U.S. COMMODITY FUTURES TRADING COMMISSION



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The Secretariat

June 1, 2021

ELECTRONIC MAIL

United States Senate Washington, DC 20510

U.S. House of Representatives Washington, DC 20515

Re: Transmittal of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period from October 1, 2020 through March 31, 2021.

Dear Chairs and Ranking Members:

A copy of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period from October 1, 2020 through March 31, 2021 is enclosed. This report, with the accompanying statistical tables and required explanations, is submitted to you in accordance with the requirements of Section 5 of the Inspector General Act of 1978, as amended. The report provides a description of the resources and procedures of the OIG and its audit, investigation, and legislative and regulatory review programs.

During this reporting period, there were no recommendations for corrective action that had not been completed by the planned action date.

If you have any questions regarding the OIG Semiannual Report, please contact Mr. A. Roy Lavik, the Inspector General, at 202-418-5110.

Sincerely,

Christopher J. Kirkpatrick Secretary of the Commission

Enclosures