Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(I)	(m)	(n)	(0)	(p)	(p)
1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	12/31/2018	679,502,893	179,161,537	500,341,356	3,580,697,989	2,958,078,065	622,619,924	282,408,083	124,809,130	95,474,042	29,335,088	9,547,404) (0	0	1
2 ADM INVESTOR SERVICES INC	FCM	CBOT	12/31/2018	298,927,511	174,053,288	124,874,223	4,294,269,911	4,093,633,205	200,636,706	120,000,000	326,780,208	279,323,820	47,456,388	20,000,000	14,742,519	4,613,754	10,128,765	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM	CME	12/31/2018	20,127,302	8,393,045	11,734,257	322,649,393	300,631,108	22,018,285	17,500,000	35,628,650	33,224,259	2,404,391	1,000,000	0) (0	0	0
4 AMP GLOBAL CLEARING LLC	FCM	NFA	12/31/2018	4,568,597	1,000,000	3,568,597	51,583,815	46,985,316	4,598,499	900,000	1,999,763	1,618,475	381,288	150,000	0	0	0	0	. 0
5 APEX CLEARING CORPORATION 6 BARCLAYS CAPITAL INC	FCM BD FCM BD	NFA NYMF	12/31/2018	150,682,480 6,217,633,225	11,778,940 983,816,440	138,903,540 5,233,816,785	20,939,205 5,061,642,230	10,334,655 4,710,924,626	10,604,550 350,717,604	2,500,000	3,101,842,808	2,901,646,601	200,196,207	96,914,996	6,376,837,969	5,978,809,201	398,028,768	214,041,369	1 0
7 BGC FINANCIAL LP	FCM BD	NFA	12/31/2018 12/31/2018	30,242,887	1.000.963	29,241,924	5,001,042,230	4,710,924,626	350,/17,004	172,890,934	3,101,842,808	2,901,040,001	200,196,207	96,914,996	0,3/0,83/,909	3,978,809,201	398,028,768	214,041,369	1 6
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	12/31/2018	2,106,144,998	407,121,437	1,699,023,561	3,159,205,933	2,953,075,113	206,130,820	167,000,000	183,145,697	144,925,370	38,220,327	30,000,000	999,530,631	849,854,270	149,676,361	120,000,000	ı l č
9 BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	12/31/2018	6,626,392	1,000,000	5,626,392	21,084,872	16,942,581	4,142,291	1,000,000	6	0	6	1	6	5 (6	1	
0 BOFAML SECURITIES INC	FCM	NFA	12/31/2018	25,000,000	1,500,000	23,500,000	0	0	0	0	0	0	0	0	0) (0	0) C
1 CANTOR FITZGERALD & CO	FCM BD	CBOT	12/31/2018	331,076,671	6,855,399	324,221,272	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	, 0
2 CHARLES SCHWAB FUTURES, INC.	FCM	NFA	12/31/2018	97,851,776	3,755,827	94,095,949	111,194,590	50,483,160	60,711,430	8,582,137	2,524,465	550	2,523,915	93	502.042	0	0	120,000	
3 CHS HEDGING LLC 4 CITIGROUP GLOBAL MARKETS INC.	FCM FCM BD SD	CBOT/NFA	12/31/2018 12/31/2018	52,833,007 8,195,077,784	9,629,959 2,627,874,419	43,203,048 5,567,203,365	184,202,719 10.631.456.621	131,499,796 10,073,230,917	52,702,923 558,225,704	13,600,000 402,100,000	1,530,025 3,084,957,954	2.883.689.309	1,530,025 201,268,645	200,000 150,000,000	503,912 25,693,803,633	25.170.093.375	503,912 523,710,258	120,000 435,000,000	1 0
5 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD 3D	CBOT/NFA	12/31/2018	9,586,415,812	1,269,020,399	8,317,395,413	6.063.923.897	5.745.407.839	318.516.058	287,270,392	3,250,301,214	3.048.965.544	201,266,643	152,448,277	10.730.462.253	9.891.454.221	839,008,032	494,572,711	1 0
6 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	12/31/2018	5,385,685	1,000,000		77,986,996	74,333,686	3,653,310	3,050,000	2,031,796	1,494,646	537,150	100,000	10,750,102,255) 3,031,131,223	033,000,032	0	Ĭ
7 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	12/31/2018 12/31/2018	907,425,749	1,802,859	4,385,685 905,622,890	2,171,234	0	2,171,234	1	565,733	0	565,733	1	0	0	0	0	, C
8 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT			236,870,822	12,355,611,575	2,516,436,981	2,315,541,830	200,895,151	125,000,000	831,337,725	705,281,235	126,056,490	75,000,000	0)	0	0	4 9
.9 DIRECT ACCESS USA LLC 10 DORMAN TRADING LLC	FCM FCM	CME CME	12/31/2018	8,180,679 13,917,619	1,000,000 1,295,765	7,180,679 12,621,854	11,781,533 160,052,701	8,797,894 155,692,208	2,983,639 4,360,493	2,500,000	4,266,361	3,632,977	633,384	00 nnn	1 0	1 0	0	0	,1
1 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	12/31/2018 12/31/2018	13,917,619 165,254,074	58,917,098	106,336,976	160,052,701 1,467,307,323	155,692,208 1,395,689,053	71,618,270	2,500,000 2,000,000 41,250,000	46,124,447	36,242,220	9,882,227	200,000 5,000,000	1,796,479	589,314	1,207,165	500,000	1 7
2 E*TRADE FUTURES LLC	FCM	NFA	12/31/2018	25,933,198	1,000,000	24,933,198	50,120,504	23,882,526	26,237,978	5,000,000	0	0	0	0	0) (0	0	ı c
3 GAIN CAPITAL GROUP LLC	FCMRFD	NFA	12/31/2018	52,400,739	32,655,729	19,745,010	230,351,128	213,057,759	17,293,369	10,000,000	5,093,905	2,695,248	2,398,657	1,000,000	0	(0	0	244,576,155
4 GH FINANCIALS LLC	FCM	CME	12/31/2018	19,932,775	4,702,371	15,230,404	99,151,355	78,987,513	20,163,842	3,800,000	6,611,073	5,500,748 8,306,101,441	1,110,325	550,000 475,000,000	0	(0	0	<u> </u>
25 GOLDMAN SACHS & CO LLC	FCM BD SD FCM BD	CBOT/NFA	12/31/2018	17,449,369,835	2,447,165,468	15,002,204,367	24,061,676,318	23,161,036,039	900,640,279	675,000,000	8,874,023,026		567,921,585		6,700,310,102	6,193,661,593	506,648,509 96,207,012	350,000,000 83.000.000	4 • •
16 HSBC SECURITIES USA INC 17 HUATAI FINANCIAL USA INC	FCM BD	CME NFA	12/31/2018	1,114,026,106	282,774,497 1,000,000	831,251,609 1,391,477	2,232,649,857	2,112,738,452	119,911,405	109,000,000	152,787,314	117,262,997	35,524,317	25,000,000	1,002,079,943	905,872,931	96,207,012	83,000,000	, 0
18 INTERACTIVE BROKERS LLC	FCM BD	CMF	12/31/2018 12/31/2018	2,391,477 4,882,283,789	415.462.029	4,466,821,760	4.064.179.521	3.847.462.576	216,716,945	155,000,000	562,770,742	437,338,700	125,432,042	80,000,000) (0	0	63,323,283
9 INTL FCSTONE FINANCIAL INC	FCM BD	CME	12/31/2018	157,977,420	89,359,168	68,618,252	2,210,974,888	2,151,587,853	59,387,035	40,000,000	133,631,537	117,957,417	15,674,120	8,000,000	Ö		0	0	05,525,205
IRONBEAM INC	FCM	NFA	12/31/2018	4,722,666	1,000,000	3,722,666	58,137,575	54,152,066	3,985,509	1,600,000	373,027	230,671	142,356	50,000	0) c	0	0	ı c
1 JEFFERIES LLC	FCM BD	NFA	12/31/2018	1,694,168,856	94,316,946	1,599,851,910	0	0	0	0	0	0	0	0	0	(0	0	<u>, 0</u>
22 JP MORGAN SECURITIES LLC 33 MACQUARIE FUTURES USA LLC	FCM BD SD FCM	CEI/NFA CBOT	12/31/2018 12/31/2018	16,648,182,091 270,523,465	3,068,665,970 192,021,194	13,579,516,121 78,502,271	21,760,918,306 2,396,852,283	20,552,368,013 2,127,115,780	1,208,550,293 269,736,503	1,027,618,401 100,000,000	4,873,929,525 41,346,046	4,484,427,422 23,976,108	389,502,103 17,369,938	269,065,645 7,000,000	12,676,744,466 22,890,853	11,882,622,765	794,121,701 20,808,897	623,837,695 1,000,000	1 0
14 MAREX NORTH AMERICA LLC	FCM	CME	12/31/2018	29,186,040	18.814.177	10,371,863	264,254,000	260,897,148	3,356,852	2,330,000	63,232,228	60.338.096	2,894,132	2,250,000	22,090,033	2,001,930	20,000,097	1,000,000	1 0
5 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	12/31/2018	14,498,840	1,475,576	13,023,264	717,244,425	694,891,164	22,353,261 357,595,753	18,000,000 200,000,000	2,567,861 4,971,973,104	0	2,567,861 252,778,198	1,000,000 150,000,000	o o	0	0	0	, c
6 MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	12/31/2018 12/31/2018	13,441,811,163	2,035,716,544		16,092,494,736	15,734,898,983			4,971,973,104	4,719,194,906	252,778,198	150,000,000	7,024,853,662	6,699,107,567	325,746,095	150,000,000	0
7 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	12/31/2018	4,381,090,794	616,638,703	3,764,452,091	3,085,261,021	1,141,945,547	1,943,315,474	300,000,000	0	0	0	0	0) (0	0	. 0
88 MID CO COMMODITIES INC	FCM RD	NFA	12/31/2018	9,994,911	4,094,821	5,900,090	42,046,686	33,616,665	8,430,021	5,138,000	0	0	0	0) (0	0	, 0
19 MINT BROKERS 10 MIZUHO SECURITIES USA LLC	FCM BD FCM BD	NFA CME	12/31/2018	2,408,388 733,641,824	1,000,000 240,690,334	1,408,388 492,951,490	3,508,445,575	3.305.314.597	203.130.978	125,000,000	695,866,867	569,845,887	126.020.980	70,000,000	30,796,073	1,272,396	29.523.677	15,000,000	1 0
1 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	12/31/2018		2,464,427,130	11,333,035,370	17,267,664,349	16,932,979,813	334,684,536	280,000,000	5,416,976,640	5,225,735,238	191,241,402	140,000,000	15,361,463,056	15,136,687,730	224,775,326	92,000,000	j č
2 NANHUA USA LLC	FCM	CME	12/31/2018	16,717,630	1,000,000	15,717,630	34,253,566	22,879,634	11,373,932	3,500,000	0	0	0	0	0) (0	0	0
3 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT	12/31/2018	992,565,586	36,043,508	956,522,078	618,469,687	540,233,383	78,236,304	60,000,000	20,072,747	14,007,150	6,065,597	5,000,000	0	0	0	0	0
4 NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	12/31/2018	1,769,465,429	104,899,760	1,664,565,669	300,228,006	193,355,324	106,872,682	15,000,000	17,781,850	0	17,781,850	1,000,000	0	0	0	0	105.007.247
IS OANDA CORPORATION IG PHILLIP CAPITAL INC	FCMRFD FCM BD	NFA CME	12/31/2018 12/31/2018	64,785,083 30,506,403	56,010,410 13,381,904	8,774,673 17,124,499	337,342,785	325,776,844	11,565,941	7,500,000	15,970,333	12.158.233	3,812,100	2,000,000		0	0	<u> </u>	195,987,247
7 PICTET OVERSEAS INC	FCM BD	NFA	12/31/2018	16,754,901	2.441.714	14.313.187	2.100.991	296,969	1,804,022	1,500,000	42,804,972	40.330.255	2,474,717	500,000	0) (0	0	1 0
8 RAND FINANCIAL SERVICES INC	FCM	CME	12/31/2018	91,342,562	8,506,771	82,835,791	149,371,200	111,107,300	38,263,900	3,333,200	33,227,500	8,453,400	24,774,100	253,600	0	0	0	0	, c
9 RBC CAPITAL MARKETS LLC	FCM BD	CME	12/31/2018	1,933,490,340	236,655,471	1,696,834,869	2,261,877,787	1,911,857,985	350,019,802	225,000,000	331,644,828	267,953,514	63,691,314	35,000,000	630,280,085	459,676,670	170,603,415	40,000,000	C
50 RJ OBRIEN ASSOCIATES LLC	FCM FCM	CME CME	12/31/2018 12/31/2018	210,398,448	137,426,424 53.622.675	72,972,024 27,254,431	3,599,729,902 1,241,971,860	3,493,850,742	105,879,160	50,000,000 18,000,000	187,614,796	160,999,506 17,838,491	26,615,290	20,000,000 8,000,000	9	9 9	0	0	4 9
1 ROSENTHAL COLLINS GROUP LLC 2 SANTANDER INVESTMENT SECURITIES INC	FCM FCM BD	NYME	12/31/2018	80,877,106 430,630,704	53,622,675 50,000,000	27,254,431 380,630,704	1,241,971,860 709,872,591	1,216,201,810 693,759,720	25,770,050 16,112,871	18,000,000 12,000,000	28,933,146	1/,838,491	11,094,655	8,000,000	0	0 0	0	0	1 0
3 SCOTIA CAPITAL USA INC	FCM BD	CME	12/31/2018	967,614,713	61,417,905	906,196,808	703,072,591 N	037,657,650 N	10,112,8/1	12,000,000	0	0	0	<u> </u>	, n) () 0	0)
4 SG AMERICAS SECURITIES LLC	FCM BD	CME	12/31/2018	4,354,374,114	1,485,141,038	2,869,233,076	15,045,170,467	14,368,890,561	676,279,906	500,000,000	6,456,578,420	5,868,221,535	588,356,885	355,000,000	615,717,862	396,313,955	219,403,907	75,000,000	<u>, , , , , , , , , , , , , , , , , , , </u>
5 STRAITS FINANCIAL LLC	FCM	CME	12/31/2018	20,915,602	9,317,649	11,597,953	306,885,532	294,212,355	12,673,177	2,000,000	29,696,426	22,593,497	7,102,929	300,000	0) (0	0	
6 TD AMERITRADE FUTURES & FOREX LLC	FCM	NFA	12/31/2018	139,715,984	22,530,207	117,185,777	242,012,681	144,285,251	97,727,430	50,000,000	0	0	0	0	0	0 0	0	0	60,604,138
7 TRADESTATION SECURITIES INC 8 UBS FINANCIAL SERVICES INC	FCM BD FCM BD	CME NFA	12/31/2018 12/31/2018	120,712,134 1,089,022,104	4,334,873 131,091,285	116,377,261 957,930,819	613,313,863 134,826,319	574,135,760 98,062,487	39,178,103 36,763,832	9,000,000 22,000,000	25,868,424 33,272,441	23,053,051 4,600,522	2,815,373 28,671,919	800,000 15,000,000	1 0	1 0	0	0	,+
9 UBS SECURITIES LLC	FCM BD	CBOT	12/31/2018	5,790,390,535	590,945,354	5,199,445,181	5,802,313,335	5,296,506,408	505,806,927	425,000,000	1,996,778,131	1,613,413,351	383,364,780	300,000,000	949,770,307	692,010,816	257,759,491	200,000,000	,
0 UOBBF CLEARING LIMITED	FCM BD	CME	12/31/2018	26,662,262	2,697,652	23,964,610	83,541,438	64,679,539	18,861,899	2,900,000	1,550,770,131	1,013,113,331	00,504,700	0.000,000	775,770,307	052,010,010	0 0	200,000,000	J - C
1 WEDBUSH SECURITIES INC	FCM BD	CBOT	12/31/2018	191,807,942	17,125,767	174,682,175	991,265,868	963,200,256	28,065,612	13,000,000	14,204,857	11,423,281	2,781,576	1,000,000	ŭ) Č	0	Ö	ı c
22 WELLS FARGO SECURITIES LLC	FCM BD	CME	12/31/2018	8,499,995,051	900,941,127	7,599,053,924	3,464,475,438	3,261,775,305	202,700,133	90,000,000	307,473,260	265,900,246	41,573,014	25,000,000	7,940,689,433	7,424,428,780	516,260,653	250,000,000	. 0
33 YORK BUSINESS ASSOCIATES LLC Totals	FCM	NFA	12/31/2018	5,570,976	1,000,000	4,570,976	41,828,867	36,973,575	4,855,292	2,000,000	2,068,786	1,534,354 42,538,604,313	534,432	200,000	96,773,273,244	01 690 151 304	5.084.121.950	3.152.071.776	5 564,490,823
November Web Page Update	64						1/1,000,832,3/4	101,000,234,/54	10,700,377,820	0,221,//1,148	+0,3+3,019,794	42,336,004,313	3,004,413,481	2,336,330,01/	90,773,273,244	91,009,131,294	3,004,121,950	3,132,0/1,//6	304,490,823
Additions	0																		1
None						-					-								
Deletions				1						-								+	+
EFL FUTURES LIMITED	1			1					1	+					1	1	1		+
E. E. G. GREG ERRITED				1															†
Name Changes																			
None																		 	+

SELECTED FCM FINANCIAL DATA AS OF December 31, 2018 FROM REPORTS FILED BY January 27, 2019

Company Comp										
B. The FOIl is also consistent with the Sourches and Enchange Commission as a securities under the Commission as a securities control of th										
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5. The FCH or FETD List in crisitenest with the Commodity Futures Train Commission as an accisionally resistened as Sub-inversed Sub-in										
10. 10. 10. 10. 10. 10. 10. 10. 10. 10.										
c. A firm's net contail recuirement is the creater of: • FOR Informum dollar amount £1,000,000 or • For amount £1,000 or amo	SD: The FCM or RFED is also registered with the Commodity Futures 1	ading Commission as a provi	isionally registered swap dealer.							
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(a): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or account or accounts, adjusted for the realized and unrealized net profit or loss.