Futures Commission Merchant /	Registered As	DSRO	As of	Adjusted Net	Net Capital	Excess Net	Customers'	Customers' Seg Required	Excess/Deficient	Target Residual	Funds in Separate Section	Customer Amount Pt. 30	Excess/Deficient Funds in	Target Residual	Funds in Separate Cleared	Customer Amount Cleared	Excess/Deficient Funds in Cleared	Target Residual Interest in	Total Amount of
Retail Foreign Exchange Dealer	Registered AS	DORO	Date	Capital	Requirement	Capital	Assets in Seg	4d(a)(2)	Funds in Seg	Interest in Seg	30.7 Accounts	Required	Separate Section 30.7 Accounts	Section 30.7	Swap Segregation	Swap Seg Required	Swap Seg Accounts	Cleared Swap	Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(o)	(p)	(p)
ABN AMRO CLEARING USA LLC	FCM BD	CBOT	05/31/2023	702.572.910	232.686.018	469.886.892	3.897.159.420	3,482,533,401	414.626.019	251.139.020	154.185.336	123.314.655	30,870,681	12,331,465		0	0	0	
ADM INVESTOR SERVICES INC	FCM	CBOT	05/31/2023	562,810,342	328,496,947	234,313,395	8,459,198,500	8,081,518,724	377,679,776	120,000,000	510,278,332	463,254,514	47,023,818	20,000,000	10,141,978	0	10,141,978	8,000,000	
ADVANTAGE FUTURES LLC	FCM	CME	05/31/2023	32,765,566	11,018,827	21,746,739	454,959,350	438,718,354	16,240,996	9,500,000	53,653,872	49,363,506	4,290,366	1,000,000		0	0	0	
AMP GLOBAL CLEARING LLC  APEX CLEARING CORPORATION	FCM FCM BD	NFA NFA	05/31/2023	9,902,964 432,394,588		8,902,964	75,069,161 156,630,649	64,963,714 133,540,265	10,105,447 23,090,384	900,000	2,662,797	2,056,560	606,237	150,000	0	0	0	0	
BARCLAYS CAPITAL INC	FCM BD	NYME	05/31/2023 05/31/2023	5,938,402,207	25,599,030 2,452,156,612	406,795,558 3,486,245,595	16,492,121,694	14,814,343,300	1,677,778,394	10,000,000 187,000,000	4,295,656,152	4,044,149,001	251,507,151	40,000,000	14,483,582,792	13,922,507,147	561,075,645	26,000,000	
BITNOMIAL CLEARING LLC	FCM	NFA	05/31/2023	1,505,000	1,000,000	505,000	0	0	0	0	0	0	0	0	0	0	0	0	
BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	05/31/2023	2,112,551,478	910,578,374	1,201,973,104	6,401,788,371	5,696,568,340	705,220,031	570,000,000	1,272,699,992	804,182,206	468,517,786	98,000,000		2,923,864,490	221,624,392	145,000,000	
BOFA SECURITIES INC CANTOR FITZGERALD & CO	FCM BD FCM BD	CBOT CBOT	05/31/2023 05/31/2023	19,915,978,956 385,289,336	4,825,844,700 3.018,199	15,090,134,256 382,271,137	29,472,363,212 5,029,249	29,094,540,741	377,822,471 5,029,249	200,000,000 3,000,000	5,485,589,932	5,245,136,322	240,453,610	150,000,000	17,432,638,823	17,014,396,382	418,242,441	150,000,000	
CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	05/31/2023	299,203,975	50.613.715	248,590,260	861,049,710	697,538,280	163,511,430	100,000,000	0	0	0	0	0	0	0	0	65,957,06
CHS HEDGING LLC	FCM	CBOT	05/31/2023	69,872,179	17,417,801	52,454,378	201,731,434	134,574,261	67,157,173	24,300,000	1,541,897	0	1,541,897	200,000	514,922	0	514,922	120,000	
CITIGROUP GLOBAL MARKETS INC CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD FCM BD	CBOT	05/31/2023	16,389,514,625 12.613.745.368	4,940,992,861 70.867.366	11,448,521,764 12,542,878,002	20,081,936,253	18,546,434,622 219,675,198	1,535,501,631 58,511,613	363,300,000 10.983,760	5,665,592,444 22,216,596	5,314,171,276	351,421,168 21,002,667	184,800,000 60.696		32,900,812,918 333,162	879,116,336 5,249,227	483,700,000 16,658	
CUNNINGHAM COMMODITIES LLC	FCM BD	CBOT	05/31/2023	86,038,714	70,867,366 4,641,265	81,397,449	262,265,565	199,964,171	62,301,394	3,050,000	1,455,063	1,213,929 819,028	636,035	100,000		333,162	5,249,227	16,658	
DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	05/31/2023	1,401,364,552	1,500,000	1,399,864,552	2,132,448	0	2,132,448	3,030,000	436,428	015,020	436,428	1	0	0	0	0	
DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	05/31/2023	4,948,755,942	209,102,205	4,739,653,737	2,240,035,504	2,055,599,770	184,435,734	100,000,000	901,402,966	802,163,835	99,239,131	50,000,000	0	0	0	0	
DIRECT ACCESS USA LLC DORMAN TRADING LLC	FCM FCM	CME CMF	05/31/2023 05/31/2023	12,791,473 17,205,239	6,024,631 5,133,725	6,766,842 12,071,514	158,384,149 367,985,577	145,603,895 361,470,610	12,780,254 6,514,967	2,500,000 2,000,000	2,273,048 2,595,196	570,149 1,444,484	1,702,899 1,150,712	1,000,000		0	0	0	
ETRADE FUTURES LLC	FCM	NFA	05/31/2023	54,375,050	5,830,150	48,544,900	171,754,079	116,202,013	55,552,066	11,000,000	2,333,190	0	1,130,712	250,000	0	0	0	0	<u> </u>
FUTU FUTURES INC	FCM	NFA	05/31/2023	1,934,438	1,000,000	934,438	0	0	0	0	0	0	0	0	0	0	0	0	
GAIN CAPITAL GROUP LLC GH FINANCIALS LLC	FCMRFD FCM	NFA CME	05/31/2023 05/31/2023	54,093,321 32,073,984	29,350,418 14,524,779	24,742,903 17,549,205	0 187.809.714	167,315,192	20,494,522	5,700,000	9,885,949	8,004,028	1,881,921	350,000	0	0	0	0	197,008,35
GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT	05/31/2023	22,793,076,376	5,047,565,813	17,745,510,563	38,931,513,068	37,914,191,432	1,017,321,636	775,000,000	11,858,959,420	11,268,473,155	590,486,265	475,000,000	21,857,458,327	20,846,994,036	1,010,464,291	350,000,000	
HIDDEN ROAD PARTNERS CIV US LLC	FCM	CME	05/31/2023 05/31/2023	32,149,870	1,000,000 561,643,626	31,149,870 542,105,276	3,080,712 4,517,382,640	0	3,080,712 158,363,828	3,000,000 144,000,000	0	0	0	0	0	0	0	0	
HSBC SECURITIES USA INC IG US LLC	FCM BD RFED	CME NFA	05/31/2023 05/31/2023	1,103,748,902		542,105,276 29,081,275	4,517,382,640	4,359,018,812	158,363,828	144,000,000	200,875,306	172,573,038	28,302,268	15,000,000	2,526,534,835	2,367,167,969	159,366,866	136,000,000	69,865,71
INTERACTIVE BROKERS LLC	FCM BD	CME	05/31/2023	52,074,561 6.854.617,769	22,993,286 737.667.284	6.116.950.485	7,211,076,881	6.986.541.808	224,535,073	155,000,000	786,262,611	664,893,437	121,369,174	80,000,000	0	0	0	0	33,302,96
IRONBEAM INC	FCM	CME	05/31/2023	9,647,036	1,217,497	8,429,539	103,658,878	91,873,684	11,785,194	1,600,000	538,776	316,126	222,650	60,000	0	0	0	0	20/202/2
JEFFERIES LLC	FCM BD	NFA	05/31/2023	914,508,400	95,005,440	819,502,960	0	0	0	002 540 025	0	0	0	240,460,047	0	0	0	0	
JP MORGAN SECURITIES LLC LIME TRADING CORP	FCM BD SD FCM BD	CEI NFA	05/31/2023 05/31/2023	25,680,275,802 6,770,234	5,624,770,422 1,000,000	20,055,505,380 5,770,234	43,590,991,809 46,093	42,239,417,619	1,351,574,190 46.093	802,548,935 20,000	7,669,856,473 40,010	7,099,114,776	570,741,697 40,010	248,469,017 20,000	23,626,548,034	22,481,038,207	1,145,509,827	472,101,802	
MACQUARIE FUTURES USA LLC	FCM	CBOT	05/31/2023	601,519,430	415,056,995	186,462,435	4,297,150,677	4,045,073,176	252,077,501	100,000,000	151,461,108	101,614,223	49,846,885	7,000,000		0	5,155,214	1,000,000	
MAREX CAPITAL MARKETS INC	FCM BD SD	CME	05/31/2023	310,448,203	166,303,672	144,144,531	4,137,962,258	4,055,103,316	82,858,942	44,250,000	86,601,079	75,413,656	11,187,423	5,000,000		0	0	0	
MAREX NORTH AMERICA LLC MCVEAN TRADING & INVESTMENTS LLC	FCM FCM	CME NFA	05/31/2023 05/31/2023	244,118,174 13,031,718	171,434,298 1,460,927	72,683,876 11,570,791	3,559,176,348 456,743,386	3,475,297,191 432,928,168	83,879,157 23,815,218	50,230,376 18,000,000	184,723,438 2,655,411	149,639,439	35,083,999 2,655,411	10,250,000	0	0	0	0	
MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	05/31/2023	7,200,479,369	1,468,471,917	5,732,007,452	3,569,737,258	2,562,239,839	1,007,497,419	300,000,000	0	0	0	1,000,000	0	0	0	0	
MFI FUNDING LLC	FCM	NFA	05/31/2023	105,878,021	1,000,000	104,878,021	0	0	0	0	0	0	0	0	0	0	0	0	
MID CO COMMODITIES INC MINT BROKERS	FCM FCM BD	NFA NFA	05/31/2023 05/31/2023	16,978,175 2,161,791	5,488,220 1,000,000	11,489,955 1,161,791	47,224,105	29,573,942	17,650,163	5,138,000	0	0	0	0	0	0	0	0	
MIZUHO SECURITIES USA LLC	FCM BD	CME	05/31/2023	1,123,253,420	557,407,344	565,846,076	10,010,429,460	9,571,046,500	439,382,960	150,000,000	1,748,147,544	1,545,000,295	203,147,249	70,000,000	20,968,377	0	20,968,377	10,000,000	
MORGAN STANLEY & CO LLC	FCM BD SD	CME	05/31/2023	17,916,269,146	4,588,291,853	13,327,977,293	28,575,241,341	27,986,473,938	588,767,403	235,000,000	9,444,531,502	9,083,074,369	361,457,133	140,000,000	28,374,752,217	27,944,704,671	430,047,546	92,000,000	
NANHUA USA LLC NATWEST MARKETS SECURITIES INC	FCM FCM BD	CME CBOT	05/31/2023 05/31/2023	33,871,863	16,325,639 1,134,775	17,546,224 519,966,320	288,889,783 7,503,655	260,241,301	28,648,482 7,503,655	3,500,000	680,382	324,045	356,337	100,000	0	0	0	0	
NINJATRADER CLEARING LLC	FCM BD	NFA	05/31/2023	521,101,095 18,550,813			263,612,436	242,328,726		5,000,000 4,000,000	3,430,009	2,520,323	909.686	400,000	0	0	0	0	
NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	05/31/2023	18,550,813 1,933,579,602	1,000,000 129,345,626	17,550,813 1,804,233,976	169,771,316	121,475,236	21,283,710 48,296,080	19,000,000	1,250,000	0	1,250,000	1,000,000		0	0	Ō	
OANDA CORPORATION PHILLIP CAPITAL INC	FCMRFD FCM BD	NFA CMF	05/31/2023	35,874,338 84.682,415	27,878,527 48 304 594	7,995,811 36,377,821	901 170 398	850.383.304	50.787.094	17,000,000	32,478,228	27 493 515	4 984 713	1 000 000	0	0	0	0	160,818,48
PICTET OVERSEAS INC	FCM BD	NFA	05/31/2023	50,716,157	11,992,717	38,723,440	163,311,803	157,870,548	5,441,255	1,500,000	70,616,559	67,321,336	3,295,223	900,000	0	0	0	0	
RBC CAPITAL MARKETS LLC	FCM BD	CME	05/31/2023	2,156,446,028	466,368,964	1,690,077,064	3,596,428,782	3,160,878,248	435,550,534	260,000,000	293,884,120	210,091,260	83,792,860	20,000,000		1,730,031,461	321,460,701	120,000,000	
RJ OBRIEN ASSOCIATES LLC SANTANDER US CAPITAL MARKETS LLC	FCM SD FCM BD	CME NYME	05/31/2023	321,802,422 468,002,091	260,018,668 192,191,373	61,783,754 275,810,718	6,236,766,165 2,554,293,196	5,975,441,616 2,481,700,865	261,324,549 72,592,331	50,000,000 70,000,000	312,527,433	281,905,570	30,621,863	20,000,000	0	0	0	0	-
SCOTIA CAPITAL USA INC	FCM BD	CME	05/31/2023	1,486,746,583	133,191,083	1,353,555,500	0	0	0	0	0	0	0		0	0	0	0	<u> </u>
SG AMERICAS SECURITIES LLC	FCM BD	CME	05/31/2023	5,171,903,575	1,918,982,553	3,252,921,022	19,037,045,288	18,389,880,754	647,164,534	200,000,000	7,749,973,086	7,354,373,425	395,599,661	200,000,000	1,348,687,035	1,125,403,459	223,283,576	80,000,000	
STONEX FINANCIAL INC	FCM BD FCM	CME CME	05/31/2023	353,843,666 30,265,213	229,817,947 13,607,159	124,025,719 16,658,054	5,879,083,944 335,145,902	5,812,152,440 320,859,683	66,931,504 14,286,219	40,000,000 2,000,000	221,858,943 29,354,662	208,701,004 18,639,087	13,157,939 10,715,575	6,000,000	0	0	0	0	+
TRADESTATION SECURITIES INC	FCM BD	CME	05/31/2023	155,836,610	11,906,127	143,930,483	944,474,394	881,346,055	63,128,339	9,000,000	23,710,998	19,872,883	3,838,115	800,000	0	0	0	0	
TRADING.COM MARKETS INC	RFED FCM BD	NFA	05/31/2023	26,748,830 1,016,904,966	20,000,000 149,302,897	6,748,830 867,602,069	225 206 402	172 020 242	52,275,851	25,000,000	0 37,359,237	0	0 30,691,888	15.000.000	0	0	0	0	1,017,72
UBS FINANCIAL SERVICES INC UBS SECURITIES LLC	FCM BD	NFA CBOT	05/31/2023	1,016,904,966 4,988,802,041	149,302,897 821,389,875	4,167,412,166	225,296,193 6,286,493,449	173,020,342 5,992,375,843	52,275,851 294,117,606	25,000,000 150,000,000	37,359,237 2,083,039,098	6,667,349 1,898,860,671	30,691,888 184,178,427	15,000,000 75,000,000	2.130.961.982	1.856.156.589	274.805.393	125,000,000	<del> </del>
WEDBUSH SECURITIES INC	FCM BD	CBOT	05/31/2023	259,582,781	123,373,503	136,209,278	5,477,162,626	5,451,747,755	25,414,871	19,000,000	47,046,879	41,545,377	5,501,502	1,000,000	0	0	0	0	
WELLS FARGO SECURITIES LLC	FCM BD	CME	05/31/2023	9,762,659,549	1,690,959,169	8,071,700,380	6,211,492,561	5,690,208,935	521,283,626	115,000,000	739,740,545	628,086,192	111,654,353	30,000,000	15,039,563,207	14,339,716,706	699,846,501	255,000,000	1
Totals							297,816,947,655	284,161,795,927	13,655,151,728	5,748,160,092	62,163,728,857	57,786,358,044	4,377,370,813	1,981,491,179	165,840,000,430	159,453,127,197	6,386,873,233	2,453,938,460	527,970,30
April Web Page Update	62																		
Additions							·											·	
None																			<del>                                     </del>
Deletions																			
None							<u> </u>											<u> </u>	
Name Changes	+								1						1				<del>                                     </del>
None				i e	1							i e							1
None																			
May Web Page Update	62																		

## SELECTED FCM FINANCIAL DATA AS OF May 31, 2023 FROM REPORTS FILED BY June 30, 2023

(a): FCM: Futures Commission Merchant that is registered with	the Commodity Fu	utures Trading Commission.														
BD: The FCM is also registered with the Securities and Exc	change Commissio	n as a securities broker or dealer														
RFED: Retail Foreign Exchange Dealer that is registered w	ith the Commodity	Futures Trading Commission.														
FCMRFD: The FCM is also registered with the Commodity	Futures Trading Co	ommission as a Retail Foreign Ex	change Dealer.													
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.																
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFEL	D firms that are du	ally registered as SDs have two I	OSROs listed if they have a diffe	erent DSRO for the FCM/RF	FED and the SD. The	DSRO for the FCM/RI	FED is listed first and	the DSRO for the SD	is listed second.							
(c): A firm's net capital requirement is the greater of:																
FCM minimum dollar amount (\$1,000,000); or																
<ul> <li>risk based capital requirement, the sum of 8% of total</li> </ul>				ce margin: or												
the amount of capital required by a registered futures																
for securities brokers and dealers, the amount of net of the securities brokers and dealers, the amount of net of the securities brokers and dealers, the amount of net of the securities brokers and dealers, the amount of net of the securities brokers and dealers, the amount of net of the securities brokers and dealers, the amount of net of the securities brokers and dealers, the amount of net of the securities brokers and dealers, the amount of net of the securities brokers and dealers.																
minimum dollar amount for FCM's offering or engaged     minimum dollar amount for FCM's offering or engaged	l in retail forex tran	reactions and REEDS (\$20,000,00	(1) plus five percent of the ECM	's or REED's total retail for	ev obligation in exces	s of \$10,000,000										
Thinlindin dollar amount for 1 CH's offering of engaged	III IEtali IOIEX trai	isactions and Ki LDS (\$20,000,00	o) plus live percent of the r civi:	S OF KI LD'S total retail for	ex obligation in exces	35 01 \$10,000,000.										
(d): Excess net capital is adjusted net capital, less the firm's net	t canital requiremen	nt														
(u). Excess net capital is adjusted net capital, less the lithis net	capital requireme	ni.														
(e): This represents the total amount of money, securities, and	nronerty held in se	egregated accounts for futures an	d ontions customers in complian	nce with Section 4d of the	Commodity Exchange	ie Art										
(c) This represents the total amount of money securities and	property ricid iii se	sqrequeed accounts for ratares at	а орабия саясотнего ит сотприа	nice war because it a or are	Commodity Exchange	e rica										
(f): This represents the total amount of funds that an FCM is rec	guired to segregate	e on behalf of customers who are	trading on a designated contra	act market or derivatives tr	ransaction execution f	facility. This is the sur	m of all accounts that	contain a net liquida	tina equity.							
.,,									,,							
(q): Excess/Deficient funds in segregation is customer assets in	segregation, less t	the customer segregation require	ment.													
(h): This represents the targeted excess of proprietary funds de	posited into custor	mer segregated accounts above t	he customer segregation require	ement. This target amoun	nt is set by the firm.											
<ul><li>(i): This represents the total amount of money, securities, and p</li></ul>	property held in se	cured accounts for futures and o	otions customers who trade on o	commodity exchanges loca	ated outside the Unite	ed States in complianc	e with Part 30 of the	Commodity Exchange	e Act.							
<ul><li>(j): This represents the amount of funds an FCM is required to s</li></ul>	set aside for custor	mers who trade on commodity ex	changes located outside of the	United States.												
(k): Excess/Deficient funds in separate Section 30.7 accounts is	funds in separate	Section 30.7 accounts, less the c	ustomer amount Part 30 require	ement.												
(I) This constant the translation of the first f			1.00													
(I): This represents the targeted excess of proprietary funds dep	posited into separa	te Section 30.7 accounts above t	ne customer amount Part 30 rec	quirement. This target am	nount is set by the fire	m.										
(). This assessments the total assessment of assessment and	l annual de la la la la	<del></del>		liish Csi 4.//	(6) af the Commentie.	Freshauer Aut										
(m): This represents the total amount of money, securities, and	property neid in c	leared swap customer accounts i	or cleared swap customers in co	ompilance with Section 4d(	(1) of the Commodity	exchange Act.										
(n): This represents the amount of funds an ECM is required to	cogregate for cust	omers who trade cleared swans														
(n): This represents the amount of funds an FCM is required to	scyregate for cust	omers who trade dealed swaps.														
(o): Excess/Deficient funds in cleared swap customer accounts is	is funds in congrate	e cleared swap segregation accou	inter less the cleared swan cristo	omer segregation requirem	nent											
(G). Excess/periodic runus in cicarca swap customer accounts is	o rango in ocparate	c cicarca swap segregation accou	are cicured swap custo	omer ocgregation requirem	TOTAL TOTAL											
(p): This represents the targeted excess of proprietary funds de	enosited into senar	rate cleared swap segregation ac	counts above the cleared swap of	customer segregation requ	irement. This target	amount is set by the	firm.									
Transfer of the second of the proprietary rando de	Transcription ocpui	and a second control of the second control o				· LL Sec by the										
(q): This represents the total amount of funds at an FCM, RFED	, or FCMRFD that	would be obtained by combining	all money, securities and proper	rty deposited by a retail fo	rex customer into a r	retail forex account or	accounts, adjusted for	r the realized and ur	realized net profit	or loss.						
	,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,												
·																