



Open Meeting of the Commission – Tuesday, October 6, 2020

AGENDA

1. Call to Order

2. Opening Statements

Chairman Heath P. Tarbert

Commissioner Brian D. Quintenz

Commissioner Rostin Behnam

Commissioner Dawn D. Stump

Commissioner Dan M. Berkovitz

3. Final Rule: Amendments to Compliance Requirements for Commodity Pool Operators on Form CPO-PQR

Staff Presentation

Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)

Pamela Geraghty, DSIO

Elizabeth Groover, DSIO

Motion

Commissioners' Questions and Discussion

Vote

4. Memorandum of Understanding: MOU Between the CFTC and the Office of Financial Research Regarding the Sharing of Data and Information Collected on Form CPO-PQR

Staff Presentation

Amanda Olear, Division of Swap Dealer and Intermediary Oversight (DSIO)

Andrée Goldsmith, DSIO

Natasha C. Robinson Coates, Office of General Counsel (OGC)

Matthew Rowland, OGC

CFTC Chairman's Statement on the MOU

OFR Director Dino Falaschetti's Statement on the MOU

5. Closing Remarks

6. Adjournment