

Application for Admission as Member
at Eurex US
Form for Individuals

Eurex US
c/- US Representative Office
Deutsche Börse AG/Eurex
311 South Wacker Drive, Suite 3750
Chicago, IL 60606

Applicant

Name of applying individual

Street Address

City, State, ZIP Code, Country

Name of contact

Phone No.

Fax No.

E-mail address

I. I herewith apply for admission, pursuant to Rule 303 of the Exchange Rules, to participate in exchange trading at Eurex US.

II. The following person is to be admitted as a member:

Name, Date of Birth

Full address of office

Pursuant to Rule 303 (b) of the Exchange Rules the admission will include a registration as nominated Person and Authorized Trader.

III. We will use the following clearing relationship:

Clearing directly at BoTCC; Clearing Accounts at BoTCC

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If not, Clearing directly at BoTCC, clearing takes places via BoTCC Clearer with the account code

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IV. I declare that as far as legally permissible, I am entering into forward transactions for my own account (proprietary business).

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V. I declare that I am of good character, have a good commercial standing, business experience, the reliability and professional qualification required for exchange trading.

VI. Information pursuant to Rule 304 (Denial of Admission) of the Exchange Rules :

If the answer is “yes” to any of the following questions, a list must be attached which gives complete details (i.e. dated, parties, circumstances and disposition). Furthermore official documents must be included.

<p>? ? Yes No</p>	<p>Have you ever been convicted of any felony or misdemeanour involving, arising from, or related to, the purchase or sale of any Commodity, security, futures contract, option or other financial instrument?</p>
<p>? ? Yes No</p>	<p>Have you ever been convicted of any felony or misdemeanour involving, arising from fraud or moral turpitude?</p>
<p>? ? Yes No</p>	<p>Are you permanently or temporarily enjoined by order, judgement or decree of any court of competent jurisdiction or any Governmental Agency from engaging in or continuing any conduct or practice in connection with the purchase or sale of any Commodity, security, futures contract, option or other financial instrument?</p>
<p>? ? Yes No</p>	<p>Are you subject to an order of the Commission denying the Person trading privileges on or subject to the rules of any registered entity?</p>
<p>? ? Yes No</p>	<p>Have you had any fine, cease and desist order, denial of trading privileges, censure or other sanction or discipline (whether through an adverse determination, voluntary settlement or otherwise) imposed on by any Government Agency?</p>
<p>? ? Yes No</p>	<p>Have you ever been expelled from, suspended by or subject to any other disciplinary action (whether through an adverse determination, voluntary settlement or otherwise) imposed on by any SRO?</p>
<p>? ? Yes No</p>	<p>Are you subject to any material unsatisfied judgements, the enforcement of which has not been stayed by a court of competent jurisdiction?</p>

VII. I declare that I have not made any false or misleading statement in or in connection with any application filed with Eurex US.

VIII. I declare that I know the Eurex US Bylaws and Rules and Regulations and that I agree to abide by them in their relevant current version.

IX. If I do not have my principal place of business in Chicago, Illinois, I consent to the jurisdiction of the federal and state courts in Chicago, Illinois, in any action or proceeding brought by Eurex US.

X. I declare that I have been informed about all relevant legal provisions for trading at Eurex US.

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XI. For the evidence of the admission requirements, I have enclosed the required documents and evidence, the correctness of which I herewith confirm.

Place and Date

Signature
(Name in Capitals)