Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)		Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts			Customer Amount Cleared Swap Seg Required		Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	10/31/2022	622,458,171	244,206,391	378,251,780	4,817,200,512	4,056,578,376	760,622,136	305,269,002	143,592,455	115,539,358	28,053,097	11,553,936	,	0 0	0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	10/31/2022	516,591,154	373,107,411	143,483,743	8,596,223,249	8,389,707,174	206,516,075	120,000,000	564,347,750	518,316,344	46,031,406	20,000,000	9,903,917	7 0	9,903,917	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM FCM	CME NFA	10/31/2022	28,974,154	11,878,808	17,095,346	570,410,283	553,892,257 67,309,598	16,518,026	10,000,000	42,405,764	38,193,888	4,211,876	1,000,000	(0 0	0	0	0
4 AMP GLOBAL CLEARING LLC 5 APEX CLEARING CORPORATION	FCM BD	NFA NFA	10/31/2022 10/31/2022	9,029,756 468,507,700	1,000,000 28,369,220	8,029,756 440,138,480	76,620,691 100,173,599	75,588,565	9,311,093 24,585,034	900,000	2,965,745	2,239,234	726,511	150,000		D 0	0	0	0
6 BARCLAYS CAPITAL INC	FCM BD	NYME	10/31/2022	7,149,835,826	2,316,086,399	4,833,749,427	15,564,595,121	14,551,206,675	1,013,388,446		4,836,770,308	4,597,949,402	238,820,906	40,000,000	14,199,586,909	13,369,421,873	830,165,036	26,000,000	0
7 BITNOMIAL CLEARING LLC	FCM	NFA	10/31/2022	1,804,673	1,000,000	804,673	0	0		0	0	0	0) (0 0	0	0	0
8 BNP PARIBAS SECURITIES CORP 9 BOFA SECURITIES INC	FCM BD FCM BD	CBOT	10/31/2022	2,139,282,842 18.127.960.888	987,482,584 4.064,381,667	1,151,800,258	7,608,770,667 27,487,457,854	6,935,111,389 27,060,889,618	673,659,278 426,568,236	570,000,000	1,692,587,635 5,438,796,151	1,387,770,284 5,191,906,362	304,817,351 246,889,789	98,000,000 150,000,000	2,918,152,147		386,721,885 452,597,330	135,000,000 150,000,000	0
10 CANTOR FITZGERALD & CO	FCM BD	CBOT	10/31/2022 10/31/2022	373,078,954	7,719,312	365,359,642	27,487,457,854 4,969,934	27,060,889,618	426,568,236	3,000,000	5,438,796,151	5,191,906,362	246,889,789	150,000,000	16,907,134,954	16,454,537,624	452,597,330	150,000,000	0
11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA		275,293,360	41,128,811	234,164,549	763,815,759	594,664,038	169,151,721	100,000,000	0	0	0	i c	i	0	0	0	67,923,577
12 CHS HEDGING LLC	FCM	CBOT		60,282,946	27,863,307	32,419,639	261,290,575	196,951,671	64,338,904		1,527,272	0	1,527,272	200,000	510,188		510,188	120,000	0
13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	10/31/2022	10,901,651,130	4,757,558,937	6,144,092,193	18,609,308,980	18,118,810,325	490,498,655	361,200,000	5,205,205,082	4,908,388,546	296,816,536	169,400,000			798,541,381	475,300,000	0
14 CREDIT SUISSE SECURITIES (USA) LLC 15 CUNNINGHAM COMMODITIES LLC	FCM BD FCM	CBOT		11,917,121,757 86.435.249	102,219,877 1,690,460	11,814,901,880 84,744,789	241,632,558 139,461,829	202,983,353 82,743,842	38,649,205 56,717,987	10,149,168 3,050,000	8,082,080 1,896,135	1,233,150 1,333,216	6,848,930 562,919	61,658 100.000	25,560,448	8 4,333,128	21,227,320	216,656	0
16 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME		1,289,268,174	1,566,493	1,287,701,681	2,126,993	02,743,642	2,126,993	3,030,000	413,186	1,333,210	413,186	100,000		0 0	0	0	0
17 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	10/31/2022	5,952,831,534	212,687,326	5,740,144,208	2,632,159,139	2,429,049,159	203,109,980	125,000,000	885,596,596	759,392,137	126,204,459	75,000,000	i	0	0	0	0
18 DIRECT ACCESS USA LLC	FCM FCM	CME	10/31/2022 10/31/2022	12,078,623 13,794,606	3,507,168 2,162,525	8,571,455	127,892,688 294,355,625	103,834,284 289,935,605	24,058,404 4,420,020	2,500,000	14,124,913 5.322,961	388,881 4,608,200	13,736,032	1,000,000	9	0	0	0	0
19 DORMAN TRADING LLC 20 E D & F MAN CAPITAL MARKETS INC	FCM FCM BD SD		10/31/2022	13,794,606 273,763,956	2,162,525 161,106,107	11,632,081 112,657,849	294,355,625 3,828,461,001	289,935,605 3,745,825,674	4,420,020 82,635,327	2,000,000 44,250,000	5,322,961 70,536,487	4,608,200 60,847,781	714,761 9,688,706	200,000 5,000,000		<u>) </u>	0	0	0
21 ETRADE FUTURES LLC	FCM BD 3D	NFA	10/31/2022	47,982,578	5,038,986	42,943,592	157,380,460	108,332,777	49,047,683	11,000,000	0,330,467	00,047,781	3,000,700	3,000,000		0	0	0	0
22 FUTU FUTURES INC	FCM	NFA	10/31/2022	1,918,916	1,000,000	918,916	0	0	.,.,.	0	0	0	0	C	i i	0	0	0	0
23 GAIN CAPITAL GROUP LLC	FCMRFD	NFA		50,334,818	28,622,144	21,712,674	177 410 000	0	10.062.242	0	0	0	1.600.000	442.222	9	0	0	0	182,442,884
24 GH FINANCIALS LLC 25 GOLDMAN SACHS & CO LLC	FCM FCM BD SD	CME CBOT/NEA	10/31/2022	29,492,398 22,960,200,272	11,683,992 4,730,665,329	17,808,406 18,229,534,943	177,418,960 38,173,040,912	157,455,050 37,139,971,451	19,963,910 1,033,069,461	5,500,000 775,000,000	7,310,135 13,713,215,989	5,611,326 13,129,466,879	1,698,809 583,749,110	440,000 475,000,000	19,020,729,056	0 5 18,053,397,672	967,331,384	350,000,000	0
26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD	CME		32,589,952	1,000,000	31,589,952	3.010.191	0 (1,431	3.010.191	3,000,000	13,/13,213,969	13,123,700,679	011,877,000	773,000,000	13,020,729,030	0 10,033,337,672	. , , , , , , , , , , , , , , , , , , ,	330,000,000	0
27 HSBC SECURITIES USA INC	FCM BD	CME	10/31/2022	1,296,695,287	545,085,081	751,610,206	3,010,191 5,224,593,462	5,044,185,468	3,010,191 180,407,994	163,000,000	275,824,119	248,628,938	27,195,181	15,000,000	3,516,145,418	3,341,528,996	174,616,422	153,000,000	0
28 IG US LLC	RFED	NFA	10/31/2022	30,663,721	22,222,863	8,440,858	0 244 000	7.000 100 0)75 504	0	0	0	0	00.000		0 0	0	0	54,457,251
29 INTERACTIVE BROKERS LLC 30 IRONBEAM INC	FCM BD FCM	CME CME	10/31/2022	6,289,516,446 8,171,684	699,268,916 1,774,122	5,590,247,530 6,397,562	8,241,909,613 96,640,293	7,966,405,260 87,251,795	275,504,353 9,388,498	155,000,000 1,600,000	779,150,119 452,819	656,159,008 213,094	122,991,111 239,725	80,000,000) 0	0	0	26,433,965
31 JEFFERIES LLC	FCM BD	NFA	10/31/2022 10/31/2022	1,222,770,989	99,305,323	1,123,465,666	90,040,293	07,231,793	9,300,490	1,000,000	432,019	213,094	239,723	60,000		0 0	0	0	0
32 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA		23,698,943,468	5,629,049,584	18,069,893,884	42,142,569,497	40,876,813,800	1,265,755,697	940,166,717	9,218,592,049	8,684,128,889	534,463,160	217,103,222	22,415,363,20	1 21,465,501,896	949,861,305	579,568,551	0
33 LIME TRADING CORP	FCM BD	NFA	10/31/2022	4,288,682	1,000,000	3,288,682	46,103	0	46,103	20,000	40,000	0	40,000	20,000	(0	0	0	0
34 MACQUARIE FUTURES USA LLC 35 MAREX NORTH AMERICA LLC	FCM FCM	CBOT CME	10/31/2022	606,531,968 265,767,089	397,512,994 217,959,775	209,018,974 47,807,314	4,438,795,159 4,009,083,291	4,185,118,679 3,924,595,607	253,676,480 84,487,684	100,000,000	186,865,236 205,303,008	133,647,585 170,286,272	53,217,651 35,016,736	7,000,000 10,250,000	5,150,238	3 0	5,150,238	1,000,000	0
36 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	10/31/2022	11,793,779	1,469,363	10.324.416	474,929,173	451,260,396	23,668,777	18,000,000	2,567,861	1/0,200,2/2	2,567,861	1,000,000		0 0	0	0	0
37 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	10/31/2022	6,728,048,844	1,540,667,372	5,187,381,472	3,674,293,089		1,122,680,457		0	0	0	0	i	0	0	0	0
38 MFI FUNDING LLC	FCM	NFA	10/31/2022	103,333,681	1,000,000	102,333,681	0	0	(0	0	0	0	0		0	0	0	0
39 MID CO COMMODITIES INC 40 MINT BROKERS	FCM FCM BD	NFA NFA	10/31/2022	23,382,965 2,250,638	13,165,216 1,000,000	10,217,749 1,250,638	98,758,942	75,357,442	23,401,500	5,138,000	0	0	0) (0	0	0	0
41 MIZUHO SECURITIES USA LLC	FCM BD	CME		1,491,334,552	799,083,620	692,250,932	13,087,127,457	12,490,628,998	596,498,459	150,000,000	1,316,176,313	1,117,914,766	198,261,547	70,000,000	20,551,445	5 0	20,551,445	10,000,000	0
42 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA		17,020,649,756	4,276,960,568	12,743,689,188	28,002,324,793	27,602,742,525	399,582,268	235,000,000	9,330,562,920	9,108,525,550	222,037,370	140,000,000	27,376,662,079	26,675,361,194	701,300,885	92,000,000	0
43 NANHUA USA LLC	FCM	CME	10/31/2022	24,279,954	17,437,267	6,842,687	296,115,323	276,855,301	19,260,022	3,500,000	680,382	324,045	356,337	100,000	(0 0	0	0	0
44 NATWEST MARKETS SECURITIES INC 45 NINJATRADER CLEARING LLC	FCM BD FCM	CBOT NFA	10/31/2022 10/31/2022	559,111,668 17,506,338	1,078,270 1,000,000	558,033,398 16,506,338	7,500,998 255,812,311	235,159,257	7,500,998 20,653,054	5,000,000 4,000,000	3,800,828	2,874,189	926,639	400,000) (0	0	0	0
46 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	10/31/2022	1,861,614,245	93,785,095	1,767,829,150	198,212,941		69,896,982	19,000,000	1,250,000	2,074,109	1,250,000	1,000,000		0 0	0	0	0
47 OANDA CORPORATION	FCMRFD	NFA	10/31/2022	43,408,503	28,323,475	15,085,028	0	0	(0	0	0	0		i	0 0	0	0	172,326,584
48 PHILLIP CAPITAL INC	FCM BD	CME	10/31/2022	79,006,529	39,846,022	39,160,507	861,105,753	824,814,552	36,291,201	15,000,000	18,735,324	15,189,354	3,545,970	1,000,000		0 0	0	0	0
49 PICTET OVERSEAS INC 50 RBC CAPITAL MARKETS LLC	FCM BD FCM BD	NFA CME	10/31/2022	50,827,893 2,271,538,728	11,749,379 562,650,373	39,078,514 1,708,888,355	148,424,663 4,978,941,298	143,384,734 4,471,594,109	5,039,929 507,347,189	1,500,000	63,189,905 628,238,628	60,177,237 501,333,699	3,012,668 126,904,929	900,000	2,093,197,195	0 5 1,783,292,418	309,904,777	120,000,000	0
51 RJ OBRIEN ASSOCIATES LLC	FCM SD			365,730,685	280,947,345	84,783,340	7,420,992,775	7,147,136,304	273,856,471	320,000,000 50,000,000 70,000,000	237,915,388	207,101,923	30,813,465	20,000,000	2,093,197,193	1,765,292,416	309,904,777	120,000,000	0
52 SANTANDER INVESTMENT SECURITIES INC	FCM BD		10/31/2022 10/31/2022	451,526,208	168,265,613	283,260,595	2,314,705,687	2,242,498,969	72,206,718	70,000,000	0	0	0	,,-	i	0 0	0	0	0
53 SCOTIA CAPITAL USA INC	FCM BD	CME		1,246,547,255	142,969,294	1,103,577,961	10,020,012,000	10 400 075 353	440.037.839	0	0 100 003 733	0	0	200.000.000	2 140 002 52	0 0	216 227 762	0 000 000	0
54 SG AMERICAS SECURITIES LLC 55 STONEX FINANCIAL INC	FCM BD FCM BD	CME CME	10/31/2022 10/31/2022	4,747,530,685 427,041,655	2,112,428,335 256,483,252	2,635,102,350 170,558,403	18,929,013,099 6,135,539,158	18,488,975,260 6,056,509,941	440,037,839 79,029,217	200,000,000	8,180,003,723 222,520,139	7,811,775,554 210,348,593	368,228,169 12,171,546	200,000,000	2,148,080,531	1,831,752,769	316,327,762	80,000,000	0
56 STRAITS FINANCIAL LLC	FCM BD	CME	10/31/2022	27,467,188	13,523,339	13,943,849	460,182,932	446,953,933	13,228,999	2,000,000	36,700,304	26,515,751	10,184,553	300,000		0	0	0	0
57 TRADESTATION SECURITIES INC	FCM BD	CME		144,483,433	5,025,004	139,458,429	871,761,594	832,592,288	39,169,306	9,000,000	22,836,543	19,363,243	3,473,300	800,000		0	0	0	0
58 TRADING.COM MARKETS INC	RFED FGM RD	NFA	10/31/2022	23,976,909	20,000,000	3,976,909	000.000.555	0	(0	0	0	0	15.000		0	0	0	512,418
59 UBS FINANCIAL SERVICES INC 60 UBS SECURITIES LLC	FCM BD FCM BD	NFA CBOT		1,154,637,854 4,395,946,788	147,765,282 724,618,564	1,006,872,572 3,671,328,224	202,293,268 6,502,767,081	147,761,359 6,212,371,381	54,531,909 290,395,700	25,000,000 150,000,000	36,770,968 2,485,812,482	6,758,458 2,317,613,967	30,012,510 168,198,515	15,000,000 75,000,000	1.349.953.570	1.089.593.459	260,360,111	125,000,000	0
61 WEDBUSH SECURITIES INC	FCM BD		10/31/2022	254,151,195	82,809,099	171,342,096	3,550,433,191	3,518,669,153	31,764,038	19,000,000	46,868,829	40,941,399	5,927,430	1,000,000	(0 0	200,500,111	123,000,000	0
62 WELLS FARGO SECURITIES LLC	FCM BD	CME			1,519,413,200	8,490,944,043	5,637,705,942	5,133,409,510	504,296,432	145,000,000	707,551,624	605,658,075	101,893,549	30,000,000	13,996,020,035	13,263,695,819	732,324,216	220,000,000	0
Totals			1	1			200 500 250 455	206 422 015 402	12.076.540.000	E 040 F73 351	66.643.106.155	63 660 664 555	2 074 444 5-0	1 070 020 017	150 060 330 011	151 022 044 200	6 027 205 602	2 525 205 255	504.096.679
TOLAIS			+	 			436,5UU,350,466	200,423,815,493	14,0/0,540,9/3	5,940,5/3,264	00,043,100,155	02,008,004,5//	3,9/4,441,5/8	1,9/9,038,81/	138,800,330,811	151,922,941,209	0,937,395,602	2,323,203,207	504,096,679
September Web Page Update	62																		
Additions																<u> </u>			
None																			
Deletions			1			·				<u> </u>		·					<u> </u>		
None			+	 			1	1								+	-		
			1	1			1	1								1			
Name Changes																			
None			+													1			
October Web Page Update	62		1	 			 	 								+			
iron ingo opude	V2		1													1			

SELECTED FCM FINANCIAL DATA AS OF October 31, 2022 FROM REPORTS FILED BY November 26, 2022

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.									
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.									
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.									
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.									
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.									
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SDRO for the SDRO for the SDRO for the FCM/RFED and the SDRO for the FCM/RFED is listed first and the DSRO for the SDRO for the SDRO for the FCM/RFED is listed first and the DSRO for the SDRO for the FCM/RFED is listed first and the DSRO for the SDRO for) is listed second.								
(c): A firm's net capital requirement is the greater of:									
FCM minimum dollar amount (\$1,000,000); or									
• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or									
the amount of capital required by a registered futures association (currently NFA is the only such association); or									
for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or									
• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or									
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.									
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.									
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.									
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liqui	ating equity.								
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.									
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.									
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.									
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.									
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.									
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.									
(a) This way to be below the form of the control of									
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.									
(a). This sourceasts the amount of funds as ECM is required to conseque for gustomore who hade classed gustom									
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.									
(a) Transfer first is shown as a superior of the first in country and the superior of the supe									
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.									
(a). This proposed the transferd access of proprietary finds denoticed into consents already guan convention accounts about the closed guan authorize convention accounts.									
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.									
(a) This was the total was to find the FCM DFFD to FCMDFD that wild be published by applicable to the find the second of the second									
(g): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and	nrealized net profit or loss.								