Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)		Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7		Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(p)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	11/30/2022	624,799,915	239,118,036	385,681,879	4,066,386,266	3,625,003,112	441,383,154	266,429,663	162,720,259	129,761,161	32,959,098	12,976,116	6	0 0	0	0	
2 ADM INVESTOR SERVICES INC	FCM	CBOT	11/30/2022	526,924,408	368,204,480	158,719,928	8,309,246,248	8,110,098,375	199,147,873	120,000,000	559,793,436	513,456,109	46,337,327	20,000,000	9,932,15	3 0	9,932,153	8,000,000	(
3 ADVANTAGE FUTURES LLC 4 AMP GLOBAL CLEARING LLC	FCM FCM	CME NFA	11/30/2022 11/30/2022	29,654,164 9,159,755	14,860,855 1,000,000	14,793,309 8,159,755	556,114,701 77,083,770	536,337,131 67,530,135	19,777,570 9,553,635	10,000,000	43,816,553 2.818,119	39,501,080 2,260,010	4,315,473 558,109	1,000,000		0	0	0	
5 APEX CLEARING CORPORATION	FCM BD	NFA		475,457,347	27,633,787	447,823,560	100,024,184	74,090,216	25,933,968		2,818,119	2,260,010	558,109	150,000	0	0 0	0	0	
6 BARCLAYS CAPITAL INC	FCM BD	NYME	11/30/2022	6,494,152,629	2,412,852,685	4,081,299,944		14,345,150,896	884,318,527	58,000,000	4,633,590,385	4,399,255,050	234,335,335	40,000,000	0 14,419,712,05	1 13,624,369,013	795,343,038	26,000,000	
7 BITNOMIAL CLEARING LLC	FCM	NFA	11/30/2022	1,505,000	1,000,000	505,000	0	0	(0	0	0	0	C	0 (0	0	0	
8 BNP PARIBAS SECURITIES CORP 9 BOFA SECURITIES INC	FCM BD FCM BD	CBOT	11/30/2022	2,247,778,144 16,744,070,048	1,018,636,827 4,384,411,542	1,229,141,317	7,456,764,999 26,778,746,249	6,751,906,151 26,401,760,869	704,858,848 376,985,380	570,000,000 200,000,000	2,723,177,072 6,224,786,216	1,845,644,509 5,849,766,773	877,532,563 375,019,443	98,000,000 150,000,000		5 2,614,755,127 5 17.662,643,630	247,858,129 362,184,805	135,000,000 150,000,000	
10 CANTOR FITZGERALD & CO	FCM BD	CBOT		362,032,941	1,863,761	360,169,180	4,969,934		4,969,934		0,224,700,210	3,049,700,773	3/3,019,443	130,000,000	0 10,024,020,433	17,002,043,030	302,104,003	130,000,000	
11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	11/30/2022	286,551,794	43,235,013	243,316,781	1 630,380,470	476,159,538	154,220,932	100,000,000	0	0	0	Č	0 (0 0	0	0	67,228,987
12 CHS HEDGING LLC	FCM		11/30/2022	62,461,432	26,397,623	36,063,809	281,828,495	215,717,259	66,111,236	14,600,000	1,527,272	0	1,527,272	200,000	0 510,18		510,188	120,000	
13 CITIGROUP GLOBAL MARKETS INC 14 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD FCM BD	CBOT/NFA	11/30/2022 11/30/2022	9,494,860,175 11,743,352,824	5,108,006,242 161,101,310	4,386,853,933 11,582,251,514	18,387,485,511 299,960,672	17,728,305,494 260,535,571	659,180,017 39,425,101	435,400,000 13,026,779	5,307,532,554 7,226,390	5,000,934,130 1,388,526	306,598,424 5,837,864	175,000,000 69,426			693,389,749 11,037,523	469,000,000	
15 CUNNINGHAM COMMODITIES LLC	FCM	CBOT		86,202,510	2,685,171	83.517.339	214,798,331	157,014,821	57,783,510	3,050,000	1,041,038	464,207	576,831	100,000		0 0	11,037,323	0	(
16 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	11/30/2022	1,338,098,187	1,624,224	1,336,473,963	2,136,558	0	2,136,558	1	427,923	0	427,923	1	1	0	0	0	
17 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	11/30/2022	5,365,814,530	198,201,279	5,167,613,251	2,286,665,024	2,137,554,172	149,110,852	100,000,000	783,281,127	653,841,625	129,439,502	50,000,000		0	0	0	<u>c</u>
18 DIRECT ACCESS USA LLC 19 DORMAN TRADING LLC	FCM FCM	CME CME	11/30/2022 11/30/2022	12,364,520 14,063,376	3,174,977 2,405,698	9,189,543 11,657,678	3 128,436,518 3 293,037,345	102,104,913 288,855,764	26,331,605 4,181,581	2,500,000 2,000,000	10,624,999 5,293,366	505,100 4,464,516	10,119,899 828,850	1,000,000 200,000) 0	0	0	- 0
20 E D & F MAN CAPITAL MARKETS INC	FCM BD SD	CME/NFA	11/30/2022	272,814,092	166,344,543	106,469,549	3,867,305,495	3,792,954,756	74,350,739	44,250,000	68,787,949	57,365,085	11,422,864	5,000,000		0	i o	0	
21 ETRADE FUTURES LLC	FCM	NFA		46,974,591	4,774,540	42,200,051	152,454,925	103,862,407	48,592,518	11,000,000	0	0	0	C	0 (0	0	0	
22 FUTU FUTURES INC 23 GAIN CAPITAL GROUP LLC	FCM FCMRFD	NFA NFA	11/30/2022	1,923,335	1,000,000 28,689,452	923,335	0	0	0	0	0	0	0	<u> </u>	U (0	1 0	0	183,789,034
23 GAIN CAPITAL GROUP LLC 24 GH FINANCIALS LLC	FCMRFD	CME	11/30/2022 11/30/2022	54,398,397 29,819,265	28,689,452 11,042,213	25,708,945 18,777,052	161,362,610	141,888,316	19,474,294	5,500,000	6,606,583	4.859.893	1,746,690	440.000	0	0	0	0	183,/89,034
25 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	11/30/2022	22,654,469,546	4,732,144,454	17,922,325,092	38,785,782,802	37,630,736,197	1,155,046,605	775,000,000	14,070,594,954	13,483,129,339	587,465,615	475,000,000	0 18,759,304,01	1 18,279,030,353	480,273,658	350,000,000	
26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD	CME		32,802,662	1,000,000	31,802,662	3,018,677	0	3,018,677	3,000,000	0	0	0	C	0 (0	0	0	
27 HSBC SECURITIES USA INC 28 IG US LLC	FCM BD REED	CME	11/30/2022 11/30/2022	1,251,298,967	605,449,174 21,725,976	645,849,793 15,257,771	5,884,631,346	5,695,405,452	189,225,894	153,000,000	278,711,727	230,595,476	48,116,251	15,000,000	0 2,381,041,50	2,194,884,666	186,156,841	166,000,000	44.519.527
29 INTERACTIVE BROKERS LLC	FCM BD	CME		36,983,747 6,372,068,368	692,728,317	5.679.340.051	8.171.428.231	7,954,774,633	216.653.598	155,000,000	833.736.719	707,274,706	126,462,013	80,000,000	n i) 0	1	0	25,808,736
30 IRONBEAM INC	FCM	CME	11/30/2022	8,517,413	1,000,000	7,517,413	98,352,034	89,909,647	8,442,387	1,600,000	461,451	230,271	231,180	60,000		0	Ö	0	25/000/750
31 JEFFERIES LLC	FCM BD	NFA	11/30/2022	903,348,876	97,110,620	806,238,256	5 0	0	(0	0	0	0	C	0 (0 0	0	0	C
32 JP MORGAN SECURITIES LLC 33 LIME TRADING CORP	FCM BD SD FCM BD	CEI/NFA	11/30/2022	22,642,492,132 4.390.889	5,828,267,997	16,814,224,135 3,390,889	44,080,470,586	42,951,619,219	1,128,851,367 46,104	816,080,765	9,599,542,058 40.000	8,938,988,600	660,553,458 40.000	312,864,601 20.000		2 21,764,073,822	1,018,403,850	457,045,550	9
34 MACOUARIE FUTURES USA LLC	FCM BD	CBOT	11/30/2022	602,761,205	415,340,289	187,420,916	4,698,177,046	4,483,337,705	214.839.341	100,000,000	207,297,482	153,446,141	53,851,341	7,000,000		9 0	5,152,849	1,000,000	
35 MAREX NORTH AMERICA LLC	FCM	CME	11/30/2022	259,400,836	212,715,021	46,685,815	4,094,732,117	4,002,169,288	92,562,829	50,230,376	187,942,051	154,727,847	33,214,204	10,250,000		0	3,132,013	0	ı (
36 MCVEAN TRADING & INVESTMENTS LLC 37 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM	NFA	11/30/2022	11,846,738 8,310,343,635	1,769,199 1,490,872,558	10,077,539 6,819,471,077	471,946,820	447,303,195	24,643,625 1,130,643,219	18,000,000 300,000,000	2,614,167	0	2,614,167	1,000,000	0	0	0	0	C
37 MERRILL LYNCH PROFESSIONAL CLEARING CORP 38 MFI FUNDING LLC	FCM BD FCM	NFA NFA	11/30/2022 11/30/2022	8,310,343,635 103,650,445	1,490,872,558	6,819,471,077	2,608,799,838	1,478,156,619	1,130,643,219	300,000,000	0	0	0	0	0	0	0	0	0
39 MID CO COMMODITIES INC	FCM FCM	NFA NFA	11/30/2022	23,737,153	10,862,546	12,874,607	102,977,857	79,649,639	23,328,218	5,138,000	0	0	0		0	0 0	0	0	
40 MINT BROKERS	FCM BD	NFA	11/30/2022	2,213,953	1,000,000	1,213,953	3 0	0	//(0	0	0	0	d	0	0 0	0	0	0
41 MIZUHO SECURITIES USA LLC	FCM BD	CME	11/30/2022	1,554,416,635	772,469,545	781,947,090	13,089,693,538	12,597,214,528	492,479,010	150,000,000	1,396,107,542	1,192,613,953	203,493,589	70,000,000		9 0	20,595,579	10,000,000	C
42 MORGAN STANLEY & CO LLC	FCM BD SD FCM	CME/NFA		17,787,942,142	4,440,190,729	13,347,751,413	29,162,919,689	28,774,709,941	388,209,748	235,000,000	9,915,725,278	9,671,545,540 324.045	244,179,738	140,000,000		2 26,478,918,817	408,635,885	92,000,000	0
43 NANHUA USA LLC 44 NATWEST MARKETS SECURITIES INC	FCM BD	CME		24,836,965 564,701,152	13,141,065	11,695,900 563,609,680	237,682,653	218,064,269	19,618,384 7 500 998	3,500,000 5,000,000	680,382 0	324,045 0	356,337	100,000	0	0 0	0	0	
45 NINJATRADER CLEARING LLC	FCM		11/30/2022 11/30/2022	19,126,885	1,091,472 1,000,000	18,126,885	261,927,186		7,500,998 22,841,048		3,685,373	2,745,585	939,788	400,000		0 0	Ö	0	
46 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT		2,152,800,089	97,739,533	2,055,060,556	215,901,838	144,791,530	71,110,308	19,000,000	1,250,000	0	1,250,000	1,000,000	0 (0	0	0	0
47 OANDA CORPORATION 48 PHILLIP CAPITAL INC	FCM RFED FCM BD	NFA CME	11/30/2022	38,325,573 80,119,809	27,923,694 45,558,466	10,401,879 34,561,343	943,275,852	907.834.781	35,441,071	15,000,000	20,718,164	15,936,429	4,781,735	1,000,000	0	0	0	0	164,159,370
49 PICTET OVERSEAS INC	FCM BD	NFA	11/30/2022 11/30/2022	50,968,426	12,357,186	38,611,240	137,426,211	132,344,747	5,081,464	1.500,000	60,606,920	57 568 321	3,038,599	900,000		0 0	0	0	1 0
50 RBC CAPITAL MARKETS LLC	FCM BD	CME	11/30/2022	2,584,520,071	586,340,144	1,998,179,927	4,921,266,030	4,467,200,941	454,065,089	320,000,000	653,813,496	526,203,686	127,609,810	40,000,000		1,803,878,145	343,766,047	120,000,000	
51 RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	11/30/2022	372,972,818	275,033,060	97,939,758	6,801,232,633	6,524,961,155	276,271,478	50,000,000	264,223,421	233,221,137	31,002,284	20,000,000	0 (0	0	0	
52 SANTANDER INVESTMENT SECURITIES INC 53 SCOTIA CAPITAL USA INC	FCM BD FCM BD	NYME	11/30/2022 11/30/2022	444,645,618 1.279.857.724	183,250,947 146,575,318	261,394,671 1,133,282,406	2,888,090,017	2,815,230,676	72,859,341	70,000,000	0	0	0	0	n l	<u>)</u>	0	0	
54 SG AMERICAS SECURITIES LLC	FCM BD	CME		4,823,339,680	2,099,398,228	2,723,941,452	18,863,752,219	18,381,695,402	482,056,817	200,000,000	8,129,945,315	7,713,638,142	416,307,173	200,000,000	0 2,128,782,35	2 1,811,201,698	317,580,654	80,000,000	,
55 STONEX FINANCIAL INC	FCM BD	CME	11/30/2022	449,826,841	248,421,209	201,405,632	5,767,707,331	5,682,850,496	84,856,835	50,000,000	224,251,046	212,910,946	11,340,100	6,000,000		0 0	0	0	
56 STRAITS FINANCIAL LLC 57 TRADESTATION SECURITIES INC	FCM FCM BD	CME	11/30/2022 11/30/2022	28,288,062 152,266,724	12,389,391 9,952,459	15,898,671 142,314,265	475,818,931	461,762,247 915,009,482	14,056,684	2,000,000	36,312,312	26,001,455 19,139,924	10,310,857 3,574,972	300,000 800,000	0 (0	0	0	,
57 TRADESTATION SECURITIES INC 58 TRADING.COM MARKETS INC	RFED	CME NFA	11/30/2022	152,266,724 23,231,733	9,952,459	142,314,265 3,231,733	958,991,410	915,009,482	43,981,928	9,000,000	22,714,896	19,139,924	3,5/4,9/2	800,000	0	0	0	0	590.991
59 UBS FINANCIAL SERVICES INC	FCM BD	NFA	11/30/2022	1,125,007,704	146,414,379	978,593,325	198,581.314	148,780,812	49,800,502	25,000,000	38,034,021	8,456,448	29,577,573	15,000,000	ŏ i	0	1 0	0	350,991
60 UBS SECURITIES LLC	FCM BD	CBOT	11/30/2022 11/30/2022	4,813,215,537	740,241,971	4,072,973,566	198,581,314 6,639,537,678		289,623,586 30,573,796	150,000,000	2,515,939,805	2,344,648,480	171,291,325	75,000,000	0 1,518,230,799	1,255,271,931	262,958,868	125,000,000	
61 WEDBUSH SECURITIES INC	FCM BD			264,358,516	87,658,206	176,700,310	3,139,123,290	3,108,549,494		19,000,000	49,156,010	42,743,139	6,412,871	1,000,000		0 12.250.060.000	700 505 115	220 000 000	<u> </u>
62 WELLS FARGO SECURITIES LLC	FCM BD	CME	11/30/2022	10,050,216,657	1,552,363,914	8,497,852,743	5,197,554,083	4,704,118,366	493,435,717	115,000,000	825,031,342	722,000,667	103,030,675	30,000,000	0 14,059,375,23	1 13,358,869,086	700,506,145	220,000,000	0
Totals							298,193,004,087	286,704,010,587	11,488,993,500	5,789,725,584	69,882,187,173	64,961,558,051	4,920,629,122	2,056,830,144	158,853,505,308	152,989,219,347	5,864,285,961	2,409,165,569	486,096,645
October Web Page Update	62																		
Additions																			
None			1						-			_							
Deletions			1	1				1								1			
None			1	 				 								+			
None				1				1								1			ĺ
Name Changes																			
None																1			
November Web Page Update	62		1	 	+			 								+			

SELECTED FCM FINANCIAL DATA AS OF November 30, 2022 FROM REPORTS FILED BY December 23, 2022

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.					
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.					
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.					
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.					
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.					
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a	different DSRO for the FCM/RFED and the SD. The DSRO for the FC	CM/RFED is listed first and the DSRO for the SD is listed second	•		
(c): A firm's net capital requirement is the greater of:					
FCM minimum dollar amount (\$1,000,000); or					
 risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance 	nce margin; or				
the amount of capital required by a registered futures association (currently NFA is the only such association); or					
 for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or 					
 minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FC 	4/ PEED/- t-t-1t-il f				
minimum dollar amount for PCM's oriening or engaged in retail forex transactions and RPEDS (\$20,000,000) plus five percent of the PCM	vis or RFED's total retail forex obligation in excess or \$10,000,000.				
(A). Forest and applied in adjusted and applied less the found and applied applied applied applied and applied					
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.					
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in co	mpliance with Costion 4d of the Commodity Eyebange Act				
(e). This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in con-	ripriance with Section 4d of the Commodity Exchange Act.				
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated of	ontract market or derivatives transaction execution facility. This is t	ho cum of all accounts that contain a not liquidating equity			
(f). This represents the total amount of futures triat and the required to segregate on behalf of customers who are trading of a designated c	ontract market or derivatives transaction execution facility. This is t	the sum of all accounts that contain a flet liquidating equity.			
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.					
(g). Excess/periodic ruitus in segregation is customer assets in segregation, iess the customer segregation requirement.					
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation r	equirement. This target amount is set by the firm				
(ii). This represents the targeted excess of proprietary raints aeposited into easterner segregation is	equirement. This target amount is set by the firm.				
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trad	on commodity exchanges located outside the United States in com	pliance with Part 30 of the Commodity Exchange Act.			
	,				
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of	the United States.				
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 ru	equirement.				
	-1				
(1): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part	30 requirement. This target amount is set by the firm.				
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers	in compliance with Section 4d(f) of the Commodity Exchange Act.				
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.					
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap	customer segregation requirement.			<u> </u>	<u></u>
				<u> </u>	<u></u>
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared s	wap customer segregation requirement. This target amount is set b	y the firm.		<u> </u>	
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(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or ioss.