SELECTED FCM FINANCIAL DATA AS OF August 31, 2023 FROM REPORTS FILED BY September 29, 2023

Company	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
CHARLES STATES AND STA		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)		(1)	(m)	(n)		(p)	(p)
CHARLES STATES AND STA	1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	08/31/2023	300.930.644	218.183.713	82,746,931	3.958.438.794	3.377.367.395	581.071.399	350,000,000	171.591.272	137.524.419	34.066.853	15,000,000) (0	0	0	· · · · · · · · · · · · · · · · · · ·
Company	2 ADM INVESTOR SERVICES INC			08/31/2023	535,756,287		195,146,537		7,699,246,915	322,735,259		462,991,041	416,923,548	46,067,493			. 0	10,262,191	8,000,000	
## Company Property Property																0 0	0	0	0	
Company Comp					439.955.568	33.564.503	406.391.065		153,310,292		10.000.000	2,400,295	1,654,078	812,217	150,000	0 (0	0	0	
The control of the	6 BARCLAYS CAPITAL INC	FCM BD	NYME									4,019,918,021	3,795,668,569	224,249,452	81,000,000	15,980,567,202	15,456,074,861	524,492,341	45,000,000	
Control Cont								0	0	740.056.256	570,000,000	0	712 450 700	220 255 412	00,000,000	0 0	0	177 162 001	145 000 000	
Company Comp	9 BOEA SECURITIES INC			08/31/2023	2,150,865,853	4 371 497 647		30 086 335 865				5 362 917 265	713,459,788 5 125 906 083	328,355,412 237 011 182		17 191 192 777	16 794 644 465			
Property 19			CBOT						0			0	0	0	130/000/000	0 (0	0	0	
Column C												0	0	0	200.000	0 (0	510,000	120,000	62,726,06
Control Cont										568.427.413	378.700.000	5,230,911,773	4.896.926.676	333,985,097	171.500.000	34.321.662.699	33.622.004.033	699.658.666		
Calculation	4 COINBASE FINANCIAL MARKETS INC	FCM		08/31/2023	19,308,289	1,000,000	18,308,289					0	0	0	C	0 (0	0	0	
Second Continue Note 1966																	. 0	2,985,081	1	
Second Column Col		1011							248,571,399		4,600,000		686,178		100,000	1 (0	0	0	
Property	8 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	08/31/2023				2,107,696,806		151,226,967				98,956,495			0	Ŏ	Ö	
Property					17,808,240	5,886,081	11,922,159		177,360,652	6,723,227	2,500,000	2,281,193	559,656	1,721,537	1,000,000	0 0	0	0	0	<u> </u>
The content of the		FCM FCM			18,500,730 56,819 585	2,/86,394 6.106,964	15,/14,336 50,712 621		315,/85,174 104,712 225	6,159,478 59,961 293	2,000,000	2, 44 7,852	1,333,/27 n	1,114,125 n	200,000) (0	0	0	
Commonweight Comm	2 FUTU FUTURES INC	FCM	NFA	08/31/2023	1,951,842	1,000,000	951,842	0	0	33,331,233	0	0	0	0	Č	o č	0	Ö	0	
Proceedings of the Control of C				08/31/2023				0	0	(0	0	0	0	0	0 0	0	0	0	197,375,582
Proceedings of the Control of C	4 GH FINANCIALS LLC 5 GOLDMAN SACHS & CO.L.C.			08/31/2023	33,350,297 23,220,197 609	12,685,092	20,665,205 18,310,886,550	159,082,970 36,289,055 533	141,337,603 35,232,193,929	17,745,367	6,300,000 775,000 000	12,626,417	10,633,808	1,992,609 607,358 039		21.216.689 554	20.616.009 085	600,680 469	350,000 000	
Column Property	6 HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	08/31/2023	31,480,712	1,000,000	30,480,712	3,115,073	0	3,115,073	3,000,000	0	0	0	0	0 (0	0	0	
Control Cont								4,421,354,620	4,255,806,451	165,548,169	152,000,000	186,162,016	154,502,554	31,659,462	15,000,000	2,137,804,964	2,017,532,080	120,272,884	109,000,000	72.054.20
Second								7 106 375 301	6 891 544 927	214 830 374	155 000 000	770 837 685	649 000 794	121 836 891	80 000 000	טן נ	0	0	0	73,854,280
Program Prog																	0	Ö	0	55/552/50
LIN TREADER CORP. (FIG. 19) (FI						92,087,423		40.031.305.143	0 017 242 206	1 114 041 030	756 520 522	7 515 551 700	7 002 250 002	0	245.070.705	0 (0	1 070 100 076	0	
Microsoft Printer Fig. 12 Fig. 1				08/31/2023					39,817,343,306				7,002,250,993			23,553,482,350	22,483,373,430	1,070,108,926	4/2,150,842	
MARIA PROPERTY APPROPRIATE CP 94				08/31/2023	604,703,829		228,671,171										0	5,180,377	1,000,000	
Committed Fig. Committed				08/31/2023					7,113,439,587		90,000,000		203,768,517		12,000,000	0 0	0		0	
FIRE PROPERTY IN THE ADDRESS OF STATE O				08/31/2023	12,518,319	1,000,000	10.748.412		421,578,527	23,399,123	18.000.000	2.687.955	0	251,093	1.000.000) (0	0	0	
## REFERENCES FOR TOTAL CONTROL 1975 TO 1975 T	8 MFI FUNDING LLC							0	0		0	0	0	0	C	0 (0	0	0	
MICHON SCREETING LICE								56,426,115	38,748,689	17,677,426	5,138,000	0	0	0	0	0 0	0	0	0	
NORMAL CALLE FOR DE 06/1/2023 33.007/00 154/24/215 18.1818/85 39.067/10 19.000 3 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0						476,560,658	1,017,174,479	8,837,111,502	8,380,610,490	456,501,012	150,000,000	1,719,804,601	1,513,887,982	205,916,619	70,000,000	21,189,926	0	21,189,926	10,000,000	
NAMES SIGNATION NO. 000 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	2 MORGAN STANLEY & CO LLC																26,507,351,391	318,642,796	92,000,000	
NINATENDES CLEANING LICE					33,607,700				279,065,077			357,395	1,092	356,303	100,000) (0	0	0	
SOURCE SECURITIES INTERNATIONAL INC. FCH 80 GST						1,000,000	18,915,695		235,293,559			3,643,068	2,402,925	1,240,143	400,000	0 0	0	0	0	
PRILLIP CAPTEL INC. PC 1952	6 NOMURA SECURITIES INTERNATIONAL INC				1,879,429,771		1,741,239,212	162,420,750	111,607,301	50,813,449	19,000,000	1,250,000	0	1,250,000	1,000,000	0 (0	0	0	
FCH ED N/A 06/31/2023 49,492/88 10,492/88 38,903/88 13,365/99 12,794.77 5,577.188 1,500.000 30,53/56 46,844.26 3,421.26 40,000.000 20,7658.50 1,783.12.10 329.256.356 1,000.000 20,7658.50 1,783.12.10 2,000.000 2,000.000 2,000.000 2,000.000 2,000.00								801 897 643	744 249 686	57 647 957	17 500 000	22 112 488	18 982 366	3 130 122	1 000 000) (0	0	0	156,386,229
RUBBERR ASSOCIATES LIC FOR 80	9 PICTET OVERSEAS INC	FCM BD	NFA	08/31/2023	49,429,798	10,439,268	38,990,530	135,366,559	129,794,371	5,572,188	1,500,000	50,265,506	46,844,245	3,421,261	900,000		0	Ö	0	
SCOTIA CAPITAL USA INC. FCH 80 CHE 60 31/2022 1.466.612.42 1.466.612.22 1.466.612.42 1.466.612.	0 RBC CAPITAL MARKETS LLC									429,650,967			219,608,744	86,767,573		2,037,658,500	1,708,132,104	329,526,396	120,000,000	
SCOTIA CAPITAL USA INC. FCH 80 CHE 60 31/2022 1.466.612.42 1.466.612.22 1.466.612.42 1.466.612.										247,071,439 72,814,835	70,000,000	286,928,149	256,406,461	30,521,688	20,000,000) (0	0	0	
STONE FINANCIAL INC FCM 60 (ME 69/31/2023 349/20/409 222/28/28/85 126/49/64 5/79/845/31 172/339/404 40,000,000 222/38/91 220,082/08/31 12,256/83/31 6,000,000 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	3 SCOTIA CAPITAL USA INC	FCM BD	CME	08/31/2023	1,406,812,294	129,598,234	1,277,214,060	0	0		0	0	0	0	Č	D C	0	Ö	0	
STRAITS FINANCIAL LLC																1,334,439,218	1,115,884,174	218,555,044	80,000,000	
TRADISTATION SCURITIES INC																	0	0	0	
USS FINANCIAL SERVICES INC	7 TRADESTATION SECURITIES INC	FCM BD	CME	08/31/2023	180,084,703	12,368,910	167,715,793							4,008,755		0 0	0	Ö	0	
UBS SECURITIES LIC FCM BD CBOT 08/31/2023 4.904,446.994 861.025.4994 861.025.499 4.904,446.994 861.025.499 4.904,446.994 861.025.4994 8					26,622,853			241 190 022	101 215 020	40.064.105	25,000,000	27 005 226	5 310 303	22 575 052	15,000,000	0 0	0	0	0	1,067,65
WEDBLY-SEQUETIES INC FCM BD CBOT 08/31/2023 253.808.647 11.163.555 149.645.092 4.146.622.232 4.121.079.758 255.24.74 19.000,000 34.987.299 29.576.889 5.410.410 1.000,00																	1,634,964.220	282,054.761	125,000.000	
Totals Total	1 WEDBUSH SECURITIES INC	FCM BD	CBOT	08/31/2023	263,808,647	114,163,555	149,645,092		4,121,079,758	25,542,474	19,000,000	34,987,299	29,576,889	5,410,410	1,000,000) (0	0	0	
Suly Meb Page Update	2 WELLS FARGO SECURITIES LLC	FCM BD	CME	08/31/2023	10,243,427,927	1,611,321,961	8,632,105,966	5,954,766,639	5,364,997,466	589,769,173	115,000,000	570,017,983	442,492,427	127,525,556	30,000,000	14,924,696,127	14,276,094,668	648,601,459	285,000,000	- '
Additions COIMBASE FINANCIAL MARKETS INC 1	Totals							280,997,790,978	268,249,231,311	12,748,559,667	5,529,375,338	59,211,286,387	55,198,501,027	4,012,785,360	2,005,271,317	164,239,769,034	158,813,327,606	5,426,441,428	2,475,970,843	524,962,114
Additions COIMBASE FINANCIAL MARKETS INC 1	July Web Page Update	62																		
Deletions MERILL LYNCH PROFESSIONAL CLEARING CORP None Changes None Changes Ch																				
Deletions MERILL LYNCH PROFESSIONAL CLEARING CORP None Changes None Changes Ch	COINBASE FINANCIAL MARKETS INC	1														+				
MERILLLYNCH PROFESSIONAL CLEARING CORP 1		-																		
None	Deletions MERRILL LYNCH PROFESSIONAL CLEARING CORP	1																		
	Name Changes																			
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	August Web Page Update	62																		

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(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.											
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1,000,000); or											
risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or											
the amount of capital required by a registered futures association (currently NFA is the only such association); or											
• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or											
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or											
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.											
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.											
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.											
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.											
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.											
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.											
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts.											
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.											
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.											
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.											
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.											
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.											
(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.	· ·	<u> </u>									