

SELECTED FCM FINANCIAL DATA AS OF  
November 30, 2007  
FROM REPORTS FILED BY  
December 31, 2007

	Futures Commission Merchant	B/D?	DSRO	A/O Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Seg Required 4d(a)(2) (e)	Customer Amount Pt. 30 Required (f)
1	3D FOREX, LLC	N	NFA	11/30/2007	9,224,263	500,000	8,724,263	0	0
2	ABBEY NATIONAL SECURITIES INC	Y	NFA	11/30/2007	144,855,528	26,894,880	117,960,648	302,129,007	0
3	ADM INVESTOR SERVICES INC	N	CBOT	11/30/2007	163,712,390	60,063,550	103,648,840	1,172,516,081	47,867,286
4	ADVANCED MARKETS INC	N	NFA	11/30/2007	1,333,660	1,000,000	333,660	0	0
5	ADVANTAGE FUTURES LLC	N	CME	11/30/2007	19,331,104	3,886,807	15,444,297	137,489,474	19,179,066
6	AG EDWARDS & SONS INC	Y	CBOT	11/30/2007	323,421,505	32,752,849	290,668,656	236,161,197	618,614
7	AIG CLEARING CORPORATION	N	NYME	11/30/2007	294,796,701	41,311,590	253,485,111	13,550,693	0
8	ALARON TRADING CORPORATION	N	CME	11/30/2007	7,306,602	3,213,327	4,093,275	161,085,662	5,557,341
9	ALPARI (US) LLC	N	NFA	11/30/2007	6,495,755	1,000,000	5,495,755	0	0
10	BACERA CORPORATION	N	NFA	11/30/2007	3,135,687	1,000,000	2,135,687	0	0
11	BANC OF AMERICA SECURITIES LLC	Y	CME	11/30/2007	1,247,013,767	367,200,285	879,813,482	1,921,677,322	16,034,575
12	BARCLAYS CAPITAL INC	Y	NYME	11/30/2007	1,230,431,127	403,079,756	827,351,371	4,013,638,652	2,163,014,118
13	BEAR STEARNS & CO INC	Y	NFA	11/30/2007	(g)	552,063,693	(g)	0	0
14	BEAR STEARNS SECURITIES CORP	Y	CME	11/30/2007	4,667,598,250	1,275,883,610	3,391,714,640	3,296,145,144	940,318,318
15	BGC SECURITIES	Y	NFA	11/30/2007	4,410,230	500,000	3,910,230	0	0
16	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	11/30/2007	156,450,201	106,788,954	49,661,247	1,396,397,607	273,522,390
17	BNP PARIBAS SECURITIES CORP	Y	CBOT	11/30/2007	829,754,229	31,164,305	798,589,924	253,122,500	0
18	CADENT FINANCIAL SERVICES LLC	N	CME	11/30/2007	7,917,559	4,905,927	3,011,632	191,880,513	1,439,933
19	CALYON FINANCIAL INC	Y	CME	11/30/2007	737,042,333	437,377,402	299,664,931	7,158,927,773	1,352,879,894
20	CANTOR FITZGERALD & CO	Y	CBOT	11/30/2007	201,801,575	13,526,536	188,275,039	8,301,135	0
21	CAPITAL MARKET SERVICES LLC	N	NFA	11/30/2007	11,436,199	4,202,013	7,234,186	0	0
22	CIBC WORLD MARKETS CORP	Y	CME	11/30/2007	1,133,383,063	22,703,057	1,110,680,006	0	0
23	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	11/30/2007	(g)	859,830,349	(g)	10,429,853,064	450,024,928
24	CLIFF LARSON COMPANY THE	N	NFA	11/30/2007	933,731	500,000	433,731	10,102,599	0
25	CMC MARKETS (US) LLC	N	NFA	11/30/2007	2,720,229	1,000,000	1,720,229	0	0
26	COMTRUST INC	N	NFA	11/30/2007	999,994	559,444	440,550	13,092,126	0
27	COUNTRY HEDGING INC	N	NFA	11/30/2007	17,982,485	10,974,081	7,008,404	133,113,020	402
28	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	11/30/2007	3,951,659,200	214,554,813	3,737,104,387	1,934,792,081	769,861,483
29	CROSSLAND LLC	N	CBOT	11/30/2007	3,144,316	500,000	2,644,316	12,915,040	0
30	CSC FUTURES INC	N	NFA	11/30/2007	8,786,193	500,000	8,286,193	0	0
31	CUNNINGHAM COMMODITIES LLC	N	CBOT	11/30/2007	3,100,151	1,240,329	1,859,822	25,667,283	69,300
32	DAIWA SECURITIES AMERICA INC	Y	CME	11/30/2007	203,676,914	1,713,283	201,963,631	8,994,626	1,155,900
33	DEUTSCHE BANK SECURITIES INC	Y	CBOT	11/30/2007	7,644,857,498	297,039,652	7,347,817,846	5,105,868,644	926,145,708
34	DIRECT FOREX LLC	N	NFA	11/30/2007	621,636	500,000	121,636	0	0
35	DORMAN TRADING LLC	N	CME	11/30/2007	10,778,122	555,238	10,222,884	46,018,469	89,134
36	DUNAVANT COMMODITY CORP	N	NFA	11/30/2007	37,807,779	795,830	37,011,949	0	0
37	E FX OPTIONS LLC	N	NFA	11/30/2007	5,230,416	1,000,000	4,230,416	0	0
38	EAGLE MARKET MAKERS INC	N	CBOT	11/30/2007	5,976,668	500,000	5,476,668	10,490,002	0
39	EASY FOREX US LTD	N	NFA	11/30/2007	7,083,016	2,000,000	5,083,016	0	0
40	ED & F MAN COMMODITY ADVISORS INC	N	NFA	11/30/2007	1,614,856	500,000	1,114,856	0	0
41	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	11/30/2007	11,940,250	500,000	11,440,250	0	0
42	ENSKILDA FUTURES LTD	N	CME	11/30/2007	21,172,495	9,120,512	12,051,983	315,199,233	0
43	FARR FINANCIAL INC	N	NFA	11/30/2007	1,401,241	615,951	785,290	36,508,089	103,972
44	FC STONE LLC	N	CME	11/30/2007	88,574,751	40,972,803	47,601,948	1,005,014,016	8,453,799
45	FIMAT USA LLC	Y	NYME	11/30/2007	655,442,772	440,915,613	214,527,159	6,547,117,399	1,941,868,820
46	FIRST CAPITOL GROUP LLC	N	NFA	11/30/2007	9,918,743	2,026,660	7,892,083	71,409,157	61,625
47	FOREX CAPITAL MARKETS LLC	N	NFA	11/30/2007	75,112,577	13,729,477	61,383,100	0	0

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Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	
	(a)	(b)			(c)	(d)	4d(a)(2) (e)	Pt. 30 Required (f)	
48	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	11/30/2007	4,831,456	1,000,000	3,831,456	0	0
49	FOREX LIQUIDITY LLC	N	NFA	10/31/2007	36,840,196	1,000,000	35,840,196	0	0
50	FORTIS CLEARING AMERICAS LLC	Y	CBOT	11/30/2007	178,025,173	42,154,307	135,870,866	814,794,210	106,820,754
51	FRIEDBERG MERCANTILE GROUP INC	N	NFA	11/30/2007	7,907,991	5,000,000	2,907,991	5,261,412	96,520
52	FRONTIER FUTURES INC	N	NFA	11/30/2007	1,514,040	724,331	789,709	27,767,025	12,884
53	FUTURES TECH LLC	N	NFA	11/30/2007	832,689	500,000	332,689	0	0
54	FX SOLUTIONS LLC	N	NFA	11/30/2007	26,962,120	1,582,068	25,380,052	0	0
55	GAIN CAPITAL GROUP LLC	N	NFA	11/30/2007	50,079,407	5,011,803	45,067,604	0	0
56	GATEWAY CAPITAL L.L.C.	N	NFA	11/30/2007	858,966	500,000	358,966	0	0
57	GELBER GROUP LLC	N	CBOT	11/30/2007	61,908,453	1,169,288	60,739,165	45,628,476	10,195
58	GFS FOREX & FUTURES INC	N	NFA	11/30/2007	3,648,023	1,000,000	2,648,023	0	0
59	GILDER GAGNON HOWE AND CO LLC	Y	NFA	11/30/2007	22,222,177	500,000	21,722,177	0	0
60	GLOBAL FUTURES & FOREX	N	NFA	11/30/2007	57,559,456	7,194,002	50,365,454	183,191	0
61	GLOBAL FUTURES LLC	N	NFA	11/30/2007	8,247,201	500,000	7,747,201	0	0
62	GOLDMAN SACHS & CO	Y	CBOT	11/30/2007	(g)	1,938,718,633	(g)	14,805,382,951	9,354,671,370
63	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	11/30/2007	936,093,380	51,555,118	884,538,262	782,317,050	8,490,006
64	H & R BLOCK FINANCIAL ADVISORS INC	Y	NFA	11/30/2007	89,655,252	9,213,352	80,441,900	0	0
65	HAGERTY GRAIN CO INC	N	CBOT	11/30/2007	4,127,270	500,000	3,627,270	6,031,232	0
66	HAMILTON WILLIAMS LLC	N	NFA	11/30/2007	125,084	1,000,000	-874,916	0	0
67	HOTSPOT FXR LLC	N	NFA	11/30/2007	6,106,368	1,000,000	5,106,368	0	0
68	HSBC SECURITIES USA INC	Y	CME	11/30/2007	957,808,827	74,062,170	883,746,657	983,517,952	58,469,447
69	I TRADE FX LLC	N	NFA	11/30/2007	23,872,298	3,040,041	20,832,257	0	0
70	ICAP FUTURES LLC	N	CBOT	11/30/2007	5,674,410	500,000	5,174,410	0	0
71	IFSCS USA INC	N	NFA	11/30/2007	9,146,950	5,000,000	4,146,950	2,524,151	156,909
72	IFX MARKETS INC	N	NFA	11/30/2007	17,120,312	2,199,597	14,920,715	0	0
73	IG FINANCIAL MARKETS INC.	N	NFA	11/30/2007	8,012,570	500,000	7,512,570	0	0
74	INSTINET LLC	Y	NFA	11/30/2007	63,383,254	6,602,230	56,781,024	0	0
75	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	11/30/2007	1,838,207	500,000	1,338,207	559,937	0
76	INTERACTIVE BROKERS LLC	Y	EUXUS	11/30/2007	408,081,973	54,529,492	353,552,481	247,202,411	98,053,743
77	INTERBANK FX LLC	N	NFA	11/30/2007	30,376,159	3,841,146	26,535,013	0	0
78	IOWA GRAIN CO	N	CBOT	11/30/2007	17,497,168	11,811,246	5,685,922	307,049,204	794,951
79	ITAU SECURITIES INC	Y	NFA	11/30/2007	15,376,127	500,000	14,876,127	0	0
80	IXIS SECURITIES NORTH AMERICA INC	Y	NFA	11/30/2007	170,419,078	500,000	169,919,078	0	0
81	JP MORGAN FUTURES INC	N	NYME	11/30/2007	1,181,394,026	752,042,078	429,351,948	8,942,425,911	1,715,087,850
82	KOTTKE ASSOCIATES LLC	N	CBOT	11/30/2007	15,385,671	500,000	14,885,671	38,782,825	0
83	LADENBURG THALMANN & CO INC	Y	NFA	11/30/2007	22,597,734	500,000	22,097,734	0	0
84	LBS LIMITED PARTNERSHIP	N	CBOT	11/30/2007	874,441	500,000	374,441	0	0
85	LEHMAN BROTHERS INC	Y	CBOT	11/30/2007	(g)	598,584,000	(g)	6,504,655,000	271,020,000
86	LIMESTONE TRADING LLC	N	NFA	11/30/2007	14,943,766	500,000	14,443,766	0	0
87	LINN GROUP ( THE )	N	NFA	11/30/2007	2,183,304	500,000	1,683,304	1,820,573	0
88	LINSCO/PRIVATE LEDGER CORP	Y	NFA	11/30/2007	58,361,291	8,463,958	49,897,333	0	0
89	LOEB PARTNERS CORPORATION	Y	NFA	11/30/2007	25,755,696	500,000	25,255,696	0	0
90	MACQUARIE FUTURES USA INC	N	NFA	11/30/2007	8,374,191	4,461,032	3,913,159	36,573,710	0
91	MB TRADING FUTURES INC.	N	NFA	11/30/2007	6,654,934	1,354,676	5,300,258	0	0
92	MBF CLEARING CORP	N	NYME	11/30/2007	26,534,283	1,525,951	25,008,332	142,812,942	2,876,282
93	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	11/30/2007	6,220,080	3,232,400	2,987,680	590,502,953	796,802
94	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	11/30/2007	(g)	674,882,252	(g)	8,541,295,571	1,126,834,236

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		(a)	(b)			(c)	(d)	(e)	(f)
95	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	11/30/2007	1,392,603,902	170,465,519	1,222,138,383	1,364,923,604	108,286,625
96	MF GLOBAL INC	N	CME	11/30/2007	645,473,966	414,600,708	230,873,258	9,889,773,129	636,172,791
97	MID-CO COMMODITIES INC	N	NFA	11/30/2007	5,378,351	3,481,366	1,896,985	44,533,832	0
98	MITSUI BUSSAN COMMODITIES USA INC	N	NYME	11/30/2007	6,796,902	1,112,729	5,684,173	0	0
99	MIZUHO SECURITIES USA INC	Y	CME	11/30/2007	130,698,783	48,700,709	81,998,074	618,902,831	124,618,123
100	MONEY GARDEN CORP	N	NFA	11/30/2007	5,368,948	1,000,000	4,368,948	0	0
101	MORGAN KEEGAN & COMPANY INC	Y	NFA	11/30/2007	337,990,799	11,753,679	326,237,120	0	0
102	MORGAN STANLEY & CO INCORPORATED	Y	CME	11/30/2007	(g)	1,722,860,381	(g)	4,898,322,856	5,057,941,578
103	NEUBERGER BERMAN LLC	Y	NFA	11/30/2007	193,639,572	5,000,000	188,639,572	0	0
104	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	11/30/2007	1,203,494,202	2,039,405	1,201,454,797	18,745,603	21,380,242
105	OANDA CORPORATION	N	NFA	11/30/2007	159,463,792	7,963,934	151,499,858	0	0
106	ODL SECURITIES INC	N	NFA	11/30/2007	13,040,644	1,000,000	12,040,644	0	0
107	ONE WORLD CAPITAL GROUP LLC	N	NFA	10/31/2007	1,227,227	14,208	1,213,019	733,252	0
108	OPEN E CRY LLC	N	NFA	11/30/2007	1,331,452	515,322	816,130	35,639,695	503,839
109	OPPENHEIMER & CO INC	Y	NFA	11/30/2007	196,961,468	24,638,608	172,322,860	21,273,889	0
110	OPTIONSXPRESS INC	Y	NFA	11/30/2007	98,744,187	6,587,244	92,156,943	9,250,349	73
111	PENSON FINANCIAL FUTURES INC	N	NFA	11/30/2007	778,510	500,000	278,510	0	0
112	PENSON GHCO	N	CBOT	11/30/2007	11,729,611	4,437,934	7,291,677	177,022,341	8,743,505
113	PEREGRINE FINANCIAL GROUP INC	N	NFA	11/30/2007	12,853,448	5,000,000	7,853,448	204,138,887	13,793,054
114	PIONEER FUTURES INC	N	NYME	11/30/2007	1,316,248	500,000	816,248	0	0
115	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	11/30/2007	158,584,000	131,784,040	26,799,960	2,322,616,000	188,675,000
116	PUMA FINANCIAL LLC	N	NFA	11/30/2007	844,970	500,000	344,970	4,143,510	0
117	RAND FINANCIAL SERVICES INC	N	CME	11/30/2007	53,841,798	14,378,663	39,463,135	161,174,400	22,739,000
118	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	11/30/2007	330,910,358	28,891,040	302,019,318	0	0
119	RBC CAPITAL MARKETS CORPORATION	Y	CME	11/30/2007	315,705,751	106,719,475	208,986,276	234,555,747	234,962,779
120	RBC DAIN RAUSCHER INC	Y	NFA	11/30/2007	388,892,410	28,700,720	360,191,690	0	0
121	RBS GREENWICH CAPITAL INC	Y	CBOT	11/30/2007	1,168,351,000	102,301,000	1,066,050,000	906,372,000	18,225,000
122	RJ OBRIEN & ASSOCIATES LLC	N	CME	11/30/2007	91,368,819	64,718,208	26,650,611	1,931,530,620	21,343,088
123	ROBBINS FUTURES INC	N	NFA	11/30/2007	547,086	500,000	47,086	3,980,095	0
124	ROSENTHAL COLLINS GROUP LLC	N	CME	11/30/2007	47,843,472	25,813,162	22,030,310	747,938,617	9,303,837
125	ROSENTHAL GLOBAL SECURITIES LLC	Y	CBOT	11/30/2007	9,280,465	500,000	8,780,465	0	0
126	SANFORD C BERNSTEIN & CO LLC	Y	NFA	11/30/2007	130,218,452	8,911,054	121,307,398	0	0
127	SHATKIN ARBOR INC	N	CBOT	11/30/2007	4,706,099	1,132,142	3,573,957	65,322,966	31,342
128	SHAY GRAIN CLEARING COMPANY	N	KCBT	11/30/2007	1,481,442	987,212	494,230	24,048,000	0
129	SMW TRADING COMPANY INC	N	CME	11/30/2007	34,303,625	500,000	33,803,625	11,657,266	1,727
130	SNC INVESTMENTS INC	N	NFA	11/30/2007	496,514	1,000,000	-503,486	0	0
131	SOLID GOLD FINANCIAL SERVICES INC	N	NFA	11/30/2007	2,169,206	1,000,000	1,169,206	0	0
132	STEPHENS INC	Y	NFA	11/30/2007	102,792,477	11,106,178	91,686,299	0	0
133	STERLING COMMODITIES CORP	N	NYME	11/30/2007	9,523,667	929,047	8,594,620	33,472,596	966,618
134	TCA FUTURES LLC	N	NFA	11/30/2007	802,130	500,000	302,130	0	0
135	TENCO INC	N	CBOT	11/30/2007	42,909,956	7,071,470	35,838,486	128,482,901	0
136	TERRA NOVA FINANCIAL, LLC	Y	NFA	11/30/2007	17,582,892	1,500,000	16,082,892	0	0
137	TIMBER HILL LLC	Y	CME	11/30/2007	848,611,889	14,853,405	833,758,484	212,056,469	0
138	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	11/30/2007	3,478,554	500,000	2,978,554	0	0
139	TRADELINK LLC	Y	CBOT	11/30/2007	24,326,565	751,873	23,574,692	0	0
140	TRADEMAVEN CLEARING LLC	N	NFA	11/30/2007	868,139	500,000	368,139	5,130,595	54,825
141	TRADESTATION SECURITIES INC	Y	NFA	11/30/2007	76,162,892	2,684,184	73,478,708	0	0



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	(a)	(b)			(c)	(d)	(e)	(f)
<b>Futures Commission Merchant</b>  (g): This FCM/BD's ultimate parent company is regulated by the SEC as a consolidated supervised entity. The firm has elected to compute its minimum capital requirement in accordance with the "Alternative Net Capital Requirement" as permitted by Appendix E to SEC Rule 15c3-1. As of this reporting date, the firm had net capital in excess of its minimum capital requirements. In addition to the alternative minimum net capital requirements, the firm is required to hold tentative net capital in excess of \$1 billion and net capital in excess of \$500 million in accordance with the market and credit risk standards of Appendix E of Rule 15c3-1. The firm also is required to notify the Commission and SEC in the event its tentative net capital is less than \$5 billion. As of this reporting date, the firm had tentative net capital and net capital in excess of both the minimum and notification requirements.								
Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: <a href="mailto:sgreska@cftc.gov">sgreska@cftc.gov</a> , <a href="mailto:phill@cftc.gov">phill@cftc.gov</a> or <a href="mailto:s_williams@cftc.gov">s_williams@cftc.gov</a>								