SELECTED FCM FINANCIAL DATA AS OF July 31, 2020 FROM REPORTS FILED BY August 25, 2020

Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As		As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg			Funds in	Customer	Excess/Deficient	Target Residual	Funds in	Customer	Excess/Deficient	Target Residual	Total Amount of
		DSRO						Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Separate Section 30.7 Accounts	Amount Pt. 30 Required	Funds in Separate Section	Interest in Section 30.7	Separate Cleared Swap	Amount Cleared Swap Seg	Funds in Cleared Swap Seg	Interest in Cleared Swap	Retail Forex Obligation
	(a)	(b)		<u>├</u> ───┤	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	30.7 Accounts (k)	(I)	Segregation (m)	Required (n)	Accounts (0)	Seg (p)	(q)
			0.01/0.000								100 000 510								
ABN AMRO CLEARING CHICAGO LLC ADM INVESTOR SERVICES INC	FCM BD FCM	CBOT CBOT	07/31/2020 07/31/2020	623,589,718 330,442,592	201,156,574 219,587,059	422,433,144 110,855,533	3,668,497,543 4,842,242,238	3,086,227,680 4,632,635,516	582,269,863 209,606,722	295,072,562 2 120,000,000	109,278,542 312,002,917	87,475,167 266,501,511	21,803,375 45,501,406	8,747,517 20,000,000	9,801,982	(9,801,982	8,000,000	'
3 ADVANTAGE FUTURES LLC	FCM	CME	07/31/2020	21,139,263	7,092,677	14,046,586	354,735,695	331,648,706	23,086,989	12,500,000	27,853,530	24,524,987	3,328,543	1,000,000	0	(0 0	0,000,000	, <u> </u>
4 AMP GLOBAL CLEARING LLC	FCM	NFA	07/31/2020	5,889,833	1,000,000	4,889,833	67,793,138	61,434,234	6,358,904	900,000	2,030,297	1,670,245	360,052	150,000	0 0	(0 0	0	1
5 APEX CLEARING CORPORATION	FCM BD	NFA	07/31/2020	193,448,398	18,185,612	175,262,786	49,871,201	29,464,397	20,406,804	6,000,000	0	0	0	0	0 0	(0 0	0	
6 BAKKT CLEARING LLC 7 BARCLAYS CAPITAL INC	FCM FCM BD	NFA NYME	07/31/2020 07/31/2020	11,237,892 7,202,629,137	1,000,000 1,623,729,698	10,237,892 5,578,899,439	9,434,823,530	9,017,437,128	3 417,386,402	339,055,637	3,121,388,582	2,922,984,420	198,404,162	97.627.680	0 8.749.391.028	8,342,913,124	406.477.904	247,784,520	'
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	07/31/2020	2,290,556,201	589,550,540	1,701,005,661	4,203,848,840	3,903,947,717	299,901,123	240,000,000	283,746,591	164,524,528	119,222,063	30,000,000	2,034,799,683	1,860,415,212	174,384,471	95,000,000	
9 BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	07/31/2020	5,756,078	1,000,000	4,756,078	11,394,091	7,983,651	3,410,440	1,000,000	6	0	6	1	L 6	() 6	1	
0 BOFA SECURITIES INC	FCM BD	CBOT	07/31/2020	17,605,549,364	3,337,874,198	14,267,675,166	23,517,841,582	23,069,766,854	448,074,728	3 200,000,000	5,434,356,333	5,124,923,754	309,432,579	150,000,000	11,781,669,990	11,445,480,094	336,189,896	150,000,000	′
1 CANTOR FITZGERALD & CO 2 CHARLES SCHWAB & CO INC	FCM BD FCM BD	NFA	07/31/2020 07/31/2020	395,820,629 1,941,019,364	2,947,446 480,121.663	392,873,183 1.460.897,701	4,969,921 257,411,696	198,642,968	4,969,921 58,768,728	3,000,000 33,769,305	50,000	0	50,000	1	0		0 0	0	
3 CHARLES SCHWAB FUTURES, INC.	FCM	NFA	07/31/2020	1,500,503	1,000,000	500,503	0	0) 0	0 0	0	0	0	C	0 0	(0 0	0	i i
4 CHS HEDGING LLC	FCM	CBOT	07/31/2020	64,011,261	9,126,959	54,884,302	186,617,566	130,473,256	56,144,310	13,600,000	1,527,272	0	1,527,272	200,000		59,124		120,000	1
5 CITIGROUP GLOBAL MARKETS INC	FCM BD SD FCM BD	CBOT/NFA	07/31/2020	10,644,741,619	4,011,104,211	6,633,637,408	16,118,267,815	15,654,718,048	463,549,767	324,100,000	4,423,025,495	4,185,414,692	237,610,803	163,100,000	36,743,251,088	35,997,954,109	745,296,979	512,400,000	
6 CREDIT SUISSE SECURITIES (USA) LLC 7 CUNNINGHAM COMMODITIES U.C.	FCM BD FCM	CBOT	07/31/2020	11,732,359,758 5,752,126	1,940,780,847 1,047,397	9,791,578,911 4,704,729	7,768,956,885 55.021,452	7,322,375,938 51,447,811	3 446,580,947 1 3,573,641	366,118,797	5,665,132,465 1,197,837	4,768,723,287	896,409,178 525,908	238,436,164 100,000	15,049,679,310	14,124,824,138	924,855,172	706,241,207	'
8 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	07/01/2020	1,174,391,965	1,500,000	1,172,891,965	2,177,293	0	2,177,293	3 1	563,734	0	563,734	1	0	(0 0	. 0	
9 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	07/31/2020	8,285,668,016	285,281,343	8,000,386,673	3,686,139,021	3,435,161,711	250,977,310	125,000,000	710,536,052	562,123,397	148,412,655	75,000,000	0	(0 0	0	′
0 DIRECT ACCESS USA LLC 1 DORMAN TRADING LLC	FCM FCM	CME CME	07/31/2020 07/31/2020	13,011,276 14,245,581	1,000,000 2,293,151	12,011,276 11,952,430	28,663,894 237,035,980	16,812,928 230,568,815	3 <u>11,850,966</u> 6,467,165	5 2,500,000 5 2,000,000	0 9,934,641	0 9,340,544	0 594,097	200,000	0		0 0		, '
2 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	07/31/2020	199,998,650	121,336,456	78,662,194	2,460,784,850	2,378,139,444	82,645,406	44,250,000	77,079,025	67,532,379	9,546,646	5,000,000	0 0	(0 0	0	
3 E*TRADE FUTURES LLC	FCM	NFA	07/31/2020	31,477,557	4,274,056	27,203,501	103,471,469	72,630,751	1 30,840,718	8,000,000	0	0	0	C	0 0	(0 0	0	
4 GAIN CAPITAL GROUP LLC 5 GH FINANCIALS LLC	FCMRFD FCM	NFA CMF	07/31/2020 07/31/2020	68,535,069 25,324,841	30,183,206 5,982,308	38,351,863 19,342,533	253,933,462 104,897,174	234,604,643 84,385,591	3 19,328,819 20,511,583	0 10,000,000 8 4,000,000	4,273,060 5,499,859	2,497,023 4,230,163	1,776,037 1,269,696	1,000,000	0 0	(0	0	213,664,113
6 GOLDMAN SACHS & CO LLC	FCM BD SD	CIME CBOT/NFA	07/31/2020	20,154,212,854	4,037,130,874	16,117,081,980	35,195,120,413	34,270,663,504	4 924,456,909	675,000,000	11,123,723,397	4,230,163	590,354,847	475,000,000	11,419,722,092	10,989,446,353	430,275,739	350,000,000	<u> </u>
7 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD	NFA	07/31/2020	1,503,648	1,000,000	503,648	0	0	0 0	0 0	0	0	0	C	0 0	(0 0	0	į į
8 HSBC SECURITIES USA INC	FCM BD	CME NFA	07/31/2020	1,346,461,274	467,626,989	878,834,285	4,207,049,429	4,008,887,961	198,161,468	186,000,000	176,221,434	138,935,366	37,286,068	25,000,000		1,278,108,210	188,109,254	177,000,000	′
9 HUATAI FINANCIAL USA INC 0 IG US LLC	FCM RFFD	NFA NFA	07/31/2020	3,145,130 27,289,218	1,000,000 20,451,574	2,145,130 6 837 644	11,480,955	9,836,857	7 1,644,098	600,000	323,089	61	323,028	150,000	0 0	(19 031 476
1 INTERACTIVE BROKERS LLC	FCM BD	CME	07/31/2020	4,966,489,665	504,085,294	4,462,404,371	5,744,661,314	5,530,480,140	214,181,174	155,000,000	756,737,320	629,821,426	126,915,894	80,000,000		(0 0	. 0	71,017,629
2 INTL FCSTONE FINANCIAL INC	FCM BD	CME	07/31/2020	215,954,693	131,607,399	84,347,294	3,092,504,706	3,038,585,233	53,919,473	40,000,000	150,477,989	138,998,723	11,479,266	6,000,000	3,382,547	375,631	3,006,916	1,000,000	!
3 IRONBEAM INC 4 JEFFERIES LLC	FCM FCM BD	NFA NFA	07/31/2020	5,183,821 1,624,152,453	1,000,000 81,029,540	4,183,821 1,543,122,913	61,025,097	55,310,867	7 5,714,230	1,600,000	345,873	171,054	174,819	50,000	0 0	(0 0	0	'
5 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	07/31/2020	27,522,364,429	5,509,766,667	22,012,597,762	51,828,615,983	49,385,354,713	2,443,261,270	1,975,414,188	6,856,178,428	6,422,837,488	433,340,940	256,913,499	22,329,778,404	21,153,579,851	1,176,198,553	740,375,295	, ;
6 MACQUARIE FUTURES USA LLC	FCM	CBOT	07/31/2020	283,519,131	228,303,273	55,215,858	2,770,027,525	2,598,996,070	171,031,455	5 100,000,000	66,947,450	49,338,013	17,609,437	7,000,000	23,325,865	1,984,505	5 21,341,360	1,000,000	!
7 MAREX NORTH AMERICA LLC 8 MCVEAN TRADING & INVESTMENTS LLC	FCM FCM	CME NFA	07/31/2020 07/31/2020	118,183,932 14,529,318	95,121,854 2,467,604	23,062,078 12,061,714	1,874,255,335 525,585,931	1,849,596,427 502,742,561	7 24,658,908 1 22,843,370	20,330,000 18,000,000	72,466,297 2,567,861	59,487,215	12,979,082 2,567,861	10,250,000	0 0	(0 0	0	(
9 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	07/31/2020	7,286,927,968	1,229,198,420	6,057,729,548	2,777,061,132	1,420,387,087	1,356,674,045	300.000.000	2,507,601	0	2,507,601	1,000,000	0 0	(0	0	
0 MID CO COMMODITIES INC	FCM	NFA	07/31/2020	11,824,670	3,559,905	8,264,765	30,656,245	17,876,005	5 12,780,240	5,138,000	0	0	0	C	0 0	(0 0	0	(
1 MINT BROKERS	FCM BD	NFA	07/31/2020	2,782,270	1,000,000	1,782,270	0	0	0	0	0	0	0	70.000.000	0 0	(0 0	0	′
2 MIZUHO SECURITIES USA LLC 3 MORGAN STANLEY & CO LLC	FCM BD FCM BD SD	CME CME/NFA	07/31/2020 07/31/2020	997,462,710 14,101,173,151	434,560,522 4,140,752,899	562,902,188 9,960,420,252	6,142,528,499 25,831,348,538	5,888,055,109 25,404,620,366	254,473,390 426,728,172	2 235,000,000	869,266,178 8,034,018,803	744,783,313 7,803,852,706	124,482,865 230,166,097	70,000,000	20,438,183 27,108,871,375	26,514,307,126	20,438,183 5 594,564,249	10,000,000 92,000,000	'
4 NANHUA USA LLC	FCM	CME	07/31/2020	15,902,131	2,260,030	13,642,101	25,831,348,538 61,683,210	51,659,782	2 10,023,428	3,500,000	1,267,137	932,776	334,361	100,000	0	20,511,507,120	0 0	0	1
5 NATWEST MARKETS SECURITIES INC	FCM BD		07/31/2020	595,544,666	53,856,690	541,687,976	809,014,094	750,761,974	58,252,120	20,000,000	12,309,817	2,862,387	9,447,430	2,000,000	0 0	(0 0	0	/
6 NOMURA SECURITIES INTERNATIONAL INC	FCM BD FCMRED	CBOT	07/31/2020	3,460,494,652	184,576,395	3,275,918,257 34,563,843	402,625,944	260,689,524	141,936,420	19,000,000	18,272,626	0	18,272,626	1,000,000	0 0	(0	0	198,301,482
8 PHILLIP CAPITAL INC	FCM BD		07/31/2020	52,313,583	27,480,981	24,832,602	615,540,258	593,050,878	3 22,489,380	11,500,000	15,122,244	12,945,249	2,176,995	1,500,000	0 0	(0 0	0	190,301,402
9 PICTET OVERSEAS INC	FCM BD	NFA	07/31/2020	50,356,684	22,489,996	27,866,688	265,227,069	261,092,727	7 4,134,342	2,750,000	109,794,450	107,113,546	2,680,904	1,250,000	0 0	(0 0	0	
0 RAND FINANCIAL SERVICES INC 1 RBC CAPITAL MARKETS LLC	FCM FCM BD	CME CME	07/31/2020 07/31/2020	94,305,947 3,151,560,193	13,038,859 349,023,350	81,267,088 2,802,536,843	165,610,600 4,487,096,257	129,015,800 4,064,771,152	36,594,800 422,325,105	3,870,500 320,000,000	32,166,100 350,911,326	6,550,000 248,735,641	25,616,100 102,175,685	<u>196,500</u> 20,000,000	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	694,798,821	0 0	0 0	<u></u>
2 RJ OBRIEN ASSOCIATES LLC	FCM	CME	07/31/2020	249,322,969	206,321,659	43,001,310	4,902,455,403	4,745,763,870	156,691,533	50,000,000	220,581,380	188,832,379	31,749,001	20,000,000	0 013,930,837	094,790,021) 0	0	, <u> </u>
3 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	07/31/2020	452,812,255	158,410,821	294,401,434	1,998,904,171	1,925,414,464	1 73,489,707	7 70,000,000	0	0	0	C	0	(0 0	0	į į
4 SCOTIA CAPITAL USA INC 5 SG AMERICAS SECURITIES LLC	FCM BD FCM BD	CME CME	07/31/2020	1,141,036,682 4,497,566,879	157,326,744 1.858,549,199	983,709,938 2,639,017,680	0 16,437,853,286	0 15,736,492,428	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 3 500,000,000	0 7,554,559,859	0 6,970,260,127	0 584,299,732	355,000,000	0 1,238,566,300	1,019,484,970	0 219,081,330	0 75,000,000	<u>/</u>
6 STRAITS FINANCIAL LLC	FCM BD	CME	07/31/2020	25,963,252	14,554,047	2,639,017,680	391,630,000	373,753,860	17,876,140	2,000,000	26,844,368	18,069,622	8,774,746	300,000) 1,230,300,300	1,019,404,9/() 219,001,330	0	/ <u> </u>
7 TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	07/31/2020	142,400,299	22,908,679	119,491,620	372,284,693	267,432,018	104,852,675	50,000,000	0	0	0	0	0 0	(0 0	0	67,310,772
8 TRADESTATION SECURITIES INC 9 TRADING.COM MARKETS INC	FCM BD RFED	CME NFA	07/31/2020	135,160,257 22,846,184	7,214,093 20,000,000	127,946,164 2,846,184	739,857,786	712,190,820	27,666,966	5 9,000,000	18,691,856	15,634,626	3,057,230	800,000	0 0	(0	0	<u></u>
0 UBS FINANCIAL SERVICES INC	FCM BD	NFA	07/31/2020	1,229,671,281	151,213,961	1,078,457,320	125,346,285	91,335,798	3 34,010,487	22,000,000	38,818,849	10,815,910	28,002,939	15,000,000	0 0		0 0		<u>t - '</u>
1 UBS SECURITIES LLC	FCM BD	CBOT	07/31/2020	3,671,222,416	784,405,773	2,886,816,643	8,043,312,192	7,524,843,091	518,469,101	425,000,000	1,307,987,954	1,002,396,687	305,591,267	200,000,000	1,131,810,080	898,106,991	233,703,089	125,000,000	į į
2 WEDBUSH SECURITIES INC 3 WELLS FARGO SECURITIES LLC	FCM BD FCM BD	CBOT CME	07/31/2020 07/31/2020	165,234,199	46,970,518 1,539,110,255	118,263,681 8,142,491,936	1,445,584,920	1,419,722,737	7 25,862,183	3 19,000,000 310,000,000	20,910,270	17,840,290 569,309,276	3,069,980	1,000,000	0 0 15,685,213,974	14,895,183,309	0 790,030,665	0 0 575,000,000	<u> </u>
4 YORK BUSINESS ASSOCIATES LLC	FCM BD	NFA	07/31/2020	9,681,602,191 7,493,685	1,000,000	6,493,685	4,660,113,851 43,937,532	4,253,060,435 37,329,441	407,053,416 6,608,091	310,000,000 2,000,000	789,427,832 1,473,435	868,892	220,118,556 604,543	200,000) 13,003,213,974	17,050,100,309) , , , , , , , , , , , , , , , , , , ,	0	t
Totals		 '								7,830,618,990				2.511.406 362	155.672 419 757	149,217 021 569	6.455 398 189	3,945,921,023	569 325 477
				ļ	L		203,773,334,309	231,130,201,400	12,349,113,303	1,030,010,990	33,011,003,000	33,007,077,349	7,323,330,311	2,311,400,303	133,072,413,737	1-13,211,021,308	0,733,370,189	3,373,321,023	309,323,472
June Web Page Update	63		<u>├</u>	<u> </u>			ļļ		<u> </u>	<u> </u>									<u> </u>
Additions CHARLES SCHWAB & CO INC			<u> </u>	<u> </u>	<u> </u>		ļ!	<u> </u>	<u> </u>	<u> </u>									<u> </u>
Deletions				<u> </u>	<u> </u>		<u> </u>	<u> </u>	<u>+</u>	<u> </u>]								<u> </u>	
None		F	I	<u> </u>	<u>↓</u>)	F	+						+				+
Name Changes		F'		ļļ	Į		ļļ	<u> </u>	 	 									<u> </u>
None July Web Page Update	64	t					ti												

SELECTED FCM FINANCIAL DATA AS OF July 31, 2020 FROM REPORTS FILED BY August 25, 2020

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
(b): DSR0: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second.											
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1.000.000); or											
risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or											
• the amount of capital required by a registered futures association (currently NFA is the only such association); or											
for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or											
 minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. 											
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.											
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility.											
(q): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer seqregated accounts above the customer seqregation requirement. This target amount is set by the firm.											
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and potions customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.											
(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.											
(k): Excess/Deficient funds in separate Section 30.7 accounts is fund s											
(1): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm,											
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.											
IIII. This represents the total and an unit of money, securities, and protectly near in dealed strap customers in companies with securit for the commonly exclaimer accounts for dealed strap customers in companies with securit for the commonly exclaimer accounts for the securit for the secu											
(n): This represents the amount of funds an FCM is required to seqregate for customers who trade cleared swaps.											
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.											
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.											
(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex account, adjusted for the realized and unrealized and unre											