## SELECTED FCM FINANCIAL DATA AS OF February 28, 2019 FROM REPORTS FILED BY March 26, 2019

Futures Commission Merchant / Retail Foreign Exchange Dealer			As of	Adjusted Net	Net Capital	Excess Net	Customers'	Customers' Seg	Excess/Deficient	Target Residual	Funds in	Customer	Excess/Deficient Funds in	Target Residual	Funds in Separate Cleared	Customer d Amount Cleared	Excess/Deficient d Funds in Cleared		Total Amount of
	Registered As	DSRO	Date	Capital	Requirement	Capital	Assets in Seg	Required 4d(a)(2)	Funds in Seg	Interest in Seg	Separate Section 30.7 Accounts	Amount Pt. 30 Required	Separate Section 30.7 Accounts	Interest in Section 30.7	Swap	Swap Seg Required	Swap Seg Accounts	Cleared Swap Seg	Retail Forex Obligation
	(a)	(b)		 	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	02/28/2019	704,967,428	148,547,224	556,420,204	2,832,424,329	2,462,326,142	370,098,187	220,031,458	121,380,288	99,416,316	21,963,972	9,941,632	0	(	0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	02/28/2019	, . ,	175,357,187	137,935,450	4,174,373,097	3,961,426,802				282,678,426		20,000,000	14,103,901	4,225,306	6 9,878,595	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM	CME	02/28/2019		7,496,940	12,820,850	305,081,251	279,879,957			32,404,636	29,902,380	2,502,256	1,000,000	0	0	0	0	0
4 AMP GLOBAL CLEARING LLC 5 APEX CLEARING CORPORATION	FCM FCM BD	NFA NFA	02/28/2019 02/28/2019	4,779,031	1,000,000	3,779,031	53,613,030 27,342,736	48,585,744		900,000 2,500,000	2,054,261	1,719,334	334,927	150,000	0	0	<u>, 0</u>	0	0
6 BAKKT CLEARING LLC	FCM	NFA	02/28/2019	/_000/000	1,000,000	3,631,695	27,542,750	10,041,027	0	2,500,000	0	0	0	0	0		<u> </u>	0	0
7 BARCLAYS CAPITAL INC	FCM BD	NYME	02/28/2019	5,710,212,592	1,027,279,828	4,682,932,764	5,337,142,013	4,934,872,168	402,269,845	320,766,691	3,256,520,899	3,056,258,605	200,262,294	102,079,037	6,271,432,391	5,872,922,458	8 398,509,933	234,329,606	0
8 BGC FINANCIAL LP	FCM BD	NFA	02/28/2019		1,780,050	22,878,899	0	0	0	0	0	0	0	0	0	0	) 0	0	0
9 BNP PARIBAS SECURITIES CORP 10 BOCL COMMODITIES & FUTURES USA LLC	FCM BD FCM	CBOT	02/28/2019 02/28/2019		407,187,958	1,714,126,314 5,305,239	2,969,428,513 5,726,508	2,783,711,020				107,392,146	45,500,521	30,000,000	1,235,681,368	1,086,187,261	1 149,494,107	120,000,000	0
11 BOFA SECURITIES INC	FCM	NFA	02/28/2019		1,500,000	2,021,450,865	5,720,508	2,021,089	3,703,419	1,000,000	0	0	0	0	0		0	0	0
12 CANTOR FITZGERALD & CO	FCM BD	CBOT	02/28/2019	282,741,490	4,258,447	278,483,043	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0		<u>)</u>	Ō	0
13 CHARLES SCHWAB FUTURES, INC.	FCM	NFA	02/28/2019		4,944,195	62,405,140	133,200,557	71,405,072	61,795,485	12,138,862		0	50,000	200,000	0 503.912		0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 120.000	0
14 CHS HEDGING LLC 15 CITIGROUP GLOBAL MARKETS INC	FCM FCM BD SD	CBOT CBOT/NFA	02/28/2019 A 02/28/2019		7,464,776 2,587,824,846	46,290,827 5,658,462,605	173,090,129 10,326,514,490	120,177,636 9,682,991,063	52,912,493 643,523,427	13,600,000 385,600,000	1,527,529 3,277,454,621	3.053.054.717	1,527,529 224,399,904	200,000 150,000,000	26,192,973,888	25,644,439,829		444,700,000	
16 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	02/28/2019	9,159,467,472	1,342,796,492	7,816,670,980	5,728,171,116	5,393,442,085	334,729,031	269,672,104	4,133,170,074	3,317,808,214	815,361,860	165,890,411	11,239,392,415	10,146,001,043		507,300,052	0
17 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	02/28/2019	5,503,756	1,130,490	4,373,266	70,890,468	67,264,664		3,050,000		2,049,234		100,000	0	0	0 1	0	0
18 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD FCM BD	CME CBOT	02/28/2019		1,853,622 223,476,320	974,804,645 13,461,874,472	2,168,527	2.237.269.735	2,168,527	125,000,000	561,567	0 583,524,543	561,567	1 75,000,000	0	+?	/ 0	0	0
19 DEUTSCHE BANK SECURITIES INC 20 DIRECT ACCESS USA LLC	FCM BD	CBOT	02/28/2019 02/28/2019		223,476,320	13,461,8/4,4/2 7,153,907	2,438,376,915 13,734,261	2,237,269,735	201,107,180 3.670,167			203,524,543	126,897,730	/5,000,000	0	+	0	0	0
21 DORMAN TRADING LLC	FCM	CME	02/28/2019		1,000,000	12,487,099	156,187,858	151,263,924				4,737,935	630,771	200,000	0	0	0 0	0	0
22 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	02/28/2019	171,212,660	60,829,427	110,383,233	1,581,077,412	1,506,365,975	74,711,437	41,250,000	70,490,426	60,150,950		5,000,000	1,953,889	740,315	5 1,213,574	500,000	0
23 E*TRADE FUTURES LLC	FCM	NFA	02/28/2019	26,086,711	2,907,184	23,179,527	76,426,514	50,319,104		5,000,000	0	0	0	0	0	0	0 0	0	0
24 GAIN CAPITAL GROUP LLC	FCMRFD FCM	NFA CMF	02/28/2019		32,953,207 5,436,802	22,283,453	229,213,589	212,556,862	16,656,727	2 100 000	0 010 401	2,784,522		1,000,000	0		/ 0	0	248,220,819
25 GH FINANCIALS LLC 26 GOLDMAN SACHS & CO LLC	FCM BD SD	CME CBOT/NFA	02/28/2019	20,023,209 18,006,372,970	2,380,271,058	14,586,407	98,325,009 21,760,851,270	80,791,862 20,939,461,819	17,533,147 821,389,451	3,100,000	9,465,161,496	8,889,347,747		300,000 475,000,000	6,950,795,023	6.553.884.117	7 396,910,906	350,000,000	0
27 HSBC SECURITIES USA INC	FCM BD	CME	02/28/2019	1,000,403,125	274,220,028	726,183,097	2,084,454,393	1,899,119,096	185,335,297	109,000,000	257,268,647	221,531,811	35,736,836	25,000,000	1,026,926,437	920,686,679		83,000,000	
28 HUATAI FINANCIAL USA INC	FCM	NFA	02/28/2019		1,000,000	4,377,879	0	0	0	0	0	0	0	0	0	0	0	0	0
29 INTERACTIVE BROKERS LLC 30 INTL ECSTONE FINANCIAL INC	FCM BD FCM BD	CME	02/28/2019 02/28/2019		471,117,051 83,956,501	4,598,416,535 89,236,914	3,984,783,133 2,085,605,348	3,757,858,720	220/521/115			444,609,031 110,155,070	125,152,790 16,314,900	80,000,000 8,000,000	0		/ 0	0	68,067,722
31 IRONBEAM INC	FCM	NFA	02/28/2019		1.084.236	3,727,976	58,970,803	54,812,395		1.600.000		251,105		50,000	0	0	0	0	0
32 JEFFERIES LLC	FCM BD	NFA	02/28/2019		108,373,089	1,303,242,679	0	0	0	0	0	0	0	0	0	Č	<u>, 0</u>	0	0
33 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA		17,422,467,528	3,159,133,838	14,263,333,690	21,686,456,556	20,451,891,729		1,022,594,586		4,560,000,784		273,600,047	13,553,644,028	12,735,665,555		668,622,442	0
34 MACQUARIE FUTURES USA LLC	FCM	CBOT	02/28/2019		186,170,922	80,768,070	2,352,602,735	2,092,031,642		100,000,000	39,148,511	21,582,773		7,000,000	23,460,074	2,535,338	8 20,924,736	1,000,000	0
35 MAREX NORTH AMERICA LLC 36 MCVEAN TRADING & INVESTMENTS LLC	FCM FCM	CME NFA	02/28/2019 02/28/2019		66,120,701	27,073,855 11,366,919	1,461,541,248 696,875,508	1,430,292,878	31,248,370 21,555,412	20,330,000	77,309,109	54,328,910	22,980,199	10,250,000	0		<u>/ 0</u>	0	0
37 MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	D FCM BD	CBOT	02/28/2019		2,058,785,071	11,675,404,782	16,098,538,609	15,701,698,923				4,772,369,599		150,000,000	7,317,631,975	7,097,460,591	1 220,171,384	150,000,000	0
38 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	02/28/2019	4,211,511,194	633,099,116	3,578,412,078	1,742,258,480	700,503,093	1,041,755,387	300,000,000	0	0	0	0	0	(	) 0	0	0
39 MID CO COMMODITIES INC	FCM	NFA	02/28/2019	8,050,027	3,419,079	4,630,948	41,290,437	32,672,016	8,618,421	5,138,000	0	0	0	0	0	<u> </u>	) 0	0	0
40 MINT BROKERS 41 MITSUI BUSSAN COMMODITIES USA INC	FCM BD FCM	NFA NFA	02/28/2019 02/28/2019		1,000,000 1,000,000	1,321,466 14,752,589	0	0	0	0	0	0	0	0	0		1 0	0	0
42 MIZUHO SECURITIES USA LLC	FCM BD	CME	02/28/2019	747,528,773	252,327,413	495,201,360	3,565,733,925	3.357.595.439	208,138,486	125,000,000	770,556,563	645,290,089	125,266,474	70,000,000	28,998,284	971,260	0 28.027.024	15.000.000	0
43 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	02/28/2019	13,843,860,198	2,527,044,362	11,316,815,836	16,417,007,463	16,125,194,254	291,813,209	235,000,000		5,321,255,787		140,000,000	15,725,087,347			92,000,000	0
44 NANHUA USA LLC	FCM	CME	02/28/2019		1,000,000	15,661,271	30,812,471	19,928,856	10,883,615	3,500,000	0	0	0	0	0	<u> </u>	0	0	0
45 NATWEST MARKETS SECURITIES INC 46 NOMURA SECURITIES INTERNATIONAL INC	FCM BD FCM BD	CBOT CBOT	02/28/2019	920,668,401 1,830,415,123	36,001,729 185,009,109	884,666,672 1.645,406,014	549,714,240 315,765,906	474,279,685	75,434,555	60,000,000	17,757,203	11,625,542	6,131,661 17,577,923	5,000,000	0		1 0	0	0
47 OANDA CORPORATION	FCMRFD	NFA	02/28/2019		59,211,054	11,811,263	312,702,900	207,878,453	107,887,453	15,000,000	17,577,923	0	17,577,925	1,000,000	0		0	0	212,038,004
48 PHILLIP CAPITAL INC	FCM BD	CME	02/28/2019		14,035,594	19,338,593	329,548,375	318,852,960	10,695,415	7,500,000	11,152,008	8,149,095	3,002,913	2,000,000	0	Ċ	0	0	0
49 PICTET OVERSEAS INC	FCM BD	NFA	02/28/2019	16,536,060	2,903,055	13,633,005	2,106,406	301,584	1,804,822	1,500,000	47,921,737	45,395,871	2,525,866	500,000	0	0	0 0	0	0
50 RAND FINANCIAL SERVICES INC 51 RBC CAPITAL MARKETS LLC	FCM FCM BD	CME	02/28/2019		12,887,682	78,454,154	139,514,400	101,322,200 1,941,778,155				7,830,900 307,370,018		234,900 35,000,000	633,240,298	461.010.912	0 0 2 172.229.386	40.000.000	0
52 RJ OBRIEN ASSOCIATES LLC	FCM BD	CME	02/28/2019 02/28/2019	1,990,967,401 204,231,523	225,010,701 137,838,150	1,765,956,700 66,393,373	2,351,191,376 3,595,523,052	3,490,630,739	104,892,313	50,000,000	371,425,727 177,328,699	154,332,072	64,055,709 22,996,627	20,000,000	033,240,298	101,010,912	) 0		0
53 SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	02/28/2019	429,435,533	50,000,000	379,435,533	679,705,579	662,465,984				0	0	0	0		0	0	0
54 SCOTIA CAPITAL USA INC	FCM BD	CME	02/28/2019		64,744,132	874,272,791	0	0	0	0	0	0	0	0	0	204.271.11	0	0	0
55 SG AMERICAS SECURITIES LLC 56 STRAITS FINANCIAL LLC	FCM BD FCM	CME	02/28/2019 02/28/2019		1,413,853,478 8,656,337	2,943,081,660 14,245,297	14,162,370,356 295,299,841	13,485,239,619 280,869,833	677,130,737 14,430,008			5,643,292,551 27,196,146	640,048,826 7,239,162	355,000,000 300,000	610,780,204	394,371,445	5 <u>216,408,759</u> 0 0	75,000,000	0
57 TD AMERITRADE FUTURES & FOREX LLC	FCM FCM FCM BD	NFA	02/28/2019	144,258,703	22,643,692	121,615,011	257,492,241	162,320,394	95,171,847	50,000,000	0	0	0	0	0	<u> </u>	0	0	62,608,815
58 TRADESTATION SECURITIES INC		CME	02/28/2019	120,594,787	4,459,338	116,135,449	566,307,822	546,969,914	19,337,908	9,000,000	25,129,465			800,000	0	0	0	0	0
59 UBS FINANCIAL SERVICES INC 60 UBS SECURITIES LLC	FCM BD FCM BD	NFA CBOT	02/28/2019 02/28/2019		125,185,171	959,654,858 4,789,090,814	104,988,200 5,780,833,997	71,856,962 5,271,394,823				6,334,022		15,000,000 300,000,000	0 919,548,283	652,096,764	0 0 0 4 267,451,519	200.000.000	0
61 UOBBF CLEARING LIMITED	FCM BD	CBOI	02/28/2019		592,037,494 3,159,399	4,789,090,814	5,780,833,997	5,2/1,394,823	19,645,051	425,000,000	1,007,004,212	1,453,125,257	0	000,000,000	919,548,283	032,090,764	207,451,519	200,000,000	0
62 WEDBUSH SECURITIES INC	FCM BD	CBOT	02/28/2019	204,075,499	36,930,542	167,144,957	999,446,084	972,991,971	26,454,113	19,000,000	13,632,105	10,590,171		1,000,000	0	<u> </u>	j <u> </u>	0	0
63 WELLS FARGO SECURITIES LLC 64 YORK BUSINESS ASSOCIATES LLC	FCM BD FCM	CME	02/28/2019 02/28/2019	8,829,682,491 5,873,659	917,852,753 1,000,000	7,911,829,738 4,873,659	3,757,279,783 44,000,596	3,549,395,781 38,350,492	207,884,002 5,650,104	90,000,000 2,000,000	306,661,368	262,050,622 1,348,401	44,610,746 640,281	25,000,000	8,596,415,775	8,018,492,695	5 577,923,080	260,000,000	0
04 TURN DUSTINESS ASSOCIATES LLC	FCM	NFA	02/28/2019	5,8/3,659	1,000,000	4,873,659	44,000,596	38,350,492	5,650,104	2,000,000	1,988,682	1,348,401	640,281	200,000	0	<sup>0</sup>	·		
Totals			<u>+</u> +				164,814,264,252	154,975,516,819	9,838,747,433	6,213,211,407	48,232,476,819	43,610,927,366	4,621,549,453	2,561,796,030	100,342,569,498	95,154,035,01	8 5,188,534,480	3,249,572,101	590,935,360
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January Web Page Update	64	<u> </u>	+	+					·'	t	+	<u> </u>	+		+	+	+	<u> </u>	+
Additions	0	$\square$		ļ!					<u> </u> '		ļ'					1		1	
None		<u> </u>	+7	+ <sup>-</sup>					'	L	+'	<u> </u>	+		+	+		<u> </u>	+
Deletions	0	<u> </u>	+	+'					·'	<u> </u>	+'	<u> </u>	++		+	+	+	<u> </u>	+
None			<u> </u>	<u> </u>					<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>		<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
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Name Changes ROSENTHAL COLLINS GROUP LLC to BAKKT CLEARING LLC	l	<u> </u>	+	+'					+'	<u> </u>	<b>↓</b> ′	<u> </u>	+		+	+	+	+	+
INOSCITTINE COLLING GROUP LLC ID DARKT CLEARING LLC	+	<u> </u>	+	+		1			+/	t	+	<u> </u>	+		+	+			+
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## SELECTED FCM FINANCIAL DATA AS OF February 28, 2019 FROM REPORTS FILED BY March 26, 2019

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.										
BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.										
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.										
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.										
S0: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer.										
(b): DSR0: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSR0s listed if they have a different DSR0 for the FCM/RFED and the DSR0 for the FSD is listed first and the DSR0 for the SD is listed second.										
(c): A firm's net capital requirement is the greater of:										
FCM minimum dollar amount (\$1.000.000); or										
risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or										
<ul> <li>the amount of capital required by a registered futures association (currently NFA is the only such association); or</li> </ul>										
for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or										
<ul> <li>minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20.000.000); or</li> </ul>										
<ul> <li>minimum dollar amount for FCW's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCW's or RFED's total retail forex obligation in excess of \$10,000,000.</li> </ul>										
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.										
(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.										
(f): This represents the total amount of funds that an FCM is required to seqregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.										
(q): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.										
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm.										
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compolance with Part 30 of the Commodity Exchange Act.										
(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.										
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts. less the customer amount Part 30 requirement.										
(1): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm.										
(m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act.										
(n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.										
(o): Excess/Deficient funds in deared swap customer accounts is funds in separate deared swap segregation accounts, less the cleared swap customer segregation requirement.										
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.										
(a): This represents the total amount of funds at an FCM. RFED, or FCMRED that would be obtained by combining all money, securities and property deposited by a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.										