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<td>Targets</td>
<td>NAME</td>
<td>SECURITIES</td>
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### SELECTED FCM FINANCIAL DATA AS OF December 31, 2021
FROM REPORTS FILED BY January 25, 2022

| RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission. |
| BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer. |
| FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer. |
| DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second. |

- **a:** A firm's net capital requirement is the greater of:
  - Minimum dollar amount ($1,000,000); or
  - Risk-based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or
  - The amount of capital required by a registered futures association (currently NFA is the only such association); or
  - The amount of capital required by the Securities and Exchange Commission; or
  - Minimum dollar amount for FCMs offering or engaged in retail forex transactions and RFEDs ($20,000,000); or
  - Minimum dollar amount for FCMs offering or engaged in retail forex transactions and RFEDs ($20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of $10,000,000. |

- **b:** Excess net capital is adjusted net capital, less the firm's net capital requirement. |

- **c:** This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act. |

- **d:** Excess/deficient funds in segregation in customer accounts in segregation, less the customer segregation requirement. |

- **e:** This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm. |

- **f:** Excess/deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement. |

- **g:** This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm. |

- **h:** This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Section 4d(f) of the Commodity Exchange Act. |

- **i:** This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.