

U.S. Commodity Futures Trading Commission
Equal Employment Opportunity Data Posted Pursuant to the No FEAR Act
(for the period ending September 30, 2018)

CFTC Complaint Activity 2014-2018	2014	2015	2016	2017	2018
Number of Complaints Filed	1	1	5	5	3
Number of Complainants	1	1	5	5	3
Repeat Filers	0	0	1	0	0

Complaints by Basis 2014-2018	2014	2015	2016	2017	2018
Race	1	1	4	1	2
Color	0	0	3	2	1
Religion	0	0	1	0	0
Reprisal	1	1	5	2	2
Sex	0	0	3	1	1
National Origin	1	1	3	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	2	3	2
Disability	1	0	1	1	1
Non-EEO basis	0	0	0	0	0

Complaints by Issue 2014-2018¹	2014	2015	2016	2017	2018
Appointment/Hire (+)	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	1	0	0	0
Conversion to Full-time	0	0	0	0	0
Demotion	0	0	0	0	0
Reprimand	0	0	0	0	0
Removal	0	0	1	0	0
Suspension	0	0	0	0	0
Other: Non-Selection/Hire	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	1	0	0	0	2
Examination/Test	0	0	0	0	0
Harassment: Non-Sexual	1	0	2	5	1
Harassment: Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	1	2	0	0
Reassignment: Denied	0	0	0	0	0
Reassignment: Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	1
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	1	0	0

¹ Note: Complaints can be filed alleging multiple issues. The sum of the issues may not equal total complaints filed.

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Complaints by Issue 2014-2018 - continued	2014	2015	2016	2017	2018
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0

Processing Time 2014-2018	2014	2015	2016	2017	2018
Complaints pending (for any length of time) during fiscal year	1	2	7	6	7
Average number of days in investigation stage	30	175	153	29	145
Complaints pending (for any length of time) during fiscal year where hearing was requested	0	2	4	0	3
Average number of days in investigation stage	30	175	152	0	156
Average number of days in final action stage	0	137	361	0	0
Complaints pending (for any length of time) during fiscal year where hearing was not requested	0	0	0	0	4
Average number of days in investigation stage	0	0	0	0	123
Average number of days in final action stage	0	0	0	0	65

Complaints Dismissed by Agency 2014-2018	2014	2015	2016	2017	2018
Total Complaints Dismissed by Agency	0	0	0	0	0
Average days pending prior to dismissal	0	0	0	0	0
Total complaints Withdrawn by Complainants	0	0	1	0	0

Total Final Actions Finding Discrimination 2014-2018	2014	2015	2016	2017	2018
Total Number Findings	0	0	0	0	0
Without Hearing	0	0	0	0	0
With Hearing	0	0	0	0	0

Findings of Discrimination Rendered by Basis 2014-2018	2014	2015	2016	2017	2018
Total Number Findings	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0
Findings After Hearing	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0

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Findings of Discrimination Rendered by Basis 2014-2018 - continued	2014	2015	2016	2017	2018
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0
Findings Without Hearing	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0

Findings of Discrimination Rendered by Issue 2014-2018	2014	2015	2016	2017	2018
Total Number Findings	0	0	0	0	0
Appointment/Hire	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Demotion	0	0	0	0	0
Reprimand	0	0	0	0	0
Suspension	0	0	0	0	0
Removal	0	0	0	0	0
Other	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0
Examination/Test	0	0	0	0	0
Non-Sexual	0	0	0	0	0
Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0
Denied	0	0	0	0	0
Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0

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Findings of Discrimination Rendered by Issue 2014-2018 - continued	2014	2015	2016	2017	2018
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0
Appointment/Hire	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Reprimand	0	0	0	0	0
Suspension	0	0	0	0	0
Removal	0	0	0	0	0
Other	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0
Examination/Test	0	0	0	0	0
Harassment: Non-Sexual	0	0	0	0	0
Harassment: Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0
Reassignment: Denied	0	0	0	0	0
Reassignment: Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0

Pending Complaints Filed in Previous Fiscal Years by Status 2014-2018	2014	2015	2016	2017	2018
Total complaints from previous FYs	1	1	1	1	4
Total Complainant	1	1	1	1	4
Investigation	0	0	0	0	0
Hearing	0	1	1	0	0
Final Agency Action	0	0	0	0	0
Appeal with EEOC OFO	1	0	0	0	0

Complaint Investigations 2014-2018	2014	2015	2016	2017	2018
Pending Completion Where Investigation Exceeds Required Time Frames	0	0	0	0	0