



**U.S. COMMODITY FUTURES TRADING COMMISSION**

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The Secretariat

May 24, 2019

**ELECTRONIC MAIL**

United States Senate  
Washington, DC 20510

U.S. House of Representatives  
Washington, DC 20515

**Re: Transmittal of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period from October 1, 2018 through March 31, 2019**

Dear Chairs and Ranking Members:

A copy of the Semiannual Report of the Office of the Inspector General (OIG) of the Commodity Futures Trading Commission for the period from October 1, 2018 through March 31, 2019 is enclosed. This report, with the accompanying statistical tables and required explanations, is submitted to you in accordance with the requirements of Section 5 of the Inspector General Act of 1978, as amended. The report provides a description of the resources and procedures of the OIG and its audit, investigation, and legislative and regulatory review programs.

During this reporting period, there were two audit reports over six months old where recommendations for corrective action had not been completed by the planned action date.

If you have any questions regarding the OIG Semiannual Report, please contact Mr. A. Roy Lavik, the Inspector General, at 202-418-5110.

Sincerely,

Christopher J. Kirkpatrick  
Secretary of the Commission

Enclosures

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Recipients:

The Honorable Collin C. Peterson  
Chairman  
Committee on Agriculture  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Mike Conaway  
Ranking Member  
Committee on Agriculture  
U.S. House of Representatives  
Washington, DC 20515

The Honorable David Albert Scott  
Chairman  
Subcommittee on Commodity Exchanges, Energy and Credit  
Committee on Agriculture  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Austin Scott  
Ranking Member  
Subcommittee on Commodity Exchanges, Energy and Credit  
Committee on Agriculture  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Pat Roberts  
Chairman  
Committee on Agriculture, Nutrition and Forestry  
United States Senate  
Washington, DC 20510

The Honorable Debbie Stabenow  
Ranking Member  
Committee on Agriculture, Nutrition and Forestry  
United States Senate  
Washington, DC 20510

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The Honorable Nita M. Lowey  
Chairman  
Committee on Appropriations  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Kay Granger  
Ranking Member  
Committee on Appropriations  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Sanford D. Bishop  
Chairman  
Subcommittee on Agriculture, Rural Development,  
Food and Drug Administration, and Related Agencies  
Committee on Appropriations  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Jeff Fortenberry  
Ranking Member  
Subcommittee on Agriculture, Rural Development, Food and Drug Administration,  
and Related Agencies  
Committee on Appropriations  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Richard C. Shelby  
Chairman  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Patrick Leahy  
Vice Chairman  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

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The Honorable John Kennedy  
Chairman  
Subcommittee on Financial Services and General Government  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Christopher Coons  
Ranking Member  
Subcommittee on Financial Services and General Government  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

The Honorable Elijah Cummings  
Chairman  
Committee on Oversight and Government Reform  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Jim Jordan  
Ranking Member  
Committee on Oversight and Government Reform  
U.S. House of Representatives  
Washington, DC 20515

The Honorable Ron Johnson  
Chairman  
Committee on Homeland Security and Governmental Affairs  
United States Senate  
Washington, DC 20510

The Honorable Gary Peters  
Ranking Member  
Committee on Homeland Security and Government Affairs  
United States Senate  
Washington, DC 20510