



U.S. Commodity Futures Trading Commission
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Open Meeting of the Commission – Tuesday, December 10, 2019 – 9:00 a.m.

AGENDA

1. Call to Order

2. Opening Statements

Chairman Heath P. Tarbert
Commissioner Brian D. Quintenz
Commissioner Rostin Behnam
Commissioner Dawn DeBerry Stump
Commissioner Dan M. Berkovitz

3. Staff Presentation: *Final Rule: Amendments to Part 13 of the Commission's Regulations (Public Rulemaking Procedures)*

Daniel J. Davis, Office of General Counsel (OGC)
Herminio Castro, OGC

Motion / Commissioners' Questions and Discussion / Vote

4. Staff Presentation: *Proposed Rule: Capital Requirements for Swap Dealers and Major Swap Participants – Reopening the Comment Period and Requesting Additional Comment*

Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)
Thomas J. Smith, DSIO
Jennifer Bauer, DSIO
Paul Schlichting, OGC
Joshua J. Beale, DSIO
Rafael Martinez, DSIO

Motion / Commissioners' Questions and Discussion / Vote

5. Staff Presentation: *Proposed Rule: Amendments to the Swap Clearing Requirement Exemption for Inter-Affiliate Swaps*

Clark Hutchison, Division of Clearing and Risk (DCR)
Sarah E. Josephson, DCR
Melissa D'Arcy, DCR

Motion / Commissioners' Questions and Discussion / Vote

6. Closing Statements

Commissioner Dan M. Berkovitz
Commissioner Dawn DeBerry Stump
Commissioner Rostin Behnam
Commissioner Brian D. Quintenz

7. Adjournment