

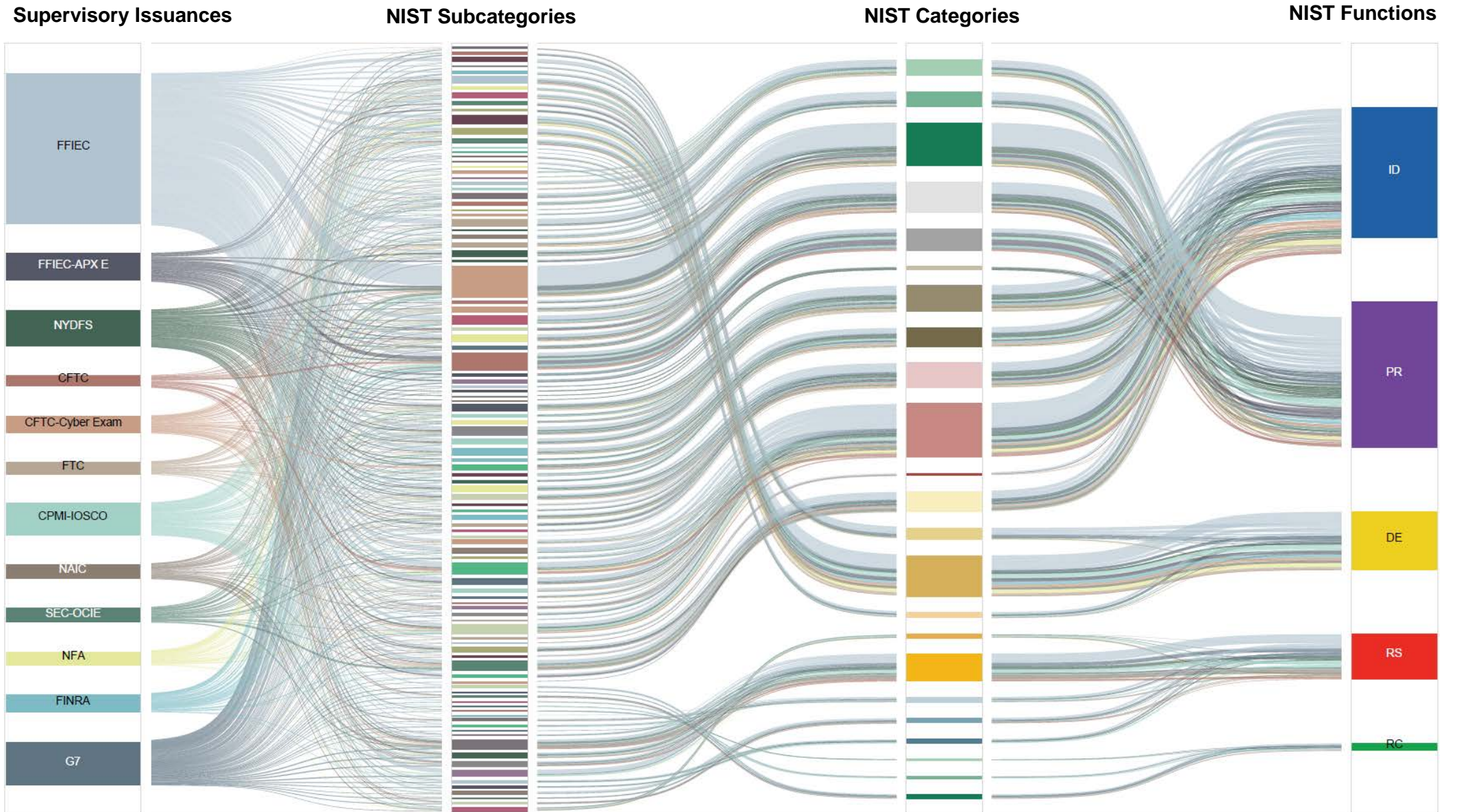
# - FSSCC Cybersecurity Profile -

Commodity Futures Trading Commission  
Technology Advisory Committee

October 3, 2019



# A Graphical Depiction of the Reconciliation Process: Topical Overlap, Difference in Phrasing

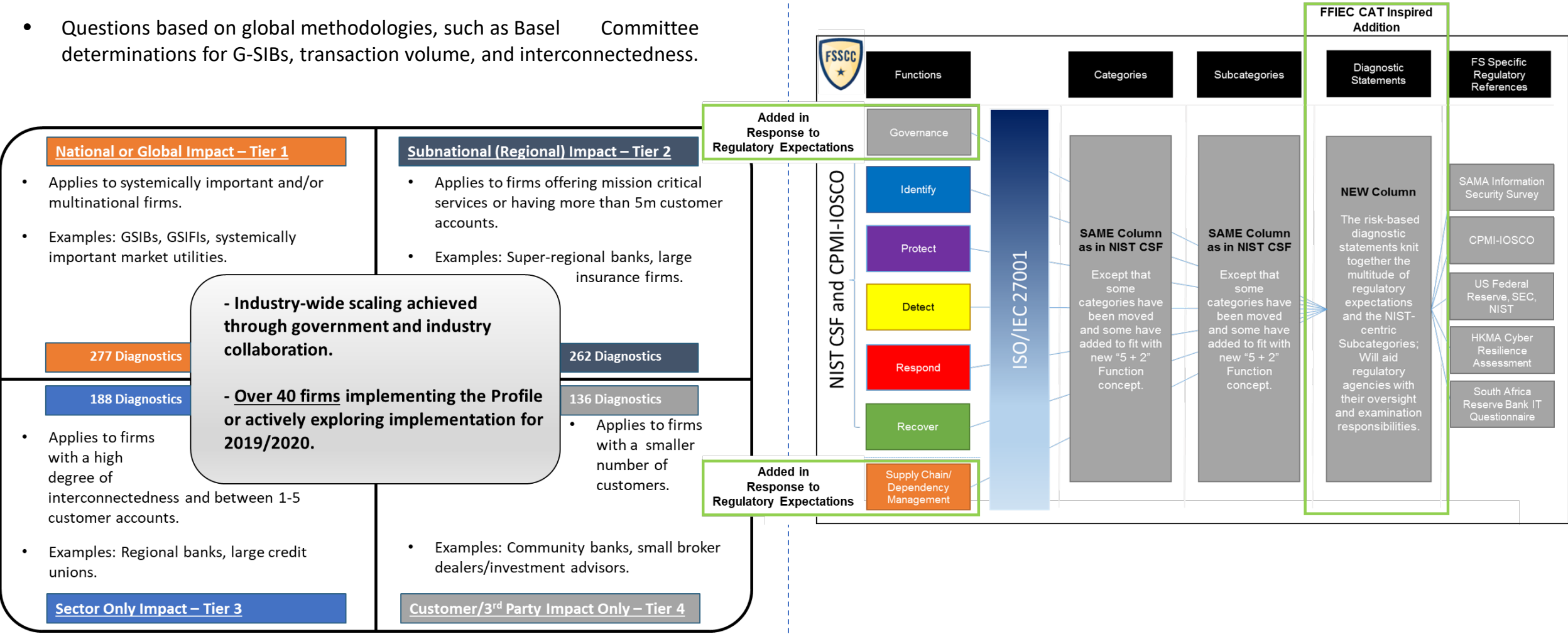


# Straightening the Wires: The FSSCC Cybersecurity Profile's Two Components

## Scaling through an Impact Questionnaire

- 9 Questions.
- Scaled according to an institution's impact on the global, national, and local economies.
- Questions based on global methodologies, such as Basel Committee determinations for G-SIBs, transaction volume, and interconnectedness.

## Architecture, Diagnostic Statements, and Example Regulations



# Documented Agency Statements of Support

- **CPMI-IOSCO**: “The [FSSCC’s Cybersecurity Profile] is a customisation of the NIST Cybersecurity Framework that financial institutions can use for internal and external cyber risk management assessment and as evidence for compliance, encompassing relations between Cyber frameworks, including the Core Standards. Further, the FSSCC’s Cybersecurity Profile tool encompasses all three of the Core Standards of this report, as well as others....”
- **NIST**: “...[O]ne of the more detailed Cybersecurity Framework-based, sector regulatory harmonization approaches to-date.”
- **FFIEC**: The FFIEC “emphasized the benefits of using a standardized approach to assess and improve cybersecurity preparedness,” and named the Profile along with NIST, CAT, and the CIS 20 (formerly SANS 20) as those standardized assessment approaches.
- **Federal Reserve**: “... we'll welcome any financial institution to provide information to us using the structure and taxonomy of the profile, we see that as a boon for harmonization.”
- **OCC**: “If the industry moves to use this cybersecurity profile, that is what we will base our assessments on....”
- **FDIC**: “That was one of the things, at the FDIC, that we were most interested in is looking at the tiering.”
- **SEC**: “...to the extent that we can rationalize and cut down on that duplication, allowing those scarce resources to start driving toward protecting the enterprise, I think we're in a good space.”

