SELECTED FCM FINANCIAL DATA AS OF April 30, 2020 FROM REPORTS FILED BY May 26, 2020

Futures Commission Merchant /			As of	Adjusted Net	Net Capital	Excess Net	Customers'	Customers' Seg	Excess/Deficient	Target Residual	Funds in	Customer	Excess/Deficient Funds in	Target Residual	Funds in Separate Cleared	Customer Amount Cleared	Excess/Deficient Funds in Cleared	Target Residual	Total Amount of
Retail Foreign Exchange Dealer	Registered As	DSRO	Date	Capital	Requirement	Capital	Assets in Seg	Required 4d(a)(2)	Funds in Seg	Interest in Seg	Separate Section 30.7 Accounts	Amount Pt. 30 Required	Separate Section 30.7 Accounts	Interest in Section 30.7	Swap Segregation	Swap Seg Required	Swap Seg Accounts	Cleared Swap	Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	04/30/2020	618,647,544	266,683,613	351,963,931	4,224,227,353	3,748,773,674	475,453,679	277,725,226	111,682,658	90,505,972	21,176,686	9,050,597	0	0 0	0	0	0
2 ADM INVESTOR SERVICES INC 3 ADVANTAGE FUTURES LLC	FCM	CBOT	04/30/2020	333,084,004	228,087,979	104,996,025	4,869,668,237	4,656,208,193	213,460,044	120,000,000	335,462,923	289,664,776	45,798,147	20,000,000	9,800,057	110,308	9,689,749	8,000,000	0
4 AMP GLOBAL CLEARING LLC	FCM FCM	CME NFA	04/30/2020 04/30/2020	24,502,931 5,042,364	11,881,340 1,000,000	12,621,591 4,042,364	383,209,765 66,153,137	361,220,870 60,380,360	21,988,895 5,772,777	12,000,000 900,000	36,820,483 2,037,093	33,132,676	3,687,807 405,018	1,000,000 150,000			0	0	0
5 APEX CLEARING CORPORATION	FCM BD	NFA	04/30/2020	177,653,223	13,230,075	164,423,148	41,641,743	24,623,819	17,017,924	6,000,000	2,037,043	1,032,073	405,018	150,000	0	0 0	0	0	0
6 BAKKT CLEARING LLC	FCM	NFA	04/30/2020	11,382,266	1,000,000	10,382,266	0	0	0	0	0	0	0	0	0	0 0	0	0	0
7 BARCLAYS CAPITAL INC	FCM BD FCM BD	NYME	04/30/2020	6,299,040,407	1,687,462,129	4,611,578,278	9,961,443,820	9,612,735,554	348,708,266	199,944,900	3,145,168,596	2,945,074,808		98,365,499		8,089,835,715	410,911,329	240,268,121	0
8 BNP PARIBAS SECURITIES CORP 9 BOCI COMMODITIES & FUTURES USA LLC	FCM BD	CBOT	04/30/2020 04/30/2020	2,022,263,358 6,234,086	829,980,175 1,000,000	1,192,283,183 5,234,086	5,299,393,526 8,893,849	4,999,360,146 5,346,861	300,033,380 3,546,988	240,000,000	235,941,122	157,379,963	78,561,159	30,000,000	1,864,059,173	1,763,864,961	100,194,212	77,000,000	0
10 BOFA SECURITIES INC	FCM BD	CBOT	04/30/2020	16,099,250,988	3,389,928,478	12,709,322,510	25,676,813,410	25,263,798,208	413,015,202	200,000,000	5,870,084,777	5,620,117,991	249,966,786	150,000,000	11,497,980,339	11,134,203,289	363,777,050	150,000,000	0
11 CANTOR FITZGERALD & CO	FCM BD	CBOT	04/30/2020	366,990,449	1,884,914	365,105,535	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0 0	0	0	0
12 CHARLES SCHWAB FUTURES, INC. 13 CHS HEDGING LLC	FCM FCM	NFA CBOT	04/30/2020 04/30/2020	42,897,402 62,318,794	14,904,797 11,955,240	27,992,605 50,363,554	267,782,441 189,917,385	220,045,078 135,746,560	47,737,363 54,170,825	37,407,663	50,000	0	50,000 1,527,272	200,000	581.547	71.024	510,523	0 120,000	0
14 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	04/30/2020	9.448.443.343	4.041.143.110	5,407,300,233	17.235.626.513	16.454.944.723	54,170,825	548,100,000	4,724,384,871	4.441.418.700	282,966,171	163,100,000		36.615.327.869	797.530.439	518.000.000	0
15 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	04/30/2020	7,120,629,119	1,976,322,435	5,144,306,684	9,086,908,297	8,557,415,635	529,492,662	427,870,782	5,112,277,100	4,588,686,595	523,590,505	229,434,330	14,753,105,608	13,886,024,169	867,081,439	694,301,208	0
16 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	04/30/2020	6,022,602	1,000,000	5,022,602	36,326,699	32,651,131	3,675,568	3,050,000	1,585,400	1,049,364	536,036	100,000	0	0 0	0	0	0
17 DAIWA CAPITAL MARKETS AMERICA INC 18 DEUTSCHE BANK SECURITIES INC	FCM BD FCM BD	CME	04/30/2020	1,146,707,725 8,038,709,596	1,500,000 481,724,435	1,145,207,725	2,174,998	5.399.164.785	2,174,998	125.000.000	545,160 934,052,962	731,218,818	545,160 202,834,144	75,000,000	0		0	0	0
19 DIRECT ACCESS USA LLC	FCM	CME	04/30/2020	13,090,215	1,184,507	11,905,708	32,614,382	21,587,236	11,027,146	2,500,000	0	0	0	0	0	0	0	0	0
20 DORMAN TRADING LLC	FCM	CME	04/30/2020	14,467,204	2,184,530	12,282,674	211,168,259	205,525,788	5,642,471	2,000,000	10,250,753	9,532,656	718,097	200,000	0	0 0	0	0	0
21 E D & F MAN CAPITAL MARKETS INC 22 E*TRADE FUTURES LLC	FCM BD FCM	CME NFA	04/30/2020 04/30/2020	190,923,437 29,890,859	95,533,459 3.650,738	<u>95,389,978</u> 26,240,121	2,013,896,455 90.057,370	1,919,774,699 60,249,474	94,121,756 29,807,896	44,250,000	78,295,047	68,163,063	10,131,984	5,000,000	1,376,669	326,075	1,050,594	500,000	0
23 GAIN CAPITAL GROUP LLC	FCMRFD	NFA	04/30/2020	62,803,779	30,175,642	32,628,137	211,321,798	191,699,366	19,622,432	10,000,000	4,040,903	2,584,729	1,456,174	1,000,000	0		0	0	213,512,842
24 GH FINANCIALS LLC	FCM	CME	04/30/2020	24,628,855	6,407,303	18,221,552	146,103,862	120,002,958	26,100,904	3,700,000	3,510,219	2,263,466	1,246,753	120,000	0	0 0	0	0	0
25 GOLDMAN SACHS & CO LLC 26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD SD FCM BD	CBOT/NFA NFA	04/30/2020	19,731,764,252 1,530,897	4,116,348,831	<u>15,615,415,421</u> 530,897	39,743,963,063	38,718,523,024	1,025,440,039	675,000,000	10,450,808,086	10,131,825,917	318,982,169	475,000,000	11,013,168,254	10,579,927,434	433,240,820	350,000,000	0
27 HSBC SECURITIES USA INC	FCM BD	CMF	04/30/2020	1,354,545,247	483,443,026	871,102,221	4.146.504.137	3,939,857,524	206,646,613	186,000,000	163,645,861	116,606,861	47,039,000	25,000,000	1,432,393,667	1,245,002,212	187,391,455	177,000,000	0
28 HUATAI FINANCIAL USA INC	FCM	NFA	04/30/2020	3,515,742	1,000,000	2,515,742	18,533,179	16,912,185	1,620,994	600,000	343,909	24,277	319,632	150,000	0	0 0	0	0	0
29 IG US LLC 30 INTERACTIVE BROKERS LLC	RFED FCM BD	NFA CMF	04/30/2020	28,434,947 5,239,370,083	20,406,700 378,938,442	8,028,247 4,860,431,641	6.418.092.218	6.214.365.611	0 203.726.607	155.000.000	0 711.329.669	0 590.469.975	0 120.859.694	0 80.000.000	0	0 0	0	0	18,134,009 56,803,447
30 INTERACTIVE BROKERS LLC 31 INTL FCSTONE FINANCIAL INC	FCM BD	CME	04/30/2020	5,239,370,083	378,938,442	4,860,431,641 72,247,715	2,712,263,129	2,655,475,515	203,726,607 56,787,614	40,000,000	140,520,766	590,469,975	120,859,694	6,000,000		256,929	3,005,720	1,000,000	56,803,447
32 IRONBEAM INC	FCM	NFA	04/30/2020	5,184,894	1,000,000	4,184,894	51,437,540	45,878,880	5,558,660	1,600,000	351,186	195,506	155,680	50,000	3,202,047	0	3,003,720	1,000,000	0
33 JEFFERIES LLC	FCM BD	NFA	04/30/2020	1,419,586,241	85,229,509	1,334,356,732	0	0	0	0	0	0	0	0	0	0 0	0	0	0
34 JP MORGAN SECURITIES LLC 35 MACQUARIE FUTURES USA LLC	FCM BD SD FCM	CEI/NFA CBOT	04/30/2020 04/30/2020	23,422,668,118 283,945,064	5,808,368,054 226,606,008	17,614,300,064 57,339,056	46,592,322,962 2,932,205,577	44,357,411,424 2,767,959,152	2,234,911,538 164,246,425	1,774,296,457 100,000,000	5,630,327,974 50,358,408	5,364,008,431 32,455,251	266,319,543 17,903,157	214,560,337 7,000,000		19,377,272,725 1,301,146	1,674,320,233 21,324,454	678,204,545 1,000,000	0
36 MAREX NORTH AMERICA LLC	FCM	CME	04/30/2020	101,099,494	80,049,537	21,049,957	1,526,380,560	1,502,315,402	24,065,158	20,330,000	61,776,241	47,708,208	14,068,033	10,250,000	22,023,000) 1,301,140	21,324,434	1,000,000	0
37 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	04/30/2020	15,301,963	1,000,000	14,301,963	510,276,664	485,613,792	24,662,872	18,000,000	2,567,861	0	2,567,861	1,000,000	0	0 0	0	0	0
38 MERRILL LYNCH PROFESSIONAL CLEARING CORP 39 MID CO COMMODITIES INC	FCM BD FCM	NFA NFA	04/30/2020	7,153,329,154	1,080,411,812	6,072,917,342 7,324,149	2,916,154,167	1,529,875,120	1,386,279,047	300,000,000	0	0	0	0	0	0 0	0	0	0
40 MINT BROKERS	FCM BD	NFA	04/30/2020	2,898,808	4,259,866	1,898,808	28,778,980	10,097,940	12,081,034	5,138,000	0	0	0	0	0		0	0	0
41 MIZUHO SECURITIES USA LLC	FCM BD	CME	04/30/2020	828,366,960	442,066,706	386,300,254	6,101,411,053	5,849,434,956	251,976,097	125,000,000	1,074,207,427	950,511,759	123,695,668	70,000,000	20,434,267	0	20,434,267	10,000,000	0
42 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	04/30/2020	12,993,998,405	4,109,846,691	8,884,151,714	25,638,338,014	24,922,770,770	715,567,244	235,000,000	7,453,874,371	7,158,623,014	295,251,357	140,000,000	28,431,609,796	27,701,298,442	730,311,354	92,000,000	0
43 NANHUA USA LLC 44 NATWEST MARKETS SECURITIES INC	FCM FCM BD	CME	04/30/2020	16,109,803 598,254,774	3,337,599 97,883,434	<u>12,772,204</u> 500,371,340	85,577,753 1,504,706,540	74,646,607	10,931,146	3,500,000	1,759,949 20,145,691	1,427,918	332,031 8,917,366	2.000.000	0		0	0	0
45 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	04/30/2020	3,299,871,108	141,845,344	3,158,025,764	400,999,215	259,928,150	141,071,065	19,000,000	17,771,017	0	17,771,017	1,000,000	0	0 0	0	0	0
46 OANDA CORPORATION	FCMRFD	NFA	04/30/2020	68,300,740	30,180,875	38,119,865	0	0	0	0	0	0	0	0	0) 0	0	0	202,839,019
47 PHILLIP CAPITAL INC 48 PICTET OVERSEAS INC	FCM BD FCM BD	CME NFA	04/30/2020 04/30/2020	51,757,700 46,996,216	30,975,693 25,553,268	20,782,007 21,442,948	668,146,188 302,053,622	649,635,604 298,849,289	18,510,584 3,204,333	<u>11,500,000</u> 1,500,000	10,325,936 137,856,380	<u>6,631,624</u> 134,594,401	3,694,312 3,261,979	1,500,000 500,000	0	0 0	0	0	0
49 RAND FINANCIAL SERVICES INC	FCM	CME	04/30/2020	94,525,911	11,606,396	82,919,515	137,960,000	101,314,000	36,646,000	3,039,400	28,959,900	3,367,600	25,592,300	101,000	0	0 0	0	0	0
50 RBC CAPITAL MARKETS LLC	FCM BD	CME	04/30/2020	2,764,045,143	400,710,165	2,363,334,978	5,216,554,312	4,691,931,715	524,622,597	280,000,000	276,355,733	174,218,518	102,137,215	20,000,000	1,019,363,471	700,284,871	319,078,600	80,000,000	0
51 RJ OBRIEN ASSOCIATES LLC 52 SANTANDER INVESTMENT SECURITIES INC	FCM FCM BD	CME NYMF	04/30/2020	263,761,979 257,566,176	216,755,936	47,006,043	5,078,968,460	4,924,315,390 2,128,790,855	154,653,070 74,075,797	50,000,000 70,000,000	188,173,155	157,162,316	31,010,839	20,000,000	0	0	0	0	0
53 SCOTIA CAPITAL USA INC	FCM BD	CME	04/30/2020	1,132,036,334	219,067,862	912,968,472	0	0	0	0	0	0	0	0	0	0 0	0	0	0
54 SG AMERICAS SECURITIES LLC	FCM BD	CME	04/30/2020	4,404,417,358	1,827,039,947	2,577,377,411	18,300,624,471	17,623,993,573	676,630,898	500,000,000	6,146,473,483	5,557,785,345	588,688,138	355,000,000	1,191,013,902	970,138,877	220,875,025	75,000,000	0
55 STRAITS FINANCIAL LLC 56 TD AMERITRADE FUTURES & FOREX LLC	FCM FCM	CME NFA	04/30/2020 04/30/2020	24,329,294 161,199,407	17,106,535 22,599,512	7,222,759	397,193,685 349,399,765	381,306,107 219,322,900	15,887,578 130.076.865	2,000,000	32,866,812	24,288,097	8,578,715 0	300,000	0		0	0	61.692.787
57 TRADESTATION SECURITIES INC	FCM BD	CME	04/30/2020	137,007,483	4,657,024	132,350,459	608,612,564	586,204,184	22,408,380	9,000,000	20,926,629	17,858,453	3,068,176	800,000	0	0	0	0	0
58 TRADING.COM MARKETS INC	RFED ECM BD	NFA NFA	04/30/2020	23,911,161	20,000,000	3,911,161 1.667,530,781	143 700 375	100 202 044	0 34.406.421	22.000.000	24 103 070	9.083.773	27.108.306	15 000 000	0	0	0	0	0
59 UBS FINANCIAL SERVICES INC 60 UBS SECURITIES LLC	FCM BD	CBOT	04/30/2020 04/30/2020	1,793,701,542 5,000,479,424	126,170,761 917,069,125	4,083,410,299	143,799,265 9,541,461,236	109,392,844 9,027,163,957	34,406,421 514,297,279	22,000,000 425,000,000	36,192,079 1,585,747,064	9,083,773	27,108,306 301,540,357	15,000,000 200,000,000	1,283,382,032	1,054,931,528	228,450,504	125,000,000	0
61 WEDBUSH SECURITIES INC	FCM BD	CBOT	04/30/2020	152,528,621	47,883,496	104,645,125	1,456,963,096	1,429,441,453	27,521,643	19,000,000	19,023,841	15,959,818	3,064,023	1,000,000	0	0 0	0	0	0
62 WELLS FARGO SECURITIES LLC 63 YORK BUSINESS ASSOCIATES LLC	FCM BD FCM	CME NFA	04/30/2020	9,190,540,411 6,781,651	1,660,711,900	7,529,828,511 5,781,651	5,908,035,213 42,367,093	5,459,473,948	448,561,265 6,140,290	265,000,000	630,355,800	430,412,652 921,922	199,943,148 487,495	55,000,000 200,000	15,747,117,933	14,941,540,161	805,577,772	515,000,000	0
DOLITORN BUSINESS ASSOCIATES LLC	FCM	INFA	04/30/2020	6,781,651	1,000,000	5,781,651	42,367,093	36,226,803	6,140,290	2,000,000	1,409,417	921,922	487,495	200,000	0	0	0	0	0
Totals							277,444,661,185	264,524,133,224	12,920,527,961	7,671,552,429	55,502,171,990	51,332,979,901	4,169,192,089	2,484,231,766	155,256,473,280	148,061,717,735	7,194,755,545	3,792,393,875	552,982,104
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March Web Page Update	62			+ +												1			
Additions	1															<u> </u>			
TRADING.COM MARKETS INC																			
Deletions																+			
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April Web Page Update	63																		
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SELECTED FCM FINANCIAL DATA AS OF April 30, 2020 FROM REPORTS FILED BY May 26, 2020

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(a): FCM: Futures Commission Merchant																		-
BD: The FCM is also registered with				er.														
RFED: Retail Foreign Exchange Dea																		
	ed with the Commodity Futures Tradi																	-
SD: The FCM or RFED is also regist	stered with the Commodity Futures Tr	rading Commissi	ion as a provisionally	registered swap	dealer.													
(b): DSRO: Designated Self-Regulatory C	Organization. FCM/RFED firms that a	are dually registe	ered as SDs have two	DSROs listed if t	hev have a different	DSRO for the FCM/R	ED and the SD. The	DSRO for the FCM/R	ED is listed first and t	he DSRO for the SD i	listed second.							
(c): A firm's net capital requirement is the	ne greater of:																	
FCM minimum dollar amount (\$1.000.000): or																	
		k maintenance r	margin and 8% of tot	tal non-customer	risk maintenance ma	arain: or												
fisk based capital requirement. The sum of 8% of total customer risk maintenance margin: or he amount of capital requirement. The sum of 8% of total customer risk maintenance margin: or																		
	ers, the amount of net capital require				ommission: or													-
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	and anothing of engaged in retail fore	A Galladouolla di		sos, plus nec perc			on congation in exces	5 5. <i>φ</i> 10,000,000.										
(d): Excess net capital is adjusted net capital	witel loss the firmula wat assisted as wit																	
(d): Excess net capital is adjusted net ca	ipital, less the firm's net capital requi	rement.																
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(e): This represents the total amount of	money, securities, and property held	i in segregated a	accounts for futures a	and options custor	mers in compliance v	with Section 4d of the	Commodity Exchang	e Act.										-
(a)																		
(f): This represents the total amount of f	funds that an FCM is required to sequi	regate on behalf	of customers who a	re trading on a de	esignated contract m	arket or derivatives t	ansaction execution f	acility. This is the sur	n of all accounts that	contain a net liquidati	iq equity.							
(q): Excess/Deficient funds in segregation	on is customer assets in segregation,	less the custom	er segregation require	rement.														-
6 h																		-
(h): This represents the targeted excess	of proprietary funds deposited into c	customer segreg	ated accounts above	the customer sec	pregation requirement	nt. This target amour	t is set by the firm.											-
(i): This represents the total amount of n	money, securities, and property held	in secured accor	unts for futures and o	options customers	s who trade on com	nodity exchanges loc	ited outside the Unite	d States in complianc	e with Part 30 of the 0	Commodity Exchange	ict.							
(i): This represents the amount of funds	an FCM is required to set aside for a	customers who t	rade on commodity e	exchanges located	d outside of the Unit	ed States.												-
(k): Excess/Deficient funds in separate S	Section 30.7 accounts is funds in sepa	arate Section 30.	7 accounts. less the	customer amount	t Part 30 requiremen	t.												
(I): This represents the targeted excess of	of proprietary funds deposited into se	eparate Section	30.7 accounts above	the customer am	ount Part 30 require	ment. This target an	ount is set by the firr	n.										
(m): This represents the total amount of	f money, securities, and property held	d in cleared swa	p customer accounts	for cleared swap	customers in compl	iance with Section 4d	f) of the Commodity	Exchange Act.										
(n): This represents the amount of funds	s an FCM is required to segregate for	r customers who	trade cleared swaps	i.														
(o): Excess/Deficient funds in cleared sw	vap customer accounts is funds in ser	parate cleared su	wap segregation acco	ounts, less the cle	ared swap customer	segregation requirer	nent.											
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(p): This represents the targeted excess	s of proprietary funds deposited into	sonarato clearor	swan sogradation a	ccounts above the	a cleared swap custo	mor sourceastion roa	iromont This target	amount is set by the	firm									
(p). This represents the targeted excess	s or proprietary rands deposited into	Separate cleared	a swap sogi egation a	coounts above th	s ordared swap cust	mer segregation regi	memoria. This target	amount is set by the										
(g): This represents the total amount of	funds at an ECM_REED_or ECMPED	that would be a	btained by combining	a all monoy socu	ritios and proporty d	opositod by a rotail fr	rox customor into a r	otail forox account or	accounts, adjusted for	the realized and upr	alized not profit or lo							
(q). This represents the total amount of	TUTUS at att 1 GWI, REED, OF FOWRED	mar would be o		y an money, secu	nues and property d	eposited by difetdir it	TEA CUSTOITIEL ITILO & I		accounts, dujusted to	THE LEGITER OF THE THE		<u>55.</u>		1	1		1	-
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