Control Cont	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
Control Cont		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)		(1)	(m)	(n)		(p)	(q)
Control Cont	1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	02/28/2023	648,695,816	218.621.852	430.073.964	4,223,562,333	3,801,510,902	422.051.431	261,906,456	148,250,780	116,753,439	31,497,341	11.675.344	4 (0	0	0	
Company	2 ADM INVESTOR SERVICES INC				541,963,010	362,142,721	179,820,289	8,834,191,072	8,459,342,252	374,848,820			524,751,950	47,109,681			0	10,029,739	8,000,000	
## Company of the Com																0 0	0	0	0	
Secretary Prof. 19 19 19 19 19 19 19 19 19 19 19 19 19					387,765,536	23.486.881	364,278,655		105,532,644	24.573.910	10.000.000	2,853,523	2,417,852	435,6/1	150,000	0 (0	0	0	
Section of the content of the cont	6 BARCLAYS CAPITAL INC	FCM BD	NYME		6,931,578,547	2,329,786,084	4,601,792,463					4,447,183,328	4,192,849,249	254,334,079	40,000,000	0 14,202,479,145	13,613,825,796	588,653,349	26,000,000	
Control Cont								0	0	702.274.024	0	0	0	0	00.000.000	0 (0	0	0	
CONSTRUCTION OF THE CONTROL OF THE C	8 BNP PARIBAS SECURITIES CORP 9 BOEA SECURITIES INC			02/28/2023	2,257,198,644 17 098 848 648	895,075,559 4 101 352 867	1,362,123,085		5,185,227,852 24,836,298,900			1,511,039,632 5 854 571 713	1,290,621,460 5 420 493 323	220,418,172 434,078,390		0 2,825,033,032	2,561,838,885	263,194,147 449 375 056		
Control Cont	5 DOTAT SECOND THE		GDO I						0	4,969,934		0	0,120,133,323	0	150,000,000	0 10,230,033,033	0	115,575,650	130,000,000	
The control of the										164,575,538		0	0	0		0 (0		0	70,282,257
The control of the				02/28/2023			42,215,654 7 087 172 873	275,936,644	209,936,074	506,000,570 506,192,121	357 700 000	1,530,357	5 157 120 224	1,530,357		0 511,104	32 282 692 572	511,104 695 843 858		
CHARLES MAN STREET, C. C. 1912 1912 1912 1912 1913 1913 1913 1913							12,525,149,243		217,965,690											
Control Cont									181,465,972		3,050,000		833,394		100,000	0 (0	. 0	0	(
Control Cont									2 122 201 020		100,000,000		710 550 690		E0 000 000	1 (0	0	0	(
Control Cont													713,333,000				0	0	0	
Control Cont	9 DORMAN TRADING LLC	FCM	CME	02/28/2023	16,725,494	2,643,220	14,082,274	273,573,799	267,327,859	6,245,940	2,000,000						0	0	0	ĺ
Control Cont	0 ETRADE FUTURES LLC	FCM		02/28/2023	51,812,847	5,487,896		164,260,179	109,373,084	54,887,095	11,000,000	0	0	0	Č	0 (0	0	0	
Comment Comm								0	0		0	0	0	0		0 (0	0	0	192.077.145
Company Comp	3 GH FINANCIALS LLC	FCM		02/28/2023	30,721,748	12,180,108	18,541,640			24,561,641							0	0	0	(
Part				02/28/2023	22,723,801,321	4,655,229,363	18,068,571,958	36,500,463,956	35,482,075,673	1.018.388.283	775,000,000	13,453,114,837	12,836,853,573	616,261,264	475,000,000	0 18,616,894,496	18,096,752,194	520,142,302	350,000,000	(
Control Cont	6 HSBC SECURITIES USA INC		Oi IL						3.698.806.043			203,778 803	172.822 172	30,956 631	15,000 000	0 2,305,103,232	2.190.871 334	114,231 898	99,000 000	(
Control Cont	7 IG US LLC	RFED	NFA	02/28/2023	47,354,897	22,156,223	25,198,674	0	0		0	0	0	. 0	C	0 (0	0	0	53,124,45
## A STATE 19				02/28/2023		699,519,343											0	0	0	25,631,398
Proceedings Process					9,799,765		8,771,178	105,693,181	95,849,789	9,843,392	1,600,000	523,949	303,464	220,485	60,000	0 0	0	0	0	(
September Corporation Co								42,372,305,937	41,231,948,599	1,140,357,338	783,407,023	8,372,773,005	7,790,488,826	582,284,179	272,667,109	9 23,232,307,355	22,257,612,667	974,694,688	467,409,866	
Separate 194 195	2 LIME TRADING CORP		NFA	02/28/2023	5,743,443	1,000,000	4,743,443	46,093	0	46,093	20,000	40,010	0	40,010	20,000	0 (0	0	0	
SIGNE PROPERTY ASSESSMENT OF THE STATE OF TH						401,701,752											0	5,136,814	1,000,000	
SCARL RECORD AS MICE STREET LETTER 1. 17. 17. 17. 17. 17. 17. 17. 17. 17.	5 MAREX NORTH AMERICA LLC																0	0	0	
FERRING LIC POLICY FOR MA (070000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (1000000) 19467-76 (100000) 19467-76 (1000000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (1000000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (1000000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (100000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (1000000) 19467-76 (10000000) 19467-76 (1000000) 19467-76 (10000000) 19467-76 (10000000) 19467-76 (10000000) 19467-76 (100000000) 19467-76 (10000000) 19467-76 (1000000000000000000000000000000000000	6 MCVEAN TRADING & INVESTMENTS LLC			02/28/2023	12,111,494	1,473,219	10,638,275	460,081,930	434,844,828	25,237,102	18,000,000	2,630,590	0	2,630,590	1,000,000	0 (0	0	0	(
## PROPRIEST NO. Propriest	7 MERRILL LYNCH PROFESSIONAL CLEARING CORP							2,820,219,576	1,620,462,666	1,199,756,910	300,000,000	0	0	0	C	0 0	0	0	0	(
Secretary Secr								79,399,415	61.958.550	17.440.865	5.138.000	0	0	0		0 (0	0	0	
NEGRES STANLEY & COLLE. FORD SD OFFICIAL OUTSIDE COLLEGE	0 MINT BROKERS			02/28/2023	2,132,383	1,000,000	1,132,383	0	0	, ,,,,,	0	0	0	0	Ö	0 0	0	0	0	
NORMAL CALLEST SCHELLES FOR SCHELLES SC																	0			
NAMEST SECURITIS INC PC 807 02/20/20/3 \$24,928,607 \$2,96,197 \$27,92,407 \$7,90,425 \$0 7,500,425 \$0 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0					26 587 463												25,444,949,053	3//,428,516	92,000,000	
CARRIAGO EN INTONIANUAL IR. FOR 8D FOR 100 CH 100	4 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	02/28/2023	524,298,807	2 506 197	521,792,610	7,501,425	0	7,501,425	5,000,000	0	0	0	C	0 (0	0	0	i
OMBOL CORPORATION FCN BFED NFA 02282023 349,079; 27,794,722 10,653,239 0 0 0 0 0 0 0 0 0	5 NINJATRADER CLEARING LLC				16,960,400	1,000,000	15,960,400						3,192,765				0	0	0	
FILLE OFFITAL INC. FOH 50 MG (17/27/23) 55,476,412 55,965,768 39,207,44 99,444,53 98,914,733 15,123 41,274,21 15,000,000 73,191,799 33,552,128 3,593,731 1,000,000 0 0 0 0 0 0 0 0 0 0 0 0 0 0								151,549,294	105,194,294	46,355,000	19,000,000	1,250,000	0	1,250,000	1,000,000	0 0	0	0	0	162 353 38
FIGHT OF DESIGNATION SCOUNTIES INC. FOR 10 NA 02/28/2023 2-71.19-956 596.72-80 2-89-89.897 11.88.2-69 596.72-89-89-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77 4-71.79-69-96 2-289-89-77-89-89-89-89-89-89-89-89-89-89-89-89-89-		FCM BD		02/28/2023	85,476,412	45,968,768		899,449,453	858,175,132	41,274,321		37,191,799	33,552,328				Ö	0	0	102,555,50
R. OBERTA ASSOCIATES LIC FON SO CMEPTA* Q2/28/2003 332,122,498 332,124,498 26,124,184 16 79,947,882 6,356,067,408 6,107,801,450 258,265,558 9,000,000 0 32,722,794 29,061,2125 31,10,698 20,000,000 0 0 0 0 0 0 0 0 0 0 0 0 0 0	9 PICTET OVERSEAS INC			02/28/2023													0	0	0	(
SCOTIA CAPITAL USA INC. FCH 80 CHE OY28/07223 50.086/078.2023 50.086/		FCM BD								493,282,671						0 2,067,174,036	1,746,191,839	320,982,197	120,000,000	(
SCOTIA CAPITAL USA INC. FCH 80 CHE OY28/07223 50.086/078.2023 50.086/	2 SANTANDER US CAPITAL MARKETS LLC	FCM BD	NYME	02/28/2023	498,308,937	184,783,860	313,525,077			72,690,349	70,000,000	0	0	0	20,000,000	0 0	0	0	0	
STONE FINANCIAL INC PCM 80 (ME 02/28/2023 388,431,08 220,096,073 163,940,435 6,388,962,227 6,313,302,589 75,559,638 40,000,000 260,867,021 246,879,582 13,897,489 6,000,000 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	3 SCOTIA CAPITAL USA INC	FCM BD	CME	02/28/2023	1,333,026,121	133,525,490	1,199,500,631	0	0		0	0	0	0	200.007	0 (0	0	0 00 000	
STRAITS FINANCIAL LIC FCM CME 02/28/2023 29-527-98 11.165.133 18.462.845 490.639.488 435.658.594 14.980.464 2,000.000 33.79.4170 23.11.2225 10.591.945 300.000 0 0 0 0 0 0 0 0																1,659,868,962	1,439,187,124	220,681,838 n	80,000,000	(
TRADISTATION SCURITIES INC	6 STRAITS FINANCIAL LLC	FCM	CME	02/28/2023	29,627,998	11,165,153	18,462,845	450,639,458	435,658,994	14,980,464	2,000,000	33,704,170	23,112,225	10,591,945	300,000	0 0	0	0	0	
UBS FINANCIAL SERVICES INC FCM BD NFA 02/28/2023 4,1625(20.51) 140,651,900 885,020,313 117,986,739 66,736,086 51,250,653 25,000,000 38,584,187 7,879,579 30,704,608 15,000,000 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	7 TRADESTATION SECURITIES INC		CME	02/28/2023	155,943,602	7,324,045	148,619,557	822,809,152	765,292,496	57,516,656	9,000,000			3,700,778	800,000	0 (0	0	0	
UBS SECURITIES LLC FCM BD CBOT 02/28/2023 25/15/33-907 69.662.63 37.20.35.573 55.554-45.2809 5.258.523.175 296.129.634 15.000.000 27.285.929 68.13.116 5.482.813 1.000.000 0.00 0.0 0 0 0 0 0 0 0 0 0 0								117 986 739	66 736 096	51 250 653	25 000 000	38 584 107	7 870 570	30 704 609	15,000,000	u (0	0	0	961,11
WEBUSH SEQURITIES INC FCM BD CBOT 02/28/2023 25.157.39/2 96.9662.63 154.607.664 4.904.300.63 4.875.311.844 28.988.619 19.000.000 73.895.929 66.813.116 5.482.813 1.000.000 1.256.938.417 13.718.373.119 538.565.298 185.000.000 1.00 1.00 1.00 1.00 1.00 1.00									5,258,323.175								1,382,962.780	273,530.775	125,000.000	
Totals 6 285,218,630,920 274,197,594,638 11,021,036,282 5,601,700,141 65,448,202,633 61,069,196,741 4,379,005,892 1,998,015,143 155,963,530,401 150,584,546,038 5,378,984,363 2,321,731,298 504,429,74	1 WEDBUSH SECURITIES INC	FCM BD	CBOT	02/28/2023			154,607,644		4,875,311,844	28,988,619		73,895,929	68,413,116	5,482,813	1,000,000	0 (0	0	0	
Additions Company Co	2 WELLS FARGO SECURITIES LLC	FCM BD	CME	02/28/2023	9,647,725,355	1,541,135,878	8,106,589,477	6,187,879,972	5,787,135,825	400,744,147	115,000,000	708,190,999	605,896,460	102,294,539	30,000,000	14,256,938,417	13,718,373,119	538,565,298	185,000,000	-
Additions None Deletions SANTANDER INVESTMENT SECURITIES INC NAME Changes AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC AMHERST PIERPONT SECURITIES LLC TO SANTA	Totals							285,218,630,920	274,197,594,638	11,021,036,282	5,601,700,141	65,448,202,633	61,069,196,741	4,379,005,892	1,998,015,143	155,963,530,401	150,584,546,038	5,378,984,363	2,321,731,298	504,429,749
Deletions SANTANDER INVESTMENT SECURITIES INC 1 Name Changes AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC	January Web Page Update	63																		
Deletions SANTANDER INVESTMENT SECURITIES INC 1 Name Changes AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC	Additions								<u> </u>											
SANTANDER INVESTMENT SECURITIES INC 1 Name Changes AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC	None			1			-						-							
SANTANDER INVESTMENT SECURITIES INC 1 Name Changes AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC	Deletions								-											
Name Changes AMHERST PIERPONT SECURITIES LLC to SANTANDER US CAPITAL MARKETS LLC		1		1	1				 											
	Name Changes	CADITAL MADVETOUS																		
February Web Page Update 62 62 62 62 62 62 62 62 62 62 62 62 62	MANUERS I PIERPONT SECURITIES LLC TO SANTANDER US	CAPITAL MAKKETS LLC		1	1				 											
	February Web Page Update	62				<u> </u>														

SELECTED FCM FINANCIAL DATA AS OF February 28, 2023 FROM REPORTS FILED BY March 23, 2023

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures T													
	BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.												
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.													
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.													
SD: The FCM or RFED is also registered with the Commodity Futures Trading Comm	ssion as a provision	ally registered swap de	ealer.										
		,											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually reg	stered as SDs have	two DSROs listed if th	ev have a different DSRO for the ECM/REED and the SD. The	DSRO for the ECM/REED is listed first and the	DSRO for the SD is lis	ted second							
(b). Boxor benginted ben regulatory organization. To this chief are dually reg	occirca ao obo nave	the Bortos nated in th	not note a americal porto for the far fix to and the opt.	DONO TOT CITE TO THE ED TO TOCCO THOSE CITE CITE	כוו כו עם טור יוסר סורט ווס	tea secona.							
(c): A firm's net capital requirement is the greater of:										-			
(c). A little street capital requirement is the greater of:										-			
- ECM minimum dollar amount (#1 000 000), or													
• FCM minimum dollar amount (\$1,000,000); or - side band grade assignment the sure of 00% of batal sustanger grade and 00% of batal and produce assignment of 00% of batal and 00													
risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or the amount of capital required by a registered futures association (currently NFA is the only such association); or													
for securities brokers and dealers, the amount of net capital required by Rule 15c3-	(a) or the Securitie	s and exchange comn	nission; or										
minimum dollar amount for FCM's offering or engaged in retail forex transactions at minimum dollar amount for FCM's offering or engaged in retail forex transactions at	d RFEDS (\$20,000,0	JUU); or	(il soul perplantation live)	f ±40 000 000									
minimum dollar amount for FCM's offering or engaged in retail forex transactions at	a RFEDS (\$20,000,0	Juu) plus five percent	of the FLM's or RFED's total retail forex obligation in excess of	r \$10,000,000.									
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.													
(e): This represents the total amount of money, securities, and property held in segregate	d accounts for futur	es and options custon	ners in compliance with Section 4d of the Commodity Exchang	je Act.									
(f): This represents the total amount of funds that an FCM is required to segregate on bel	alf of customers wh	o are trading on a des	signated contract market or derivatives transaction execution	facility. This is the sum of all accounts that co	ntain a net liquidating	equity.							
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the cust	mer segregation re	quirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer seg	egated accounts ab	ove the customer sequ	regation requirement. This target amount is set by the firm.										
			<u></u>										
(i): This represents the total amount of money, securities, and property held in secured a	counts for futures a	nd options customers	who trade on commodity exchanges located outside the Unit	ed States in compliance with Part 30 of the Co	mmodity Exchange Act								
				,									
(j): This represents the amount of funds an FCM is required to set aside for customers wh	trade on commod	ty exchanges located	outside of the United States.										
(j) This represents the unionic of funds and of the required to see uside for easterners in	craac on commod	ity extendinges rocated	outside of the office states.										
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section	30 7 accounts loss	the customer amount	Part 30 requirement										
(k). Excess/pendent rands in separate section 56.7 decounts is rands in separate section	Jo., accounts, icas	the customer unloune	Tare 50 requirements										
(I): This represents the targeted excess of proprietary funds deposited into separate Secti	n 20 7 accounts ab	aug the customer ame	ount Dart 20 requirement. This target amount is set by the fir	100									
(i). This represents the targeted excess of proprietary runus deposited into separate sections	iii 30.7 accounts au	ove the customer and	ount Part 50 requirement. This target amount is set by the in	III.									
(m). This convergents the total amount of manay gog witing and property hold in classed	uan customor accou	into for closed curso	sustamors in compliance with Costian 4d/ft of the Commodity	Evelance Act									
(m): This represents the total amount of money, securities, and property held in cleared s	vap customer accou	ints for cleared swap	customers in compliance with Section 4u(1) or the Commount	Exchange Act.									
(n): This represents the amount of funds an FCM is required to segregate for customers v	no trade cleared sw	aps.											
() 5 (6 () () () ()													
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleare	swap segregation	accounts, less the clea	ared swap customer segregation requirement.										
(p): This represents the targeted excess of proprietary funds deposited into separate clear	ed swap segregation	on accounts above the	cleared swap customer segregation requirement. This targe	amount is set by the firm.									
(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be	obtained by combi	ning all money, securi	ties and property deposited by a retail forex customer into a	etail forex account or accounts, adjusted for t	he realized and unrealized	zed net profit or loss.							
								1					