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<tbody>
<tr>
<td>01/31/2022</td>
<td>7,000,000</td>
<td>5,050,313,441</td>
<td>1,177,432,469</td>
<td>0</td>
<td>9,000,000</td>
<td>0</td>
<td>150,000,000</td>
<td>174,880,884</td>
<td>22,829,020</td>
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<tr>
<td>01/31/2022</td>
<td>5,180,931,192</td>
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</tr>
</tbody>
</table>
(a): Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.
(b): Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.
(c): The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.
(d): The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.
(e): The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.
(f): Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

**A firm's net capital requirement is the greater of:**

- initial (minimum) dollar amount ($1,000,000; or
- the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
- the amount of capital required by a registered futures association (currently NFA is the only such association; or
- $5,000,000; or
- the amount of capital required by the Commodity Futures Trading Commission; or
- the amount of capital required by the Securities and Exchange Commission; or
- $20,000,000; or
- the amount of capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or
- the amount of capital required by the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer; or
- the amount of capital required by the Commodity Futures Trading Commission as a provisionally registered swap dealer.

**Excess net capital is adjusted net capital, less the firm's net capital requirement.**

**Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.**

**Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.**

**Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.**

**Excess/Deficient funds in cleared swap customer accounts that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.**