971	0
57	FRONTIER FUTURES INC	N	NFA	08/31/2002	898,859	385,655	315,533	513,204	12,387,681	0
58	FUTURES TECH LLC	N	NFA	08/31/2002	408,940	250,000	0	158,940	0	0
59	FX SOLUTIONS LLC	N	NFA	08/31/2002	376,665	250,000	0	126,665	0	0
60	GAIN CAPITAL	N	NFA	08/31/2002	1,700,915	250,000	0	1,450,915	0	0
61	GELBER GROUP LLC	N	CBOT	08/31/2002	10,097,372	682,568	199,217	9,414,804	19,137,344	153,893
62	GFS SECURITIES & FUTURES INC	N	NFA	08/31/2002	409,212	250,000	0	159,212	0	0
63	GILDER GAGNON HOWE AND CO LLC	Y	NFA	08/31/2002	27,720,391	250,000	0	27,470,391	0	0
64	GLOBAL FUTURES & FOREX LTD	N	NFA	08/31/2002	3,211,795	250,000	0	2,961,795	0	0
65	GNI INCORPORATED	N	CBOT	08/31/2002	12,497,614	5,490,640	5,802,261	6,695,353	125,522,658	12,847,607
66	GOLDENBERG HEHMEYER & CO	Y	CBOT	08/31/2002	12,257,955	1,525,949	1,536,907	10,721,048	51,671,457	3,288,926
67	GOLDMAN SACHS & CO	Y	CBOT	08/30/2002	3,306,589,554	606,835,707	394,424,067	2,699,753,847	6,932,726,883	3,106,620,695
68	GREENWICH CAPITAL MARKETS INC	Y	CBOT	08/31/2002	894,296,000	12,075,000	18,029,440	876,266,560	180,987,000	158,000
69	HAGERTY GRAIN CO INC	N	CBOT	08/31/2002	1,681,434	295,413	125,370	1,386,021	7,423,279	0
70	HORNBLOWER FISCHER & CO	Y	NFA	08/31/2002	2,034,460	488,160	300,444	1,546,300	12,224,018	0
71	HOTSPOT FX INC	N	NFA	08/31/2002	391,423	250,000	0	141,423	0	0
72	HSBC SECURITIES USA INC	Y	CME	08/31/2002	288,648,423	9,237,500	23,888,829	264,759,594	239,853,393	28,274,674
73	INTEGRATED BROKERAGE SERVICES INC	N	NFA	08/31/2002	620,902	250,000	0	370,902	799,717	0
74	INTERACTIVE BROKERS LLC	Y	NFA	08/31/2002	81,046,208	1,990,511	491,702	79,055,697	34,225,606	15,537,159
75	IOWA GRAIN CO	N	CBOT	08/31/2002	8,005,777	3,526,377	3,117,970	4,479,400	94,808,815	5,177
76	JP MORGAN FUTURES INC	N	NYME	08/31/2002	436,426,031	143,813,178	236,975,265	199,450,766	3,726,194,757	318,489,650
77	JULIUS BAER SECURITIES INC	Y	NFA	08/31/2002	8,957,535	563,956	0	8,393,579	0	0
78	KOTTKE ASSOCIATES LLC	N	CBOT	08/31/2002	10,561,563	442,401	156,176	10,119,162	16,113,478	47,062
79	LADENBURG THALMANN & CO INC	Y	NFA	08/31/2002	3,769,484	1,000,000	0	2,769,484	0	0
80	LAWRENCE-BONFITTO TRADING COMPANY	N	CBOT	08/30/2002	2,705,272	250,000	36,704	2,455,272	5,068,136	0
81	LBS LIMITED PARTNERSHIP	N	CBOT	08/31/2002	421,988	250,000	0	171,988	0	0
82	LEGG MASON WOOD WALKER INC	Y	NFA	08/31/2002	333,184,249	19,012,832	0	314,171,417	0	0
83	LEHMAN BROTHERS INC	Y	CBOT	08/31/2002	1,663,555,000	91,836,380	119,084,120	1,544,470,880	1,282,467,000	18,325,000
84	LINN GROUP (THE)	N	NFA	08/31/2002	505,377	250,000	0	255,377	0	0
85	LINSKO/PRIVATE LEDGER CORP	Y	NFA	08/31/2002	98,941,369	3,728,562	0	95,212,807	0	0
86	LOEB PARTNERS CORPORATION	Y	NFA	08/31/2002	13,875,217	250,000	0	13,625,217	0	0
87	MACQUARIE FUTURES INC	N	CBOT	08/31/2002	751,561	250,000	0	501,561	0	0
88	MAN FINANCIAL INC	Y	CME	08/31/2002	169,529,728	88,116,293	82,433,936	81,413,435	2,012,814,597	335,650,192
89	MARQUETTE ELECTRONIC BROKERAGE LLC	N	NFA	08/31/2002	1,856,872	250,000	0	1,606,872	0	0
90	MAXCOR FINANCIAL INC	Y	NFA	08/31/2002	22,468,650	250,000	0	22,218,650	0	0
91	MBF CLEARING CORP	N	NYME	08/31/2002	6,078,267	2,275,669	525,983	3,802,598	56,883,299	205,127
92	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	08/31/2002	6,780,428	3,882,467	932,684	2,897,961	97,395,707	0
93	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	08/30/2002	3,157,414,902	299,850,264	216,048,747	2,857,564,638	4,847,142,974	373,713,874
94	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	08/30/2002	1,365,748,744	30,342,626	55,288,646	1,310,460,098	875,225,617	0
95	MID-CO COMMODITIES INC	N	NFA	08/31/2002	3,262,055	552,802	998,643	2,263,412	14,651,320	0
96	MIDLAND EURO INC	N	NFA	08/31/2002	195,936	250,000	0	-54,064	0	0
97	MITSUI & CO INVESTMENT PRODUITS CORP	N	NFA	08/30/2002	2,729,891	250,000	2,448	2,479,891	1,475,517	0
98	MIZUHO SECURITIES USA INC	Y	CME	08/31/2002	253,287,884	13,754,384	16,795,621	236,492,263	290,418,369	36,142,918

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		(a)	(b)			(4% of Seg, SEC or \$250,000)	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
99	MONEY GARDEN CORP	N	NFA	08/31/2002	3,157,623	250,000	0	2,907,623	0	0
100	MORGAN KEEGAN & COMPANY INC	Y	NFA	08/31/2002	143,902,587	9,917,014	0	133,985,573	0	0
101	MORGAN STANLEY & CO INCORPORATED	Y	CME	08/31/2002	5,383,769,160	646,531,283	124,050,859	4,737,237,877	3,061,123,703	960,372,939
102	MORGAN STANLEY DW INC	Y	CBOT	08/31/2002	1,523,948,268	102,303,115	1,540,748	1,421,645,153	586,393,960	959,400,704
103	NATIONAL COMMODITIES CORPORATION INC	N	NFA	08/31/2002	1,845,325	250,000	11,136	1,595,325	13,961,667	0
104	NEUBERGER BERMAN LLC	Y	NFA	08/31/2002	223,695,055	14,106,023	557,163	209,589,032	13,633,822	0
105	NEXUS TRADING LLC	N	NFA	08/31/2002	252,988	250,000	0	2,988	0	0
106	NIKKO SECURITIES CO INTERNATIONAL INC	Y	NFA	08/31/2002	8,475,754	250,000	0	8,225,754	0	0
107	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	08/31/2002	733,762,336	2,057,875	651,591	731,704,461	6,422,205	0
108	NOYES DAVID A	Y	NFA	08/31/2002	1,536,080	250,000	0	1,286,080	0	0
109	OCONNOR & COMPANY LLC	Y	CBOT	08/31/2002	35,354,323	2,154,337	1,562,335	33,199,986	57,505,549	0
110	PACKERS TRADING CO INC	N	NFA	08/31/2002	2,648,490	250,000	161,340	2,398,490	5,955,966	0
111	PATTERSON CAPITAL MARKETS LTD	N	CBOT	08/31/2002	447,996	250,000	0	197,996	0	0
112	PAX CLEARING CORPORATION	Y	CME	08/31/2002	65,588,183	1,229,456	1,258,234	64,329,949	31,853,528	0
113	PENSON FINANCIAL FUTURES INC	N	NFA	08/31/2002	454,573	250,000	7,416	204,573	232,627	0
114	PEREGRINE FINANCIAL GROUP INC	N	NFA	08/31/2002	11,658,329	3,336,101	1,895,390	8,322,228	87,079,205	1,040,756
115	PIONEER CAPITAL CORP	Y	NFA	08/31/2002	4,241,879	250,000	0	3,991,879	0	0
116	PIONEER FUTURES INC	N	NYME	08/31/2002	5,509,287	2,747,156	1,040,805	2,762,131	76,283,533	159,877
117	PREFERRED TRADE INC	Y	NFA	08/31/2002	10,047,704	619,537	0	9,428,167	0	0
118	PRUDENTIAL SECURITIES INCORPORATED	Y	CBOT	08/30/2002	571,833,000	71,971,680	46,842,240	499,861,320	980,392,000	83,270,000
119	QTECH DERIVATIVES INC	N	NFA	08/31/2002	499,321	250,000	0	249,321	0	0
120	RAND FINANCIAL SERVICES INC	N	CME	08/31/2002	12,385,522	6,587,931	9,807,148	2,578,374	157,073,900	22,005,600
121	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	08/30/2002	339,681,986	20,553,936	228,180	319,128,050	0	0
122	RB&H FINANCIAL SERVICES LP	N	CME	08/31/2002	3,265,621	1,976,895	906,190	1,288,726	51,987,777	113,647
123	RBC DAIN RAUSCHER INC	Y	NFA	08/31/2002	227,401,380	26,869,121	0	200,532,259	0	0
124	RBC DOMINION SECURITIES CORPORATION	Y	CME	08/31/2002	112,108,105	1,000,000	1,222,500	110,885,605	0	0
125	REFCO LLC	N	CME	08/31/2002	180,380,222	103,081,296	88,387,231	77,298,926	2,930,784,719	53,110,218
126	RJ OBRIEN ASSOCIATES INC	N	CME	08/30/2002	28,964,362	14,281,500	13,701,180	14,682,862	383,686,921	6,242,317
127	ROBBINS FUTURES INC	N	NFA	08/31/2002	492,542	261,023	9,166	231,519	6,630,475	0
128	ROBERTSON STEPHENS INC	Y	CME	08/31/2002	277,488,358	3,303,523	0	274,184,835	0	0
129	ROSENTHAL COLLINS GROUP LLC	N	CME	08/31/2002	51,510,624	9,914,201	6,068,625	41,596,423	264,587,177	672,181
130	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	08/31/2002	11,418,979	956,481	0	10,462,498	0	0
131	ROTSCHILD INC	N	NYME	07/31/2002	9,468,321	250,000	0	9,218,321	0	0
132	SALOMON SMITH BARNEY INC	Y	CBOT	08/31/2002	3,612,359,847	368,126,859	173,683,609	3,244,232,988	4,900,939,973	109,099,968
133	SANFORD C BERNSTEIN & CO LLC	Y	NFA	08/31/2002	147,494,436	9,510,727	0	137,983,709	0	0
134	SAVANT USA LIMITED	N	NFA	08/31/2002	525,617	250,000	0	275,617	0	0
135	SENTINEL MANAGEMENT GROUP INC	N	NFA	08/31/2002	914,466	250,000	0	664,466	392,343,657	0
136	SG COWEN SECURITIES CORPORATION	Y	NFA	08/31/2002	857,460,440	1,500,000	0	855,960,440	0	0
137	SHATKIN ARBOR KARLOV & CO	N	CBOT	08/31/2002	6,239,074	374,655	64,617	5,864,419	9,937,520	144,218
138	SHAY GRAIN CLEARING COMPANY	N	KCBT	06/30/2002	729,475	250,000	0	479,475	0	0
139	SHEPARD INTERNATIONAL INC	N	NFA	08/31/2002	2,242,024	250,000	0	1,992,024	0	0
140	SHERWOOD FUTURES GROUP LLC	N	NFA	08/31/2002	562,639	389,618	0	173,021	9,740,452	0
141	SIEGEL TRADING CO INC THE	N	NFA	08/31/2002	550,367	250,000	0	300,367	1,741,549	0
142	SMW TRADING COMPANY INC	N	CME	08/30/2002	6,750,174	451,748	378,814	6,298,426	11,847,412	0
143	SPEAR LEEDS & KELLOG	Y	NYME	08/30/2002	1,216,583,378	36,441,642	3,665,444	1,180,141,736	181,458,177	111,628
144	STAFF USA INC	N	NFA	08/31/2002	339,378	250,000	0	89,378	0	0
145	STEPHENS INC	Y	NFA	08/30/2002	65,055,044	9,578,239	0	55,476,805	0	0
146	STERLING COMMODITIES CORP	N	NYME	08/31/2002	5,382,384	821,426	326,080	4,560,958	20,757,440	617,621
147	SWISS AMERICAN SECURITIES INC	Y	NFA	08/31/2002	65,506,665	4,052,091	0	61,454,574	0	0

SELECTED FCM FINANCIAL DATA
FROM REPORTS FILED BY
September 30, 2002

	B/D?	DSRO	A/O	Adjusted	Net Capital	Risk Based	Excess	Customers'	Customer
Futures Commission Merchant			Date	Net Capital	Requirement	Requirement	Net Capital	Seg Required	Amount
	(a)	(b)			(4% of Seg, SEC or \$250,000	Capital (c)	(d)	4d(2) (e)	Pt. 30 Required (f)
(a): B/D? : A 'Y' means the FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.	(d): Excess net capital is adjusted net capital, less the greater of the net capital requirement or risk-based requirement.					(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. The amount to be set aside for a customer's foreign commodity account may be less than the net liquidating equity in the customer's account.			
(b): DSRO: Designated Self-Regulatory Organization.									
(c): Risk-based net capital requirement is the sum total of 8% of customer, and 4% of non-customer risk margin for domestic and foreign future accounts (excluding proprietary accounts). The risk based minimum requirement, which applies to all FCMs that are members of the National Futures Association, was effective 10/31/00.	(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on commodity exchanges located in the United States. This is the sum of all accounts that contain a net liquidating equity.					Note: Any errors in this table should be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: fzimmerle@cftc.gov or sgreska@cftc.gov .			