

On January 29, 2022, Appellant filed a document titled “Motion to Have a Verbal Hearing Request for Arkansas Securities Division and NFA Testimony And Relief” with the Commission’s Office of Proceedings.¹ In this filing, Appellant seemingly requests the Commission to allow its review of the proceedings to continue by oral argument and to order further testimony for the record. There is no Commission Rule permitting an appeal of a reparations proceeding to proceed solely on oral argument. Further, Appellant does not present a cognizable argument for why the Commission should reopen the record to allow for further testimony.

On February 13 and 20, 2022, Appellant filed several motions to introduce further evidence into the record.² Appellant fails to present any persuasive argument in his motions that any of the documents that he submits are material to his appeal to the Commission and warrants reopening the record.

On November 9, 2022, Appellant submitted an unrelated administrative order without an accompanying motion for its admission or an explanation of the document’s relevance. Further, it appears that Appellant did not properly serve Appellee TD Ameritrade Futures & Forex, LLC, with this filing in contravention of 17 C.F.R. § 12.10(a).

On May 1, 2023, Appellant submitted an additional filing titled “Civil Rights Complaint.” A review of the document indicates that it does not relate to the substance of Appellant’s appeal or present a new complaint against any party. Further, it appears that Appellant did not serve Appellee with this filing in contravention of 17 C.F.R. § 12.10(a).

¹ Appellant filed the same motion again on January 31, 2022.

² Appellant filed three versions of the same motion titled “Motion To The Commodities Futures Trading Commission” on February 13, 2022, and two nearly-identical versions of another motion titled “Motion to Introduce Evidence” on February 20, 2022.

Accordingly, it is **ORDERED** that Appellant’s motion titled “Motion to Have a Verbal Hearing Request for Arkansas Securities Division and NFA Testimony And Relief” is denied.

It is further **ORDERED** that Appellant’s motions to introduce additional evidence submitted on February 13 and 20, 2022, are denied and that all evidence accompanying the motions is stricken from the record.

It is further **ORDERED** that Appellant’s November 9, 2022, and May 1, 2023, filings are stricken from the record for failure to conform with the requirements of the rules relating to reparations proceedings under 17 C.F.R. § 12.408(a)(5).

IT IS SO ORDERED.³

/s/ Robert A. Schwartz

Robert A. Schwartz
General Counsel
U.S. Commodity Futures Trading Commission

Dated: May 9, 2023

³ By the Commission pursuant to delegated authority under 17 C.F.R. § 12.408(a).