



**SEC and CFTC Joint Open Meeting  
Thursday, October 22, 2020**

**AGENDA**

**1. Call to Order**

**2. Chairmen's Opening Remarks**

SEC Chairman Jay Clayton

CFTC Chairman Heath P. Tarbert

**3. Joint Final Rule: Customer Margin Rules Relating to Security Futures**

**Presentation on Rule**

Clark Hutchison, Director, CFTC Division of Clearing and Risk

**Commissioners' Questions and Discussion**

**CFTC Vote**

**SEC Vote**

**4. Request for Comment: Portfolio Margining of Uncleared Swaps and Non-Cleared Security-Based Swaps**

**Presentation on Request for Comment**

Brett Redfearn, Director, SEC Division of Trading and Markets

**Commissioners' Questions and Discussion**

**SEC Vote**

**CFTC Vote**

**5. Adjournment**