Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	Ü	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	01/31/2019	694,919,672	162,273,508	532,646,164	2,958,993,371	2,594,792,314	364,201,057	235,826,895	126,732,661	105,522,808	21,209,853	10,552,281	0	0	0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	01/31/2019	305,089,870	175,002,988	130,086,882	4,230,766,796	4,019,791,368	210,975,428	120,000,000	338,898,181	292,075,582	46,822,599	20,000,000	14,722,133	4,569,861	10,152,272	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM FCM	CME	01/31/2019	20,282,624	6,802,364	13,480,260 3,762,881	302,024,968	278,456,139	23,568,829	15,000,000	32,331,405	29,869,926	2,461,479	1,000,000	0	0	0	0	0
4 AMP GLOBAL CLEARING LLC 5 APEX CLEARING CORPORATION	FCM BD	NFA NFA	01/31/2019 01/31/2019	4,762,881 151,659,771	1,000,000 9,542,644	3,762,881 142,117,127	52,337,030 23,261,982	47,343,744 12,334,296	4,993,286 10,927,686	900,000 2,500,000	1,860,462	1,528,606	331,856	150,000	0		0	0	0
6 BARCLAYS CAPITAL INC	FCM BD	NYME	01/31/2019	5,527,427,053	1,021,257,330	4,506,169,723	4,806,413,055	4,456,089,585	350,323,470	163,538,488	3,256,385,577	3,053,307,745	203,077,832	101,980,479	6,437,455,294	5,990,645,815	446,809,479	214,465,120	0
7 BGC FINANCIAL LP	FCM BD	NFA	01/31/2019	25,588,826	1,943,924	23,644,902	0	0	0	0	0	0	0	0	0	C	0	0	0
8 BNP PARIBAS SECURITIES CORP	FCM BD FCM	CBOT	01/31/2019	2,015,567,073	430,088,778	1,585,478,295	3,067,844,844	2,839,334,245	228,510,599	151,000,000	183,516,677	145,403,741	38,112,936	30,000,000	1,070,984,281	921,577,067	149,407,214	120,000,000	0
9 BOCI COMMODITIES & FUTURES USA LLC 10 BOFA SECURITIES INC	FCM	CME NFA	01/31/2019 01/31/2019	6,439,002 2,025,167,410	1,000,000 1,500,000	5,439,002 2,023,667,410	15,380,839	11,/15,091	3,665,748	1,000,000	6	0	6	1	6	0	0 0	1	0
11 CANTOR FITZGERALD & CO	FCM BD	CBOT	01/31/2019	295,017,766	4,630,971	290,386,795	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
12 CHARLES SCHWAB FUTURES, INC.	FCM	NFA	01/31/2019	97,860,191	4,402,169	93,458,022	120,495,418	59,164,306	61,331,112	10,057,932	2,524,465	408	2,524,057	69	0	C	0	0	0
13 CHS HEDGING LLC 14 CITIGROUP GLOBAL MARKETS INC	FCM FCM BD SD	CBOT/NEA	01/31/2019	54,011,663 8,901,136,051	8,679,767 2,586,909,685	45,331,896 6,314,226,366	188,925,539 10.451.081.625	134,784,632 9.934.500.869	54,140,907 516,580,756	13,600,000 397,300,000	1,529,371 3,236,185,270	3 038 071 561	1,529,371 198,113,709	200,000 150,000,000	503,912 25,060,273,891	24.530.839.780	503,912	120,000 441,200,000	0
15 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD 3D	CBOT/NFA	01/31/2019	8,868,279,566	1,347,266,977	7,521,012,589	5,681,145,941	5,328,093,818	353,052,123	266,404,691	4,017,487,732	3,495,277,092	522,210,640	174,763,855	10,870,732,771	9,918,430,207	952,302,564	495,921,510	0
16 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	01/31/2019	5,421,516	1,178,562	4,242,954	68,445,640	64,809,343	3,636,297	3,050,000	2,930,220	2,399,409	530,811	100,000	0		0	0	0
17 DAIWA CAPITAL MARKETS AMERICA INC 18 DEUTSCHE BANK SECURITIES INC	FCM BD FCM BD	CME	01/31/2019 01/31/2019	956,452,012 13,785,379,674	1,905,739 208,542,598	954,546,273 13.576.837.076	2,172,331 2,180,724,626	1.978.523.832	2,172,331 202,200,794	125,000,000	566,557 681,756,039	553 120 761	566,557 128,635,278	75,000,000	0	0	0	0	0
19 DIRECT ACCESS USA LLC	FCM	CME	01/31/2019	8,142,781	1,000,000	7,142,781	10,762,510	7,368,823	3,393,687	2,500,000	001,730,037	0	0	73,000,000	0	ď	0	0	0
20 DORMAN TRADING LLC	FCM	CME	01/31/2019		1,093,384	12,469,155	154,499,939	149,890,514	4,609,425	2,000,000	4,512,741	3,944,466	568,275	200,000	0	C	0	0	0
21 E D & F MAN CAPITAL MARKETS INC 22 E*TRADE FUTURES LLC	FCM BD FCM	CME NFA	01/31/2019	167,729,277 25,978,531	62,614,881 1,765,054	105,114,396 24,213,477	1,486,208,648 60,064,394	1,412,147,349 34 377 082	74,061,299 25,687,312	41,250,000 5,000,000	66,525,862	55,920,811	10,605,051	5,000,000	1,890,614	680,296	1,210,318	500,000	0
22 E* IRADE FUTURES LLC 23 GAIN CAPITAL GROUP LLC	FCM FCMRFD	NFA NFA	01/31/2019	25,978,531 55,768,736	1,765,054	24,213,477	60,064,394 233,090,107	34,377,082 215,910,511	25,687,312 17,179,596	5,000,000	5,175,372	2,893,945	2,281,427	1.000.000	0	0	0	0	248,327,491
24 GH FINANCIALS LLC	FCM	CME	01/31/2019	19,937,266	4,848,155	15,089,111	94,149,360	74,409,459	19,739,901	3,100,000	5,479,550	4,368,905	1,110,645	300,000	0	0	0	0	0
25 GOLDMAN SACHS & CO LLC	FCM BD SD	CBOT/NFA	01/31/2019	17,338,523,078	2,409,515,565	14,929,007,513	23,743,168,679	22,885,798,924	857,369,755	675,000,000	8,857,748,286	8,284,716,907	573,031,379	475,000,000	6,549,557,751	6,115,340,801	434,216,950	350,000,000	0
26 HSBC SECURITIES USA INC 27 HUATAL FINANCIAL USA INC	FCM BD FCM	CME NFA	01/31/2019	1,160,444,450 5,512,494	303,814,676 1,000,000	856,629,774 4,512,494	2,346,159,426	2,226,425,262	119,734,164	109,000,000	174,427,614	139,590,951	34,836,663	25,000,000	981,388,160	875,802,937	105,585,223	83,000,000	0
28 INTERACTIVE BROKERS LLC	FCM BD	CME	01/31/2019	5,011,873,332	410.023.927	4,512,494	4.106.389.744	3.856.565.723	249.824.021	155.000.000	554.081.532	436.209.350	117.872.182	80.000.000	0	0	0 0	0	54.323.202
29 INTL FCSTONE FINANCIAL INC	FCM BD	CME	01/31/2019	182,984,748	87,798,412	95,186,336	2,180,433,896	2,121,345,622	59,088,274	40,000,000	119,918,080	103,826,601	16,091,479	8,000,000	0	0	0	0	0
30 IRONBEAM INC 31 JEFFERIES LLC	FCM FCM BD	NFA NFA	01/31/2019	4,785,683 1,498,925,182	1,000,000 102,049,550	3,785,683 1,396,875,632	58,317,157	54,173,958	4,143,199	1,600,000	435,794	280,618	155,176	50,000	0	0	0	0	0
32 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	01/31/2019	16,127,576,418	3.098.209.512	13,029,366,906	21,679,983,364	20,442,430,092	1,237,553,272	1,022,121,505	5,011,584,010	4,635,280,244	376,303,766	278,116,815	13,020,535,465	12,220,527,297	800,008,168	641.577.683	0
33 MACQUARIE FUTURES USA LLC	FCM	CBOT	01/31/2019	267,941,458	191,473,971	76,467,487	2,399,568,678	2,134,272,210	265,296,468	100,000,000	39,010,261	21,522,948	17,487,313	7,000,000	23,540,210	2,679,588	20,860,622	1,000,000	0
34 MAREX NORTH AMERICA LLC	FCM	CME	01/31/2019	101,774,025	21,111,207	80,662,818	249,998,560	246,412,476	3,586,084	2,330,000	64,311,662	61,221,219	3,090,443	2,250,000	0	0	0	0	0
35 MCVEAN TRADING & INVESTMENTS LLC  36 MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM D FCM BD	NFA CBOT	01/31/2019	14,445,451 14,061,870,316	2,281,004 2,000,841,635	12,164,447 12,061,028,681	713,277,732 15,186,139,154	690,533,735	22,743,997 345,572,005	18,000,000 200,000,000	2,567,861 4,571,745,657	4,350,136,195	2,567,861 221,609,462	1,000,000 150,000,000	7,083,561,836	6,713,507,637	370,054,199	150,000,000	0
37 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	01/31/2019	4,539,546,060	660,189,531	3,879,356,529	1,697,252,521	642,955,955	1,054,296,566	300,000,000	0	4,550,150,175	0	0	0 0	0,713,307,037	0 0	0	0
38 MID CO COMMODITIES INC	FCM	NFA	01/31/2019	8,054,034	3,789,894	4,264,140	43,235,496	34,522,667	8,712,829	5,138,000	0	0	0	0	0	0	0	0	0
39 MINT BROKERS 40 MITSUI BUSSAN COMMODITIES USA INC	FCM BD FCM	NFA NFA	01/31/2019	2,357,065 13.918.948	1,000,000	1,357,065 12,918,948	0	0	0	0	0	0	0	0	0	0	0	0	0
41 MIZUHO SECURITIES USA LLC	FCM BD	CME	01/31/2019	753,861,054	257,705,147	496,155,907	3,623,714,564	3,422,048,064	201,666,500	125,000,000	748,966,528	623,899,635	125,066,893	70,000,000	29,572,807	1,068,385	28,504,422	15,000,000	0
42 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	01/31/2019	14,644,690,467	2,506,588,705	12,138,101,762	17,817,205,887	17,481,041,808	336,164,079	280,000,000	5,307,182,479	5,118,379,569	188,802,910	140,000,000	15,329,126,806	14,925,510,242	403,616,564	92,000,000	0
43 NANHUA USA LLC  44 NATWEST MARKETS SECURITIES INC	FCM FCM BD	CME CBOT	01/31/2019 01/31/2019	16,705,217 931,672,581	1,000,000 39,101,809	15,705,217 892,570,772	34,914,055 602,779,754	23,258,724 523,497,918	11,655,331 79,281,836	3,500,000 60,000,000	21,395,738	15,249,660	6,146,078	5,000,000	0	0	0	0	0
45 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	01/31/2019		122,824,217	1.314.057.271	292,486,093	184.927.317	107,558,776	15,000,000	17,836,795	15,249,660	17,836,795	1,000,000	0	0	0	0	0
46 OANDA CORPORATION	FCMRFD	NFA	01/31/2019	73,202,250	57,322,726	15,879,524	0	0	0	0	0	0	0	0	0	C	0	0	202,722,353
47 PHILLIP CAPITAL INC	FCM BD	CME	01/31/2019	30,271,888	13,627,441	16,644,447	336,660,332	326,196,590	10,463,742	7,500,000	11,691,061	8,706,881	2,984,180	2,000,000	0	0	0	0	0
48 PICTET OVERSEAS INC 49 RAND FINANCIAL SERVICES INC	FCM BD FCM	NFA CME	01/31/2019 01/31/2019	17,142,577 91,229,160	2,532,261 9,037,374	14,610,316 82,191,786	2,102,589 139,424,800	298,208 101,209,700	1,804,381 38,215,100	1,500,000 3,036,300	42,799,251 32,758,600	40,301,264 7,919,800	2,497,987 24.838.800	500,000 237,600	0		0 0	0	0
50 RBC CAPITAL MARKETS LLC	FCM BD	CME	01/31/2019	2,205,818,816	229,964,863	1,975,853,953	2,419,035,950	2,014,116,705	404,919,245	300,000,000	354,238,567	290,364,294	63,874,273	35,000,000	611,731,459	440,322,177	171,409,282	40,000,000	0
51 RJ OBRIEN ASSOCIATES LLC	FCM	CME	01/31/2019	211,549,952	136,387,815	75,162,137	3,723,730,186	3,614,230,524	109,499,662	50,000,000	187,906,279	158,187,710	29,718,569	20,000,000	0	0	0	0	0
52 ROSENTHAL COLLINS GROUP LLC 53 SANTANDER INVESTMENT SECURITIES INC	FCM FCM BD	CME NYME	01/31/2019	77,629,822 423,488,331	49,385,341 50,000,000	28,244,481 373,488,331	1,277,782,342	1,249,285,890 699,670,135	28,496,452 16.567.619	18,000,000 12,000,000	34,343,452	11,376,155	22,967,297	8,000,000	0	0	0	0	0
54 SCOTIA CAPITAL USA INC	FCM BD	CME	01/31/2019	847,264,072	66,826,854	780,437,218	716,237,754 0	0,77,070,135	10,307,619	12,000,000	0	0	0	0	0	0	0	0	0
55 SG AMERICAS SECURITIES LLC	FCM BD	CME	01/31/2019	4,268,802,462	1,466,370,884	2,802,431,578	15,025,885,909	14,324,767,711	701,118,198	500,000,000	6,358,068,225	5,758,804,325	599,263,900	355,000,000	608,692,248	389,884,369	218,807,879	75,000,000	0
56 STRAITS FINANCIAL LLC 57 TD AMERITRADE FUTURES & FOREX LLC	FCM FCM	CME NFA	01/31/2019	22,314,544 141,274,325	8,926,163 22,635,559	13,388,381 118,638,766	316,515,428 247,155,669	302,289,849 149,911,729	14,225,579 97,243,940	2,000,000 50.000.000	30,136,988	22,967,613	7,169,375	300,000	0	1 0	0	0	62.461.279
57 TD AMERITRADE FUTURES & FOREX LLC 58 TRADESTATION SECURITIES INC	FCM BD	CME	01/31/2019		5,430,116	116,278,251	247,155,669 568,064,389	548,093,261	97,243,940 19,971,128	9,000,000	26,462,790	23,620,502	2,842,288	800,000	0	0	0	0	02,401,279
59 UBS FINANCIAL SERVICES INC	FCM BD	NFA	01/31/2019	1,169,800,455	129,608,693	1,040,191,762	123,497,054	88,638,777	34,858,277	22,000,000	33,551,498	5,280,165	28,271,333	15,000,000	0	Ö	0	0	Ö
60 UBS SECURITIES LLC 61 UOBBF CLEARING LIMITED	FCM BD FCM	CBOT	01/31/2019	5,921,081,912 26,705,212	558,807,953 3,542,383	5,362,273,959 23,162,829	5,830,731,701 81,054,321	5,327,596,863	503,134,838 19,287,101	425,000,000 2,900,000	1,927,073,969	1,541,306,516	385,767,453	300,000,000	935,431,936	672,772,861	262,659,075	200,000,000	0
62 WEDBUSH SECURITIES INC	FCM BD	CBOT	01/31/2019	195,436,334	3,542,383	162,896,571	990,001,751	962,902,379	27,099,372	13,000,000	15,742,480	12,568,369	3,174,111	1,000,000	0	0	0	0	0
63 WELLS FARGO SECURITIES LLC	FCM BD	CME	01/31/2019	8,860,342,324	942,587,366	7,917,754,958	3,616,208,435	3,408,326,517	207,881,918	90,000,000	299,593,996	254,785,879	44,808,117	25,000,000	8,177,240,547	7,284,095,918	893,144,629	250,000,000	0
64 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	01/31/2019	5,707,905	1,000,000	4,707,905	42,295,541	37,037,562	5,257,979	2,000,000	2,119,640	1,510,839	608,801	200,000	0	0	0	0	0
Totals							168,425,167,795	158,680,954,544	9,744,213,251	6,191,653,812	46,812,097,241	42,711,719,975	4,100,377,266	2,575,701,101	96,806,942,127	91,008,255,238	5,798,686,889	3,177,784,314	567,834,325
December Web Page Update	63																		
Additions MITSUI BUSSAN COMMODITIES USA INC	1																		
Deletions     None	0			1												1			
None																			
Name Changes BOFAML SECURITIES INC to BOFA SECURITIES INC																			
January Web Page Update	64																		
								_						_			1		

## SELECTED FCM FINANCIAL DATA AS OF January 31, 2019 FROM REPORTS FILED BY February 28, 2019

(a): FCM: Futures Commission Merchant that is registered with the Comm	odity Futures Trading Commiss	sion.											
BD: The FCM is also registered with the Securities and Exchange Cor													
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.													
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.													
SD: The FCM or RFED is also registered with the Commodity Futures	Trading Commission as a prov	risionally registered swap dealer.											
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that	are dually registered as SDs h	have two DSROs listed if they have a differ	rent DSRO for the FCM/RFED and the SD.	The DSRO for the FCM/RFED is listed first	and the DSRO for the SD is listed second.								
(c): A firm's net capital requirement is the greater of:													
FCM minimum dollar amount (\$1,000,000); or		0/ 6111											
risk based capital requirement, the sum of 8% of total customer			e margin; or										
	the amount of capital required by a registered futures association (currently NFA is the only such association): or     for securities brokers and dealers, the amount of net capital required by Neul 15c3-1(a) of the Securities and Exchange Commission; or												
minimum dollar amount for FCM's offering or engaged in retail for minimum dollar amount for FCM's offering or engaged in retail for FCM's offering or engaged in	minimum dollar amount for FCMs offering or engaged in retail forex transactions and RFEDs (\$20,000,000) ptus five percent of the FCM's or RFED's total retail forex transactions and RFEDs (\$20,000,000) ptus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.												
Thin inflatin dollar amount for 1 clw's offering of engaged in retain to	ex transactions and Ri Ebs (\$	20,000,000) plus tive percent of the rewis	s of Ri ED's total retail forex obligation in c	EXCESS 01 \$10,000,000.									
(d): Excess net capital is adjusted net capital, less the firm's net capital rec	uiromont												
(u). Excess het capital is adjusted het capital, less the limits het capital rec	uliement.												
(e): This represents the total amount of money, securities, and property he	ld in sogregated accounts for	futures and ontions customers in complian	ice with Section Ad of the Commodity Eyel	hange Act									
(c). This represents the total amount of money, securities, and property in	id in sequedated accounts for	ratares and options castomers in compilari	ice with Section 4d of the commodity Exci	nange Act.									
(f): This represents the total amount of funds that an FCM is required to so	gregate on hehalf of customer	s who are trading on a designated contract	rt market or derivatives transaction execut	tion facility. This is the sum of all accounts	that contain a net liquidating equity								
Trib Topicsonio tre total amount of fands trib and of Foundation to	and date on bonding of daylorner	2 Wile die Madiid en a designated contrac	2 manter of derivatives transporter excess	MOTI TAULITY. THIS IS A IS SAILT OF ALL ACCOUNTS	THE CONTROL OF THE HADISANIA CASH V.								
(g): Excess/Deficient funds in segregation is customer assets in segregation	n. less the customer segregation	on requirement.											
(h): This represents the targeted excess of proprietary funds deposited into	customer segregated account	ts above the customer segregation require	ment. This target amount is set by the fir	rm.									
(i): This represents the total amount of money, securities, and property he	d in secured accounts for futu	res and options customers who trade on or	ommodity exchanges located outside the	United States in compliance with Part 30 of	the Commodity Exchange Act.								
(i): This represents the amount of funds an FCM is required to set aside for	customers who trade on com	modity exchanges located outside of the L	United States.										
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in se	parate Section 30.7 accounts,	less the customer amount Part 30 requirer	ment.										
(I): This represents the targeted excess of proprietary funds deposited into	separate Section 30.7 account	is above the customer amount Part 30 req	uirement. This target amount is set by th	ne firm.									
(m): This represents the total amount of money, securities, and property h	eld in cleared swap customer a	accounts for cleared swap customers in cor	mpliance with Section 4d(f) of the Commo	odity Exchange Act.									
() The second sec													
(n): This represents the amount of funds an FCM is required to segregate	or customers who trade cleare	ed swaps.											
() 5 (5 (5 ) 1 ) 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1													
(o): Excess/Deficient funds in cleared swap customer accounts is funds in	eparate cleared swap segrega	tion accounts, less the cleared swap custor	mer segregation requirement.										
(a). This assessment the transfer of assessment of the second state of the second stat													
(p): This represents the targeted excess of proprietary funds deposited in	o separate cleared swap segre	dation accounts above the cleared swap c	ustomer segregation requirement. This ta	arget amount is set by the firm.									

(o): This represents the total amount of funds at an FCM. RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or joss.