



## Open Meeting of the Commission – Thursday, May 28, 2020

### AGENDA

#### 1. Call to Order

#### 2. Opening Statements

Chairman Heath P. Tarbert

Commissioner Brian D. Quintenz

Commissioner Rostin Behnam

Commissioner Dawn D. Stump

Commissioner Dan M. Berkovitz

#### 3. Proposed Rule: Amending Regulation 3.10(c)(3) – Providing an Exemption from Registration for Foreign Persons Acting as Commodity Pool Operators on Behalf of Offshore Commodity Pools

##### Staff Presentation

Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)

Amanda Olear, DSIO

Frank Fisanich, DSIO

##### Motion

##### Commissioners' Questions and Discussion

##### Vote

#### 4. Interim Final Rule: Amending Regulation 23.161 – Extending the Compliance Schedule for Initial Margin Requirements for Uncleared Swaps in Response to the COVID-19 Pandemic

##### Staff Presentation

Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)

Carmen Moncada-Terry, DSIO

Warren Gorlick, DSIO

##### Motion

##### Commissioners' Questions and Discussion

##### Vote

#### 5. Closing Remarks

#### 6. Adjournment