

CFTC NOFEAR Report: FY 2020

CFTC Complaint Activity 2016-2020

	2016	2017	2018	2019	2020
Number of Complaints Filed	5	5	4	5	5
Number of Complainants	5	5	4	5	3
Repeat Filers	1	0	0	1	1

Complaints by Basis 2016-2020

	2016	2017	2018	2019	2020
Race	4	1	2	2	2
Color	3	2	1	1	1
Religion	1	0	0	0	0
Reprisal	5	2	2	3	3
Sex	3	1	1	1	1
National Origin	3	0	0	1	1
Equal Pay Act	0	0	0	0	0
Age	2	3	2	3	3
Disability	1	1	1	0	0
Non-EEO basis	0	0	0	0	0

Complaints by Issue 2016-2020

	2016	2017	2018	2019	2020
Appointment/Hire (+)	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Demotion	0	0	0	0	0
Reprimand	0	0	0	0	0
Removal	1	0	0	0	0
Suspension	0	0	0	0	0
Other: Non-Selection/Hire	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	2	0	1
Examination/Test	0	0	0	0	0
Harassment: Non-Sexual	2	5	1	5	2
Harassment: Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	2	0	0	0	1
Reassignment: Denied	0	0	0	0	0
Reassignment: Directed	0	0	0	0	1
Reasonable Accommodation	0	0	1	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0

Terms/Conditions of Employment	1	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0

Processing Time 2016-2020

	2016	2017	2018	2019	2020
Complaints pending (for any length of time) during fiscal year	7	6	7	5	4
Average number of days in investigation stage	153	29	149	33	117
Complaints pending (for any length of time) during fiscal year where hearing was requested	4	0	3	1	2
Average number of days in investigation stage	152	0	156	45	167
Average number of days in final action stage	361	0	0	0	0
Complaints pending (for any length of time) during fiscal year where hearing was not requested	0	0	4	4	2
Average number of days in investigation stage	0	0	144	45	167
Average number of days in final action stage	0	0	65	0	0

Complaints Dismissed by Agency 2016-2020

	2016	2017	2018	2019	2020
Total Complaints Dismissed by Agency	0	0	0	0	0
Average days pending prior to dismissal	0	0	0	0	0
Total complaints Withdrawn by Complainants	1	0	0	0	0

Total Final Actions Finding Discrimination 2016-2020

	2016	2017	2018	2019	2020
Total Number Findings	0	0	0	0	0
Without Hearing	0	0	0	0	0
With Hearing	0	0	0	0	0

Findings of Discrimination Rendered by Basis 2016-2020

	2016	2017	2018	2019	2020
Total Number Findings	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Age	0	0	0	0	0

Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0
Findings After Hearing	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0
Findings Without Hearing	0	0	0	0	0
Race	0	0	0	0	0
Color	0	0	0	0	0
Religion	0	0	0	0	0
Reprisal	0	0	0	0	0
Sex	0	0	0	0	0
National Origin	0	0	0	0	0
Equal Pay Act	0	0	0	0	0
Age	0	0	0	0	0
Disability	0	0	0	0	0
Non-EEO	0	0	0	0	0

Findings of Discrimination Rendered by Issue 2016-2020

	2016	2017	2018	2019	2020
Total Number Findings	0	0	0	0	0
Appointment/Hire	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Demotion	0	0	0	0	0
Reprimand	0	0	0	0	0
Suspension	0	0	0	0	0
Removal	0	0	0	0	0
Other	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0
Examination/Test	0	0	0	0	0
Non-Sexual	0	0	0	0	0
Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0
Denied	0	0	0	0	0
Directed	0	0	0	0	0

Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0
Appointment/Hire	0	0	0	0	0
Assignment of Duties	0	0	0	0	0
Awards	0	0	0	0	0
Conversion to Full-time	0	0	0	0	0
Reprimand	0	0	0	0	0
Suspension	0	0	0	0	0
Removal	0	0	0	0	0
Other	0	0	0	0	0
Duty Hours	0	0	0	0	0
Evaluation Appraisal	0	0	0	0	0
Examination/Test	0	0	0	0	0
Harassment: Non-Sexual	0	0	0	0	0
Harassment: Sexual	0	0	0	0	0
Medical Examination	0	0	0	0	0
Pay (Including Overtime)	0	0	0	0	0
Promotion/Non-Selection	0	0	0	0	0
Reassignment: Denied	0	0	0	0	0
Reassignment: Directed	0	0	0	0	0
Reasonable Accommodation	0	0	0	0	0
Reinstatement	0	0	0	0	0
Retirement	0	0	0	0	0
Termination	0	0	0	0	0
Terms/Conditions of Employment	0	0	0	0	0
Time and Attendance	0	0	0	0	0
Training	0	0	0	0	0
Other	0	0	0	0	0

Pending Complaints Filed in Previous Fiscal Years by Status 2016-2020

	2016	2017	2018	2019	2020
Total complaints from previous FYs	1	1	4	1	2
Total Complainant	1	1	4	1	2
Investigation	0	0	0	0	0
Hearing	1	0	0	0	0
Final Agency Action	0	0	0	0	0
Appeal with EEOC OFO	0	0	0	0	0

Complaint Investigations 2016-2020

	2016	2017	2018	2019	2020

Pending Completion Where Investigation Exceeds Required Time Frames	0	0	0	0	0
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