U.S. COMMODITY FUTURES TRADING COMMISSION



Three Lafayette Centre
1155 21st Street, NW, Washington, DC 20581

www.cftc.gov

November 29, 2023

ELECTRONIC MAIL

United States Senate Washington, DC 20510

U.S. House of Representatives Washington, DC 20515

Re: Transmittal of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period from April 1, 2023 through September 30, 2023.

Dear Chairs and Ranking Members:

A copy of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period from April 1, 2023 through September 30, 2023 is enclosed. This report, with the accompanying statistical tables and required explanations, is submitted to you in accordance with the requirements of Section 5 of the Inspector General Act of 1978, as amended. The report provides a description of the resources and procedures of the OIG and its audit, investigation, and legislative and regulatory review programs.

During this reporting period, there were no recommendations for corrective action that had not been completed by the planned action date.

If you have any questions regarding the OIG Semiannual Report, please contact Brett Baker, Acting Inspector General at bbaker@cftc.gov.

Sincerely,

Christopher J. Kirkpatrick Secretary of the Commission

Duth J. Selile

Recipients:

The Honorable Glenn Thompson Chairman Committee on Agriculture U.S. House of Representatives Washington, DC 20515

The Honorable David Scott Ranking Member Committee on Agriculture U.S. House of Representatives Washington, DC 20515

The Honorable Dusty Johnson Chairman Subcommittee on Commodity Markets, Digital Assets and Rural Development Committee on Agriculture U.S. House of Representatives Washington, DC 20515

The Honorable Yadira Caraveo Ranking Member Subcommittee on Commodity Markets, Digital Assets and Rural Development Committee on Agriculture U.S. House of Representatives Washington, DC 20515

The Honorable Debbie Stabenow Chairwoman Committee on Agriculture, Nutrition and Forestry United States Senate Washington, DC 20510

The Honorable John Boozman Ranking Member Committee on Agriculture, Nutrition and Forestry United States Senate Washington, DC 20510 The Honorable Kay Granger Chairwoman Committee on Appropriations U.S. House of Representatives Washington, DC 20515

The Honorable Rosa DeLauro Ranking Member Committee on Appropriations U.S. House of Representatives Washington, DC 20515

The Honorable Andy Harris
Chairman
Subcommittee on Agriculture, Rural Development,
Food and Drug Administration, and Related Agencies
Committee on Appropriations
U.S. House of Representatives
Washington, DC 20515

The Honorable Sanford D. Bishop
Acting Ranking Member
Subcommittee on Agriculture, Rural Development,
Food and Drug Administration, and Related Agencies
Committee on Appropriations
U.S. House of Representatives
Washington, DC 20515

The Honorable Patty Murray Chairwoman Committee on Appropriations United States Senate Washington, DC 20510

The Honorable Susan Collins Vice Chair Committee on Appropriations United States Senate Washington, DC 20510

The Honorable Chris Van Hollen Chairman Subcommittee on Financial Services and General Government Committee on Appropriations United States Senate Washington, DC 20510 The Honorable Bill Hagerty
Ranking Member
Subcommittee on Financial Services and General Government
Committee on Appropriations
United States Senate
Washington, DC 20510

The Honorable James Comer Chairman Committee on Oversight and Government Reform U.S. House of Representatives Washington, DC 20515

The Honorable Jamie Raskin Ranking Member Committee on Oversight and Government Reform U.S. House of Representatives Washington, DC 20515

The Honorable Gary D. Peters Chairman Committee on Homeland Security and Governmental Affairs United States Senate Washington, DC 20510

The Honorable Rand Paul Ranking Member Committee on Homeland Security and Government Affairs United States Senate Washington, DC 20510