SELECTED FCM FINANCIAL DATA AS OF February 29, 2020 FROM REPORTS FILED BY March 24, 2020

		DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As																		
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(0)	(p)	(q)
ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	02/29/2020	618,742,372	224,043,721	394,698,651	3,936,137,535	3,403,748,324	532,389,211	322,932,903	128,230,516	101,437,297	26,793,219	10,143,730	0	0	0	0	
ADM INVESTOR SERVICES INC	FCM	CBOT	02/29/2020	326,972,417	176,804,375	150,168,042	4,520,989,038	4,308,255,271	212,733,767	120,000,000	300,701,363	254,905,488	45,795,875	20,000,000	9,802,872	211,784	9,591,088	8,000,000	
ADVANTAGE FUTURES LLC	FCM	CME	02/29/2020	24,615,093	5,328,996	19,286,097	251,955,561	226,037,748	25,917,813	12,000,000	46,758,293	43,125,871	3,632,422	1,000,000		0	0	0	
AMP GLOBAL CLEARING LLC APEX CLEARING CORPORATION	FCM FCM BD	NFA NFA	02/29/2020	5,219,156 145,279,947	1,000,000	4,219,156 129,849,062	57,009,039 41,112,844	<u>51,352,365</u> 26,267,904	5,656,674	900,000	1,832,975	1,471,160	361,815	150,000	0	0	0	0	
BAKKT CLEARING LLC	FCM	NFA	02/29/2020	11,863,926	1,000,000	10,863,926	1,112,044	20,207,304	14,044,940	0,000,000	0	0	0	0	0	0	0	0	
BARCLAYS CAPITAL INC	FCM BD	NYME	02/29/2020	5,500,935,580	1,373,864,320	4,127,071,260	5,811,158,314	5,257,601,352	553,556,962	109,358,108	2,856,797,765	2,370,450,534	486,347,231	79,173,048	8,916,209,358	8,339,252,056	576,957,302	247,675,786	
BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	02/29/2020	2,091,552,119	486,665,011	1,604,887,108	3,038,089,480	2,796,554,538	241,534,942	195,000,000	415,891,989	229,101,435	186,790,554	30,000,000	1,508,850,946	1,297,888,454	210,962,492	120,000,000	
BOCI COMMODITIES & FUTURES USA LLC	FCM FCM BD	CME CBOT	02/29/2020	6,317,795	1,000,000	5,317,795	8,147,541	4,585,591 14,253,163,676	3,561,950	1,000,000	6 4,170,393,215	0 3,932,829,531	6 237,563,684	150,000,000	6	9,365,333,641	6 853,752,026	150,000,000	
BOFA SECURITIES INC CANTOR FITZGERALD & CO	FCM BD	CBOT	02/29/2020 02/29/2020	11,296,577,233 294,969,000	2,496,793,547 4,176,249	8,799,783,686 290,792,751	15,301,511,975 4,969,921	14,253,163,676	1,048,348,299	200,000,000 3,000,000	4,170,393,215	3,932,829,531	237,303,084	150,000,000	10,219,085,667	9,305,333,041	853,752,026	150,000,000	
CHARLES SCHWAB FUTURES, INC.	FCM	NFA	02/29/2020	41,945,542	7,155,262	34,790,280	142,819,326	104,639,940	38,179,386	17,788,790	50,000	0	50,000	1	0	0	0	0	
CHS HEDGING LLC	FCM	CBOT	02/29/2020	60,672,775	7,325,885	53,346,890	156,870,937	104,379,423	52,491,514	13,600,000	1,527,272	0	1,527,272	200,000	503,912	0	503,912	120,000	
CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA		9,935,500,137	3,470,161,477	6,465,338,660	11,839,617,871	11,342,098,083	497,519,788	327,600,000	4,605,427,308	3,721,154,844		156,800,000	33,636,728,030	31,276,831,280	2,359,896,750	457,100,000	
CREDIT SUISSE SECURITIES (USA) LLC CUNNINGHAM COMMODITIES LLC	FCM BD FCM	CBOT	02/29/2020 02/29/2020	8,417,250,078 4,901,445	1,630,036,681	6,787,213,397 3,666,325	6,335,100,957 45,575,896	<u>5,562,430,893</u> 41,148,118	772,670,064	278,121,547	4,975,133,273 2,318,179	3,652,763,076 1,776,985	1,322,370,197 541,194	182,638,154 100,000	13,125,867,577	11,244,251,314	1,881,616,263	562,212,566	
DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	02/29/2020	1,086,996,423	5,320,954	1,081,675,469	2,174,057	0	2,174,057	3,030,000	545,903	1,770,905	545,903	100,000	. 0	0	0	0	
DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	02/29/2020	7,687,346,787	281,004,792	7,406,341,995	3,403,265,529	3,099,785,220	303,480,309	125,000,000	758,375,257	559,503,748	198,871,509	75,000,000	0	0	0	0	
DIRECT ACCESS USA LLC DORMAN TRADING LLC	FCM FCM	CME CME	02/29/2020 02/29/2020	13,110,908 14,607,259	1,076,675	12,034,233 13,607,259	22,626,915 185,012,499	<u>14,431,775</u> 179,643,301	8,195,140 5,369,198	2,500,000 2,000,000	0 8,001,014	0 7,305,245	0 695,769	200,000	0	0	0	0	
E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	02/29/2020	163,623,393	79,396,993	84,226,400	1,858,560,131	1,744,543,036	114,017,095	44,250,000	116,649,243	107,456,531	9,192,712	5,000,000	1,751,153	702,397	1,048,756	500,000	
E*TRADE FUTURES LLC	FCM	NFA	02/29/2020	27,713,986	1,853,369	25,860,617	90,495,463	62,434,726	28,060,737	5,000,000	0	0	0	0	0	0	0	0	
GAIN CAPITAL GROUP LLC	FCMRFD	NFA	02/29/2020	51,946,469	32,155,696	19,790,773	213,260,336	201,478,785	11,781,551	10,000,000	4,790,756	2,474,724	2,316,032	1,000,000		0	0	0	230,852,73
GH FINANCIALS LLC	FCM FCM BD SD	CME	02/29/2020	23,422,671 16,528,681,124	4,354,602 2,926,371,533	19,068,069 13,602,309,591	82,490,461	<u>60,621,982</u> 23,866,504,442	21,868,479 1,024,594,441	3,500,000 675,000,000	3,493,892 8,213,102,390	2,294,372 7,611,145,206	1,199,520 601,957,184	<u>200,000</u> 475,000,000	8,571,097,801	0 8,101,694,048	0 469,403,753	350,000,000	
GOLDMAN SACHS & CO LLC HIDDEN ROAD PARTNERS CIV US LLC	FCM BD SD FCM BD	CBOT/NFA NFA	02/29/2020	1,514,574	2,926,371,533	13,602,309,591 514,574	24,891,098,883	23,866,504,442	1,024,594,441	675,000,000	8,213,102,390	7,611,145,206	601,957,184	4/5,000,000	8,5/1,09/,801	8,101,694,048	469,403,753	350,000,000	
HSBC SECURITIES USA INC	FCM BD	CME	02/29/2020	1,070,155,172	329,732,107	740,423,065	2,752,257,097	2,547,054,013	205,203,084	186,000,000	165,992,516	126,324,654	39,667,862	25,000,000	1,133,782,976	923,421,009	210,361,967	177,000,000	
HUATAI FINANCIAL USA INC	FCM	NFA	02/29/2020	3,775,484	1,000,000	2,775,484	14,079,600	12,518,965	1,560,635	600,000	344,468	3,845	340,623	150,000	0	0	0	0	
IG US LLC	RFED FCM BD	NFA CMF	02/29/2020	28,823,851 5,123,401,131	20,574,313 519,003,526	8,249,538 4,604,397,605	0	0	0	0	0	0	0	0	0	0	0	0	21,486,25
INTERACTIVE BROKERS LLC INTL FCSTONE FINANCIAL INC	FCM BD	CME	02/29/2020 02/29/2020	5,123,401,131 142,385,814	90,505,009	4,604,397,605	4,355,627,028 2,243,767,337	4,147,685,289 2,192,046,343	207,941,739 51,720,994	155,000,000 40,000,000	621,149,922 162,162,895	498,028,462	123,121,460 12,588,115	80,000,000 6,000,000	2,309,405	305,501	2,003,904	1,000,000	66,577,48
IRONBEAM INC	FCM	NFA	02/29/2020	4,880,286	1,000,000	3,880,286	56,653,168	51,336,198	5,316,970	1,600,000	341,528	179,499	162,029	50,000	2,509,405	0	2,003,904	1,000,000	
JEFFERIES LLC	FCM BD	NFA	02/29/2020	1,302,710,763	98,651,724	1,204,059,039	0	0	0	0	0	0	0	0	0	0	0	0	
JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	02/29/2020	19,417,444,434	4,207,944,512	15,209,499,922	27,358,809,501	25,866,453,924	1,492,355,577	1,034,658,157	5,215,037,372	4,954,374,460	260,662,912	198,174,978		17,309,025,612	1,486,327,328	605,815,896	
MACQUARIE FUTURES USA LLC MAREX NORTH AMERICA LLC	FCM FCM	CBOT	02/29/2020	289,391,894 90,269,186	188,250,761	101,141,133 17,766,721	2,807,540,772	2,632,635,883	174,904,889 28,854,978	100,000,000 20,330,000	48,948,513 54,130,273	30,395,939	18,552,574 18,050,503	7,000,000	23,218,488	1,917,928	21,300,560	1,000,000	
MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	02/29/2020	14,686,858	3,605,968	11.080.890	642,457,676	620,608,592	28,834,978	18,000,000	2,567,861	30,079,770	2,567,861	1,000,000	0	0	0	0	
MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	02/29/2020	4,752,277,571	1,225,607,803	3,526,669,768	2,452,680,844	950,656,749	1,502,024,095	300,000,000	0	0	0	0	0	0	0	0	
MID CO COMMODITIES INC	FCM	NFA	02/29/2020	11,160,235	3,223,622	7,936,613	38,114,560	26,382,664	11,731,896	5,138,000	0	0	0	0	0	0	0	0	
MINT BROKERS MITSUI BUSSAN COMMODITIES USA INC	FCM BD FCM	NFA NFA	02/29/2020 02/29/2020	2,906,645 17,420,151	1,000,000	1,906,645 16,420,151	0	0	0	0	0	0	0	0	0	0	0	0	
MITSUI BUSSAN COMMODITIES USA INC	FCM BD	CME		846,378,441	306,170,790	540,207,651	4,879,763,908	4,681,926,131	197,837,777	125,000,000	1,164,742,532	1,037,271,840	127,470,692	70,000,000	21,359,847	681,034	20,678,813	10,000,000	
MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	02/29/2020	13,506,464,142	3,153,226,685	10,353,237,457	18.121.505.036	17,592,933,526	528,571,510	235,000,000	5,175,745,419	4.931.705.573	244,039,846	140,000,000	23,387,104,733	21,005,515,822	2,381,588,911	92,000,000	
NANHUA USA LLC	FCM	CME	02/29/2020	16,213,120	1,465,687	14,747,433	53,544,414	42,532,913	11,011,501	3,500,000	1,601,524	1,272,167	329,357	100,000	0	0	0	0	
NATWEST MARKETS SECURITIES INC	FCM BD FCM BD	CBOT		465,790,297	46,375,865	419,414,432	724,310,837	686,656,081 176,416,279	37,654,756	20,000,000 19,000,000	34,910,493	25,730,959	9,179,534	2,000,000	0	0	0	0	
OANDA CORPORATION	FCMBD	NFA	02/29/2020	2,201,001,214	148,262,613	2,052,738,601	291,847,316	1/0,410,2/9	115,431,037	19,000,000	17,742,221	0	17,742,221	1,000,000	0	0	0	0	232,887,25
PHILLIP CAPITAL INC	FCM BD	CME	02/29/2020	46,861,730	23,414,884	23,446,846	499,278,833	480,201,825	19,077,008	10,500,000	11,037,861	8,146,589	2,891,272	2,000,000	Ő	0	0	Ő	202/00/720
PICTET OVERSEAS INC	FCM BD	NFA	02/29/2020	26,675,463 94,400,361	9,192,448	17,483,015	169,680,503 139,715,700	166,702,747 103,224,100	2,977,756 36,491,600	1,500,000 3,096,700	75,305,164 31,790,000	72,186,646	3,118,518	500,000	0	0	0	0	
RAND FINANCIAL SERVICES INC RBC CAPITAL MARKETS LLC	FCM FCM BD	CME	02/29/2020 02/29/2020	94,400,361 1,765,627,509	7,209,906 235,789,705	87,190,455 1,529,837,804	139,715,700 1,605,170,808	103,224,100 1,228,732,584	36,491,600 376,438,224	3,096,700 80,000,000	31,790,000 278,123,624	6,258,400 209,728,226	25,531,600 68,395,398	187,800 20,000,000	827,492,978	0 626,804,772	0 200,688,206	0 80,000,000	
RJ OBRIEN ASSOCIATES LLC	FCM	CME	02/29/2020		169,872,761	52,157,967	4,772,198,666	4,634,266,340	137,932,326	50,000,000	155,966,372	124,985,636	30,980,736	20,000,000	027,752,978	020,004,772	200,000,200	0,000,000	
SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	02/29/2020	222,030,728 438,002,726	108,172,788	329,829,938	1,404,943,607	1,364,649,906	40,293,701	35,000,000	0	0	0	0	0	0	0	Ő	
SCOTIA CAPITAL USA INC	FCM BD	CME		1,271,439,066	88,510,235	1,182,928,831	0 13,742,023,741	0 13,039,902,365	0 702,121,376	0	0 5,643,823,015	0 5,049,414,792	0 594,408,223	255 000 000	1 007 000 000	770 (25 051	0	75 000 000	
SG AMERICAS SECURITIES LLC STRAITS FINANCIAL LLC	FCM BD FCM	CME	02/29/2020 02/29/2020	4,300,507,234 23,381,729	1,430,514,973 11,083,758	2,869,992,261 12,297,971	13,/42,023,/41 269,460,830	253,256,394	/02,121,376 16,204,436	2.000.000	5,643,823,015 29,324,518	5,049,414,/92 21,213,732	594,408,223 8,110,786	355,000,000	1,087,022,335	770,635,951	316,386,384	75,000,000	
TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	02/29/2020	139,664,730	22,567,783	117,096,947	250,271,706	156,042,976	94,228,730	50,000,000	0	0	0	0	0	0	0	0	61,062,87
TRADESTATION SECURITIES INC	FCM BD	CME	02/29/2020	128,703,426	3,651,676	125,051,750	573,119,838	553,441,406	19,678,432	9,000,000	21,147,755	18,100,413	3,047,342	800,000	0	0	0	0	-
UBS FINANCIAL SERVICES INC UBS SECURITIES LLC	FCM BD FCM BD	NFA CBOT	02/29/2020 02/29/2020	1,819,917,296 5,169,541,523	135,364,563 581,563,278	1,684,552,733 4,587,978,245	345,450,227 5,429,296,206	211,969,494	<u>133,480,733</u> 536,497,615	22,000,000	30,562,224	4,816,375 838,098,307	25,745,849	<u>15,000,000</u> 200,000,000	943,900,482	0 661,057,204	0 282,843,278	125,000,000	
WEDBUSH SECURITIES INC	FCM BD FCM BD	CBOT	02/29/2020	3,109,541,523	28,689,996	4,587,978,245 161,876,759	5,429,296,206 956,667,997	4,892,798,591 938,277,291	536,497,615	425,000,000 19,000,000	1,134,273,560 16,472,171	838,098,307 13,528,581	296,175,253 2,943,590	200,000,000 1,000,000	943,900,482	001,057,204	282,843,278	125,000,000 N	
WELLS FARGO SECURITIES LLC	FCM BD	CME	02/29/2020	190,566,755 8,224,217,043	1,283,800,576	6,940,416,467	4,688,397,335	4,460,035,212	228,362,123	125,000,000	499,077,689	410,116,898	88,960,791	40,000,000	12,188,267,399	9,859,778,531	2,328,488,868	345,000,000	
YORK BUSINESS ASSOCIATES LLC	FCM	NFA	02/29/2020	6,287,052	1,000,000	5,287,052	38,925,940	33,024,468	5,901,472	2,000,000	1,603,373	1,134,867	468,506	200,000	0	0	0	0	
Totals							185.426.162.994	172.935.772.218	12.490.390.776	6.075.524.206	47.203.945.472	41.167.867.457	6.036.078.015	2.382.317.713	134,399,708,905	120.785.308.338	13.614.400.567	3.407.424.249	612,866,604
January Web Page Update	63																		
Additions	0																		
None																			
Deletions																			
None																			
News Changes																			
	1	1														+			
Name Changes None																			
Name Changes None February Web Page Update	63																		

SELECTED FCM FINANCIAL DATA AS OF February 29, 2020 FROM REPORTS FILED BY March 24, 2020

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.											
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.											
RFED: Retail Foreion Exchance Dealer that is recistered with the Commodity Futures Trading Commission.											
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.											
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed second.											
(c): A firm's net capital requirement is the greater of:											
FCM minimum dollar amount (\$1,000,000); or											
risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or											
the amount of capital required by a registered futures association (current Wink is the only of total requirements of the amount of the a											
the security is brokers and dealers, the amount of net capital required by Rule 15G-1(a) of the Securities and Exchange Commission; or											
 Initial of the second to the CMC of the initial force of the control of the second to t											
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.											
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.											
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.											
(f): This represents the total amount of funds that an FCM is required to seqregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility.											
(q): Excess/Deficient funds in seqregation is customer assets in seqregation, less the customer seqregation requirement.											
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.											
(i): This represents the total amount of money. securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.											
(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.											
(k): Excess/Deficient funds in separate Section 30,7 accounts is funds in separate Section 30.7 accounts. less the customer amount Part 30 requirement.											
(1): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.											
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.											
(n): This represents the amount of funds an FCM is required to seqregate for customers who trade cleared swaps.											
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.											
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.											
(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex accounts, adjusted for the realized and unrealized and unre											