| Futures Commission Merchant / Retail Foreign Exchange Dealer | Registered As | DBEDD | As of Date | Adjusted Net Capital | Net Capital Requirement | Excess Net Capital | Customers' Assets in Reg | Customers' Marginals Req'd in Reg | Excess/Deficit Funds in Reg | Target Reserve Amount in Reg | Funds in Separate Sections 28.7 Accounts | Customer Amount Available in Separate Section 28.7 Accounts | Target Residual Amount in Section 28.7 Accounts | Funds in Separate Segregated Accounts | Customer Amount Available in Separate Segregated Accounts | Reserves/Marital Funds in Chosen Segregated Accounts | Target Residual Amount in Chosen Segregated Accounts | Total Amount of Residual Customer Obligation |
|---------------------------------------------------------|---------------|-------|------------|----------------------|-----------------------|----------------------|--------------------------|-------------------------------|-----------------------------|-----------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------------|-------------------------------|
| DM INVESTOR SERVICES INC FCM CBOT 04/30/2021 | 417,228,574 | 1,000,000,000 | 44,395,459 | 10,000,000 | 1,000,000,000 | 1,000,000,000 | 20,000,000 | 9,807,693 | 9,807,693 | 0 | 9,807,693 | 8,000,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| DVANTAGE FUTURES LLC FCM CME 04/30/2021 | 23,276,577 | 12,500,000,000 | 30,790,016 | 30,000,000 | 12,500,000,000 | 8,789,984 | 3,743,432 | 1,000,000 | 1,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| BOFA SECURITIES INC FCM BD CBOT 04/30/2021 | 373,000,000 | 12,000,000,000 | 260,202,694 | 276,000,000 | 12,000,000,000 | 5,799,306 | 2,421,600 | 1,660,000 | 1,660,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DORMAN TRADING LLC FCM CME 04/30/2021 | 291,333,743 | 8,000,000,000 | 845,622,125 | 1,000,000,000 | 8,000,000,000 | 154,377,875 | 125,300,000 | 24,000,000 | 24,000,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PICTET OVERSEAS INC FCM BD NFA 04/30/2021 | 1,484,288 | 500,000,000 | 325,125,000 | 374,000,000 | 500,000,000 | 49,092,500 | 1,119,639 | 925,171 | 925,171 | 0 | 925,171 | 118,613 | 0 | 0 | 0 | 0 | 0 |
| TRADING.COM MARKETS INC RFED NFA 04/30/2021 | 317,229,924 | 1,520,000,000 | 280,149,267 | 722,125,000 | 1,520,000,000 | 396,657,833 | 254,677,692 | 144,381,308 | 144,381,308 | 0 | 144,381,308 | 722,125 | 0 | 0 | 0 | 0 | 0 |

**SELECTED FCM FINANCIAL DATA AS OF**
**FROM REPORTS FILED BY**
**May 25, 2021**
SELECTED FCM FINANCIAL DATA AS OF
May 25, 2021

[Table]

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.
(b): RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.
(c): SD: The FCM or RFED is also registered as a Retail Foreign Exchange Dealer.
(d): DSRO: Designated Self-Regulator Organization. FCM/RFED firms that are dually registered have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.
(e): Excess net capital is adjusted net capital.
(f): The amount of funds an FCM is required to segregate on behalf of customers who trade on commodities located outside the United States.
(g): Excess/proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.
(h): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(i): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(j): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(k): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(l): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(m): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(n): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(o): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(p): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.
(q): Represents the total amount of margin, securities and proprietary funds held in cleared segregated accounts for futures and options customers who trade on a commodity exchange or a derivatives transaction execution facilitator. This is the sum of all accounts that contain a net balancing result.