Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required				Customer Amount Cleared Swap Seg Required		Target Residual Interest in Cleared Swap Seg	Total Amount Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	12/31/2022	713,522,487	211,252,593	502,269,894	4,332,761,650	3,813,834,144	518,927,506	276,889,035	140,376,604	114,721,138	25,655,466	11,472,114	4	0 0		0) l
ADM INVESTOR SERVICES INC	FCM	CBOT	12/31/2022	512,459,897	378,602,439	133,857,458	8,975,208,867	8,642,761,004	332,447,863	120,000,000	601,170,899	554,495,596	46,675,303	20,000,000	9,963,959	9 0	9,963,959	8,000,000)
ADVANTAGE FUTURES LLC	FCM	CME	12/31/2022	30,163,308	16,483,283	13,680,025	561,704,651	541,182,002	20,522,649	10,000,000	47,802,632	43,254,419	4,548,213	1,000,000	4	0	C	0	
AMHERST PIERPONT SECURITIES LLC AMP GLOBAL CLEARING LLC	FCM FCM	NFA NFA	12/31/2022 12/31/2022	192,497,299 9,034,994	1,366,186 1,000,000	191,131,113 8.034,994	75,189,761	65,782,617	9,407,144	900,000	2,809,099	2,385,458	423,641	1 150,000		0 0	<u> </u>	0)
APEX CLEARING CORPORATION	FCM BD	NFA	12/31/2022	467,581,932	26,501,672	441,080,260	102,114,688	77,499,922	24,614,766	10,000,000	2,009,099	2,303,430	423,641	130,000	 	0 0		0)
BARCLAYS CAPITAL INC	FCM BD	NYME		7,476,166,249	2,324,417,999	5,151,748,250	15,730,629,587	14,902,672,244	827,957,343		4,683,647,259	4,291,401,045	392,246,214	40,000,000	14,766,174,915	5 14,249,600,591	516,574,324	26,000,000)
BITNOMIAL CLEARING LLC	FCM	NFA	12/31/2022	1,505,000	1,000,000	505,000	0	0	0	0	0	0	0	, 0	4	J 0	0	0	
BNP PARIBAS SECURITIES CORP BOFA SECURITIES INC	FCM BD FCM BD		12/31/2022 12/31/2022	2,173,064,824 17,505,502,305	988,086,025 4,123,989,983	1,184,978,799 13,381,512,322	8,357,034,650 26,736,563,275	7,478,309,626 26,358,574,156	878,725,024 377,989,119		2,234,996,459 5,744,547,797	1,247,413,728 5,494,718,080	987,582,731 249,829,717	1 98,000,000 7 150,000,000	2,799,770,790	0 2,562,743,812 4 16,733,922,729	237,026,978 463,561,935	3 135,000,000 150,000,000)
CANTOR FITZGERALD & CO	FCM BD		12/31/2022		2,250,941		4,969,934	20,330,374,130	4,969,934		3,744,347,737	3,757,710,000	243,023,717	130,000,000	17,137,707,007	0 10,733,322,729	+03,301,933	130,000,000)
CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	12/31/2022	295,421,716	39,393,738	256.027.978	733,057,232	566,635,849	166,421,383	100,000,000	0	0	0	0	,	0 0	(0	67,363
CHS HEDGING LLC	FCM		12/31/2022	63,508,302	28,052,093	35,456,209	269,936,927	204,283,996	65,652,931	1 14,600,000	1,527,272	0	1,527,272	2 200,000		3 0	510,188	120,000	
CITIGROUP GLOBAL MARKETS INC CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD FCM BD		12/31/2022 12/31/2022		4,721,026,261 120,459,098	7,848,759,912 11,358,927,848	2 17,742,860,050 3 272,349,746	17,218,055,656 216,684,781	524,804,394 55,664,965		5,509,160,350 6,976,930	5,274,800,427 1,159,770	234,359,923 5,817,160	179,900,000 57,988		0 32,560,560,660	695,390,810 9,661,591	464,800,000	0
CUNNINGHAM COMMODITIES LLC	FCM	CBOT		86,057,569	2,704,347	83,353,222	211.087.398	152,314,602	58,772,796	3,050,000	1,440,492	858,424	5,617,160	37,988		0 12	9,001,391) 1)
DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	12/31/2022	1,338,617,065	2,131,433	1,336,485,632	2,143,651	0	2,143,651	1 1	436,958	0	436,958	3 1		ó	ř	0	
DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	12/31/2022	5,487,436,970	193,134,966	5,294,302,004	2,248,570,845	2,099,599,908	148,970,937	7 100,000,000	913,904,516	810,556,968	103,347,548	50,000,000		3 0	C	0)
DIRECT ACCESS USA LLC	FCM FCM	CME	12/31/2022	11,780,447	3,078,897	8,701,550	102,462,121	90,464,508	11,997,613	2,500,000	10,560,257	529,930 4,513,487	10,030,327	7 1,000,000	,——-) 0		0)
DORMAN TRADING LLC ETRADE FUTURES LLC	FCM FCM	CME NFA	12/31/2022 12/31/2022	16,459,264 49,966,771	1,981,973 4,636,884	14,477,291 45,329,887	286,006,073 154,272,906	280,382,100 102,156,524	5,623,973 52,116,382	2,000,000 2 11,000,000	5,373,087	4,513,48/ n	859,600	200,000	1	0 0		0	
FUTU FUTURES INC	FCM	NFA	12/31/2022	1,909,946	1,000,000	909,946	5 0	0	32,110,302) 11,000,000	. 0	0		J 0	<u>, </u>	ó 0		0	
GAIN CAPITAL GROUP LLC	FCMRFD	NFA	12/31/2022	48,868,169	28,721,773	20,146,396	5 0	0	0	, ,	0	0	0	0		0 0	ŗ	0	184,435
GH FINANCIALS LLC GOLDMAN SACHS & COLLIC	FCM FCM BD SD	CME	12/31/2022	30,106,632 22,213,994,061	10,085,759 4,751,168,058	20,020,873	165,079,128 37,712,561,995	148,205,466 36,669,722,247	16,873,662 1.042,839,748	5,500,000 775,000,000	9,345,253 14,007,595,954	7,566,293 13,409,112,955	1,778,960 598,482,999	440,000 475,000,000	19.187.109.126	0 0 6 18,699,455,721	487.653.405	350.000.000	
GOLDMAN SACHS & CO LLC HIDDEN ROAD PARTNERS CIV US LLC	FCM BD SD FCM BD	CBOT/NFA CME		22,213,994,061 32,468,344	4,751,168,058 1,000,000	17,462,826,003 31,468,344	37,712,561,995 3,028,283	36,669,722,247	1,042,839,748 3,028,283	3 775,000,000 3 3,000,000	14,007,595,954	13,409,112,955	598,482,999	4/5,000,000	19,187,109,126	18,699,455,721	487,653,405	350,000,000	1
HSBC SECURITIES USA INC	FCM BD	CME	12/31/2022	1,514,035,541	568,259,847	945,775,694		4,452,494,840	162,437,647		227,639,377	198,209,484	29,429,893	15,000,000	2,098,039,508	8 1,946,994,252	151,045,256	137.000.000)
IG US LLC	RFED	NFA	12/31/2022	48,220,412	21,727,930	26,492,482	2 0	0	0	0	0	0	0	0	0	0 0		0	44,558
INTERACTIVE BROKERS LLC	FCM BD	CME		6,425,070,687	660,595,248		8,084,963,920	7,841,551,247	243,412,673		899,271,886	769,104,815	130,167,071	1 80,000,000		<u>)</u> 0	C	0	24,687
IRONBEAM INC	FCM FCM BD	CME NFA		8,820,027 1,114,500,799	1,000,000	7,820,027	99,230,416	90,377,785	8,852,631	1,600,000	586,406	373,212	213,194	60,000		3 0		0)
JP MORGAN SECURITIES LLC	FCM BD SD		12/31/2022 12/31/2022	24,988,731,905	86,739,873 5,628,325,445	1,027,760,926 19,360,406,460	42,972,152,313	41,830,532,031	1,141,620,282	794,780,109	8,817,235,166	8,132,290,554	684,944,612	2 284,630,169	22,980,771,757	7 21,931,519,472	1,049,252,285	460,561,909)
LIME TRADING CORP	FCM BD	NFA	12/31/2022	4,531,022	1,000,000	3,531,022	46,103	0	46,103	3 20,000	40,000	0,132,230,331	40,000	20,000		0 21,551,515,172	1,015,252,205	0 100,301,303	ó
MACQUARIE FUTURES USA LLC	FCM		12/31/2022	605,778,373	465,789,883	139,988,490	4,815,243,446	4,589,335,228	225,908,218		212,982,777	163,827,896	49,154,881	7,000,000	5,154,857	7 0	5,154,857	1,000,000)
MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA	12/31/2022	283,759,007	156,172,571	127,586,436	3,898,425,751	3,824,352,307	74,073,444	44,250,000	71,910,630	58,116,012	13,794,618	5,000,000	4	3 0		0)
MAREX NORTH AMERICA LLC MCVEAN TRADING & INVESTMENTS LLC	FCM FCM	CME NFA	12/31/2022 12/31/2022	240,922,918 12.010,728	201,766,295 1,746,921	39,156,623 10,263,807	3,847,654,118 466,536,779	3,761,987,180 440,883,426	85,666,938 25,653,353	50,230,376 18,000,000	173,185,127 2,621,390	137,959,240	35,225,887 2,621,390	7 10,250,000 1,000,000		0		0)
MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	12/31/2022	7,536,372,924	1,394,821,688	6,141,551,236	2,920,786,660	1,749,099,372	1,171,687,288	300,000,000	2,021,390	0	2,021,390	1,000,000	,	0 0		0)
MFI FUNDING LLC	FCM	NFA	12/31/2022	103,980,346	1,000,000	102,980,346	0	0	0	0	0	0	0	0	,	0 0	C	0)
MID CO COMMODITIES INC	FCM	NFA	12/31/2022	18,082,781	10,071,960	8,010,821	80,371,417	63,122,172	17,249,245	5,138,000	0	0	0	0		J 0	0	0)
MINT BROKERS	FCM BD	NFA	12/31/2022	2,175,019	1,000,000	1,175,019	12 004 656 071	12 255 202 760	440 272 102	150,000,000	1 227 600 567	1 122 524 220	205 074 227	70,000,000	20.640.50) 0	20.640.50	0)
MIZUHO SECURITIES USA LLC MORGAN STANLEY & COLLC	FCM BD FCM BD SD	CME/NEA	12/31/2022 12/31/2022	1,614,160,775 17,224,053,348	716,176,649 4,362,584,346	897,984,126 12,861,469,002	12,804,656,871 27,676,585,296	12,355,383,768	449,273,103 393,748,985	3 150,000,000 5 235,000,000	1,327,608,567 9,881,640,110	1,122,534,330 9,632,881,607	205,074,237 248,758,503	7 70,000,000 3 140,000,000		7 26,060,879,528	20,648,597 395,921,539	10,000,000)
NANHUA USA LLC	FCM	CME	12/31/2022	25,593,126	11,718,789	13,874,337	232,570,800	27,282,836,311 212,563,784	20,007,016	3,500,000	680,382	324,045	356,337	7 100,000		0 0	555/522/555	0)
NATWEST MARKETS SECURITIES INC	FCM BD	CBOT		524,776,091	1,083,998	523,692,093	7,500,998	0	7,500,998	5,000,000	0	0	0	0	1	<u>)</u> 0	C	0)
NINJATRADER CLEARING LLC NOMURA SECURITIES INTERNATIONAL INC	FCM PD	NFA		21,132,844	1,000,000	20,132,844		242,346,697 119,159,342	22,471,817 72,234,868		3,550,275	2,461,091	1,089,184		,) 0		0)
OANDA CORPORATION	FCM BD FCM RFED	CBOT	12/31/2022 12/31/2022	2,147,312,832 44.426.047	109,792,168 27,781,825	2,037,520,664	191,394,210	119,159,342	/2,234,868	19,000,000	1,250,000	0	1,250,000	1,000,000	, 	0	, 	0	160,468
PHILLIP CAPITAL INC	FCM BD	CME	12/31/2022	82,168,105	46,076,904	36,091,201	872,886,335	836,253,801	36,632,534	15,000,000	31,876,617	27,936,841	3,939,776	1,000,000	, 	ó	<u> </u>	0) 100,100
PICTET OVERSEAS INC	FCM BD	NFA	12/31/2022 12/31/2022	49,887,915 3,018,565,146	12,589,516	37,298,399 2,438,938,746	144,812,271 5,086,593,163	139,681,391 4,594,773,572	5,130,880 491,819,591	1,500,000 1 320,000,000	78,078,167 632,711,803	74,977,153 504,027,197	3,101,014 128,684,606	900,000 40,000,000	1	<u>0</u>		0)
RBC CAPITAL MARKETS LLC	FCM BD	CME	12/31/2022		579,626,400	2,438,938,746	5,086,593,163	4,594,773,572	491,819,591	320,000,000	632,711,803	504,027,197	128,684,606	40,000,000	2,145,234,417	7 1,798,177,903	347,056,514	120,000,000)
RJ OBRIEN ASSOCIATES LLC SANTANDER INVESTMENT SECURITIES INC	FCM SD FCM BD		12/31/2022 12/31/2022	344,848,169 455,435,219	272,774,587 188,040,317	72,073,582	2 6,517,002,373 2 2,730,732,875	6,250,075,475 2,657,353,615	266,926,898 73,379,260	50,000,000 70,000,000	297,488,770	266,008,516	31,480,254	20,000,000	,	0 0		0	1
SCOTIA CAPITAL USA INC	FCM BD	CME	12/31/2022	1,430,767,189	147,767,871	1,282,999,318	3 0	0	0	0	0	0	Ö	1 0	, 	ó	, i	0	Ó
SG AMERICAS SECURITIES LLC	FCM BD	CME	12/31/2022	5,089,473,536	2,047,246,811	3,042,226,725	19,090,336,824	18,657,038,578	433,298,246	200,000,000	8,218,080,997	7,829,400,954	388,680,043	200,000,000		5 1,633,499,149	221,964,846	80,000,000)
STONEX FINANCIAL INC	FCM BD	CME	12/31/2022	443,050,738	232,378,941	210,671,797	5,582,172,975	5,515,387,769	66,785,206	50,000,000	235,784,682	223,590,310	12,194,372	6,000,000		0		0	
STRAITS FINANCIAL LLC TRADESTATION SECURITIES INC	FCM FCM BD	CME CMF	12/31/2022 12/31/2022	31,011,725 156,211,413	10,522,791 10,841,699	20,488,934 145,369,714	438,994,301 911,106,418	422,836,531 863,500,829	16,157,770 47,605,589	2,000,000 9,000,000	36,454,236 23,560,860	26,038,837 19,912,311	10,415,399 3,648,549	9 300,000 9 800,000	1 0	1 0	, ,) <u>0</u>	1
TRADING.COM MARKETS INC	RFED	NFA	12/31/2022	27,669,095	20,000,000	7,669,095	5 0	0	0	0	0	0	0	0	, 	o o	, ,	0	701
UBS FINANCIAL SERVICES INC	FCM BD	NFA	12/31/2022	1,180,982,789	142,169,245	1,038,813,544	169,158,033	116,442,231	52,715,802	25,000,000	38,325,106	8,263,035	30,062,071	1 15,000,000	4	J 0		0)
UBS SECURITIES LLC	FCM BD	CBOT	12/31/2022	4,664,882,444	720,275,794	3,944,606,650	6,116,762,221	5,826,988,349	289,773,872	150,000,000	2,146,185,861	1,971,584,823	174,601,038	75,000,000	1,542,034,439	9 1,277,001,511	265,032,928	125,000,000	1
WEDBUSH SECURITIES INC WELLS FARGO SECURITIES LLC	FCM BD FCM BD	CROT	12/31/2022 12/31/2022	246,752,469 10,408,555,102	92,984,292 1,542,559,160	153,768,177 8,865,995,942	4,241,513,486 6,474,451,612	4,212,891,138 5,898,098,113	28,622,348 576,353,499	19,000,000 115,000,000	75,531,415 726,177,585	70,218,223 630,291,510	5,313,192 95,886,075	2 1,000,000 5 30,000,000	14.080.612.324	0 4 13.452.820.959	627,791,365	185,000,000	1
WELLS I MINGO SECURITIES EEC	I CPI DD	CITIL	12/31/2022	10,700,333,102	1,372,339,100	0,000,535,942		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,								, , , , , , , , , , , , , , , , , , , ,	. , , , , , , , , , , , , , , , , , , ,		,
Totals							296,133,986,404	284,476,468,234	11,657,518,170	5,690,291,760	68,082,130,010	63,127,819,714	4,954,310,296	2,031,980,272	158,411,387,676	152,907,176,299	5,504,211,377	2,344,481,910	482,214,3
November Web Page Update	62														<u> </u>				
Additions																			
AMHERST PIERPONT SECURITIES LLC	1				-					+				+	+		_		
Deletions			1							+				+	+	+			
None										1									
			1							1									
Name Changes E D & F MAN CAPITAL MARKETS INC to MAREX CAPITAL M	ARKETS INC									+				+	+	+			
										1							-		

SELECTED FCM FINANCIAL DATA AS OF December 31, 2022 FROM REPORTS FILED BY January 26, 2023

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.												
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.												
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.												
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.												
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.												
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FSN/RFED is listed second.												
(c): A firm's net capital requirement is the greater of:												
13/2 / A minute and colorina requirements to street quarter of the												
FCM minimum dollar amount (\$1,000,000); or												
* PCP Inimimum rounal annum, (\$2,500,000,000,000). * isk based capital requirement, the sum of \$6 of total customer risk maintenance margin and \$8% of total non-customer risk maintenance margin; or												
the amount of capital required by a registered futures association (currently NFA is the only such association); or the amount of capital required by the amount of the tensile association (currently NFA is the only such association); or												
for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or												
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or												
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.												
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.												
(e): This represents the total amount of money, securities, and property held in segregated accounts for future	es and options customers in compliance with	Section 4d of the Co	ommodity Exchange Act.									
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.												
17												
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation rec	quirement.											
(3///	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,											
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts about	ove the customer segregation requirement	This target amount is	s set by the firm									
(iii). This represents the targeted excess of proprietary funds deposited into easterner segregated accounts abo	ove the eastorner segregation requirement.	This target amount i	3 Set by the min.									
(i): This represents the total amount of money, securities, and property held in secured accounts for futures ar	and antions sustamors who trade on commo	dity ovehanges locate	d outside the United States in compliance w	ith Part 20 of the Commodity Eychange Act								
(1). This represents the total amount of money, securities, and property field in secured accounts for futures an	id options customers who trade on commod	alty exchanges locate	d outside the officed states in compliance v	idi Fart 30 of the Commodity Exchange Act.								
(i). This constant the country of finding CCM is a solid for a state of the country of the count		74-4										
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodit	y exchanges located outside of the United S	states.										
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less t	the customer amount Part 30 requirement.											
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts about	ove the customer amount Part 30 requireme	nt. This target amou	int is set by the firm.									
(m): This represents the total amount of money, securities, and property held in cleared swap customer accou	unts for cleared swap customers in complian	ce with Section 4d(f)	of the Commodity Exchange Act.									
			•									
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swa	ans.											
[17] This triph decine and simplified in the control of the contro												
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.												
(V) Excess/portionals rations in recent costing accounts to ratio in apparatic charact strap acquired accounts, resource accounts a superational accounts as a superational account accounts as a superational accounts as a superation account accounts as a superational accounts as a superational accounts as a superational accounts as a superation accounts as a superational accounts as a superational accounts as a supera												
(a). This proposate the transfer average of proprietary funds deposited into convents along drups convention accounts about the classed grups extraord average of proprietary funds deposited into convents along the classed grups over the proposation accounts about the classed grups over the proposation accounts along the classed grups over the proposation accounts about the classed grups over the proposation accounts and the proposation accounts and the classed grups over the proposation accounts and the proposation accounts												
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.												
(g): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.												
(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combin	ing all money, securities and property depo	sited by a retail forex	customer into a retail forex account or acc	ounts, adjusted for the realized and unrealized net profit or loss.		1						