

CFTC TECHNOLOGY ADVISORY COMMITTEE (TAC)

March 27, 2019

AGENDA

10:00am **Opening Remarks**

- Commissioner Brian D. Quintenz
- Chairman J. Christopher Giancarlo
- Commissioner Rostin Behnam
- Commissioner Dawn Stump
- Commissioner Dan Berkovitz

10:15am **Introducing 2019 TAC Agenda and New Chair**

- Commissioner Brian D. Quintenz
- TAC Chair Richard Gorelick

10:20am **Panel I: Automated and Modern Trading Markets Subcommittee Presentation & Special Presentation from DMO**

A special presentation from DMO on the Impact of Automated Orders on Markets followed by a discussion of a principles-based approach to preserving orderly trading on exchanges

Lead Participants:

- Elitza Voeva-Kolev, Market Analyst, DMO, CFTC
- Mel Gunewardena, Chief Market Intelligence Officer & Deputy Director, DMO, CFTC
- Bryan Durkin, President, CME Group
- Alexander Stein, Managing Director, Two Sigma Investments LP
- Adam Nunes, Head of Global Business Operations and New Business Development, Hudson River Trading LLC

11:15am **Panel II: Virtual Currencies Subcommittee Presentation & Special Presentation from ABA**

A presentation of the TAC virtual currencies subcommittee regarding consensus mechanisms used for virtual currencies and a comprehensive survey of the regulation of virtual-currencies and other digital assets

Lead Participants:

- Peter Van Valkenburgh, Director of Research, Coincenter
- Gary DeWaal, Special Counsel, Katten Muchin Rosenman LLP
- Charles R. Mills, Partner, Steptoe & Johnson, LLP
- Kathryn M. Trkla, Partner, Foley & Lardner LLP

12:15pm **Lunch**

1:15pm **Panel III: Cybersecurity Subcommittee Presentation**

A presentation of the TAC cybersecurity subcommittee regarding the existing cybersecurity regulatory landscape and how regulation can be effectively applied to technological developments

Lead Participants:

- Thomas Price, Managing Director, Technology, Operations, and Business Continuity, SIFMA
- Josh Magri, Senior Vice President and Counsel for Regulation & Developing Technology, BPI
- Nina Neer, Director, Technology Operational Risk Management, Credit Suisse
- Jason Harrell, Executive Director and Head of Business and Government Cybersecurity Partnerships, DTCC
- Gil Vega, Managing Director and Chief Information Security Officer, CME Group

2:15pm **Panel IV: Distributed Ledger Technology and Market Infrastructure Subcommittee Presentation & Special Presentation from ISDA**

A presentation of the TAC Distributed Ledger Technology and Market Infrastructure subcommittee regarding the current state of DLT, the future of DLT and potential recommendations, as well as a special presentation on the application of smart contracts and DLT to swap markets

Lead Participants:

- Shawna Hoffman-Childress, Global Co-Leader of the IBM Cognitive Legal Practice, IBM
- Charley Cooper, Managing Director, R3
- Jesse Drennan, Senior Vice President - Global Business Process Engineer Global Foreign Exchange and Commodities, HSBC
- Tara Kruse, ISDA Global Head of Infrastructure & Data, ISDA

3:15pm **Closing Remarks**

- Chairman J. Christopher Giancarlo
- Commissioner Rostin Behnam
- Commissioner Dawn Stump
- Commissioner Dan Berkovitz
- Commissioner Brian D. Quintenz