

CFTC NOFEAR Report: FY 2017

CFTC Complaint Activity 2013-2017

	2013	2014	2015	2016	2017
Number of Complaints Filed	2	1	1	5	5
Number of Complainants	2	1	1	5	5
Repeat Filers	0	0	0	1	0

Complaints by Basis 2013-2017

	2013	2014	2015	2016	2017
Race	1	1	1	4	2
Color	0	0	0	3	2
Religion	0	0	0	1	0
Reprisal	0	1	1	5	2
Sex	1	0	0	3	1
National Origin	0	1	1	3	0
Equal Pay Act	0	0	0	0	0
Age	1	0	0	2	3
Disability	1	1	0	1	1
Non-EEO basis	0	0	0	0	0

Complaints by Issue 2013-2017

	2013	2014	2015	2016	2017
Appointment/Hire (+)	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	1	0	0
Conversion to Full-time	0	0	0	0	0
Demotion	0	0	0	0	0
Reprimand	0	0	0	0	0
Removal	0	0	0	1	0
Suspension	0	0	0	0	0
Other: Non-Selection/Hire	1	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	1	0	0	0
Examination/Test	0	0	0	0	0
Harassment: Non-Sexual	1	1	0	2	5
Harassment: Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	1	2	0
Reassignment: Denied	0	0	0	0	0
Reassignment: Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	1	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0

Other	0	0	0	0	0
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Processing Time 2013-2017

	2013	2014	2015	2016	2017
Complaints pending (for any length of time) during fiscal year	3	1	2	7	6
Average number of days in investigation stage	178	30	175	153	0
Complaints pending (for any length of time) during fiscal year where hearing was requested	0	0	2	4	0
Average number of days in investigation stage	0	30	175	152	0
Average number of days in final action stage	0	0	137	361	0
Complaints pending (for any length of time) during fiscal year where hearing was not requested	3	0	0	0	0
Average number of days in investigation stage	178	0	0	0	0
Average number of days in final action stage	61.3	0	0	0	0

Complaints Dismissed by Agency 2013-2017

	2013	2014	2015	2016	2017
Total Complaints Dismissed by Agency	2	0	0	0	0
Average days pending prior to dismissal	83	0	0	0	0
Total complaints Withdrawn by Complainants	1	0	0	1	0

Total Final Actions Finding Discrimination 2013-2017

	2013	2014	2015	2016	2017
Total Number Findings	0	0	0	0	0
Without Hearing	0	0	0	0	0
With Hearing	0	0	0	0	0

Findings of Discrimination Rendered by Basis 2013-2017

	2013	2014	2015	2016	2017
	#	#		0	0
Total Number Findings	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0

Findings After Hearing	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0
Findings Without Hearing	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0

Findings of Discrimination Rendered by Issue 2013-2017

	2013	2014	2015	2016	2017
Total Number Findings	0	0	0	0	0
Appointment/Hire	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Demotion	0	0	0	0	0
Reprimand	0	0	0	0	0
Suspension	0	0	0	0	0
Removal	0	0	0	0	0
Other	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0
Examination/Test	0	0	0	0	0
Non-Sexual	0	0	0	0	0
Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0
Denied	0	0	0	0	0
Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0

Terms/Conditions of Employment	0	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0
Appointment/Hire	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Reprimand	0	0	0	0	0
Suspension	0	0	0	0	0
Removal	0	0	0	0	0
Other	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0
Examination/Test	0	0	0	0	0
Harassment: Non-Sexual	0	0	0	0	0
Harassment: Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0
Reassignment: Denied	0	0	0	0	0
Reassignment: Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0

Pending Complaints Filed in Previous Fiscal Years by Status 2013-2017

	2013	2014	2015	2016	2017
Total complaints from previous FYs	1	1	1	1	1
Total Complainant	1	1	1	1	1
Investigation	1	0	0	0	0
Hearing	0	0	1	1	0
Final Agency Action	1	0	0	0	0
Appeal with EEOC OFO	0	1	0	0	0

Complaint Investigations 2013-2017

	2013	2014	2015	2016	2017
Pending Completion Where Investigation Exceeds Required Time Frames	0	0	0	0	0