Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	12/31/2021	643,407,755	219,766,530	423,641,225	4,382,916,446	3,560,377,944	822,538,502	348,802,748	88,721,344	72,865,590	15,855,754	7,286,559	0 16		0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	12/31/2021	436,821,720	337,843,182	98,978,538	7,249,815,587	7,048,320,779	201,494,808		539,272,844	493,633,990	45,638,854	20,000,000	9,813,852	· ·	9,813,852	8,000,000	C
3 ADVANTAGE FUTURES LLC	FCM	CME	12/31/2021	27,611,474	11,890,579	15,720,895	435,501,886	417,527,566	17,974,320	11,000,000	34,077,866	30,260,025	3,817,841	1,000,000	0	(0	0	C
4 AMP GLOBAL CLEARING LLC	FCM	NFA	12/31/2021		1,000,000	5,888,715	72,207,176	64,910,754	7,296,422		2,174,151	1,806,989	367,162	150,000	0	(0	0	0
5 APEX CLEARING CORPORATION	FCM BD	NFA	12/31/2021		45,565,172	400,755,831	97,421,691	68,829,498	28,592,193	10,000,000	0	0	0	0	0	(0	0	0
6 BAKKT CLEARING LLC 7 BARCLAYS CAPITAL INC	FCM FCM BD	NFA NYME	12/31/2021	2,033,058 8,777,337,719	1,000,000 1,919.821.864	1,033,058 6.857,515.855	0.001.500.533	0.700.142.250	252 456 164	55,000,000	3,122,732,642	2,000,272,227	222 200 415	12,000,000	12 451 004 212	12,008,347,638	443 536 575	250,000,000	
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	12/31/2021 12/31/2021		717,145,615	1,635,803,538	9,061,598,523 6,070,916,023	8,709,142,359 5,372,094,337	352,456,164 698,821,686	503,000,000	575,503,236	2,900,372,227 422,702,859	222,360,415 152,800,377	30,000,000		1,769,766,148		95,000,000	
9 BOFA SECURITIES INC	FCM BD	CBOT	12/31/2021		3,498,550,070	13,122,700,630	22,413,676,558		395,543,857	200,000,000	4,909,543,823	4,659,166,766		150,000,000		13,424,015,676		150,000,000	
10 CANTOR FITZGERALD & CO	FCM BD	CBOT	12/31/2021	425,851,478	1,961,921	423,889,557	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	15) 12 1/015/07	0	0	Ö
11 CHARLES SCHWAB FUTURES & FOREX LLC	FCM	NFA	12/31/2021	233,464,875	38,398,771 23,282,357	195,066,104	762,752,297	602,089,261 211,394,447	160,663,036	100,000,000	0	0	0	0	0	(0	0	62,541,172
12 CHS HEDGING LLC	FCM	CBOT	12/31/2021	70,498,835	23,282,357	47,216,478	271,527,022	211,394,447	60,132,575	14,100,000	1,527,272	0	1,527,272	200,000		(510,188	120,000	0
13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA			4,563,233,485	8,019,474,799	19,888,886,341		658,366,689	459,900,000	6,294,294,323	5,948,617,653	345,676,670	192,500,000		31,561,208,631		465,500,000	0
14 CREDIT SUISSE SECURITIES (USA) LLC 15 CUNNINGHAM COMMODITIES LLC	FCM BD FCM	CBOT	12/31/2021		953,797,500	11,468,778,167	3,219,735,990		213,582,830		4,231,027,498	3,310,101,822 738,423	920,925,676 424,377	165,505,091		7,607,187,534	561,940,611	380,359,377	
16 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	12/31/2021 12/31/2021	21,988,979 1,286,465,073	1,000,000 1,500,000	20,988,979 1,284,965,073	50,419,763 2,163,173	46,754,287 0	3,665,476 2,163,173	3,050,000	1,162,800 458,459	730,423	458,459	100,000	0		0	0	
17 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	12/31/2021		204,971,421	5,807,029,650	2,371,547,139	2,171,589,820	199,957,319	125,000,000	697,704,151	571,474,488		75,000,000	0	i	Ö	0	Č
18 DIRECT ACCESS USA LLC	FCM	CME	12/31/2021	11,315,931 16,333,350	2,568,116 1,819,994	8,747,815	70,673,866 185,192,236	63,559,747 180,165,038	7,114,119 5,027,198	2,500,000 2,000,000	0	0	0	0	0		0	0	
19 DORMAN TRADING LLC	FCM		12/31/2021	16,333,350	1,819,994	14,513,356		180,165,038	5,027,198		18,528,121	17,619,667	908,454	200,000	0	(0	0	0
20 E D & F MAN CAPITAL MARKETS INC	FCM BD SD	CME/NFA		215,109,206 38,500,342	145,006,673 7,740,806	70,102,533	3,653,092,956	3,575,295,730	77,797,226	44,250,000	107,164,284	96,971,536	10,192,748	5,000,000	0	(0	0	0
21 ETRADE FUTURES LLC	FCM		12/31/2021			30,759,536	183,856,980	146,003,264	37,853,716	11,000,000	0	0	0	0	0	ļ	1 0	0	0
22 FUTU FUTURES INC 23 GAIN CAPITAL GROUP LLC	FCM FCMRFD		12/31/2021 12/31/2021		1,000,000 29,846,040	937,328 20,399,164	0	0	0	0	0	0	0	0	0		0	0	206,920,800
24 GH FINANCIALS LLC	FCMRFD	CME	12/31/2021	27,574,099	9,036,947	18,537,152	160,578,859	142,624,906	17,953,953	6,100,000	6,683,310	5,218,473	1,464,837	400,000) 0	7	<u> </u>	0	200,920,800
25 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	12/31/2021	22,203,804,424	4,441,883,966	17,761,920,458	36,380,053,838	35,342,756,552	1,037,297,286	675,000,000	10,582,496,328	10,037,347,208	545,149,120	475,000,000		15,502,211,094	409,690,589	350,000,000	0
26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD	NFA	12/31/2021	33,420,997	1,000,000	32,420,997	0	0	0	0	0	0	0	0	0	(0	0	Ö
27 HSBC SECURITIES USA INC	FCM BD	CME	12/31/2021		428,046,934	814,137,789	3,516,028,384	3,398,842,615	117,185,769	106,000,000	168,162,789	142,159,103	26,003,686	15,000,000	1,676,513,028	1,603,502,609	73,010,419	61,000,000	0
28 IG US LLC	RFED	NFA	12/31/2021		21,295,880	12,206,604	0	0	0	0	0	0	0	0	0	9	0	0	35,917,606
29 INTERACTIVE BROKERS LLC	FCM BD FCM	CME	12/31/2021	5,581,169,671	1,001,295,548	4,579,874,123	8,147,162,791	7,885,981,567	261,181,224	155,000,000	613,067,496	489,524,273	123,543,223	80,000,000			0	0	50,958,818
30 IRONBEAM INC 31 JEFFERIES LLC	FCM BD	NFA NFA	12/31/2021 12/31/2021		1,000,000 100,582,126	4,908,146 1,789,874,310	67,552,570	61,526,722	6,025,848	1,600,000	366,140	116,906	249,234	60,000	0		0	0	
32 JP MORGAN SECURITIES LLC	FCM BD SD		12/31/2021			18,612,382,178	52,478,052,447	50,788,181,390	1,689,871,057	1,168,128,172	8,315,259,940	7,842,476,747	472,783,193	196,061,919	19,182,736,233	18,439,705,447	743,030,786	497,872,047	Ö
33 MACQUARIE FUTURES USA LLC	FCM	CBOT	12/31/2021	419,119,951	358,323,089	60,796,862	4,098,371,920	3,844,076,289	254,295,631	100,000,000	149,312,108	121,021,948	28,290,160	7,000,000		221,218	16,389,110	1,000,000	C
34 MAREX NORTH AMERICA LLC	FCM	CME	12/31/2021	195,765,134 14,487,896	165,485,360	30,279,774	3,580,572,258	3,546,864,116	33,708,142 20,692,097	26,379,941	198,812,956	183,838,862	14,974,094	10,250,000	0	(0	0	0
35 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	12/31/2021	14,487,896	1,341,198	13,146,698	435,501,427	414,809,330	20,692,097	18,000,000	2,567,861	0	2,567,861	1,000,000	0	(0	0	0
36 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA NFA	12/31/2021		1,532,735,591	4,646,346,281 7.419.609	2,420,077,316	1,320,032,838	1,100,044,478		0	0	0	0	0		0	0	0
37 MID CO COMMODITIES INC 38 MINT BROKERS	FCM FCM BD	NFA	12/31/2021 12/31/2021		9,426,997 1,000,000	1,418,345	88,055,300	71,568,687	16,486,613	5,138,000	0	0	0		0		0	0	
39 MIZUHO SECURITIES USA LLC	FCM BD	CME	12/31/2021		772,342,448	445,685,325	11,963,402,620	10,951,108,059	1,012,294,561	150,000,000	1,192,682,508	1,016,536,012	176,146,496	70,000,000	20,424,944	11,280	20,413,664	10,000,000	
40 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA			4,192,584,451	14,190,455,179	24,447,487,447	24,062,518,679	384,968,768	235,000,000	8,217,973,774	8,004,994,769	212,979,005	140,000,000		26,142,183,143	209,219,527	92,000,000	C
41 NANHUA USA LLC	FCM	CME	12/31/2021	18,362,182 643,777,201	9,189,682	9,172,500 642,370,105	166,313,611 7,500,126	151,395,169	14,918,442 7,500,126	3,500,000 5,000,000	704,092	349,747	354,345	100,000	0	(0	0	C
42 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	12/31/2021		1,407,096		7,500,126	0	7,500,126	5,000,000	0	0	0	0	0	(0	0	0
43 NINJATRADER CLEARING LLC 44 NOMURA SECURITIES INTERNATIONAL INC	FCM FCM BD	NFA	12/31/2021		1,000,000	8,179,976	193,666,880	184,469,906	9,196,974	4,000,000	3,513,012 17,277,334	2,599,807	913,205	400,000		(0	0	0
45 OANDA CORPORATION	FCM RFD	CBOT NFA	12/31/2021 12/31/2021	2,879,180,642 41,006,171	157,686,440	2,721,494,202 11,807,667	267,089,644	123,059,248	144,030,396	16,000,000	17,277,334	0	17,277,334	1,000,000	0		0	0	187,518,897
46 PHILLIP CAPITAL INC	FCM BD	CME	12/31/2021		29,198,504 37,025,374	40.428.805	783,255,093	758,232,113	25,022,980	11,500,000	12,586,335	7,360,556	5,225,779	1.500.000	0 0		0	0	107,510,057
47 PICTET OVERSEAS INC	FCM BD	NFA	12/31/2021	51,669,262	11,362,267	40,306,995	161,902,352	157,212,032	4,690,320	2,750,000	41,319,565	38,392,589	2,926,976	1,250,000	0	· ·	0	0	C
48 RBC CAPITAL MARKETS LLC	FCM BD	CME	12/31/2021	2,692,364,137	471,225,431	2,221,138,706	4,509,954,117	3,984,002,258	525,951,859	240,000,000	316,627,603 225,276,074	239,066,953	77,560,650	20,000,000	1,316,322,714	1,104,318,280	212,004,434	80,000,000	C
49 RJ OBRIEN ASSOCIATES LLC	FCM SD	CME/NFA	12/31/2021	292,897,063	244,301,726	48,595,337	6,020,775,197	5,825,960,757	194,814,440	50,000,000	225,276,074	194,677,670	30,598,404	20,000,000	0	(0	0	0
50 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	12/31/2021	458,027,614	177,400,944	280,626,670	2,336,535,582	2,264,313,280	72,222,302	70,000,000	0	0	0	0	0	9	0	0	0
51 SCORE PRIORITY CORP 52 SCOTIA CAPITAL USA INC	FCM BD	NFA CME	12/31/2021 12/31/2021	4,664,309 1,480,980,855	1,000,000 152,315,702	3,664,309 1,328,665,153	46,103	0	46,103	20,000	40,000	0	40,000	20,000	0		0	0	0
53 SG AMERICAS SECURITIES LLC	FCM BD		12/31/2021		2,022,013,983	2,402,535,774	16,411,934,346	15,927,982,515	483,951,831	200,000,000	7,986,701,983	7,587,924,929	398,777,054	200,000,000	1,999,120,702	1,778,487,366	220,633,336	80,000,000	0
54 STONEX FINANCIAL INC	FCM BD		12/31/2021		197,375,136	129,876,266	4,808,274,823	4,741,960,849	66,313,974		230,966,646	218,722,412	12,244,234	6,000,000		2,0,.0,,500	622,022	250,000	Č
55 STRAITS FINANCIAL LLC	FCM	CME	12/31/2021	26,603,317	9,132,240	17,471,077	528,450,178	513,596,869	14,853,309	2,000,000	37,277,076 22,563,558	27,672,532	9,604,544	300,000	0		0	0	
56 TRADESTATION SECURITIES INC	FCM BD	CME	12/31/2021	151,540,083	14,679,581	136,860,502	1,029,528,436	1,012,617,387	16,911,049	9,000,000	22,563,558	19,245,452	3,318,106	800,000	0	(0	0	0
57 TRADING.COM MARKETS INC	RFED FCM RD		12/31/2021	22,379,343	20,000,000	2,379,343	100 434 111	62,024,022	30 500 500	0	0	0	0	15.000.000	0		0	0	0
58 UBS FINANCIAL SERVICES INC 59 UBS SECURITIES LLC	FCM BD FCM BD	NFA CBOT	12/31/2021	1,327,469,548 5,584,442,131	153,280,552 699,798,116	1,174,188,996 4,884,644,015	100,424,441 6,230,078,774	63,834,932 5,949,552,058	36,589,509 280,526,716	25,000,000 150,000,000	50,596,622 1,663,608,677	23,214,719 1,507,614,849	27,381,903	15,000,000 75,000,000		1,022,384,290	246,116,206	125,000,000	0
60 WEDBUSH SECURITIES INC	FCM BD	CBOT	12/31/2021 12/31/2021	221,006,516	68,017,035	152,989,481	2,481,361,449	2,449,644,783	280,526,716 31,716,666	19,000,000	34,847,473	30,076,038	155,993,828 4,771,435	1,000,000	, 1,200,300,490) n	1,022,304,290	2-10,110,200	123,000,000	n
61 WELLS FARGO SECURITIES LLC	FCM BD	CME	12/31/2021	9,738,974,594	1,527,507,020	8,211,467,574	5,941,567,458	5,388,013,541	31,716,666 553,553,917	115,000,000	550,040,233	431,209,963	118,830,270	30,000,000	14,789,793,485	14,139,873,771	649,919,714	340,000,000	Ö
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Totals							280,210,427,361	267,819,599,791	12,390,827,570	6,082,926,520	61,265,224,307	56,697,694,552	4,567,529,755	2,026,083,570	151,089,191,995	146,103,424,125	4,985,767,870	2,986,101,424	543,857,293
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Name Changes None																			
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SELECTED FCM FINANCIAL DATA AS OF December 31, 2021 FROM REPORTS FILED BY January 25, 2022

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.							
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.							
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.							
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dea	lor						
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered so							
SST THE FOLLOWING REGISTERS AND THE COMMISSION OF THE PROPERTY	Tap dealer.						
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs lister	I if they have a different DSRO for the FCM/RFF	ED and the SD. The DSRO for the FO	M/RFED is listed first and the DSRO for the	SD is listed second.			
(c): A firm's net capital requirement is the greater of:							
(c). A times net capital requirement is the greater of.							
FCM minimum dollar amount (\$1,000,000); or							
 risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-custo 	mer risk maintenance margin; or						
 the amount of capital required by a registered futures association (currently NFA is the only such association); or 	-						
 for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchan 	ge Commission; or						
 minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or 							
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five	percent of the FCM's or RFED's total retail fore:	ex obligation in excess of \$10,000,000					
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.							
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options of	ustomers in compliance with Section 4d of the	Commodity Exchange Act.					
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on	a designated contract market or derivatives tra	ansaction execution facility. This is th	e sum of all accounts that contain a net liqu	idating equity.			
(a) Function the state is a second in a second in the state of the second in the secon							
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.							
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer	r segregation requirement. This target amount	t is set by the firm					
(ii). This represents the targeted excess of proprietary rands deposited into customer segregated accounts above the customer	Segregation requirement. This target unbune	t is set by the firm.					
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options custo	mers who trade on commodity exchanges locat	ted outside the United States in comp	liance with Part 30 of the Commodity Excha	inge Act.			
(j): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges lo	ated outside of the United States.						
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer am	Jount Part 30 requirement						
(v) Execus, periodic ratios in separate section son accounts is ratios in separate section son accounts (i.e.,	June Fare 50 Fedurement						
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the custome	amount Part 30 requirement. This target amo	ount is set by the firm.					
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared s	wap customers in compliance with Section 4d(f	r) or the Commodity Exchange Act.					
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.							
(iii) The representative distribution of the second of the							
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less th	e cleared swap customer segregation requirement	ent.					
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above	e the cleared swap customer segregation requi	irement. This target amount is set by	the firm.				

(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.