



U.S. COMMODITY FUTURES TRADING COMMISSION

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The Secretariat

December 14, 2018

ELECTRONIC MAIL

United States Senate
Washington, DC 20510

U.S. House of Representatives
Washington, DC 20515

Re: Transmittal of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period from April 1, 2018 through September 30, 2018.

Dear Chairs and Ranking Members:

A copy of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period from April 1, 2018 through September 30, 2018 is enclosed. This report, with the accompanying statistical tables and required explanations, is submitted to you in accordance with the requirements of Section 5 of the Inspector General Act of 1978, as amended. The report provides a description of the resources and procedures of the OIG and its audit, investigation, and legislative and regulatory review programs.

During this reporting period, there were no instances of audit reports over six months old where corrective action had not been completed.

If you have any questions regarding the OIG Semiannual Report, please contact Mr. A. Roy Lavik, the Inspector General, at 202-418-5110.

Sincerely,

Christopher J. Kirkpatrick
Secretary of the Commission

Enclosures

December 14, 2018

Page 2

Recipients:

The Honorable Mike Conaway
Chairman
Committee on Agriculture
U.S. House of Representatives
Washington, DC 20515

The Honorable Collin C. Peterson
Ranking Member
Committee on Agriculture
U.S. House of Representatives
Washington, DC 20515

The Honorable Austin Scott
Chairman
Subcommittee on Commodity Exchanges, Energy and Credit
Committee on Agriculture
U.S. House of Representatives
Washington, DC 20515

The Honorable David Albert Scott
Ranking Member
Subcommittee on Commodity Exchanges, Energy and Credit
Committee on Agriculture
U.S. House of Representatives
Washington, DC 20515

The Honorable Pat Roberts
Chairman
Committee on Agriculture, Nutrition and Forestry
United States Senate
Washington, DC 20510

The Honorable Debbie Stabenow
Ranking Member
Committee on Agriculture, Nutrition and Forestry
United States Senate
Washington, DC 20510

December 14, 2018

Page 3

The Honorable Rodney P. Frelinghuysen
Chairman
Committee on Appropriations
U.S. House of Representatives
Washington, DC 20515

The Honorable Nita M. Lowey
Ranking Member
Committee on Appropriations
U.S. House of Representatives
Washington, DC 20515

The Honorable Robert B. Aderholt
Chairman
Subcommittee on Agriculture, Rural Development,
Food and Drug Administration, and Related Agencies
Committee on Appropriations
U.S. House of Representatives
Washington, DC 20515

The Honorable Sanford Bishop
Ranking Member
Subcommittee on Agriculture, Rural Development, Food and Drug Administration, and Related
Agencies
Committee on Appropriations
U.S. House of Representatives
Washington, DC 20515

The Honorable Richard C. Shelby
Chairman
Committee on Appropriations
United States Senate
Washington, DC 20510

The Honorable Patrick Leahy
Vice Chairman
Committee on Appropriations
United States Senate
Washington, DC 20510

December 14, 2018

Page 4

The Honorable James P. Lankford
Chairman
Subcommittee on Financial Services and General Government
Committee on Appropriations
United States Senate
Washington, DC 20510

The Honorable Christopher Coons
Ranking Member
Subcommittee on Financial Services and General Government
Committee on Appropriations
United States Senate
Washington, DC 20510

The Honorable Trey Gowdy
Chairman
Committee on Oversight and Government Reform
U.S. House of Representatives
Washington, DC 20515

The Honorable Elijah Cummings
Ranking Member
Committee on Oversight and Government Reform
U.S. House of Representatives
Washington, DC 20515

The Honorable Ron Johnson
Chairman
Committee on Homeland Security and Governmental Affairs
United States Senate
Washington, DC 20510

The Honorable Thomas R. Carper
Ranking Member
Committee on Homeland Security and Government Affairs
United States Senate
Washington, DC 20510