Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CROT	02/28/2022	647,995,322	258,780,721	389,214,601	5,423,735,876	4,430,288,512	993,447,364	370,434,727	133,192,582	108,664,884	24,527,698	10,866,488			0		
2 ADM INVESTOR SERVICES INC	FCM		02/28/2022	432,218,605	357 695 684	74 522 921	7.608.311.777	7 408 465 382	199.846.395	120.000.000	522,531,479	477 053 693	45 477 786	20,000,000	9,815,394		9.815.394	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM	CME		27,753,650	12,619,028	15,134,622	448,658,681	429,202,901	19,455,780	10,500,000	39,564,762	35,889,188	3,675,574	1.000.000	3,013,334) 3,013,334	0,000,000	- 0
4 AMP GLOBAL CLEARING LLC	FCM	NFA		6,981,293	1,000,000	5,981,293	75,303,123	67,444,264	7,858,859	900,000	2,701,398	2,301,576	399,822	150,000	0	i o	0	0	0
5 APEX CLEARING CORPORATION	FCM BD	NFA		456,680,373	40,108,177	416,572,196	95,390,101	65,943,818	29,446,283	10,000,000	0	0	0	0	0	o d	0	0	0
6 BAKKT CLEARING LLC	FCM	NFA	02/28/2022	1,997,037	1,000,000	997,037	0	0	0	0	0	0	0	0	0	0	0	0	0
7 BARCLAYS CAPITAL INC	FCM BD	NYME	02/28/2022	7,008,201,075	1,904,405,385	5,103,795,690	9,740,458,620	9,338,340,420	402,118,200	55,000,000	4,272,509,395	4,074,053,090	198,456,305	12,000,000	12,277,583,686	11,416,764,163	860,819,523	250,000,000	0
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT		2,559,771,323	790,048,895	1,769,722,428	6,215,153,949	5,576,535,545	638,618,404	503,000,000	2,114,831,891	1,139,104,919	975,726,972	98,000,000	2,075,565,008	1,907,443,889	168,121,119	95,000,000	0
9 BOFA SECURITIES INC	FCM BD	CBOT		16,358,881,543	3,747,499,612	12,611,381,931	24,335,206,962	22,629,756,564	1,705,450,398	200,000,000	5,039,274,465	4,782,152,042	257,122,423	150,000,000	14,827,211,916	14,276,253,743	550,958,173	150,000,000	0
10 CANTOR FITZGERALD & CO	FCM BD	CBOT		418,811,168	4,299,882	414,511,286	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
11 CHARLES SCHWAB FUTURES & FOREX LLC	FCM		02/28/2022	252,262,029	40,491,452	211,770,577	708,060,359	548,012,131	160,048,228	100,000,000	0	0	0	0	0	0	0	0	65,250,435
12 CHS HEDGING LLC	FCM		02/28/2022	68,761,132	30,420,466	38,340,666	222,494,666	163,178,056	59,316,610	14,100,000	1,527,272	0	1,527,272	200,000	510,188	0	510,188	120,000	0
13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBO1/NFA	02/28/2022	10,229,456,643	4,479,175,805	5,750,280,838	19,360,607,212	18,758,593,912	602,013,300	435,400,000	6,173,332,325	5,847,827,387	325,504,938	188,300,000	30,325,496,765	29,671,732,656 5,015,100,693	653,764,109 699,813,496	448,000,000	0
14 CREDIT SUISSE SECURITIES (USA) LLC 15 CUNNINGHAM COMMODITIES LLC	FCM BD FCM	CBOT	02/28/2022 02/28/2022	11,408,316,949 38,772,459	668,093,081 1,000,000	10,740,223,868 37,772,459	2,178,782,991 63,192,372	1,995,156,881 59,004,095	183,626,110 4,188,277	99,757,844 3,050,000	2,565,997,473 1,334,365	1,890,894,820 902,115	675,102,653 432,250	94,544,742 100,000	5,714,914,189	5,015,100,693	699,813,496	250,755,035	0
16 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD		02/28/2022	1,298,238,057	1,500,000	1,296,738,057	2,163,423	39,004,093	2,163,423	3,030,000	454,831	902,113	454,831	100,000	0) 0	0	0
17 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT		5,723,475,683	212,501,182	5,510,974,501	2,526,786,671	2,324,012,524	202,774,147	125,000,000	791,599,804	665,778,948	125,820,856	75,000,000	0	0) 0	0	0
18 DIRECT ACCESS USA LLC	FCM BD	CME		11,271,677	1.568.250	9,703,427	67.162.245	58.630.618	8,531,627	2,500,000	۱۹۰۰رووور _{یدو} ر	003,770,940	123,020,030	, 3,000,000 N	0	1 0) 0	0	0
19 DORMAN TRADING LLC	FCM	CME		16,745,141	2,094,822	14,650,319	194,904,690	188,809,835	6,094,855	2,000,000	12,745,089	11,815,069	930,020	200,000	Ŏ	i č	0	Ů Ů	0
20 E D & F MAN CAPITAL MARKETS INC	FCM BD SD		02/28/2022	194,194,465	134,884,552	59,309,913	3,378,237,689	3,295,279,932	82,957,757	44,250,000	110,104,837	94,976,481	15,128,356	5,000,000		0	0	0	0
21 ETRADE FUTURES LLC	FCM	NFA		24,573,152	4,757,219	19,815,933	196,530,138	159,753,105	36,777,033	11,000,000	0	0	0	0	0	0	0	0	0
22 FUTU FUTURES INC	FCM	NFA	02/28/2022	1,925,691 50,741,396	1,000,000	925,691 20,567,715	0	0	0	0	0	0	0	0	0	0	0	0	0
23 GAIN CAPITAL GROUP LLC	FCM RFED	NFA	02/28/2022	50,741,396	30,173,681	20,567,715	0	0	0	0	0	0	0	0	0	0	0	0	213,473,614
24 GH FINANCIALS LLC	FCM		02/28/2022	27,921,697	8,238,609	19,683,088	154,048,827	131,606,056	22,442,771	5,500,000	6,179,812	4,679,891	1,499,921	250,000	0	0	0	0	0
25 GOLDMAN SACHS & CO LLC	FCM BD SD		02/28/2022	23,544,210,857	4,580,082,960	18,964,127,897	38,628,289,094	37,734,910,106	893,378,988	675,000,000	10,791,361,908	10,210,705,526	580,656,382	475,000,000	14,930,222,931	14,487,865,954	442,356,977	350,000,000	0
26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD	NFA		33,314,709	1,000,000	32,314,709	0	0	0	0	0	0	0	0	0	0	0	0	0
27 HSBC SECURITIES USA INC	FCM BD	CME		1,405,250,854		944,330,889	4,673,849,568	4,560,499,440	113,350,128	103,000,000	200,661,821	148,612,170	52,049,651	15,000,000	1,547,535,186	1,459,559,948	87,975,238	75,000,000	10 700 101
28 IG US LLC	RFED	NFA		34,290,051	21,679,407	12,610,644	0	0	0	0	0	0	0	0	0	0	0	0	43,588,134
29 INTERACTIVE BROKERS LLC	FCM BD FCM	CME		5,589,761,255	889,603,290	4,700,157,965	8,308,290,015 71,449,987	8,092,046,503	216,243,512	155,000,000	625,002,705	495,918,193 147,843	129,084,512	80,000,000 60,000	0	0	0	0	21,496,313
30 IRONBEAM INC 31 JEFFERIES LLC	FCM BD	NFA	02/28/2022 02/28/2022	8,024,261	1,000,000 109,157,387	7,024,261 1,679,135,818	71,449,967	63,196,358	8,253,629	1,600,000	399,344	147,043	251,501	00,000	0) 0	0	0
32 JP MORGAN SECURITIES LLC	FCM BD SD	CET/NEA	02/28/2022	1,788,293,205 22,877,732,826	5,742,332,019	17,135,400,807	51.837.601.406	49.304.506.309	2,533,095,097	1,134,003,645	10.130.374.822	9,444,201,096	686,173,726	236.105.027	17,962,684,748	16.668.202.817	1,294,481,931	450.041.476	0
33 MACQUARIE FUTURES USA LLC	FCM	CBOT	02/28/2022	453,182,574	400,468,008	52,714,566	3,933,775,595	3,667,302,240	266,473,355	100,000,000	193,414,200	154,190,061	39,224,139	7,000,000		10,000,202,017	6 387 103	1,000,000	
34 MAREX NORTH AMERICA LLC	FCM		02/28/2022	218,487,931	190,282,767	28,205,164	3,319,725,805	3,285,669,757	34,056,048	26,379,941	215,752,382	197,920,708	17,831,674	10,250,000		i o	0,507,100	0	0
35 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA		12,641,023		10,401,504	454,018,203	431,781,640	22,236,563	18,000,000	2,567,861	0	2,567,861	1,000,000	0	Ö	0	0	0
36 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA		8,087,566,885	2,239,519 1,429,420,345	6,658,146,540	2,135,765,145	1,070,936,879	1,064,828,266	300,000,000	0	0	0	0	0	C	0	0	0
37 MID CO COMMODITIES INC	FCM	NFA		17,176,834	11,232,772	5,944,062	45,928,579	28,948,810	16,979,769	5,138,000	0	0	0	0	0	0	0	0	0
38 MINT BROKERS	FCM BD		02/28/2022	2,427,298	1,000,000	1,427,298	0	0	0	0	0	0	0	0	0	0	0	0	0
39 MIZUHO SECURITIES USA LLC	FCM BD		02/28/2022	1,224,538,618		523,582,378	11,101,261,313	10,377,561,435	723,699,878	150,000,000	1,248,029,715	1,082,451,045	165,578,670	70,000,000		0	20,427,986	10,000,000	0
40 MORGAN STANLEY & CO LLC	FCM BD SD		02/28/2022	15,949,384,495	4,251,373,522	11,698,010,973	26,347,931,330	25,967,595,766	380,335,564	235,000,000	8,738,183,904	8,521,068,033	217,115,871	140,000,000	26,555,747,644	25,374,295,944	1,181,451,700	92,000,000	0
41 NANHUA USA LLC	FCM		02/28/2022	18,313,797	13,064,410	5,249,387	198,490,585	184,076,640	14,413,945	3,500,000	696,656	340,875	355,781	100,000	0	0	0	0	0
42 NATWEST MARKETS SECURITIES INC	FCM BD		02/28/2022	587,347,763	1,036,121	586,311,642	7,500,126	406 424 220	7,500,126	5,000,000	2.602.554	2 727 600	874.951	400,000	0		0	0	0
43 NINJATRADER CLEARING LLC	FCM FCM BD	NFA	02/28/2022	9,746,806 2,056,045,273		8,746,806 1,956,142,037	196,374,864 264,192,805	186,434,338 119,930,179	9,940,526 144,262,626	4,000,000 16,000,000	3,602,551 17,212,460	2,727,600	17,212,460	400,000			0	0	- 0
44 NOMURA SECURITIES INTERNATIONAL INC 45 OANDA CORPORATION	FCM BD FCM RFED	NFA	02/28/2022 02/28/2022	38,876,025	99,903,236 29,651,741	1,956,142,037 9,224,284	204,192,805	119,930,179	144,202,020	16,000,000	17,212,460	0	17,212,400	1,000,000	0		0	0	197,106,642
46 PHILLIP CAPITAL INC	FCM BD	CME		76,301,194	37,383,794	38,917,400	768,409,913	743,344,824	25,065,089	11,500,000	12,520,602	8,106,671	4,413,931	1,500,000	0	1) 0	0	197,100,042
47 PICTET OVERSEAS INC	FCM BD		02/28/2022	50,096,185	10,631,370	39,464,815	141,585,686	136,848,828	4,736,858	2,750,000	33,043,380	30,128,844	2,914,536	1,250,000		1	0	0	0
48 RBC CAPITAL MARKETS LLC	FCM BD		02/28/2022	2,577,662,753	460,592,452	2,117,070,301	4,821,098,451	4,385,695,977	435,402,474	260,000,000	345,248,438	267,300,018	77,948,420	20,000,000		953.732.841	212,786,575	80,000,000	0
49 RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA		355,081,745	293,876,951	61,204,794	6,614,065,316	6,392,581,560	221,483,756	50,000,000	227,930,844	196,492,114	31,438,730	20,000,000	0	0	0	0	ő
50 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	02/28/2022	461,650,110	194,273,436	267,376,674	2,356,618,478	2,284,415,798	72,202,680	70,000,000	0	0	0	0	0	0	0	0	0
51 SCORE PRIORITY CORP	FCM BD	NFA		2,878,057	1,000,000	1,878,057	46,103	0	46,103	20,000	40,000	0	40,000	20,000	0	0	0	0	0
52 SCOTIA CAPITAL USA INC	FCM BD	CME		1,399,060,530	170,896,635	1,228,163,895	0	0	0	0	0	0	0	0	0	0	0	0	0
53 SG AMERICAS SECURITIES LLC	FCM BD	CME	02/28/2022	4,187,034,378	2,075,456,493	2,111,577,885	16,576,565,235	16,109,901,241	466,663,994	200,000,000	7,872,190,604	7,479,668,884	392,521,720	200,000,000	2,212,699,948	1,997,445,866	215,254,082	80,000,000	0
54 STONEX FINANCIAL INC	FCM BD	CME	02/28/2022	341,362,326	217,810,212	123,552,114	5,268,338,985	5,155,196,476	113,142,509	50,000,000	201,729,375	186,765,219	14,964,156	6,000,000	622,054	1 0	622,054	250,000	0
55 STRAITS FINANCIAL LLC	FCM PD	CME		23,065,977	9,854,456	13,211,521	500,023,976	487,106,379	12,917,597	2,000,000	31,381,625	21,733,488	9,648,137	300,000	0		0	0	0
56 TRADESTATION SECURITIES INC 57 TRADING.COM MARKETS INC	FCM BD RFED	NFA NFA	02/28/2022	147,721,263	10,929,462	136,791,801	897,519,800	875,525,146	21,994,654	9,000,000	22,262,425	18,922,438	3,339,987	800,000	0		0	0	2011
57 TRADING.COM MARKETS INC 58 UBS FINANCIAL SERVICES INC	FCM BD	NFA NFA		29,746,890 1,076,378,142	20,000,000 147,219,443	9,746,890	130,996,771	94,769,264	26 227 507	25,000,000	51,379,265	24,093,058	27,286,207	15,000,000	0	0	0	0	2,041
59 UBS SECURITIES LLC	FCM BD	CBOT	02/28/2022	5,085,754,264	701,234,542	929,158,699 4,384,519,722	6,261,436,016	5,796,238,933	465,197,083	150,000,000	2,003,827,234	1.842.443.734	27,286,207 161,383,500	75,000,000	1,301,524,285	1.052.895.871	248,628,414	125,000,000	0
60 WEDBUSH SECURITIES INC	FCM BD		02/28/2022	253,882,918	73,733,129	180,149,789	3,009,171,539	2,969,238,995	39,932,544		39,212,994	34,308,283	4,904,711	1,000,000	1,301,324,203	1,032,033,071	1 270,020,414	123,000,000	- O
61 WELLS FARGO SECURITIES INC	FCM BD	CME		9,777,357,774	1,515,956,511	8,261,401,263	5,815,532,592	5,226,974,523	588,558,069	115,000,000	664,727,686	516,957,040	147,770,646	30,000,000	14,855,697,858	13,057,524,912	1,798,172,946	355,000,000	0
TELES TIMOS SECONTILES EEC	TGH BB	CITE	32/20/2022	5,111,551,114	1,313,330,311	0,201,101,203													
Totals							287,686,013,574	273,361,248,895	14,324,764,679	6,011,284,158	65,460,636,581	60,021,297,044	5,439,339,537	2,062,396,258	145,791,166,305	137,338,819,297	8,452,347,008	2,820,166,511	540,917,179
January Web Page Update	61																		
Additions																		1	
None			1						1							1		1	
Tions.			1	1													1	1	
Deletions				1													1	1	
None																			
Name Changes																			
None																			
February Web Page Update	61			1															
		1			1		1		1	1				1	1	1	1	1	, ,

SELECTED FCM FINANCIAL DATA AS OF February 28, 2022 FROM REPORTS FILED BY March 23, 2022

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.											
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1,000,000); or											
• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or											
the amount of capital required by a registered futures association (currently NFA is the only such association); or											
 for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or 											
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or											
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. The property of the FCM's or RFED's (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.											
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.											
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.											
(1). This represents the total annount of funds that art of its required to segregate on behalf or designated united with the control of the											
(a): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.											
(i)). This connecests the total amount of manual constitutes and appears held in control and accounts for future and actions sustaining and accounts for future and actions are accounts for future and accounts for future and actions are accounts for future and accounts for future and accounts for future and actions are accounts for future and a											
(ii): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.											
(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.											
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.											
(1): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.											
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.											
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.											
A CONTRACTOR OF THE CONTRACTOR											
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.											
(A) This provides the break desired for the first state of the first s											
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.											
(g): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.											
[Q]. This represents the total amount of rands at an indirent of the control of t											
				•							