

SELECTED FCM FINANCIAL DATA AS OF
December 31, 2023
FROM REPORTS FILED BY
February 5, 2024

| Futures Commission Merchant / Retail Foreign Exchange Dealer | Registered As | DSRO | As of Date | Adjusted Net Capital | Net Capital Requirement | Excess Net Capital | Customers' Assets in Seg | Customers' Seg Required 4d(a)(2) | Excess/Deficient Funds in Seg | Target Residual Interest in Seg | Funds in Separate Section 30.7 Accounts | Customer Amount Pt. 30 Required | Excess/Deficient Funds in Separate Section 30.7 Accounts | Target Residual Interest in Section 30.7 | Funds in Separate Cleared Swap Segregation | Customer Amount Cleared Swap Seg Required | Excess/Deficient Funds in Cleared Swap Seg Accounts | Target Residual Interest in Cleared Swap Seg | Total Amount of Retail Forex Obligation |
|--|---------------|----------|------------|----------------------|-------------------------|--------------------|--------------------------|----------------------------------|-------------------------------|---------------------------------|---|---------------------------------|--|--|--|---|---|--|---|
| | (a) | (b) | | (c) | (d) | (e) | (f) | (g) | (h) | (i) | (j) | (k) | (l) | (m) | (n) | (o) | (p) | (q) | |
| 1 ABN AMRO CLEARING USA LLC | FCM BD | CBOT | 12/31/2023 | 685,221,398 | 220,482,201 | 464,739,197 | 3,995,870,967 | 3,453,631,841 | 542,239,126 | 350,000,000 | 166,040,957 | 131,405,809 | 34,635,148 | 15,000,000 | 0 | 0 | 0 | 0 | 0 |
| 2 ADM INVESTOR SERVICES INC | FCM | CBOT | 12/31/2023 | 423,201,578 | 287,328,076 | 135,873,502 | 7,380,066,733 | 7,116,267,153 | 263,799,580 | 120,000,000 | 399,056,141 | 353,548,080 | 45,508,061 | 20,000,000 | 10,426,458 | 0 | 10,426,458 | 0 | 0 |
| 3 ADVANTAGE FUTURES LLC | FCM | CME | 12/31/2023 | 47,222,265 | 12,004,267 | 35,217,998 | 522,130,721 | 504,674,555 | 17,456,166 | 9,000,000 | 69,325,333 | 64,905,492 | 4,419,841 | 1,000,000 | 0 | 0 | 0 | 0 | 0 |
| 4 AMP GLOBAL CLEARING LLC | FCM | NFA | 12/31/2023 | 12,606,919 | 1,000,000 | 11,606,919 | 77,088,843 | 64,357,830 | 12,731,013 | 900,000 | 1,981,710 | 1,466,466 | 515,244 | 150,000 | 0 | 0 | 0 | 0 | 0 |
| 5 APEX CLEARING CORPORATION | FCM BD | NFA | 12/31/2023 | 496,110,148 | 31,713,245 | 464,396,903 | 215,199,088 | 187,800,626 | 17,398,462 | 10,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6 BARCLAYS CAPITAL INC | FCM BD | NYME | 12/31/2023 | 7,391,825,096 | 2,539,124,363 | 4,852,700,733 | 17,591,947,063 | 17,216,506,926 | 375,440,137 | 187,000,000 | 4,278,954,292 | 4,035,531,405 | 243,422,887 | 81,000,000 | 15,723,880,357 | 15,244,733,902 | 479,146,455 | 45,000,000 | 0 |
| 7 BITNOMIAL CLEARING LLC | FCM | NFA | 12/31/2023 | 1,529,956 | 1,000,000 | 529,956 | 502,901 | 0 | 502,901 | 100,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8 BNP PARIBAS SECURITIES CORP | FCM BD | CBOT | 12/31/2023 | 2,334,879,589 | 863,426,234 | 1,471,453,355 | 6,718,515,418 | 5,984,139,491 | 734,375,927 | 570,000,000 | 1,018,391,621 | 671,902,509 | 346,489,112 | 98,000,000 | 3,066,190,861 | 2,903,291,015 | 162,899,846 | 135,000,000 | 0 |
| 9 BOFA SECURITIES INC | FCM BD | CBOT | 12/31/2023 | 19,426,116,832 | 4,600,873,598 | 14,825,243,234 | 30,858,449,324 | 28,782,456,978 | 2,075,992,346 | 200,000,000 | 5,396,257,287 | 5,147,551,255 | 248,706,032 | 150,000,000 | 17,672,357,327 | 17,325,374,807 | 346,982,520 | 150,000,000 | 0 |
| 10 CANTOR FITZGERALD & CO | FCM BD | CBOT | 12/31/2023 | 393,879,248 | 4,599,183 | 389,280,065 | 5,182,617 | 0 | 5,182,617 | 3,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11 CHARLES SCHWAB FUTURES AND FOREX LLC | FCM | NFA | 12/31/2023 | 303,619,463 | 45,135,758 | 258,483,705 | 791,573,319 | 632,295,975 | 159,277,344 | 100,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 61,297,053 |
| 12 CHS HEDGING LLC | FCM | CBOT | 12/31/2023 | 75,544,981 | 11,866,028 | 63,678,953 | 157,207,355 | 95,740,770 | 61,466,585 | 24,300,000 | 1,571,075 | 0 | 1,571,075 | 200,000 | 524,666 | 0 | 524,666 | 120,000 | 0 |
| 13 CITIGROUP GLOBAL MARKETS INC | FCM BD SD | CBOT/NFA | 12/31/2023 | 17,733,814,598 | 4,450,518,833 | 13,283,295,765 | 18,096,952,594 | 17,585,281,188 | 511,671,406 | 352,100,000 | 4,326,443,606 | 4,007,273,722 | 319,169,884 | 163,100,000 | 33,018,172,171 | 32,337,077,697 | 681,094,474 | 466,200,000 | 0 |
| 14 CLEAR STREET LLC | FCM BD | NFA | 12/31/2023 | 603,784,029 | 37,888,622 | 565,895,267 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15 COINBASE FINANCIAL MARKETS INC | FCM | NFA | 12/31/2023 | 19,122,528 | 1,000,000 | 18,122,528 | 10,992,881 | 3,900,368 | 7,092,513 | 5,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16 CREDIT SUISSE SECURITIES (USA) LLC | FCM BD | CBOT | 12/31/2023 | 6,618,875,248 | 50,866,114 | 6,568,009,134 | 18,737,782 | 0 | 18,737,782 | 1 | 6,282,873 | 0 | 6,282,873 | 1 | 2,457,400 | 8,843 | 2,448,557 | 442 | 0 |
| 17 CUNNINGHAM COMMODITIES LLC | FCM | CBOT | 12/31/2023 | 112,901,307 | 9,623,119 | 103,278,188 | 369,109,104 | 290,573,777 | 78,535,327 | 4,600,000 | 1,422,221 | 732,245 | 689,976 | 100,000 | 0 | 0 | 0 | 0 | 0 |
| 18 DAIWA CAPITAL MARKETS AMERICA INC | FCM BD | CME | 12/31/2023 | 1,344,743,036 | 1,975,090 | 1,342,767,946 | 2,130,848 | 0 | 2,130,848 | 0 | 449,905 | 0 | 449,905 | 0 | 0 | 0 | 0 | 0 | 0 |
| 19 DEUTSCHE BANK SECURITIES INC | FCM BD | CBOT | 12/31/2023 | 4,279,725,987 | 200,156,500 | 4,079,569,487 | 2,484,542,767 | 2,334,848,182 | 149,694,585 | 100,000,000 | 765,713,073 | 664,113,634 | 101,599,439 | 50,000,000 | 0 | 0 | 0 | 0 | 0 |
| 20 DIRECT ACCESS USA LLC | FCM | CME | 12/31/2023 | 18,229,089 | 3,922,935 | 14,276,154 | 254,250,890 | 245,201,600 | 9,089,290 | 2,500,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 21 DORMAN TRADING LLC | FCM | CME | 12/31/2023 | 18,532,802 | 2,909,567 | 15,623,235 | 320,830,652 | 315,617,522 | 5,213,130 | 2,000,000 | 2,942,080 | 1,789,543 | 1,152,537 | 200,000 | 0 | 0 | 0 | 0 | 0 |
| 22 ETRADE FUTURES LLC | FCM | NFA | 12/31/2023 | 58,291,138 | 5,451,713 | 52,839,425 | 160,337,416 | 100,998,796 | 59,338,620 | 11,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 23 FLUTU FUTURES INC | FCM | NFA | 12/31/2023 | 1,959,754 | 1,000,000 | 959,754 | 0 | 0 | 959,754 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 24 GAIN CAPITAL GROUP LLC | FCM | NFA | 12/31/2023 | 54,020,318 | 29,559,338 | 24,460,980 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 201,186,762 |
| 25 GH FINANCIALS LLC | FCM | CME | 12/31/2023 | 33,648,675 | 11,325,486 | 22,323,189 | 160,027,058 | 143,905,214 | 16,121,844 | 6,100,000 | 5,566,552 | 3,445,003 | 2,121,549 | 600,000 | 0 | 0 | 0 | 0 | 0 |
| 26 GOLDMAN SACHS & CO LLC | FCM BD SD | CBOT/NFA | 12/31/2023 | 20,263,060,491 | 5,177,848,382 | 15,085,212,109 | 36,681,826,019 | 35,699,587,711 | 983,238,308 | 775,000,000 | 11,454,104,272 | 10,847,725,227 | 606,379,045 | 475,000,000 | 20,942,928,516 | 20,317,859,614 | 625,068,902 | 350,000,000 | 0 |
| 27 HIDDEN ROAD PARTNERS CIV US LLC | FCM | CME | 12/31/2023 | 31,533,070 | 1,000,000 | 30,533,070 | 0 | 0 | 3,162,192 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 28 HSBC SECURITIES USA INC | FCM BD | CME | 12/31/2023 | 1,309,177,185 | 475,760,473 | 833,416,712 | 4,762,779,519 | 4,600,737,555 | 162,041,964 | 143,000,000 | 233,344,710 | 178,501,027 | 54,843,683 | 15,000,000 | 1,777,843,139 | 1,663,069,693 | 114,773,446 | 93,000,000 | 54,590,762 |
| 29 IG US LLC | FCM | NFA | 12/31/2023 | 56,390,432 | 22,229,538 | 34,160,894 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 30 INTERACTIVE BROKERS LLC | FCM BD | CME | 12/31/2023 | 7,319,359,731 | 823,068,325 | 6,496,291,406 | 7,404,340,585 | 7,190,680,402 | 213,660,183 | 155,000,000 | 781,504,254 | 644,618,888 | 136,885,366 | 80,000,000 | 0 | 0 | 0 | 0 | 31,086,898 |
| 31 IRONBEAM INC | FCM | CME | 12/31/2023 | 9,074,570 | 1,308,217 | 7,766,353 | 104,987,477 | 93,380,005 | 11,607,472 | 1,600,000 | 847,528 | 602,622 | 244,906 | 60,000 | 0 | 0 | 0 | 0 | 0 |
| 32 JEFFERIES LLC | FCM BD | NFA | 12/31/2023 | 982,127,641 | 112,510,060 | 869,617,581 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 33 JP MORGAN SECURITIES LLC | FCM BD SD | CE/NFA | 12/31/2023 | 27,864,509,235 | 5,346,401,017 | 22,518,108,218 | 42,066,956,754 | 40,964,437,612 | 1,102,519,142 | 778,324,315 | 7,406,828,971 | 6,919,912,118 | 486,916,853 | 186,837,627 | 24,163,135,570 | 23,093,247,062 | 1,069,888,508 | 715,890,659 | 0 |
| 34 LIME TRADING CORP | FCM BD | NFA | 12/31/2023 | 10,232,470 | 1,000,000 | 9,232,470 | 46,093 | 0 | 46,093 | 20,000 | 40,010 | 0 | 40,010 | 20,000 | 0 | 0 | 0 | 0 | 0 |
| 35 MACQUARIE FUTURES USA LLC | FCM | CBOT | 12/31/2023 | 512,887,362 | 399,680,822 | 113,206,540 | 4,586,174,175 | 4,392,233,819 | 193,940,356 | 100,000,000 | 386,070,210 | 340,020,717 | 46,049,493 | 7,000,000 | 5,180,383 | 0 | 5,180,383 | 1,000,000 | 0 |
| 36 MAREX CAPITAL MARKETS INC | FCM BD SD | CME/NFA | 12/31/2023 | 572,455,365 | 291,535,045 | 280,920,320 | 6,904,036,414 | 6,739,406,457 | 164,629,957 | 90,000,000 | 193,807,848 | 162,458,345 | 31,349,503 | 12,000,000 | 0 | 0 | 0 | 0 | 0 |
| 37 MAREX NORTH AMERICA LLC | FCM | NFA | 12/31/2023 | 3,867,295 | 1,000,000 | 2,867,295 | 806 | 0 | 806 | 1 | 191 | 0 | 191 | 1 | 0 | 0 | 0 | 0 | 0 |
| 38 MCVAN TRADING & INVESTMENTS LLC | FCM | NFA | 12/31/2023 | 13,302,247 | 1,494,630 | 11,807,617 | 6,904,005,990 | 415,222,648 | 24,782,442 | 18,000,000 | 2,733,182 | 0 | 2,733,182 | 1,000,000 | 0 | 0 | 0 | 0 | 0 |
| 39 MFI FUNDING LLC | FCM | NFA | 12/31/2023 | 109,137,856 | 1,000,000 | 108,137,856 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 40 MID CO COMMODITIES INC | FCM | NFA | 12/31/2023 | 16,380,499 | 4,175,159 | 12,205,340 | 48,667,431 | 31,809,023 | 16,858,408 | 5,138,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 41 MINT BROKERS | FCM BD | NFA | 12/31/2023 | 2,228,883 | 1,000,000 | 1,228,883 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 42 MIZUHO SECURITIES USA LLC | FCM BD | CME | 12/31/2023 | 1,553,630,374 | 560,237,591 | 993,392,783 | 10,520,613,027 | 10,064,478,596 | 456,134,431 | 150,000,000 | 1,929,706,283 | 1,726,802,102 | 202,904,181 | 70,000,000 | 21,498,366 | 0 | 21,498,366 | 10,000,000 | 0 |
| 43 MORGAN STANLEY & CO LLC | FCM BD SD | CME/NFA | 12/31/2023 | 18,120,974,852 | 4,445,421,656 | 13,675,553,196 | 27,688,480,382 | 27,128,995,961 | 559,484,421 | 235,000,000 | 9,114,878,177 | 8,881,754,444 | 233,123,733 | 140,000,000 | 28,848,127,653 | 28,537,111,396 | 311,016,257 | 92,000,000 | 0 |
| 44 NANJUA USA LLC | FCM | CME | 12/31/2023 | 17,586,781 | 18,850,410 | 403,027,669 | 371,589,554 | 31,438,115 | 31,438,115 | 3,500,000 | 357,395 | 1,092 | 356,303 | 100,000 | 0 | 0 | 0 | 0 | 0 |
| 45 NATWEST MARKETS SECURITIES INC | FCM BD | CBOT | 12/31/2023 | 613,800,462 | 2,814,670 | 610,985,792 | 7,503,655 | 0 | 7,503,655 | 5,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 46 NINJA TRADER CLEARING LLC | FCM | NFA | 12/31/2023 | 12,669,806 | 1,000,000 | 11,669,806 | 244,395,080 | 227,511,959 | 16,883,121 | 4,000,000 | 2,896,621 | 1,487,536 | 1,409,085 | 400,000 | 0 | 0 | 0 | 0 | 0 |
| 47 NOMURA SECURITIES INTERNATIONAL INC | FCM BD | CBOT | 12/31/2023 | 1,833,233,606 | 180,735,947 | 1,652,497,659 | 142,621,672 | 100,717,699 | 41,903,973 | 15,000,000 | 1,250,000 | 0 | 1,250,000 | 1,000,000 | 0 | 0 | 0 | 0 | 0 |
| 48 OANDA CORPORATION | FCM | NFA | 12/31/2023 | 45,045,266 | 28,131,515 | 16,913,751 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 166,781,795 |
| 49 PHILLIP CAPITAL INC | FCM BD | CME | 12/31/2023 | 103,755,359 | 40,438,989 | 63,316,370 | 865,061,608 | 821,284,173 | 43,777,435 | 15,500,000 | 19,112,612 | 13,913,474 | 5,199,138 | 1,000,000 | 0 | 0 | 0 | 0 | 0 |
| 50 PICTET OVERSEAS INC | FCM BD | NFA | 12/31/2023 | 50,404,593 | 8,471,982 | 41,932,611 | 109,503,258 | 103,664,106 | 5,839,152 | 1,500,000 | 40,574,409 | 36,916,223 | 3,658,186 | 900,000 | 0 | 0 | 0 | 0 | 0 |
| 51 RBC CAPITAL MARKETS LLC | FCM BD | CME | 12/31/2023 | 2,520,426,431 | 513,016,610 | 2,007,409,821 | 3,972,080,776 | 3,560,651,405 | 411,429,371 | | | | | | | | | | |

