

U.S. Commodity Futures Trading Commission



26th ANNUAL SYMPOSIUM

*For International Market Authorities on Regulation of
Derivatives Products, Markets, and Financial Intermediaries*

Location

U.S. Commodity Futures Trading Commission
Headquarters
1155 21st Street, NW
Washington, DC 20581

Schedule

Optional Pre-meeting Program
Meeting with US Congressional Staff
Monday, October 22, 2018 (11:30 am – 4:00 pm)

Symposium Program
Tuesday, October 23, 2018
through Friday, October 26, 2018
(ending 3:00 pm on Friday)

Symposium Fee

US\$550.00 per person (Covers conference registration, breakfast and lunch)

Registration Deadline

October 12, 2018 (space is limited)

Contact

Kevin Piccoli
+1-646-746-9834
Symposium@cftc.gov

The U.S. Commodity Futures Trading Commission invites you to attend its 26th Annual Symposium for International Market Authorities on Regulation of Derivatives, Products, Markets and Financial Intermediaries on October 23 - 26, 2018. This special program is meant to be a comprehensive educational experience about futures and derivatives regulation. Over its 26 year history, the program has grown in depth of topics and diversification of participant to make it one of the most respected events for regulators.

Participants can expect to explore the fundamentals of futures and derivatives regulation and current issues facing regulators with experts from the CFTC, industry and other U.S. regulators. Speakers also will discuss market trends in the global economy. The optional pre-meeting program will entail a visit to the US Congress.

*We encourage regulators from diverse jurisdictions with markets at various levels of development to attend this symposium. The interplay between representatives of developing and mature markets will lead to a lively and instructive sharing of knowledge, experiences, and opinions that are sure to be beneficial to all participants. **Register early and be a part of this global conversation and learning event by going to [CFTC International Regulators Symposium Registration Link](#)***

Eric J. Pan

Director,
Office of International
Affairs

Kevin Piccoli

Deputy Director and Head
of Technical Assistance,
Office of International
Affairs

Program at a Glance

Expert speakers from the CFTC and industry will cover the following topics:

CFTC Regulatory Structure and Priorities

- Discussion with the CFTC Chairman and Commissioners about the CFTC's Priorities for 2018-2019
- Discussion with the National Futures Association (NFA) about NFA's Priorities for 2018-2019
- Meeting with Republican and Democrat Congressional Staff
- Events that Have Shaped the Commodity Exchange Act and the CFTC
- Introduction to the CFTC Legal Regime

Exchanges, Central Clearinghouses and Intermediaries

- Latest Issues and Developments Pertaining to Exchanges
- Discussion of the Role and Operations of Futures Commission Merchants (FCMs)
- Latest Trends and Techniques for Overseeing FCMs
- Key Issues Facing FCMs in Today's Global Markets
- Central Clearinghouse Risk and Its Mitigation
- Challenges for Chief Compliance Officers
- Market Trends and Analysis of the Commodities and Derivatives Markets
- The CFTC's Market Surveillance Process

International Regulation

- Discussion of Key Issues Facing Global Regulators
- CFTC Cross Border Regulation – Where is it Going?
- Foreign Boards of Trade, Part 30 Exemptions for Foreign Futures and Options, Exempt Derivatives Clearing Organizations – How They Work and Why Are They Important?
- Memoranda of Understanding and Supervisory Cooperation Arrangements – What are the Key Elements for Successful Coordination

Enforcement

- CFTC Enforcement Priorities for 2018-2019
- CFTC's Approach to Enforcement
- Enforcement Case Studies

FinTech, Cyber and Crypto Currencies

- Report from LabCFTC
- Roundtable on FinTech Developments
- Regulation of Crypto-Assets
- Regulatory Approaches to Cyber Security

APPLICATION INFORMATION

Due to resource and space considerations, we request that each organization register no more than three individuals. The program will be conducted in English and translators are not permitted at the training sessions due to a lack of space. We will confirm in writing the acceptance of each applicant for registration. Hotel information is attached. Book your hotel early as Washington is a very busy convention city and hotel rooms are difficult to secure in October.

To register, please use this link:

[CFTC International Regulators Symposium Registration Link](#)

PROGRAM FEE

The cost to attend the seminar is **US\$550.00** per person (do not deduct any wire or bank transaction fees). All payments must be received by **October 12, 2018**. Payment instructions will be provided in the registration form. **PLEASE NOTIFY US BY EMAIL OF ANY CANCELLATIONS AT LEAST ONE WEEK PRIOR TO THE EVENT TO AVOID THE PAYMENT REQUIREMENT. No refunds will be provided after this date.**

PROGRAM VENUE

CFTC Headquarters Conference Center, 1155 21st Street, NW, Washington DC 20581, USA. A government issued passport will be required for building security clearance.

PROGRAM PARTICIPANTS

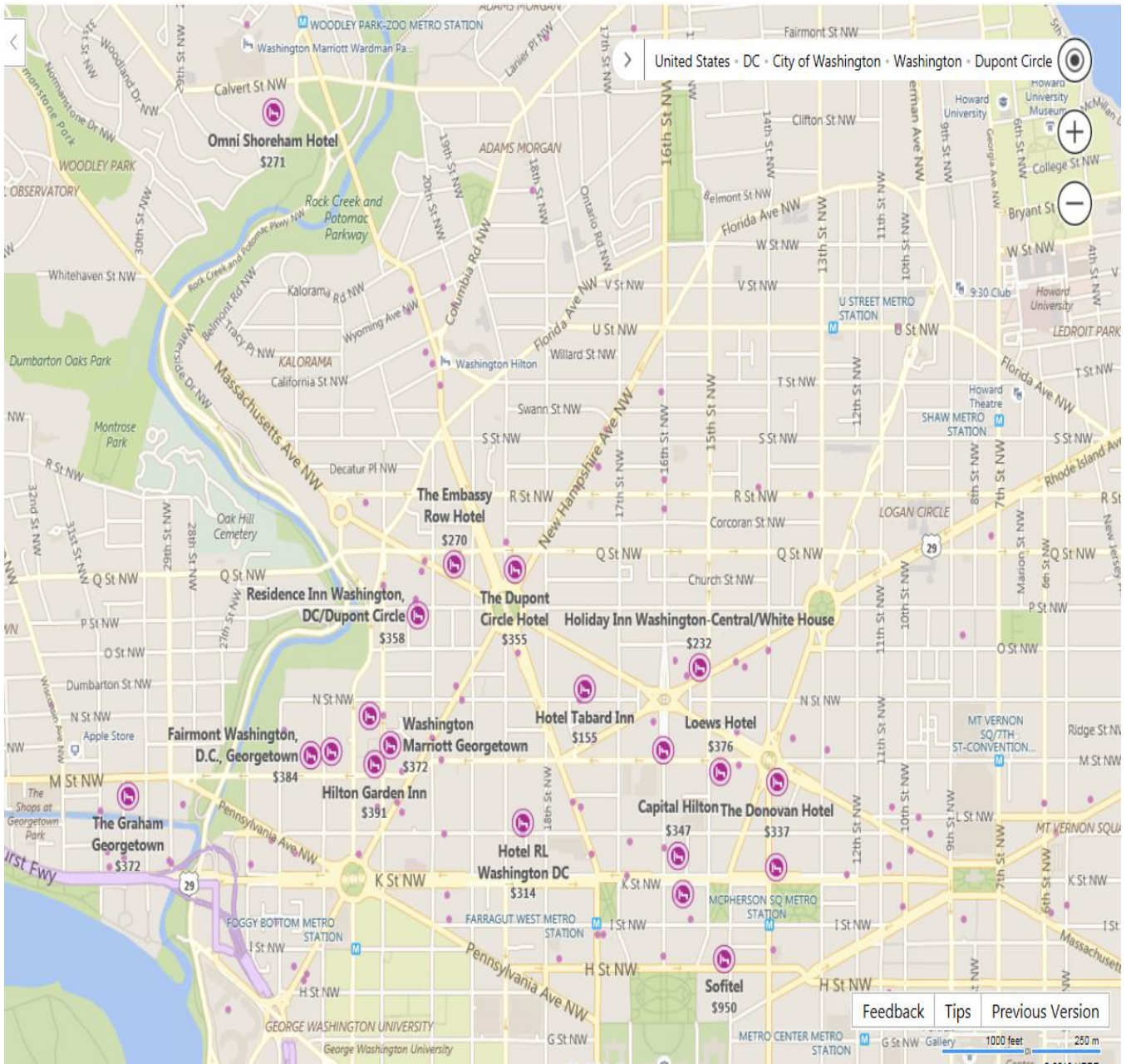
The program is directed at mid and senior-level individuals with either policy, supervisory and/or enforcement responsibilities.

PROGRAM CONTACT

Kevin Piccoli
Deputy Director and Head of Technical Assistance Program
Office of International Affairs
U.S. Commodity Futures Trading Commission

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e-mail: Symposium@cftc.gov

Hotel Information



Reference to hotels, hotel prices, and restaurants is provided for informational purposes only and is not intended to provide an endorsement of these businesses by the CFTC.

① **The Mansion on O Street**

Address: 2020 O St NW, Washington, DC 20036

Phone: (202) 496-2020

Website: <http://www.omansion.com>

③ **The Dupont Circle Hotel**

Address: 1500 New Hampshire Ave NW, Washington, DC 20036

Phone: (202) 483-6000

Website: <http://thedupontcirclehotel.com/>

⑤ **Grand Hyatt Washington**

Address: 1000 H St NW, Washington, DC 20001

Phone: (202) 582-1234

Website: <http://washingtondc.grand.hyatt.com/en/hotel/home.html>

⑦ **Washington Hilton**

Address: 1919 Connecticut Ave NW, Washington, DC 20009

Phone: (202) 483-3000

Website: <http://www3.hilton.com/en/hotels/district-of-columbia/washington-hilton-DCAWHHH/index.html>

⑨ **Gaylord National Resort & Convention Center**

Address: 201 Waterfront St, Oxon Hill, MD 20745

Phone: (301) 965-4000

Website: <http://www.marriott.com/hotels/travel/wasgn-gaylord-national-resort-and-convention-center/>

⑪ **St. Regis**

Address: 923 16th And K Streets, N W, Washington, DC 20006

Phone: (202) 638-2626

Website: <http://www.stregiswashingtondc.com/>

② **Hotel Tabard Inn**

Address: 1739 N St NW, Washington, DC 20036

Phone: (202) 785-1277

Website: <http://www.tabardinn.com>

④ **Omni Shoreham Hotel**

Address: 2500 Calvert St NW, Washington, DC 20008

Phone: (202) 234-0700

Website: <http://www.omnihotels.com/hotels/washington-dc-shoreham>

⑥ **Holiday Inn Express & Suites Washington Dc**

Northeast

Address: 1917 Bladensburg Rd NE, Washington, DC 20002

Phone: (202) 266-9000

Website: http://www.ihg.com/holidayinnexpress/hotels/us/en/washington/wasny/hoteldetail?cm_mmc=YextLocal_-_USA_-_WASNY

⑧ **Fairmont Washington, D.C., Georgetown**

Address: 2401 M St NW, Washington, DC 20037

Phone: (202) 429-2400

Website: <http://www.fairmont.com/washington/>

⑩ **The Georgetown Inn**

Address: 1310 Wisconsin Ave NW, Washington, DC 20007

Phone: (202) 333-8900

Website: <http://www.georgetowninn.com/>

⑫ **Capital Hilton**

Address: 1001 16th St NW, Washington, DC 20036

Phone: (202) 393-1000

Website: <http://www3.hilton.com/en/hotels/district-of-columbia/capital-hilton-DCASHHH/index.html>

13 Country Inn & Suites By Carlson, Camp Springs

(Andrews Air Force Base), MD

Address: 4950 Mercedes Blvd, Camp Springs, MD 20746

Phone: (240) 492-1070

Website: http://www.countryinns.com/camp-springs-hotel-md-20746/mdcamp?s_cid=tl.tadv.cis&refid=VFetSQogETgAABiY1rUAAAAZ

15 Hotel Harrington

Address: 436 11th St NW, Washington, DC 20004

Phone: (202) 628-8140

Website: <http://www.hotelharrington.com/>

17 Hyatt Regency Crystal City at Reagan National

Airport

Address: 2799 Jefferson Davis Hwy, Arlington, VA 22202

Phone: (703) 418-1234

Website: <http://crystalcity.hyatt.com>

19 The Normandy Hotel

Address: 2118 Wyoming Ave NW, Washington, DC 20008

Phone: (202) 483-1350

Website: <http://www.thenormandydc.com/>

14 Hotel Monaco Washington DC

Address: 700 F St NW, Washington, DC 20004

Phone: (202) 628-7177

Website: <http://www.monaco-dc.com/>

16 Residence Inn Washington, DC/Dupont Circle

Address: 2120 P St NW, Washington, DC 20037

Phone: (202) 466-6800

Website: <http://www.marriott.com/hotels/travel/wasri-residence-inn-washington-dc-dupont-circle/>

18 Washington Marriott at Metro Center

Address: 775 12th St NW, Washington, DC 20005

Phone: (202) 737-2200

Website: <http://www.marriott.com/hotels/travel/wasmc-washington-marriott-at-metro-center/>

20 Phoenix Park Hotel

Address: 520 N Capitol St NW, Washington, DC 20001

Phone: (202) 638-6900

Website: <http://www.phoenixparkhotel.com/>

Restaurants

Here is a link to Zagat's guide to area restaurants:

<https://www.zagat.com/washington-dc>