SELECTED FCM FINANCIAL DATA AS OF January 31, 2023 FROM REPORTS FILED BY February 24, 2023

Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net C Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7		Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	(k)	(I)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	01/31/2023	705,174,707	226,479,684	478,695,023	4,201,149,256	3,646,012,858	555,136,398	250,019,182	156,359,274	117,164,163	39,195,111	11,716,416	0	0	0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	01/31/2023	521,975,143	380,241,771	141,733,372	8,908,937,213	8,521,611,869	387,325,344	120,000,000	591,099,886	544,152,775	46,947,111	20,000,000	9,997,594	0	9,997,594	8,000,000	C
3 ADVANTAGE FUTURES LLC	FCM	CME	01/31/2023	31,016,617	11,114,315	19,902,302	529,960,288	510,249,494	19,710,794	9,500,000	51,565,720	45,917,867	5,647,853	1,000,000	0	0	0	0	C
4 AMHERST PIERPONT SECURITIES LLC	FCM	NFA	01/31/2023	178,690,115	2,168,738	176,521,377	0	0	0	0	C	0	0	0	0	0	0	0	C
5 AMP GLOBAL CLEARING LLC	FCM	NFA	01/31/2023	9,123,562	1,000,000	8,123,562	75,735,572	66,244,133	9,491,439	900,000	2,984,360	2,431,956	552,404	150,000	0	0	0	0	0
6 APEX CLEARING CORPORATION 7 BARCLAYS CAPITAL INC	FCM BD FCM BD	NFA NYME	01/31/2023 01/31/2023	503,778,156 6,733,944,708	24,443,878 2,360,252,530	479,334,278 4,373,692,178	<u>115,023,574</u> 14,997,837,110	92,745,582 13,990,530,930	22,277,992 1,007,306,180	10,000,000 58,000,000	5,003,833,008	4,702,851,561	300,981,447	40,000,000	14,272,013,927	13,636,124,155	635,889,772	26,000,000	
8 BITNOMIAL CLEARING LLC	FCM	NFA	01/31/2023	1,505,000	1,000,000	505,000	17,357,057,110	13,990,000,900	1,007,500,100	38,000,000	3,003,033,000	1 1,702,031,301	500,501,447	0,000,000	14,272,013,327	13,030,124,133	033,003,772	20,000,000	
9 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	01/31/2023	2,168,005,443	938,265,546	1,229,739,897	7,371,343,942	6,587,594,413	783,749,529	570,000,000	2,089,547,602	1,561,116,800	528,430,802	98,000,000	2,917,877,306	2,677,275,558	240,601,748	135,000,000	C
10 BOFA SECURITIES INC	FCM BD	CBOT	01/31/2023	17,750,211,440	4,326,443,302	13,423,768,138	26.849.359.705	26,311,095,610	538,264,095 4,969,934	200,000,000 3,000,000	6,340,248,442	5,885,133,601	455,114,841	150,000,000	17,057,609,312	16,704,245,275	353,364,037	150,000,000	C
11 CANTOR FITZGERALD & CO		CBOT	01/31/2023	397,778,616	2,576,358	395,202,258	4,969,934	0			0	0 0	0	0	0	0	0	0	C
12 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM		01/31/2023	259,692,377	48,287,751	211,404,626	760,652,070	599,228,247	161,423,823	100,000,000	0	0 0	0	0	0	0	0	0	68,684,589
13 CHS HEDGING LLC	FCM PD CD	CBOT (NEA	01/31/2023	65,419,178	28,801,555	36,617,623	271,451,344	206,061,509	65,389,835	14,600,000	1,528,529	0 5 257 705 420	1,528,529	200,000	510,493	0	510,493	120,000	0
14 CITIGROUP GLOBAL MARKETS INC 15 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD FCM BD	CBOT/NFA CBOT	01/31/2023	11,768,121,670 12,172,056,752	5,004,380,464 136,537,719	6,763,741,206 12.035,519.033	17,773,533,442	17,249,421,362 248,487,851	524,112,080 50,009,525	361,200,000	5,694,797,142 7,322,597	5,357,795,429	337,001,713 5.838,986	185,500,000 74,181	33,017,062,351 5,226,788	32,325,207,241 29,277	691,855,110 5,197,511	470,400,000	
16 CUNNINGHAM COMMODITIES LLC	FCM			85,863,982	2,834,281	83,029,701	218,695,359	159,776,173	58,919,186	3,050,000	1,120,061	526,832	593,229	100,000	5,220,700	29,2/7	5,197,511	1,404	
17 DAIWA CAPITAL MARKETS AMERICA INC		CME	01/31/2023	1.343.601.429				155,770,175		3,030,000	441,203	0		100,000	0	0	0	0	0
18 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	01/31/2023 01/31/2023	1,343,601,429 5,469,591,799	1,590,007 212,843,813	1,342,011,422 5,256,747,986	2,144,580 2,289,869,899	2,136,558,732	2,144,580 153,311,167	100,000,000	1,042,733,216	943,663,829	441,203 99,069,387	50,000,000	0	0	Ō	Ő	C
19 DIRECT ACCESS USA LLC	FCM	CME	01/31/2023	12,022,266	6,461,093	5,561,173	102,439,450	88,977,962	13,461,488	2,500,000	10,681,142		10,064,913	1,000,000	0	0	0	0	C
20 DORMAN TRADING LLC	FCM		01/31/2023	16,319,496	3,597,663	12,721,833	286,153,766	278,753,742	7,400,024	2,000,000	15,177,359	14,296,063	881,296	200,000	0	0	0	0	C
21 ETRADE FUTURES LLC	FCM FCM	NFA	01/31/2023	49,872,193	5,371,189	44,501,004	157,207,461	104,771,425	52,436,036	11,000,000	0	0	0	0	0	0	0	0	
22 FUTU FUTURES INC 23 GAIN CAPITAL GROUP LLC	FCM	NFA NFA	01/31/2023 01/31/2023	1,914,373 55,859,203	1,000,000 29,046,750	914,373 26,812,453	0	0	0	0	0	0	0	0	0	0	0	0	190,934,996
23 GAIN CAPITAL GROUP LLC 24 GH FINANCIALS LLC	FCMRFD	CME		55,859,203	29,046,750	26,812,453 18,295,008	160 226 205	142 620 201	17.606.504	6,000,000	7 076 822	5,274,032	1 802 200	330.000	0	0	0	0	730'334'330
25 GOLDMAN SACHS & CO LLC	FCM BD SD		01/31/2023 01/31/2023	22,721,081,806	4,828,883,500	17,892,198,306	160,226,795 39,181,511,257	142,620,291 38,175,201,416	1,006,309,841	775,000,000	14.037.524.528	13,434,503,807	1,802,790 603,020,721	475,000,000	19.121.622.641	18.597.016.913	524,605,728	350,000,000	
26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD	CME	01/31/2023	32,483,222	1,000,000	31,483,222	3,038,442	0	3,038,442	3,000,000	,	0	0		0	0	0	0	C
27 HSBC SECURITIES USA INC	FCM BD	CME	01/31/2023	1,209,291,773	524,244,801	685,046,972	4,339,533,962	4,138,166,742	201,367,220	109,000,000	264,183,957	207,832,153	56,351,804	15,000,000	2,244,254,704	2,132,424,119	111,830,585	99,000,000	C
28 IG US LLC	RFED	NFA	01/31/2023	47,926,043	21,820,424	26,105,619	0	0	0	0	C) 0	0	0	0	0	0	0	46,408,474
29 INTERACTIVE BROKERS LLC	FCM BD	CME	01/31/2023	6,515,191,310	712,681,613	5,802,509,697	7,820,454,651	7,580,500,482	239,954,169	155,000,000	917,135,188	758,114,456	159,020,732	80,000,000	0	0	0	0	23,437,209
30 IRONBEAM INC	FCM FCM BD	CME	01/31/2023	9,140,493	1,000,000	8,140,493 1.030.987,156	105,269,801	96,090,770	9,179,031	1,600,000	543,291	339,984	203,307	60,000	0	0	0	0	
31 JEFFERIES LLC 32 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	01/31/2023 01/31/2023	1,137,725,945	106,738,789 5.618.007.365	20,349,304,490	41,802,199,808	40,693,111,219	1,109,088,589	773,169,113	9,006,245,269	8,411,036,561	595,208,708	294,386,280	24,373,316,938	22,874,402,205	1,498,914,733	480,362,446	
33 LIME TRADING CORP	FCM BD	NFA	01/31/2023	5,736,012	1,000,000	4,736,012	41,002,199,808	40,095,111,219	46,103	20,000	9,000,243,209	0,411,030,301	40,000	294,386,280	24,3/3,310,930	22,0/4,402,203	1,490,914,/33	400,302,440	
34 MACQUARIE FUTURES USA LLC	FCM	CBOT	01/31/2023	604,742,992	481,596,268	123,146,724	4,871,659,019	4,642,629,387	229,029,632	100,000,000	193,465,876	144,209,980	49,255,896	7,000,000	5,137,430	0	5,137,430	1,000,000	0
35 MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	01/31/2023	285,155,639	168,169,210	116,986,429	3,907,797,303	3,801,464,023	106,333,280	44,250,000	71,693,315	59,260,397	12,432,918	5,000,000	0	0	0	0	C
36 MAREX NORTH AMERICA LLC	FCM	CME	01/31/2023	243,987,406	213,338,328	30,649,078	4,080,495,711	3,984,702,429	95,793,282	50,230,376	172,179,197	59,260,397 132,331,679	39,847,518	10,250,000	0	0	0	0	C
37 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	01/31/2023	11,976,302	1,446,001	10,530,301	466,660,885	441,549,235	25,111,650	18,000,000	2,622,140	0	2,622,140	1,000,000	0	0	0	0	0
38 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD FCM	NFA	01/31/2023	7,318,965,410	1,437,115,714	5,881,849,696	3,660,606,655	2,453,382,209	1,207,224,446	300,000,000	0	0 0	0	0	0	0	0	0	0
39 MFI FUNDING LLC 40 MID CO COMMODITIES INC	FCM FCM	NFA NFA	01/31/2023 01/31/2023	104,335,180 18,368,764	1,000,000	103,335,180 7.648,695	83,045,285	65,615,483	0 17.429.802	5.138.000	0	0 0	0	0	0	0	0	0	0
41 MINT BROKERS	FCM BD	NFA NFA	01/31/2023	2,156,615	1,000,000	1,156,615	83,045,285	05,015,483	17,429,802	5,138,000		0	0	0	0	0	0	0	
42 MIZUHO SECURITIES USA LLC	FCM BD	CME	01/31/2023	1,277,556,399	589 035 344	688,521,055	11,609,325,245	11,154,629,561	454,695,684	150,000,000	1,399,234,436	1,196,997,259	202.237.177	70.000.000	20,708,317	0	20,708,317	10.000.000	
43 MORGAN STANLEY & CO LLC	FCM BD SD		01/31/2023	17,482,151,022	4,424,319,811	13,057,831,211	27,456,531,872	27,062,123,541	394,408,331	235,000,000	10,471,115,459	10,212,767,743	258,347,716	140,000,000	26,395,921,145	25,950,904,549	445,016,596	92,000,000	C
44 NANHUA USA LLC	FCM	CME	01/31/2023	26,117,847	15,692,956	10,424,891	271,899,263	251,174,809	20,724,454	3,500,000	680,382	324,045	356,337	100,000	0	0	0	0	C
45 NATWEST MARKETS SECURITIES INC	FCM BD		01/31/2023	571,141,719	1,105,123	570,036,596	7,500,998	0	7,500,998	5,000,000	C) 0	0	0	0	0	0	0	C
46 NINJATRADER CLEARING LLC	FCM	NFA	01/31/2023	20,560,721 2,142,048,153	1,000,000 120,900,417	19,560,721 2,021,147,736	269,551,151 186,935,257	244,656,112	24,895,039	4,000,000	4,251,615	3,139,076	1,112,539 1,250,000	400,000	0	0	0	0	C
47 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	01/31/2023	2,142,048,153			186,935,257	123,093,533	63,841,724	19,000,000	1,250,000	0 0	1,250,000	1,000,000	0	0	0	0	100 401 427
48 OANDA CORPORATION 49 PHILLIP CAPITAL INC	FCM RFED FCM BD	NFA CME	01/31/2023	40,829,966 83,671,318	27,769,466	13,060,500	936,409,420	898.145.338	38,264,082	15,000,000	38,962,274	34,539,925	4,422,349	1.000.000	0	0	0	0	160,481,437
50 PICTET OVERSEAS INC	FCM BD		01/31/2023 01/31/2023	50,151,560	46,720,324 12,680,151	36,950,994 37,471,409	144.632.775	139,455,410	5,177,365	1.500.000	71,926,509		3,120,887	900,000	0	0	0	0	
51 RBC CAPITAL MARKETS LLC	FCM BD	CME	01/31/2023	2,690,855,288	543,025,261	2,147,830,027	5,391,422,984	4,908,024,497	483,398,487	340,000,000	473,230,885	333,312,193	139,918,692	40,000,000	2,107,899,176	1,787,608,242	320,290,934	120,000,000	0
52 RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	01/31/2023	349,613,350	274,019,074	75,594,276	6,333,655,853	6,061,656,617	271,999,236	50,000,000	327,891,144		30,707,554	20,000,000	0	0	0	0	0
53 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	01/31/2023	366,174,697	185,982,160	180,192,537	2,639,948,856	2,568,454,829	71,494,027	70,000,000	C	0	0	0	0	0	0	0	C
54 SCOTIA CAPITAL USA INC	FCM BD	CME	01/31/2023	1,269,392,663	144,784,040	1,124,608,623	0	0	0	0	0	0 000 700	0	0	0	0	0	0	0
55 SG AMERICAS SECURITIES LLC 56 STONEX FINANCIAL INC	FCM BD FCM BD	CME	01/31/2023	4,910,761,774	2,012,421,054	2,898,340,720	19,153,794,533	18,704,484,889 6,087,574,182	449,309,644	200,000,000	7,442,011,331	7,033,765,705	408,245,626	200,000,000	1,574,575,956	1,352,681,676	221,894,280	80,000,000	0
56 STONEX FINANCIAL INC	FCM BD	CME	01/31/2023 01/31/2023	401,168,007 29,437,986	228,602,467 11,493,885	172,565,540 17,944,101	6,166,570,998 443 920 287	6,087,574,182	78,996,816	2 000 000	231,704,539 38,762,413	218,900,425	12,804,114 10 519 193	6,000,000	0	0	0	0	
58 TRADESTATION SECURITIES INC	FCM BD	CME	01/31/2023	155,126,219	4,653,267	150,472,952	916,556,525	859,259,892	57,296,633	9,000,000	23,429,398	19,714,266	3,715,132	800,000	0	0	0	0	
59 TRADING.COM MARKETS INC	RFED	NFA	01/31/2023	27,601,087	20,000,000	7,601,087	0	0	0	0	0) 0	0	0	0	0	0	0	996,012
60 UBS FINANCIAL SERVICES INC	FCM BD	NFA	01/31/2023	1,126,621,272	144,565,777	982,055,495	114,790,329	63,571,374	51,218,955	25,000,000	38,972,377	8,542,741	30,429,636	15,000,000	0	0	0	0	C
61 UBS SECURITIES LLC	FCM BD	CBOT	01/31/2023	4,555,341,117	737,794,842	3,817,546,275	6,027,596,211	5,685,958,169	341,638,042	150,000,000	2,573,760,267	2,371,227,534	202,532,733	75,000,000	1,783,668,269	1,516,289,331	267,378,938	125,000,000	C
62 WEDBUSH SECURITIES INC	FCM BD		01/31/2023	255,958,623	80,255,170	175,703,453	5,565,559,716	5,537,452,186	28,107,530	19,000,000	73,403,168	69,093,589	4,309,579	1,000,000	0	0	0	0	0
63 WELLS FARGO SECURITIES LLC	FCM BD	CME	01/31/2023	9,847,171,139	1,586,188,437	8,260,982,702	6,539,521,225	6,030,610,314	508,910,911	115,000,000	806,013,061	705,320,469	100,692,592	30,000,000	14,352,915,186	13,789,618,909	563,296,277	185,000,000	
Totals							295.873.630.516	283.822.762.278	12.050.868.238	5.631.601.065	69.698.748.382	64.931.931.167	4.766.817.215	2.047.486.878	159.260.317.533	153.343.827.450	5.916.490.083	2.331.883.910	490,942,717
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December Web Page Update	63											1							
Additions														-					
None	+																		
Deletions																			
None	+																		
none	1											1							
Name Changes	+ +											1							
ABN AMRO CLEARING CHICAGO LLC to ABN AMRO CLEARIN	G USA LLC																		
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SELECTED FCM FINANCIAL DATA AS OF January 31, 2023 FROM REPORTS FILED BY February 24, 2023

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(a): FCM: Futures Commission Merchant that is registered with the Com	modity Futures Trading Commission.	L	1	1	1 I			1	1	1	1	
BD: The FCM is also registered with the Securities and Exchange C												
RFED: Retail Foreign Exchange Dealer that is registered with the C												
FCMRFD: The FCM is also registered with the Commodity Futures 1												
SD: The FCM or RFED is also registered with the Commodity Future												
Soft The Ferror Miles is also registered Mariate commonly Fatar	o maining commonion as a provisionary registered shap acater											
(b): DSRO: Designated Self-Regulatory Organization ECM/REED firms th	hat are dually registered as SDs have two DSROs listed if they have a differer	ent DSBO for the ECM/REED and the SD The	DSRO for the ECM/REED	is listed first and the D	SRO for the SD is listed second							
(o) bortor beognated beir riegalatory organizationin ren (rit 25 minis a			bolto for the ren fit Eb									
(c): A firm's net capital requirement is the greater of:												
 FCM minimum dollar amount (\$1,000,000); or 												
	isk maintenance margin and 8% of total non-customer risk maintenance mar	rgin: or										
 the amount of capital required by a registered futures association 												
or securities brokens and dealers, the amount of net capital regulared by Rule 153-1(a) of the Securities and Executives and dealers, the amount of net capital regulared by Rule 153-1(a) of the Securities and Executives and Ex												
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) jus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.												
			+//									
(d): Excess net capital is adjusted net capital, less the firm's net capital r	requirement											
(u). Excess net capital is adjusted net capital, less the nim's net capital i	equirement.											
(e). This represents the total amount of money securities and property	held in segregated accounts for futures and options customers in compliance	re with Section 4d of the Commodity Exchange	Act									
(c), this represents the total amount of money, secandes, and property	nea in segregatea accounte for fatares and options castomers in compliance	a man beedon na or are commodity exchang	- Acti									
(f). This represents the total amount of funds that an ECM is required to	segregate on behalf of customers who are trading on a designated contract	market or derivatives transaction execution f	acility This is the sum of	all accounts that conta	in a net liquidating equity							
	begregate on behalt of castomers this are adding on a designated contract		actively. This is the sum of	an accounts that conta	and her inquidating equity:							
(g): Excess/Deficient funds in segregation is customer assets in segregation	rion less the customer segregation requirement											
(g). Excess/Dencient rands in begregation is castomer asses in begregat												
(h). This represents the targeted excess of proprietary funds deposited i	into customer segregated accounts above the customer segregation requirem	nent This target amount is set by the firm										
		,										
(i): This represents the total amount of money, securities, and property	held in secured accounts for futures and options customers who trade on cor	mmodity exchanges located outside the Unite	d States in compliance wi	th Part 30 of the Comn	nodity Exchange Act.							
(i): This represents the amount of funds an FCM is required to set aside	for customers who trade on commodity exchanges located outside of the Uni	nited States.										
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in	separate Section 30.7 accounts, less the customer amount Part 30 requirement	nent.										
(I): This represents the targeted excess of proprietary funds deposited in	nto separate Section 30.7 accounts above the customer amount Part 30 requi	irement. This target amount is set by the firr	n.									
(m): This represents the total amount of money, securities, and property	y held in cleared swap customer accounts for cleared swap customers in com	npliance with Section 4d(f) of the Commodity	Exchange Act.									
(n): This represents the amount of funds an FCM is required to segregat	e for customers who trade cleared swaps.											
	<u> </u>											
(o): Excess/Deficient funds in cleared swap customer accounts is funds i	in separate cleared swap segregation accounts, less the cleared swap custom	ner segregation requirement.										
(p): This represents the targeted excess of proprietary funds deposited	into separate cleared swap segregation accounts above the cleared swap cus	stomer segregation requirement. This target	amount is set by the firm									
Try			Line and the cost of the firm									
(g): This represents the total amount of funds at an ECM_REED_or ECM	RFD that would be obtained by combining all money, securities and property	deposited by a retail forex customer into a re	tail forex account or acco	unts, adjusted for the	realized and unrealized net profit or loss							
ing, the represente are colar amount of rando at an eff, it Eb, of term	<u></u>											
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