## SELECTED FCM FINANCIAL DATA AS OF March 31, 2019 FROM REPORTS FILED BY April 25, 2019

Futures Commission Marchant /			As of	Adjusted Not	Net Capital	Excess Not	Customers'	Customers' Seg	Excess / Deficient	Tarnet Peridual	Funds in	Customer	Excess/Deficient	Target Residual	Funds in Separate Cleared	Customer Amount Cleared	Excess/Deficient Funds in Cleared	Target Residual Interest in	Total Amount of
Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Assets in Seg	Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Separate Section 30.7 Accounts	Amount Pt. 30 Required	Funds in Separate Section	Interest in Section 30.7	Swap	Swap Seg	Swap Seg	Cleared Swap	Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	30.7 Accounts (k)	(1)	Segregation (m)	Required (n)	Accounts (0)	Seg (p)	(q)
ABN AMRO CLEARING CHICAGO LLC	ECM BD	CBOT	03/31/2019	662,016,595	143,288,833	518,727,762	2,569,833,838	2,243,140,378	326,693,460	200,090,158	119,301,679	96,455,570	22,846,109	9,645,557		) (	0	0	
2 ADM INVESTOR SERVICES INC	FCM	CBOT	03/31/2019	320,860,932	173,650,429	147,210,503	4,279,460,737	4,070,254,917	209,205,820	120,000,000	334,971,263	287,960,434	47,010,829	20,000,000	14,855,697	4,135,872	10,719,825	8,000,000	
3 ADVANTAGE FUTURES LLC	FCM	CME	03/31/2019	20,703,856	5,435,447	15,268,409	288,245,051	265,924,396	22,320,655	15,000,000	35,828,925	33,120,857	2,708,068	1,000,000	0 0	0 0	0	0	
4 AMP GLOBAL CLEARING LLC 5 APEX CLEARING CORPORATION	FCM FCM BD	NFA NFA	03/31/2019	4,957,391 127,678,245	1,000,000 9,652,520	3,957,391 118.025.725	53,002,044 29,077,754	48,046,912 17,376,137	4,955,132 11,701,617	900,000 2,500,000	2,130,295	1,769,407	360,888	150,000			0	0	
6 BAKKT CLEARING LLC	FCM	NFA	03/31/2019	4,436,070	1,000,000	3,436,070	0	0	0	0	0	0	0	0	0 0	0 0	0	0	
7 BARCLAYS CAPITAL INC	FCM BD	NYME	03/31/2019	6,314,526,168	1,063,236,566	5,251,289,602	5,612,617,326	5,223,217,816	389,399,510	339,509,158	3,336,821,655	3,136,550,687	200,270,968	104,760,793	6,662,077,562	6,264,510,903	397,566,659	249,953,985	
8 BGC FINANCIAL LP 9 BNP PARIBAS SECURITIES CORP	FCM BD FCM BD	NFA CBOT	03/31/2019	28,606,928	1,877,835	26,729,093	2,762,388,489	2 574 892 604	187,495,885	151.000.000	204,125,336	134,115,684	70,009,652	30,000,000	1,208,757,279	1,059,472,255	149.285.024	120,000,000	
0 BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	03/31/2019	6,140,012	1,000,000	5,140,012	6,929,205	3,203,793	3,725,412	1,000,000	204,123,330	0	70,009,032	30,000,000	. 1,200,737,275	i,035,472,233	6	120,000,000	
1 BOFA SECURITIES INC	FCM BD	NFA	03/31/2019	2,025,721,264	1,500,000	2,024,221,264	0	0	0	0	0	0	0	0	0 0	0 0	0	0	
2 CANTOR FITZGERALD & CO 3 CHARLES SCHWAB FUTURES, INC.	FCM BD FCM	CBOT NFA	03/31/2019 03/31/2019	309,655,254 68,182,373	2,338,333 4,257,505	<u>307,316,921</u> 63,924,868	4,969,921 122,560,778	60,785,114	4,969,921 61,775,664	3,000,000 10,333,469	50,000	0	50,000	1			0	0	
4 CHS HEDGING LLC	FCM	CBOT	03/31/2019	53,115,049	8,368,846	44,746,203	187,328,050	135,525,502	51,802,548	13,600,000	1,528,510	0	1,528,510	200,000	503,912	0	503,912	120,000	
5 CITIGROUP GLOBAL MARKETS INC 6 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD FCM BD	CBOT/NFA CBOT	03/31/2019	9,562,081,714 10,230,847,746	2,655,776,878 1,345,114,983	6,906,304,836 8,885,732,763	9,892,329,403 5,572,224,037	9,333,293,707 5,263,023,153	559,035,696 309,200,884	401,500,000 263,151,158	3,072,348,760 4,734,033,744	2,888,201,283	<u>184,147,477</u> 858,874,068	150,000,000 193,757,984	26,841,883,076	26,316,455,988	525,427,088 1.045.628.581	436,700,000 516,544,866	
7 CUNNINGHAM COMMODITIES LLC	FCM	CBOT		5,560,940	1,145,793	4,415,147	72,655,339	69,006,660	3,648,679	3,050,000	2,355,263	1,822,985	532,278	100,000	0	0 0	0	0	
8 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	03/31/2019	988,540,950	3,294,896	985,246,054	2,169,379	0	2,169,379	1	554,516	0	554,516	1	. 0	0 0	0	0	
9 DEUTSCHE BANK SECURITIES INC 0 DIRECT ACCESS USA LLC	FCM BD FCM	CBOT CME	03/31/2019	13,596,784,800 8,171,872	233,559,213	13,363,225,587	2,485,122,325 22,408,890	2,282,966,666	202,155,659	125,000,000 2,500,000	734,728,063	608,607,014	126,121,049	75,000,000	0	0	0	0	
1 DORMAN TRADING LLC	FCM	CME		13,238,109	1,227,564	12,010,545	165,504,419	160,913,573	4,590,846	2,000,000	5,456,990	4,670,626	786,364	200,000			0	0	
2 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	03/31/2019	177,785,189	60,716,106	117,069,083	1,472,149,018	1,402,958,416	69,190,602	41,250,000	75,535,682		10,410,231	5,000,000	1,956,687	739,824	1,216,863	500,000	
3 E*TRADE FUTURES LLC 4 GAIN CAPITAL GROUP LLC	FCM FCMRFD	NFA NFA	03/31/2019 03/31/2019	26,062,418 54,940,287	1,590,326 33,340,406	24,472,092 21,599,881	72,853,853 232,146,110	48,319,507 214,731,826	24,534,346 17,414,284	5,000,000 10,000,000	0 4,774,473	2,383,398	2,391,075	1,000,000	0 0	0 0	0	0	253.693.41
5 GH FINANCIALS LLC	FCM	CME	03/31/2019	20,539,319	5,427,624 2,319,992,863	15,111,695 15,575,874,181	232,146,110 98,955,095 21,305,427,254	80,844,849	18,110,246	3,100,000	6,385,541 9,390,229,147		1,109,010	300,000	0		0	0	233,093,41
6 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	03/31/2019		2,319,992,863	15,575,874,181	21,305,427,254	20,421,287,681	884,139,573		9,390,229,147	8,812,045,869	578,183,278		7,200,767,727	6,705,794,318	494,973,409	350,000,000	
7 HSBC SECURITIES USA INC 8 HUATAI FINANCIAL USA INC	FCM BD FCM	NFA	03/31/2019 03/31/2019	984,308,195 5,226,396	283,687,859	700,620,336 4,226,396	2,097,031,088 1,501,191	1,985,661,959	111,369,129 1,500,011	100,000,000 600,000	181,220,180 300,006	145,024,645	36,195,535 300,006	25,000,000	1,124,532,285	988,295,317	136,236,968	113,000,000	
9 INTERACTIVE BROKERS LLC	FCM BD	CME	03/31/2019	5,078,108,000	445,369,869	4,632,738,131	3,905,935,121	3,704,395,667	201,539,454	155,000,000	558,970,522	435,825,088	123,145,434	80,000,000	0 0	0 0	0	0	71,718,03
0 INTL FCSTONE FINANCIAL INC	FCM BD	CME	03/31/2019	165,282,123	85,471,517	79,810,606	2,120,744,626	2,064,676,510	56,068,116	40,000,000	129,686,365	114,058,762	15,627,603	8,000,000	0 0	0 0	0	0	
1 IRONBEAM INC 2 JEFFERIES LLC	FCM FCM BD	NFA NFA	03/31/2019 03/31/2019	4,984,937 1,469,321,539	1,000,000 103,482,377	3,984,937 1,365,839,162	61,064,881	56,708,459	4,356,422	1,600,000	416,477	264,547	151,930	50,000			0	0	
3 JP MORGAN SECURITIES LLC	FCM BD SD		03/31/2019	18,067,174,461	3,160,119,706	14,907,054,755	21,618,462,447	20,440,095,197	1,178,367,250	1,022,004,760	4,571,625,841	4,187,972,616	383,653,225	251,278,357	14,282,320,290	13,431,147,427	851,172,863	705,135,240	
4 MACQUARIE FUTURES USA LLC	FCM		03/31/2019	259,964,280	175,042,411	84,921,869	2,278,951,005	2,023,622,879	255,328,126	100,000,000	40,109,541	22,463,530	17,646,011	7,000,000	23,834,597	2,871,640	20,962,957	1,000,000	
5 MAREX NORTH AMERICA LLC 6 MCVEAN TRADING & INVESTMENTS LLC	FCM FCM	CME NFA	03/31/2019 03/31/2019	88,497,909 14,474,352	63,695,207 1,751,840	<u>24,802,702</u> 12,722,512	1,423,636,664 711,696,256	<u>1,394,699,183</u> 690,147,523	28,937,481 21,548,733	20,330,000	71,701,085	53,648,066	18,053,019	10,250,000			0	0	
7 MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	00/01/2020	13,252,788,462	2,137,683,131	11,115,105,331	16,849,939,044	16,505,411,121	344,527,923	200,000,000	5,090,974,179	4,828,663,455	262,310,724	150,000,000	7,716,094,858	7,425,287,984	290,806,874	150,000,000	
8 MERRILL LYNCH PROFESSIONAL CLEARING CORP 9 MID CO COMMODITIES INC	FCM BD FCM	NFA NFA	03/31/2019	4,878,250,228 8,353,232	587,652,179 3,368,204	4,290,598,049 4,985,028	1,944,506,265 48,192,080	<u>571,534,144</u> 39,372,735	1,372,972,121 8,819,345	300,000,000 5,138,000	0	0	0	0	0 0		0	0	
0 MINT BROKERS	FCM BD	NFA	03/31/2019 03/31/2019	2,281,866	1,000,000	1,281,866	40,192,000	39,372,733	0,019,343	5,138,000	0	0	0	0			0	0	
1 MITSUI BUSSAN COMMODITIES USA INC	FCM	NFA		15,404,552	1,000,000	14,404,552	0	0	0	0	0	0	0	0	0 0	0 0	0	0	
2 MIZUHO SECURITIES USA LLC 3 MORGAN STANLEY & COLLC	FCM BD FCM BD SD	CME CME/NEA	03/31/2019	816,236,147 13,924,559,111	233,123,643	583,112,504	3,243,022,731	3,043,617,035	199,405,696	125,000,000	718,344,736	593,328,984	125,015,752	70,000,000	21,384,317	1,119,157	20,265,160	10,000,000	
4 NANHUA USA LLC	FCM	CME	00/01/2019	16,601,896	1,000,000	15,601,896	30,189,362	19,065,140	11,124,222	3,500,000	3,004,203,419	0	191,123,032	140,000,000	0 0	0 10,140,237,024	0 0	92,000,000	
5 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	03/31/2019	844,457,993	34,756,693	809,701,300	559,211,549	483,777,542	75,434,007	60,000,000	18,949,910	11,099,604	7,850,306	5,000,000	0 0	) ()	0	0	
6 NOMURA SECURITIES INTERNATIONAL INC 7 OANDA CORPORATION	FCM BD FCMRFD	CBOT NEA	03/31/2019 03/31/2019	2,052,865,464 71,952,076	164,498,281 59,636,398	1,888,367,183 12,315,678	321,840,315	213,279,513	108,560,802	15,000,000	17,563,716	0	17,563,716	1,000,000			0 0	0	217,134,49
8 PHILLIP CAPITAL INC	FCM BD	CME	03/31/2019	33,702,572	11,985,588	21,716,984	331,337,668	320,876,486	10,461,182	7,500,000	9,623,430	6,607,405	3,016,025	2,000,000	0 0	0 0	0	0	217,151,15
9 PICTET OVERSEAS INC 0 RAND FINANCIAL SERVICES INC	FCM BD FCM	NFA CMF		16,184,349 91,028,280	2,611,294 9,353,865	13,573,055 81,674,415	2,106,706 142,886,600	301,584 104,739,100	1,805,122 38,147,500	1,500,000 3,142,200	54,168,120 33,950,600	51,541,740 8,988,700	2,626,380 24,961,900	500,000 269,700	0 0	0 0	0	0	
1 RBC CAPITAL MARKETS LLC	FCM BD		03/31/2019 03/31/2019	91,028,280	203,857,315	1,767,175,485	2,123,847,628	1,744,437,056	38,147,500 379,410,572	3,142,200	33,950,600 380,552,816	316,132,600	64,420,216	20,000,000	691,407,980	488,854,705	202,553,275	40,000,000	
2 RJ OBRIEN ASSOCIATES LLC	FCM	CME	03/31/2019	211,152,399	151,360,626	59,791,773	3,920,059,823	3,812,602,054	107,457,769	50,000,000	173,421,598	148,857,230	24,564,368	20,000,000	0 0	0 0	0	0	
3 SANTANDER INVESTMENT SECURITIES INC 4 SCOTIA CAPITAL USA INC	FCM BD FCM BD	NYME CME	03/31/2019 03/31/2019	417,232,758 704,207,051	50,000,000 65,955,757	367,232,758 638,251,294	577,800,244	563,382,517	14,417,727	12,000,000	0	0	0	0	0 0	0 0	0	0	
5 SG AMERICAS SECURITIES LLC	FCM BD	CME	03/31/2019	4,480,432,652	1,429,587,477	3,050,845,175	13,826,024,180	13,149,645,076	676,379,104	500,000,000	6,593,400,422	5,982,576,239	610,824,183	355,000,000	671,348,640	446,378,507	224,970,133	75,000,000	
6 STRAITS FINANCIAL LLC	FCM	CME NEA	03/31/2019	23,268,451	7,656,041	15,612,410	272,014,657	257,077,461	14,937,196	2,000,000	35,812,659	28,494,329	7,318,330	300,000	0	0 0	0	0	(2.204.00)
7 TD AMERITRADE FUTURES & FOREX LLC 8 TRADESTATION SECURITIES INC	FCM FCM BD	CME		145,594,089 119,333,299	22,681,797 3,779,715	<u>122,912,292</u> 115,553,584	240,908,461 566,226,985	<u>146,107,703</u> 550,175,616	94,800,758 16,051,369	50,000,000 9,000,000	25,647,688	22,771,861	2,875,827	800,000			0	0	63,364,96
9 UBS FINANCIAL SERVICES INC	FCM BD		03/31/2019	1,133,827,878	127,192,532	1,006,635,346	128,992,879	95,421,423	33,571,456	22,000,000	31,923,701	6,380,076	25,543,625	15,000,000	0 0	0 0	0	0	
0 UBS SECURITIES LLC 1 LIOBBE CLEARING LIMITED	FCM BD FCM	CBOT		5,678,808,793 26,786,457	558,680,736 2.861,391	5,120,128,057	5,664,617,535 73,202,533	5,156,261,513	508,356,022 19,785,367	425,000,000	1,894,119,933	1,502,088,954	392,030,979	300,000,000	922,075,440	656,771,038	265,304,402	200,000,000	
2 WEDBUSH SECURITIES INC	FCM BD	CBOT	03/31/2019	204,239,108	26,071,943	178,167,165	953,540,243	925,273,435	28,266,808	19,000,000	17,583,577	12,467,782	5,115,795	1,000,000	0		0	0	
3 WELLS FARGO SECURITIES LLC 4 YORK BUSINESS ASSOCIATES LLC	FCM BD FCM	CME	03/31/2019 03/31/2019	8,346,132,496 5,908,912	919,447,791 1.000.000	7,426,684,705	3,623,002,566	3,407,842,197 37,532,247	215,160,369 5,504,151	90,000,000 2,000,000	257,424,938 1,900,268	213,244,567	44,180,371 579,193	25,000,000	8,749,378,288	8 8,248,726,284	500,652,004	260,000,000	
	FUM	INFA	03/31/2019	5,906,912	1,000,000	4,908,912	45,050,398	37,332,247	5,504,151					,		,	0	0	
Totals							164,067,482,044	154,257,276,964	9,810,205,080	6,105,698,904	48,577,403,436	44,060,245,114	4,517,158,322	2,554,912,394	103,843,376,356	98,519,716,161	5,323,660,195	3,327,954,092	605,910,913
February Web Page Update	64																		
Additions	0																		
None			-																
Deletions	0																		
None																			
Name Changes												-							
None																			
1		L								1									
March Web Page Update	64			I															

## SELECTED FCM FINANCIAL DATA AS OF March 31, 2019 FROM REPORTS FILED BY April 25, 2019

(a): FCM: Futures Commission Merchant that is registered	d with the Commodity	/ Futures Trading Commission	n.													
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.																
RFED: Retail Foreion Exchance Dealer that is registered with the Commodity Futures Tradino Commission.																
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.																
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.																
(b): DSR0: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSR0s listed if they have a different DSR0 for the FCM/RFED and the SD. The DSR0 for the FCM/RFED is listed first and the DSR0 for the SD is listed second.																
(D). DSRO. Designated Self-Regulatory Organization. FCM	WRED HILLS LINE are	dualiv registered as 50s hav	e two DSROS listed li	ulev have a ullieler	L DSRO IUI LIE FCM/RFED and LIE SD. I	IE DSRO IUI LIE FCM/h	FED IS IISLEU IIISL AIIU	the DSRO for the SD	s listeu seconu.							
(c): A firm's net capital requirement is the greater of:																
FCM minimum dollar amount (\$1,000,000); or																
risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or																
the amount of capital required by a registered futures association (currently NFA is the only such association); or																
<ul> <li>for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or</li> </ul>																
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or																
minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or     minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.																
					*											
(d): Excess net capital is adjusted net capital, less the firm	n's net canital require	ment														
	rs net capital regainer	inche.														
(e): This represents the total amount of money, securities	and property hold in	cographed accounts for fut	ures and options such	omore in compliance	with Caction 4d of the Commodity Evolution	ao Act										
(e). This represents the total amount of money, securities	, and property neid in	r segregated accounts for full	ures and options cust	Uniers in compliance	with Section 40 of the Commonly Exchan	ige Act.										-
(O) This was a share to be the total and the first of the total of total of the total of tot	· · · · · · · · · · · · · · · ·		have a back and a second	la da cata da cata da c	and the transfer of the transfer of the test of the	Contraction of the second	·····	and the second Res Solar								
(f): This represents the total amount of funds that an FCM	1 is required to segree	gate on behalf of customers v	who are trading on a o	designated contract i	market or derivatives transaction execution	h facility. This is the su	m of all accounts that	contain a net liquidat	ng equity.							
(q): Excess/Deficient funds in segregation is customer ass	ets in segregation, le	ss the customer segregation r	requirement.													
(h): This represents the targeted excess of proprietary fur	nds deposited into cus	stomer segregated accounts a	above the customer se	earegation requireme	ent. This target amount is set by the firm.											
(i): This represents the total amount of money, securities.	and property held in	secured accounts for futures	and options custome	rs who trade on com	modity exchanges located outside the Un	ited States in complian	e with Part 30 of the	Commodity Exchange	Act.							
(i): This represents the amount of funds an FCM is required	ed to set aside for cus	stomers who trade on commo	dity exchanges locate	ad outside of the Uni	ted States											
The most coresents the unball of rands and entry reading		somers who trade on comme			ica states.											
(k): Excess/Deficient funds in separate Section 30.7 account	unto io fundo in conora	to Costion 20 7 accounts los	the sustemer amou	nt Dart 20 requireme	nt											
TK). Excess/Dendenc runus in sebarate section 50.7 accou		ite Section 30.7 accounts, les	s the customer amou	IL Part 30 requireme												
	de des services d'Astronomic					•										
<ol> <li>This represents the targeted excess of proprietary fun</li> </ol>	us deposited into sed	arate Section 30.7 accounts a	ibove the customer al	nount Part 30 reduir	ement. This target amount is set by the i	IIII.										
(m): This represents the total amount of money, securities	s, and property held i	n cleared swap customer aco	ounts for cleared swa	p customers in comp	pliance with Section 4d(f) of the Commodi	ty Exchange Act.										
(n): This represents the amount of funds an FCM is required.	ed to segregate for c	ustomers who trade cleared s	swaps.													
(o): Excess/Deficient funds in cleared swap customer according to the second state of the second state	ounts is funds in sepa	rate cleared swap segregation	n accounts, less the c	eared swap custome	er segregation requirement.									 		
(p): This represents the targeted excess of proprietary fu	nds deposited into se	parate cleared swap segregat	tion accounts above t	he cleared swap cust	tomer segregation requirement. This targ	et amount is set by the	firm.									
in the represente the targeted encess of proprietary ru					the second and the second s	and a set by the										
(q): This represents the total amount of funds at an FCM,	REED or ECMRED th	at would be obtained by com	hining all money sec	urities and property	deposited by a retail forey customer into a	retail forey account o	accounts adjusted fr	or the realized and up	ealized net profit or l	nee						
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