Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required				Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	09/30/2022	642,492,133	250,850,968	391,641,165	4,665,598,588	4,050,824,679	614,773,909	284,408,506	164,438,579	133,230,848	31,207,731	13,323,085		0 0	0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT		505,182,793	391,855,936	113,326,857	8,946,151,677	8,742,800,219	203,351,458	120,000,000	570,894,895	524,556,527	46,338,368	20,000,000	9,880,413	3 0	9,880,413	8,000,000	0
3 ADVANTAGE FUTURES LLC 4 AMP GLOBAL CLEARING LLC	FCM FCM	CME NFA	09/30/2022 09/30/2022	28,561,386 8,575,716	13,858,062 1,000,000	14,703,324 7,575,716	542,235,764 77,378,610	525,459,437 68,274,067	16,776,327 9,104,543	10,000,000	44,193,392	40,157,261	4,036,131	1,000,000 150,000		0	0	0	0
5 APEX CLEARING CORPORATION	FCM BD	NFA	09/30/2022	453,002,500	30,098,470	422,904,030	110,954,114	83,826,547	27.127.567	10,000,000	2,572,668	2,158,956 0	413,712 0	150,000		0 0	0	0	0
6 BARCLAYS CAPITAL INC	FCM BD	NYME	09/30/2022	6,620,511,422	2,295,631,614	4,324,879,808	15,955,811,001	13,970,618,233	1,985,192,768	58,000,000	4,339,732,178	4,107,466,250	232,265,928	40,000,000	13,687,841,860	12,870,543,102	817,298,758	26,000,000	0
7 BITNOMIAL CLEARING LLC	FCM	NFA	09/30/2022	1,804,673	1,000,000	804,673	0	0	774 000 220	0	0	0	0	0	0 005 005 005	0 0	0	0	0
8 BNP PARIBAS SECURITIES CORP 9 BOFA SECURITIES INC	FCM BD FCM BD	CBOT	09/30/2022 09/30/2022	1,636,773,515 16,212,532,890	1,107,296,230	529,477,285 12.119.545.348	8,691,824,655 27.094.017.429	7,917,735,319 26,515,881,002	774,089,336 578,136,427	570,000,000 200,000,000	1,986,947,641 5 376 306 958	1,557,479,164 5 104 077 429	429,468,477 272,229,529	98,000,000 150,000,000	2,865,665,292	2 2,549,394,019 3 16,385,954,679	316,271,273 420 083 904	135,000,000	0
10 CANTOR FITZGERALD & CO	FCM BD	CBOT		347,678,177	3,322,422	344,355,755	4,969,934	0	4,969,934	3,000,000	0	0	0	150,000,000	10,000,030,303	0 0	0	0	0
11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA	09/30/2022	264,137,903	43,040,270	221,097,633	760,464,314	597,467,880	162,996,434		0	0	0	0	(	0	0	0	72,789,055
12 CHS HEDGING LLC 13 CITIGROUP GLOBAL MARKETS INC	FCM FCM BD SD	CBOT/NFA	09/30/2022	58,182,997 10.444,732,380	27,424,070	30,758,927 5,613,083,721	308,168,705 19,259,739,877	245,358,348 18,753,222,579	62,810,357 506,517,298	14,600,000 351,400,000	1,527,272 5,410,427,680	5.084.822.204	1,527,272 325,605,476	200,000 175,700,000	510,188 35,051,794,072	3 34.235.800.472	510,188 815.993.600	120,000	0
14 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT		11,476,567,279	107,998,626	11,368,568,653	259,869,634	209.932.681	49,936,953	10,496,634	8,612,512	1,266,157	7,346,355	63,308	73,517,00	1 7,430,410	66,086,591	371,520	0
15 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	09/30/2022	86,778,183	1,613,632	85,164,551	137,882,959	82,236,559	55,646,400	3,050,000	2,501,855	1,956,055	545,800	100,000	) (	0	0	0	0
16 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD FCM BD	CME	09/30/2022	1,301,739,373	1,628,021	1,300,111,352	2,130,305	2 210 922 424	2,130,305	135,000,000	412,796	706 996 100	412,796	75,000,000	. (	0	0	0	0
17 DEUTSCHE BANK SECURITIES INC 18 DIRECT ACCESS USA LLC	FCM BD	CBOT CME	09/30/2022 09/30/2022	6,095,711,063 11,982,015	201,867,617	5,893,843,446 9,094,180	2,420,625,285 126,174,189	2,219,833,434 117,583,637	200,791,851 8,590,552	125,000,000 2,500,000	911,310,993 7,065,543	786,886,109 4,528,896	124,424,884 2,536,647	1,000,000	, ,	0	0	0	0
19 DORMAN TRADING LLC	FCM	CME	09/30/2022	14,059,049	2,292,860	11,766,189	288,867,033	284,631,793	4,235,240	2,000,000	5,249,160	4,360,082	889,078	200,000	i i	0	0	0	0
20 E D & F MAN CAPITAL MARKETS INC 21 ETRADE FUTURES LLC	FCM BD SD FCM	CME/NFA	09/30/2022 09/30/2022	260,395,534 45,761,609	174,533,269 5,601,548	85,862,265 40,160,061	3,988,768,522 167,713,723	3,910,517,190 120,731,254	78,251,332 46,982,469	44,250,000 11,000,000	73,004,507	61,994,925	11,009,582	5,000,000	9	0	0	0	0
21 ETRADE FUTURES LLC 22 FUTU FUTURES INC	FCM FCM	NFA NFA	09/30/2022	45,761,609 1,915,428	5,601,548 1,000,000	40,160,061 915,428		120,/31,254 n	46,982,469	11,000,000	0	0	0	0	1	0	0	0	0
23 GAIN CAPITAL GROUP LLC	FCMRFD	NFA	09/30/2022	49,180,611	28,400,752	20,779,859	0	0		i	0	0	0	0	1	0 0	0	0	178,015,048
24 GH FINANCIALS LLC	FCM	CME	09/30/2022	29,282,472	11,942,175	17,340,297	173,780,687	152,690,726	21,089,961	5,900,000	6,307,879	4,646,046	1,661,833	370,000		0	0	0	0
25 GOLDMAN SACHS & CO LLC 26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD SD FCM BD	CBOT/NFA CME	09/30/2022	21,435,602,641 32,619,305	4,827,083,086 1,000,000	16,608,519,555 31,619,305	39,833,527,409 3,003,049	38,747,872,247	1,085,655,162 3,003,049	775,000,000 3,000,000	12,387,297,080	11,817,239,567	570,057,513	475,000,000	18,761,087,195	18,253,934,499	507,152,696	350,000,000	0
26 HIDDEN ROAD PARTNERS CIV US LLC 27 HSBC SECURITIES USA INC	FCM BD	CME	09/30/2022	1,282,246,256	542,507,543	739,738,713	3,003,049 4,894,498,726	4,735,173,245	159,325,481	3,000,000 147,000,000	242,229,623	217,062,064	25,167,559	15,000,000	3,472,433,493	3,319,361,504	153,071,989	133.000.000	0
28 IG US LLC	RFED	NFA	09/30/2022	35,702,127 6,129,741,662	21,949,484	13,752,643	0	0	0	0	0	0	0	0	(	0 0	0	0	48,989,689
29 INTERACTIVE BROKERS LLC	FCM BD	CME	09/30/2022		739,982,827	5,389,758,835	8,824,882,118	8,517,481,775	307,400,343	155,000,000	823,545,848	683,718,050	139,827,798	80,000,000	(	0	0	0	27,730,936
30 IRONBEAM INC 31 JEFFERIES LLC	FCM FCM BD	CME NFA	09/30/2022 09/30/2022	7,854,521 1,227,742,230	1,027,692 99,373,136	6,826,829 1,128,369,094	96,942,265	87,546,618	9,395,647	1,600,000	465,866	229,954	235,912	60,000		0	0	0	0
32 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	09/30/2022	22,913,041,646	5,649,492,616	17,263,549,030	43,080,752,249	41,698,152,358	1,382,599,891	959,057,504	8,750,762,448	8,247,806,046	502,956,402	206,195,151	21,771,860,10	7 20,856,647,666	915,212,441	563,129,487	0
33 LIME TRADING CORP	FCM BD	NFA	09/30/2022	4,540,111	1,000,000	3,540,111	46,103	0	46,103	20,000	40,000	0	40,000	20,000		0	0	0	0
34 MACQUARIE FUTURES USA LLC 35 MAREX NORTH AMERICA LLC	FCM FCM	CBOT CME	09/30/2022 09/30/2022	569,305,376 251,083,979	447,092,083 209,491,666	122,213,293 41,592,313	4,860,751,027 4,251,495,191	4,611,795,056 4,163,328,136	248,955,971 88,167,055	100,000,000 50,230,376	161,793,990 188,560,542	109,079,373 148,318,304	52,714,617 40,242,238	7,000,000 10,250,000	5,147,475	0	5,147,475	1,000,000	0
36 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	09/30/2022	11,561,091	1,142,371	10,418,720	485,448,768	461,413,195	24,035,573	18,000,000	2,567,861	0	2,567,861	1,000,000		0 0	0	0	0
37 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	09/30/2022	7,783,043,105	1,462,323,744	6,320,719,361	2,656,736,767	1,536,450,254	1,120,286,513	300,000,000	0	0	0	0	(	0	0	0	0
38 MFI FUNDING LLC 39 MID CO COMMODITIES INC	FCM FCM	NFA NFA	09/30/2022 09/30/2022	103,026,637 23,131,739	1,000,000	102,026,637 11,860,936	103,544,804	80,594,746	22,950,058	5,138,000	0	0	0	0		0 0	0	0	0
40 MINT BROKERS	FCM BD	NFA	09/30/2022	2,269,371	1,000,000	1,269,371	103,344,604	00,394,740	22,930,030	3,136,000	0	0	0	0		0 0	0	0	0
41 MIZUHO SECURITIES USA LLC	FCM BD	CME	09/30/2022	1,692,125,704	897,061,605	795,064,099	15,696,969,770	14,999,365,643	697,604,127		1,361,104,875	1,161,895,442	199,209,433	70,000,000			20,516,468	10,000,000	0
42 MORGAN STANLEY & CO LLC	FCM BD SD		09/30/2022	14,886,036,345	4,481,344,738	10,404,691,607	27,675,387,594		393,027,984		8,965,326,256	8,742,432,783	222,893,473		28,258,218,925	27,570,601,386	687,617,539	92,000,000	0
43 NANHUA USA LLC 44 NATWEST MARKETS SECURITIES INC.	FCM BD	CME CBOT		23,676,129 553,087,456	16,487,428	7,188,701 548,703,624	279,250,071 7,500,484	260,607,456	18,642,615 7,500,484	3,500,000 5,000,000	684,396	328,028	356,368	100,000		0	0	0	0
45 NINJATRADER CLEARING LLC	FCM	NFA	09/30/2022	16,124,231	1,000,000	15,124,231	253,106,792	233,720,942	19,385,850	4,000,000	3,824,308	2,961,392	862,916	400,000		0	0	0	0
46 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	09/30/2022	2,121,644,819	97,912,029	2,023,732,790	216,339,933	171,616,377	44,723,556	19,000,000	1,250,000	0	1,250,000	1,000,000		0	0	0	0
47 OANDA CORPORATION 48 PHILLIP CAPITAL INC	FCMRFD FCM BD	NFA CME	09/30/2022 09/30/2022	41,710,746 78,292,413	27,792,240 41,298,020	13,918,506 36,994,393	863 359 070	829 954 339	33.404.731	15 000 000	27.707.864	23.126.628	4.581.236	1 000 000		0	0	0	162,072,259
49 PICTET OVERSEAS INC	FCM BD	NFA	09/30/2022	51,975,850	12,857,954	39,117,896	168,258,146	163,257,516	5,000,630	1,500,000	59,740,305	56,741,686	2,998,619	900,000	i	0 0	0	0	0
50 RBC CAPITAL MARKETS LLC	FCM BD	CME	09/30/2022	2,449,092,979	589,247,401	1,859,845,578	5,475,110,566	4,912,984,275	562,126,291	340,000,000	495,735,127	389,596,901	106,138,226	20,000,000	2,244,576,724	1,866,460,729	378,115,995	120,000,000	0
51 RJ OBRIEN ASSOCIATES LLC 52 SANTANDER INVESTMENT SECURITIES INC	FCM SD FCM BD	CME/NFA NYME		361,036,099 459,526,398	286,746,823 157,616,495	74,289,276 301,909,903	7,395,780,093 2,290,253,475	7,143,072,117 2,217,516,287	252,707,976 72,737,188	50,000,000 70,000,000	222,920,767	193,340,384	29,580,383	20,000,000	) (	0	0	0	0
53 SCOTIA CAPITAL USA INC	FCM BD	CME		1,394,893,440	136,858,980	1,258,034,460	0	0	(	0	0	0	0	0	1	0	0	0	0
54 SG AMERICAS SECURITIES LLC	FCM BD	CME	09/30/2022	4,949,751,615	2,197,615,093	2,752,136,522	19,834,661,586	19,323,668,815	510,992,771	200,000,000	8,040,871,355	7,665,419,970	375,451,385	200,000,000	2,208,758,099	1,894,576,651	314,181,448	80,000,000	0
55 STONEX FINANCIAL INC 56 STRAITS FINANCIAL LLC	FCM BD FCM	CME CME	09/30/2022 09/30/2022	398,991,031 24,751,374	250,077,885 14,720,169	148,913,146 10,031,205	6,283,415,082 485,849,731	6,206,715,828 471,359,899	76,699,254 14,489,832	50,000,000 2,000,000	212,353,867 41,708,007	199,352,945 31,610,173	13,000,922 10,097,834	6,000,000 300,000	1 9	0	0	0	0
57 TRADESTATION SECURITIES INC	FCM BD	CME	09/30/2022	141,587,208	6,384,358	135,202,850	868,977,526	832,806,160	36,171,366	9,000,000	23,005,901	19,563,628	3,442,273	800,000	il d	0	0	0	0
58 TRADING.COM MARKETS INC	RFED	NFA	09/30/2022	24,249,826	20,000,000	4,249,826	0	0			0	0	0	0		0	0	0	562,435
59 UBS FINANCIAL SERVICES INC 60 UBS SECURITIES LLC	FCM BD FCM BD	NFA CBOT	09/30/2022 09/30/2022	1,217,632,602 4,410,295,829	144,894,840 737,328,949	1,072,737,762 3,672,966,880	184,819,420 6,775,911,484	130,897,978 6,484,643,328	53,921,442 291,268,156	25,000,000 150,000,000	37,287,329 2,367,005,962	7,235,146 2,201,703,184	30,052,183 165,302,778	15,000,000 75,000,000	1,395,373,737	0 7 1,136,965,064	258,408,673	0 125,000,000	0
61 WEDBUSH SECURITIES INC	FCM BD	CBOT		258,510,510	97,048,066	161,462,444	3,946,806,159	3,918,072,110	291,268,156	19,000,000	37,919,932	31,802,270	6,117,662	1,000,000	(2/,د/دردود,1	0 0	230,400,673	123,000,000	0
62 WELLS FARGO SECURITIES LLC	FCM BD	CME	09/30/2022	10,127,130,578	1,603,573,690	8,523,556,888	6,463,530,060	5,960,927,918	502,602,142	145,000,000	604,352,073	504,348,071	100,004,002	30,000,000	14,294,620,493	13,516,854,959	777,765,534	240,000,000	0
Totals							308,240.042,523	294,752,979,812	13,487,062,711	5,933.551.021	63,971,568,090	59,874,498,898	4,097,069,192	1,956.131.545	160,927.840.125	154,464,525,140	6,463,314.985	2,533,421.007	490,159,422
August Web Page Update	60					·													
Additions																			
BITNOMIAL CLEARING LLC																			
MFI FUNDING LLC			1				1							-		1			
Deletions																			
None																			
Name Changes			1		1									1		1			
Name Changes None																			
September Web Page Update	62																		

## SELECTED FCM FINANCIAL DATA AS OF September 30, 2022 FROM REPORTS FILED BY October 26, 2022

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.											
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1,000,000); or											
• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or											
the amount of capital required by a registered futures association (currently NFA is the only such association); or											
• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or											
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or											
• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.											
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.											
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.											
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.											
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.											
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.											
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.											
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.											
(a) This approach to both a country of the country											
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.											
(a). This separagests the apparent of funds as ECM is required to connect for sustained to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of funds as ECM is required to connect the apparent of											
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.											
(a). Succel/Deficient funds in classed guars guidance a security in funds in consists classed guars agreement. Less the classed guars guidance a security in the consists of the classed guars agreement.											
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.											
(a). This proposed the treated gross of providing finds deposited into compute closed gross execution accounts about the closed gross control to the computer of the first control of the computer of the control of the											
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.											
(a). The same has been seen as FCM DEED, COMPED that will be able to the same as a second of the same											
(a): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or	OSS.										