

SELECTED FCM FINANCIAL DATA AS OF
 May 31, 2024
 FROM REPORTS FILED BY
 June 30, 2024

	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
1	ABN AMRO CLEARING USA LLC	FCM BD	CBOT	05/31/2024	675,894,640	244,088,162	431,806,478	4,308,561,728	3,709,130,690	599,431,038	350,000,000	265,879,277	229,716,520	36,162,757	15,000,000	0	0	0	0	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	05/31/2024	456,859,867	310,175,446	146,684,421	7,226,267,728	6,981,132,623	245,135,105	120,000,000	380,717,997	333,977,712	46,740,285	20,000,000	10,631,118	0	10,631,118	8,000,000	0
3	ADVANTAGE FUTURES LLC	FCM	CME	05/31/2024	36,018,866	16,396,730	19,622,136	625,905,532	607,856,785	18,048,747	8,500,000	96,982,405	92,282,839	4,699,566	1,000,000	0	0	0	0	0
4	AMP GLOBAL CLEARING LLC	FCM	NFA	05/31/2024	13,971,187	1,000,000	12,971,187	80,895,684	66,697,411	14,198,273	900,000	1,841,574	360,187	150,000	0	0	0	0	0	0
5	APEX CLEARING CORPORATION	FCM BD	NFA	05/31/2024	436,188,569	31,923,832	404,264,737	292,679,156	268,314,413	24,364,743	10,000,000	0	0	0	0	0	0	0	0	0
6	BARCLAYS CAPITAL INC	FCM BD	NYME	05/31/2024	7,220,273,850	2,755,163,781	4,465,110,069	17,593,961,320	17,228,216,588	365,744,732	187,000,000	5,175,978,377	4,950,776,830	225,201,547	81,000,000	16,011,935,056	15,516,168,020	495,767,036	45,000,000	0
7	BITNOMIAL CLEARING LLC	FCM	NFA	05/31/2024	1,555,539	1,000,000	555,539	656,692	142,887	513,805	100,000	0	0	0	0	0	0	0	0	0
8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	05/31/2024	2,450,197,312	900,320,846	1,549,876,466	7,289,308,287	6,592,647,588	696,660,699	570,000,000	855,022,990	711,120,522	143,902,468	98,000,000	2,767,396,317	2,599,552,971	167,843,346	130,000,000	0
9	BOFA SECURITIES INC	FCM BD	CBOT	05/31/2024	18,948,695,938	4,209,930,182	14,738,765,756	29,498,119,497	28,152,800,891	1,345,318,606	200,000,000	5,070,937,868	4,819,023,930	251,913,938	150,000,000	16,495,652,052	16,114,666,466	380,985,586	150,000,000	0
10	CANTOR FITZGERALD & CO	FCM BD	CBOT	05/31/2024	408,735,369	1,500,000	407,235,369	5,295,510	5,295,510	0	3,000,000	0	0	0	0	0	0	0	0	0
11	CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	05/31/2024	251,626,163	43,879,943	207,746,220	828,366,287	669,798,703	158,567,584	100,000,000	0	0	0	0	0	0	0	0	62,418,938
12	CHS HEDGING LLC	FCM	CBOT	05/31/2024	76,781,047	11,492,453	65,288,594	136,815,944	73,314,141	63,501,803	24,300,000	1,592,423	0	1,592,423	200,000	531,795	0	531,795	120,000	0
13	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	05/31/2024	18,251,509,082	4,443,255,521	13,808,253,561	16,444,254,302	15,964,596,914	479,657,388	348,600,000	4,429,490,497	4,323,137,759	326,352,738	169,400,000	29,933,685,296	29,303,128,914	630,556,382	439,600,000	0
14	CLEAR STREET LLC	FCM BD	CME	05/31/2024	510,233,281	43,998,953	466,234,328	29,351,102	24,325,024	5,026,078	3,500,000	0	0	0	0	0	0	0	0	0
15	COINBASE FINANCIAL MARKETS INC	FCM	NFA	05/31/2024	18,891,089	1,000,000	17,891,089	26,894,227	18,498,910	8,395,317	5,000,000	0	0	0	0	0	0	0	0	0
16	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	05/31/2024	3,573,323,933	43,288,152	3,530,035,781	2,447,197	2,447,197	0	2,278,463	1	2,278,463	1	1,132,259	0	1,132,259	1	0	0
17	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	05/31/2024	1,311,552,516	1,639,500	1,309,913,016	3,528,010	3,528,010	0	0	445,483	0	445,483	1	0	0	0	0	0
18	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	05/31/2024	4,311,414,365	219,624,624	4,091,789,741	2,430,270,833	2,278,156,532	152,114,301	100,000,000	784,979,570	680,588,151	104,391,419	50,000,000	0	0	0	0	0
19	DIRECT ACCESS USA LLC	FCM	CME	05/31/2024	18,535,269	6,580,916	11,954,353	246,978,044	234,308,872	12,669,172	2,500,000	2,711,049	924,828	1,786,221	1,000,000	0	0	0	0	0
20	DORMAN TRADING LLC	FCM	CME	05/31/2024	17,741,446	5,244,064	12,497,382	309,466,356	302,939,186	6,527,170	2,000,000	0	0	0	0	0	0	0	0	0
21	ETRADE FUTURES LLC	FCM	NFA	05/31/2024	62,887,720	5,499,819	57,387,901	143,165,358	86,866,168	56,299,190	11,000,000	0	0	0	0	0	0	0	0	0
22	FUTU FUTURES INC	FCM	NFA	05/31/2024	1,981,153	1,000,000	981,153	0	0	0	0	0	0	0	0	0	0	0	0	0
23	GAIN CAPITAL GROUP LLC	FCM RFED	NFA	05/31/2024	53,017,479	29,897,993	23,119,486	0	0	0	0	0	0	0	0	0	0	0	0	207,959,854
24	GH FINANCIALS LLC	FCM	CME	05/31/2024	30,627,118	13,600,007	17,027,111	182,014,458	165,227,521	16,786,937	6,100,000	9,032,677	6,715,601	2,317,076	350,000	0	0	0	0	0
25	GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	05/31/2024	21,150,500,409	5,218,562,768	15,931,937,641	36,888,902,560	35,739,460,866	1,149,441,694	775,000,000	10,780,106,373	10,182,399,553	597,706,820	475,000,000	20,804,788,522	20,001,261,446	803,527,076	350,000,000	0
26	HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	05/31/2024	31,313,070	1,000,000	30,313,070	12,713,341	4,419,750	8,293,591	3,860,807	0	0	0	0	0	0	0	0	0
27	HSBC SECURITIES USA INC	FCM BD	CME	05/31/2024	1,060,308,062	455,467,867	604,840,195	4,447,495,837	4,298,508,230	148,987,607	117,000,000	279,975,681	246,870,803	33,104,878	15,000,000	1,635,929,723	1,532,808,352	103,121,371	84,000,000	0
28	INTERACTIVE BROKERS LLC	FCM BD	CME	05/31/2024	8,277,450,527	1,035,382,158	7,242,068,369	8,262,704,346	8,058,374,350	204,329,996	155,000,000	817,704,552	690,759,318	126,945,534	80,000,000	0	0	0	0	27,419,082
29	IRONBEAM INC	FCM	CME	05/31/2024	14,877,546	2,094,038	12,783,508	119,185,263	105,047,433	14,137,830	1,600,000	990,527	762,234	60,000	0	0	0	0	0	0
30	JEFFERIES LLC	FCM BD	NFA	05/31/2024	1,454,634,997	125,108,617	1,329,526,380	0	0	0	0	0	0	0	0	0	0	0	0	0
31	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	05/31/2024	25,399,404,444	5,676,318,927	19,723,085,517	44,651,744,340	43,593,617,476	1,058,126,864	828,278,732	7,658,708,065	7,267,499,970	391,208,095	196,224,499	23,623,327,220	22,564,797,649	1,058,529,571	699,508,727	0
32	LIME TRADING CORP	FCM BD	NFA	05/31/2024	11,736,646	1,000,000	10,736,646	46,094	46,094	0	20,000	40,010	0	40,010	20,000	0	0	0	0	0
33	MACQUARIE FUTURES USA LLC	FCM	CBOT	05/31/2024	546,007,701	353,123,496	192,884,205	3,981,890,643	3,818,538,172	163,352,471	100,000,000	349,962,281	306,295,879	43,666,402	7,000,000	5,180,377	0	5,180,377	1,000,000	0
34	MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	05/31/2024	610,965,619	352,294,092	258,671,527	7,266,631,912	7,111,285,727	155,346,185	90,000,000	228,148,302	197,411,706	30,737,196	12,000,000	0	0	0	0	0
35	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	05/31/2024	12,469,315	1,694,044	10,775,271	406,755,500	383,539,629	23,215,871	18,000,000	0	2,790,513	0	1,000,000	0	0	0	0	0
36	MFI FUNDING LLC	FCM	NFA	05/31/2024	111,896,769	1,000,000	110,896,769	0	0	0	0	0	0	0	0	0	0	0	0	0
37	MID CO COMMODITIES INC	FCM	NFA	05/31/2024	16,780,208	3,372,614	13,407,594	39,128,324	22,577,218	16,551,106	5,138,000	0	0	0	0	0	0	0	0	0
38	MINT BROKERS	FCM BD	NFA	05/31/2024	2,259,696	1,000,000	1,259,696	0	0	0	0	0	0	0	0	0	0	0	0	0
39	MIZUHO SECURITIES USA LLC	FCM BD	CME	05/31/2024	1,277,898,609	519,883,689	758,014,920	9,959,229,462	9,562,456,224	396,773,238	150,000,000	1,699,620,875	1,524,891,462	174,729,413	70,000,000	21,882,653	0	21,882,653	10,000,000	0
40	MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	05/31/2024	19,235,673,010	4,578,770,154	14,656,902,856	28,535,500,615	27,985,118,676	550,381,939	235,000,000	9,448,266,806	9,446,587,999	201,678,807	140,000,000	27,108,887,086	26,691,305,604	417,581,482	92,000,000	0
41	NANHUA USA LLC	FCM	CME	05/31/2024	50,163,884	23,069,758	27,094,126	461,431,435	421,618,130	39,813,305	3,500,000	357,395	1,092	356,303	100,000	0	0	0	0	0
42	NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	05/31/2024	554,350,291	1,457,874	552,892,417	7,506,158	7,506,158	0	5,000,000	0	0	0	0	0	0	0	0	0
43	NINJA TRADER CLEARING LLC	FCM	NFA	05/31/2024	19,570,239	1,000,000	18,570,239	265,039,052	239,914,891	25,124,161	4,000,000	2,931,980	1,359,041	1,572,939	400,000	0	0	0	0	0
44	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	05/31/2024	1,772,425,054	194,501,078	1,577,923,976	131,955,118	87,269,077	44,686,041	15,000,000	1,250,000	0	1,250,000	1,000,000	0	0	0	0	0
45	OANDA CORPORATION	FCMRFD	NFA	05/31/2024	43,831,733	29,084,026	14,747,707	0	0	0	0	0	0	0	0	0	0	0	0	186,800,678
46	PHILLIP CAPITAL INC	FCM BD	NFA	05/31/2024	107,330,875	44,004,983	63,325,892	871,758,183	828,884,205	42,873,978	15,500,000	28,845,607	24,591,100	4,254,507	1,000,000	0	0	0	0	0
47	PICOT OVERSEAS INC	FCM BD	NFA	05/31/2024	38,762,410	7,566,048	31,196,362	120,813,256	114,631,836	6,181,420	1,500,000	22,586,416	18,701,186	3,885,230	900,000	0	0	0	0	0
48	PLUS500 FINANCIAL SERVICES, LLC	FCM	CBOT	05/31/2024	117,243,054	10,959,255	106,283,799	425,130,770	329,086,218	96,044,552	4,900,000	1,625,236	783,684	841,552	100,000	0	0	0	0	0
49	RBC CAPITAL MARKETS LLC	FCM BD	CME	05/31/2024	1,748,466,599	505,273,938	1,243,192,661	4,059,827,985	3,620,439,225	439,388,760	260,000,000	407,147,118	322,389,020	84,758,098						

