



**U.S. Commodity Futures Trading Commission**  
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**Open Meeting of the Commission – Monday, November 5, 2018 – 10:00 a.m.**

**AGENDA**

**1. Call to Order**

**2. Opening Statements**

Chairman J. Christopher Giancarlo  
Commissioner Brian D. Quintenz  
Commissioner Rostin Behnam  
Commissioner Dawn DeBerry Stump  
Commissioner Dan M. Berkovitz

**3. First Staff Presentation: *Final Rule – Amendments to the De Minimis Exception to the Swap Dealer Definition***

Matt Kulkin, Division of Swap Dealer and Intermediary Oversight (DSIO)  
Rajal Patel, DSIO  
Jeffrey Hasterok, DSIO

**Motion / Commissioners' Questions / Commissioners' Discussion / Vote**

**4. Second Staff Presentation:**

- ***Proposed Rule – Amendments to Regulations on Swap Execution Facilities and the Trade Execution Requirement***
- ***Request for Comment – Regarding the Practice of “Post-Trade Name Give-Up” on Swap Execution Facilities***

Amir Zaidi, Division of Market Oversight (DMO)  
Nhan Nguyen, DMO  
Roger Smith, DMO  
David P. Van Wagner, DMO

**Motions / Commissioners' Questions / Commissioners' Discussion / Votes**

**5. Adjournment**