| Futures Commission Merchant / Retail Foreign Exchange Dealer | Registered As | DBID | As of Date | Adjusted Net Capital | Net Capital Requirement | Excess Net Capital | Customers' Assets in Seg | Customers' Marg Account Maintained | Target Reserve Amount in Seg | Funds in Separate Accounts in Seg | Customer Amount in Seg | Target Reserve Amount in Brokerage Seg | Funds in Separate Accounts in Brokerage Seg | Target Reserve Amount in Brokerage Seg | Total Amount of Regulatory Capital Obligation |
|-------------------------------------------------------------|----------------|------|------------|----------------------|---------------------|------------------------|-------------------------------|--------------------------------|-------------------------------|--------------------------------|-------------------------------|--------------------------------|-------------------------------|------------------------------------------------|

SELECTED FCM FINANCIAL DATA AS OF July 31, 2021
FROM REPORTS FILED BY August 4, 2021

**Table Note:**
- Adjusted Net Capital: The adjusted net capital of each FCM is calculated based on regulatory guidelines that consider various factors such as capital requirements, risk weighting, and other financial metrics.
- Net Capital Requirement: The minimum amount of net capital that each FCM is required to maintain as determined by regulatory authorities.
- Excess Net Capital: The difference between the adjusted net capital and the net capital requirement, indicating the capital cushion available to the FCM.
- Customer Accounts: The total assets and liabilities held in customer accounts managed by the FCM.
- Target Reserve Amount: The amount of capital required to be held in reserve, reflecting regulatory standards.
- Funds in Separate Accounts: The funds held in separate accounts as required by regulations.
- Customer Amount: The amount of capital contributed by customers.
- Total Amount of Regulatory Capital Obligation: The total regulatory capital obligation for each FCM, encompassing adjusted net capital, net capital requirement, and other regulatory requirements.
**SELECTED FCM FINANCIAL DATA AS OF July 31, 2021**

FROM REPORTS FILED BY August 24, 2021

**a:** FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.

**BD:** The FCM is also registered as a broker-dealer with the Securities and Exchange Commission.

**RFED:** Retail Foreign Exchange Merchant.

**FCMRFD:** The FCM is also registered as a Retail Foreign Exchange Merchant.

**DSRO:** Designated Self-Regulator Organization. FCM and RFED firms that are dually registered as SDs have two DSROs listed if the SD is not DRT. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.

**b:** For securities brokers and dealers, the amount of net capital required to securitize customer accounts is funds in separate Section 30.7 accounts above the cleared swap securitization requirement. This target amount is set by the firm.

**c:** This represents the total amount of money, securities, and property deposited into separate cleared swap securitization accounts above the cleared swap securitization requirement. This target amount is set by the firm.

**d:** Excess net capital of non-U.S. retailers is funds in separate Section 30.7 accounts placed in clearing organizations located outside of the United States.

**e:** This represents the amount of funds an FCM is required to securitize or segregate for customers who trade on commodity futures markets located outside the United States.

**f:** This represents the total amount of money, securities, and property required to securitize customers who trade on commodity futures markets located outside the United States.

**g:** Excess/Deficient funds in securitization accounts is funds in separate Section 30.7 accounts less the customer securitization requirement.

**h:** This represents the target excess of proprietary funds deposited into separate cleared swap securitization accounts above the cleared swap securitization requirement.

**i:** This represents the target post of proprietary funds that would be obtained by the firm.

**j:** This represents the total amount of money, securities, and property held in separate cleared swap securitization accounts for customers in compliance with Section 4d(f) of the Commodity Exchange Act.

**k:** This represents the post of proprietary funds that would be obtained by the firm.

**l:** This represents the amount of funds an FCM is required to securitize or segregate for customers who trade on commodity futures markets located outside the United States.

**m:** This represents the total amount of money, securities, and property held in separate cleared swap securitization accounts for customers in compliance with Part 30 of the Commodity Exchange Act.

**n:** This represents the amount of funds an FCM is required to securitize or segregate for customers who trade on commodity futures markets located outside the United States.

**o:** Excess/Deficient funds in securitization accounts is funds in separate clearing organizations accounts above the cleared swap securitization requirement.

**p:** This represents the total amount of money, securities, and property held in separate clearing organizations accounts above the cleared swap securitization requirement.

**q:** This represents the total amount of money, securities, and property held in separate clearing organizations accounts above the cleared swap securitization requirement.

**r:** This represents the total amount of money, securities, and property required to securitize customers who trade on commodity futures markets located outside the United States.

**s:** This represents the total amount of money, securities, and property held in separate clearing organizations accounts for customers in compliance with Section 4d(f) of the Commodity Exchange Act.

**t:** This represents the total amount of money, securities, and property held in separate clearing organizations accounts for customers in compliance with Part 30 of the Commodity Exchange Act.