



CFTC FOIA Office
Three Lafayette Centre 1155 21st Street NW
Washington, DC 20581

Report Date: May 14, 2026
Time: 2:39 PM

Requests Report Received between 04/01/2026 and 04/30/2026

Organization	Received Date	Request Description	Request ID	Requester Name
POLITICO	04/28/2026	Hello. My name is Declan Harty, and I'm a reporter with POLITICO. I'm seeking all email correspondence between CFTC Chairman Michael Selig and members of his office and employees of Polymarket, Blockratize Inc. and Adventure One QSS Inc. (including but not limited to email addresses that end in "@polymarket.com"). The data range should be from Dec. 22, 2025, to April 27, 2026.	26-00182-FOIA	Harty, Declan
POLITICO	04/28/2026	Hello. My name is Declan Harty, and I'm a reporter with POLITICO. I'm requesting all email correspondence between CFTC Chairman Michael Selig and members of his office with employees of Kalshi Inc. (emails ending in "@kalshi.com.") The date range for this request is from Dec. 22, 2025, and April 28, 2026.	26-00183-FOIA	Harty, Declan
ProPublica	04/30/2026	I am requesting any and all records pertaining to referrals from prediction market operators (including Kalshi and Polymarket) to the CFTC concerning potential violations on their platforms. This should include referrals related to misappropriation of confidential information, pre-arranged, noncompetitive trading and wash sales, other prohibited trading practices including disruptive trading and fraud and manipulation under various sections of the Commodity Exchange Act. I would prefer to receive electronic copies of any and all records, when available. If you deny any part of this request, please cite in writing each specific reason that you believe justifies your refusal to release the information and notify me of appeal procedures available under the law. I am willing to consider ways in which the request might reasonably be narrowed. Let me know if clarifying this request might speed the release of the information.	26-00184-FOIA	Simani, Ellis
E-Merger Law PLLC	04/01/2026	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552 (or applicable state public records law), I respectfully request access to and copies of all records in your possession from January 1, 2018 to the present relating to the following individuals and entity: (b)(6) I am requesting all documents, records, or data involving any of the above, including but not limited to: Any complaints, reports, charges, investigations, inquiries, or referrals filed by any individual, entity, or agency regarding these individuals or the company. Any personal statements, interviews, written declarations, or recorded/written communications made by: (b)(6) Any other individuals providing statements related to the above parties. This request includes, but is not limited to: Emails, letters, or written communications Internal agency notes or memoranda Complaint narratives Intake forms Audio or video recorded statements Attachments submitted as part of any complaint Final or preliminary investigative findings (to the extent not exempt) If any portion of the requested documents is exempt from disclosure, please provide the non-exempt portions and cite the applicable FOIA exemptions for any redactions or withheld records. I am willing to pay reasonable duplication or processing fees. If you anticipate fees exceeding \$50, please notify me before	26-00159-FOIA	Crain, Deborah
Financial Times	04/01/2026	I request a copy of any request for information regarding Brent and West Texas Intermediate (WTI) futures contracts during March 2026.	26-00160-FOIA	Rogers, Alex
PoliScio Analytics	04/01/2026	Please provide in CSV or Excel format the CFTC FOIA log of requests submitted during the period from March 1, 2026 to March 31, 2026. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	26-00161-FOIA	Pietro, Isabella
FOULSTON SIEFKIN LLP	04/07/2026	RE: Freedom of Information Act Request – Robert Higgins matter Theriault, et al. v. New Direction IRA, Inc., et al – District of Kansas, Case 2:23-cv-02477-JWB-ADM I am among the Plaintiffs' attorneys prosecuting the above-referenced class action lawsuit against New Direction IRA, Inc., New Direction Trust Company, and Mainstar Trust relating to the loss of precious metals that were to be held in self-directed IRA's and on deposit at First State Depository, LLC. The federal court recently denied the Defendants' motion for summary judgment, and we are now in the midst of full-scale discovery. The New Direction Defendants have now	26-00162-FOIA	Nehrbass, Scott

		produced to us over 70,000 pages of documents that they claim to have previously gathered and produced to the CFTC as part of its investigation; however, to the extent suitable and appropriate, we want to be sure we have any and all other information gathered by the CFTC that may assist in our prosecution of the civil matters. Pursuant to FOIA, we hereby request copies of those non-privileged, non-deliberative records concerning the CFTC's investigation into Robert Leroy Higgins ("Higgins"), First State Depository, LLC ("FSD"), Argent Asset Group, LLC ("Argent"), New Direction IRA, Inc. and New Direction Trust Company (collectively "New Direction"), and Mainstar Trust from January 1, 2015, to the present. Specifically, we seek: • Subpoenas, responses, and correspondence provided by or to Higgins, FSD, Argent, New Direction, Mainstar and any other party in connection with the CFTC's investigation. • Examination reports, deficiency letters, or inspection memoranda referencing Higgins, FSD, Argent, New Direction or Mainstar. • Communications between CFTC staff and self-directed IRA custodians, depositories, or third parties regarding Higgins/FSD. • Communications with any and all victims of Higgins/FSD's conduct. • Final reports, findings of fact, or orders, excluding internal deliberations or attorney work product. • Documents gathered from Robert Higgins, Mr. Eric Higgins, FSD or any of its representatives, or Argent Group or any of its representatives. We will of course, promptly honor your statement in connection with the preparation of this information. Given the state of our class action lawsuit, a timely response to this request would be much appreciated. If you have any questions concerning the information to be supplied, please contact me. Also, I would be thankful for the opportunity to discuss these matters with Mr. Brian A. Hunt, in particular, as the Receiver has indicated he is most knowledgeable regarding the investigation the CFTC conducted. Thank you, kindly. Sincerely, FOULSTON SIEFKIN LLP Scott C. Nehrbass		
The Texas Tribune	04/07/2026	Requesting all case and/or docket numbers for any enforcement action or investigation opened by the CFTC in which the subject of investigation or enforcement resides in Texas, from Jan. 1, 2021 to April 3, 2026. Additionally, requesting the names of entities or persons facing enforcement actions or investigation in those cases, and the date those cases were opened and closed, where applicable.	26-00163-FOIA	Runnels, Ayden
FOULSTON SIEFKIN LLP	04/07/2026	I am among the Plaintiffs' attorneys prosecuting the above-referenced class action lawsuit against New Direction IRA, Inc., New Direction Trust Company, and Mainstar Trust relating to the loss of precious metals that were to be held in self-directed IRA's and on deposit at First State Depository, LLC. The federal court recently denied the Defendants' motion for summary judgment, and we are now in the midst of full-scale discovery. The New Direction Defendants have now produced to us over 70,000 pages of documents that they claim to have previously gathered and produced to the CFTC as part of its investigation; however, to the extent suitable and appropriate, we want to be sure we have any and all other information gathered by the CFTC that may assist in our prosecution of the civil matters. Pursuant to FOIA, we hereby request copies of those non-privileged, non-deliberative records concerning the CFTC's investigation into Robert Leroy Higgins ("Higgins"), First State Depository, LLC ("FSD"), Argent Asset Group, LLC ("Argent"), New Direction IRA, Inc. and New Direction Trust Company (collectively "New Direction"), and Mainstar Trust from January 1, 2015, to the present. Specifically, we seek: • Subpoenas, responses, and correspondence provided by or to Higgins, FSD, Argent, New Direction, Mainstar and any other party in connection with the CFTC's investigation. • Examination reports, deficiency letters, or inspection memoranda referencing	26-00164-FOIA	Nehrbass, Scott
-	04/07/2026	(b)(6)	26-00018-FPA	(b)(6)
-	04/07/2026	This request is structured to identify records that may independently support: • securities misrepresentation • investor disclosure failures • retaliation or improper complaint handling • document fabrication, alteration, or metadata inconsistencies • coordinated conduct across Kirkwood Collection, Somera Capital, and Quorum Hotels This request is structured to identify records that may independently support: • deceptive practices or consumer misrepresentation • financial or commercial misconduct • document fabrication or alteration • coordinated conduct across Kirkwood Collection, Somera Capital, and Quorum Hotels This request is structured to identify records that may independently support: • securities misrepresentation • investor disclosure failures • document fabrication or alteration • coordinated conduct across Kirkwood Collection, Somera Capital, and Quorum Hotels	26-00165-FOIA	Foy, Jason Dutch
-	04/07/2026	This request is structured to identify records that may independently support: • deceptive practices or consumer misrepresentation • financial or commercial misconduct • document fabrication or alteration • coordinated conduct across Kirkwood Collection, Somera Capital, and Quorum Hotels	26-00166-FOIA	Foy, Jason Dutch

-	04/07/2026	This request is structured to identify records that may independently support: • securities misrepresentation • investor disclosure failures • document fabrication or alteration • coordinated conduct across Kirkwood Collection, Somera Capital, and Quorum Hotels	26-00167-FOIA	Foy, Jason Dutch
New York University	04/08/2026	To the CFTC FOIA Officer: Pursuant to the Freedom of Information Act, I request records from the CFTC's Tips, Complaints, and Referrals system covering all whistleblower tips, complaints, and referrals submitted to the CFTC's Whistleblower Office, from the earliest date records are available through the date this request is processed. I request one record per submission, provided as a structured electronic dataset (CSV or Excel). If a structured extract is not feasible, I will accept PDF records. I request the following fields for each record: 1. Identifier or submission number 2. Date submitted 3. Submission channel (e.g., online, phone, mail) 4. Complainant type (e.g., current employee, former employee, industry insider, member of public, attorney, anonymous) 5. Whether submitted anonymously (Y/N) 6. Whether submitted through an attorney (Y/N) 7. Commodity Exchange Act provision or rule alleged to be violated 8. Nature of alleged violation (e.g., manipulation, fraud, position limits, reporting violations) 9. Subject company name 10. Subject company sector or industry 11. Subject company address 12. Whether the tip was referred for investigation (Y/N) 13. Division or office to which referred, if applicable 14. Whether the tip related to an existing investigation (Y/N) 15. Disposition of submission 16. Date of disposition 17. Whether the submission resulted in an enforcement action (Y/N) 18. Whether a whistleblower award application was filed (Y/N) 19. Whether an award was granted (Y/N) 20. Award amount, if granted 21. Whether the complainant reported internally before submitting to the CFTC (Y/N, if applicable, such as employee is the complainant) Please redact any personally identifiable information not explicitly requested, and provide the remaining non-exempt fields in full. If complaint-level records cannot be released in whole or in part due to applicable exemptions, I request the following information in aggregated form as an alternative, provided as a structured electronic dataset. Please provide both aggregation levels described below. If only one is feasible, please provide whichever can be produced without invoking an exemption. State-year aggregation. For each state-year cell, please provide: 1. Total number of complaints/tips/allegations received 2. Number submitted by current or former employees 3. Number submitted by non-employees (e.g., members of the public, industry insiders, contractors, other entities) 4. Number submitted anonymously 5. Number disposed 6. Number referred for investigation 7. Number resulting in an enforcement action 8. Where an award program exists: number of awards granted and total award amounts paid Industry-year aggregation. For each industry sector-year cell, please provide the same eight fields listed above. If any portion is denied, please supply a Vaughn index. Please notify me before incurring any fees. I am submitting a fee waiver request separately.	26-00168-FOIA	Leonelli, Sinja
(b)(6)	04/13/2026	(b)(6)	26-00020-FPA	(b)(6)
-	04/13/2026	1) A copy of the CFTC OIG response letter and attachments (if any) to the letter from Congress dated August 13, 2025 and posted online at this link: https://www.grassley.senate.gov/imo/media/doc/grassley_to_cftc_and_cftc_oig_-_cftc_employee_misconduct.pdf 2) A copy of the CFTC agency response letter and attachments (if any) to the letter from Congress dated August 13, 2025 and posted online at this link: https://www.grassley.senate.gov/imo/media/doc/grassley_to_cftc_and_cftc_oig_-_cftc_employee_misconduct.pdf	26-00170-FOIA	Ravnitzky, Michael
Front Office Sports	04/14/2026	all internal and external communications (including emails, memoranda, briefing notes, and related materials) on or around March 1, 2026 through April 14, 2026, authored by or sent to the following individuals: Michael Selig, CFTC Chairman Taylor Foy, Communications Specialist / Director, Office of Public Affairs for the CFTC Brooke Nethercott, Director of Public Affairs for the CFTC These communications should include any references to the National Basketball Association, NBA, Adam Silver, Mark Tatum, Polymarket, Kalshi, Shayne Coplan, Ari Borod, Tarek Mansour, Luana Lopes Lara, prediction markets, sports event contracts, or regulatory considerations related to these entities.	26-00171-FOIA	Horney, Ben
Bloomberg News	04/13/2026	I am writing to formally appeal the denial (attached) of my Freedom of Information Act request No. 26-00073-FOIA dated December 12, 2025 (also attached), which sought transaction-level trade data and Large Trader Reporting Program data concerning Kalshi. The Commission's blanket denial fails to comply with the FOIA Improvement Act of 2016 and misapplies Exemptions 3, 4, and 8.	26-00001-AP	Fan, Eric
-	04/15/2026	I request all records from January 1, 2021, to the present, in native electronic format with all metadata preserved, including but not limited to the following	26-00172-FOIA	(b)(6)

		categories: 1. OIG Complaints Related to This Case - All complaints filed with the CFTC Office of Inspector General concerning Case No.(b)(6) (b)(6)		
	04/16/2026	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I hereby request disclosure of all records, documents, files, notes, communications, authorizations, or administrative instruments held by your agency that reference, impose, or relate to any federal restriction, limitation, guardianship, fiduciary control, or power of attorney (b)(6). This request specifically includes, but is not limited to: • Any power of attorney, agency designation, representative payee assignment, or third-party authorization allegedly granted to any federal employee, contractor, agency, or external entity. • Any administrative, civil, or criminal restrictions placed upon my legal capacity, status, rights, or ability to act on my own behalf. • Any notations, flags, codes, or internal classifications indicating diminished capacity, custodial status, or special handling instructions. • Any trustee, custodian, or fiduciary assignments connected to my name, Social Security Number, or any associated administrative file. • Any inter-agency communications referencing such restrictions or authorities.	26-00019-FPA	(b)(6)
Pickleball Fanatics, LLC	04/20/2026	April 18, 2026 FOIA Compliance Office Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581 Re: Freedom of Information Act Request — Expedited Processing Requested Dear FOIA Officer: Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I respectfully request access to and copies of all records in the possession, custody, or control of the Commodity Futures Trading Commission ("CFTC") relating to the following entities and individuals: 1. TopstepFunded LLC (NFA ID #0567079) 2. Topstep LLC 3. TopstepTrader, LLC 4. Michael Joseph Patak (NFA ID #0332803) 5. Andrew James Maratea (NFA ID #0567741) 6. Sarah Suzan Zeleny (NFA ID #0567744) 7. Summer Kerisha Capps (NFA ID #0572364) 8. Tammy Sue Botsford (NFA ID #0570664) Specifically, I request all records from January 1, 2023 through the present, including but not limited to: a. Any tips, complaints, or referrals received by the CFTC's Division of Enforcement concerning any of the above-listed entities or individuals, including complaints submitted through the CFTC's online complaint portal; b. Any enforcement inquiries, preliminary investigations, formal investigations, or matters under inquiry ("MUIs") opened or pending concerning any of the above-listed entities or individuals; c. Any no-action letters, interpretive letters, exemptive relief, or guidance issued to or concerning any of the above-listed entities or individuals, including any requests for such relief; d. Any correspondence between the CFTC and any of the above-listed entities or individuals, including letters, emails, and meeting memoranda; e. Any records reflecting the involvement, review, or approval by the Director of Enforcement or any senior Division of Enforcement official in connection with any matter involving the above-listed entities or individuals; f. Any records of referrals to or from the National Futures Association ("NFA") concerning any of the above-listed entities or individuals; and g. Any records concerning the registration status, exemptions from registration, or Commodity Pool Operator ("CPO") classification of any of the above-listed entities. Request for Expedited Processing I request expedited processing of this FOIA request pursuant to 17 C.F.R. § 145.7(e). This request involves a matter of urgency to the undersigned. I am the plaintiff in active federal litigation, McCrudden v. TopstepFunded LLC, et al., Case No. 3:26-cv-00816-WWB-LLL, pending in the United States District Court for the Middle District of Florida. The claims in that action include operation of an unregistered commodity pool in violation of 7 U.S.C. § 6m and fraud under 7 U.S.C. § 9(1). The defendants' responsive pleading is due on June 8, 2026, and the records sought are directly relevant to the claims and defenses in that litigation. There is a particular urgency in that the defendants are represented by Sidley Austin LLP, and Ian McGinley — the former Director of the CFTC's Division of Enforcement from 2023 to early 2025 — has identified himself as counsel for the defendants. The requested records will assist in determining whether Mr. McGinley's participation as defense counsel raises issues under 18 U.S.C. § 207 (restrictions on former government officials). Fee Waiver Request I request a waiver or reduction of fees associated with this request p . . . The description has exceeded the character limit.	26-00173-FOIA	McCrudden, Vincent

Massey & Gail LLP	04/20/2026	Please see attached FOIA request.	26-00174-FOIA	Collette, Matthew
-	04/21/2026	Being able to access information on databases linked to social media, such as legal activities on lawful conduct deletion of civil protection	26-00175-FOIA	Gibbons, Tiffany
Cassandra Labs	04/21/2026	TIME PERIOD: January 1, 2024 through the date this request is processed, with particular attention to (a) the 90 days after January 29, 2024 and (b) the period from March 1, 2026 forward (surrounding issuance of CFTC Staff Letter No. 26-09 to Phantom Technologies Inc.). LITIGATION CARVE-OUT: This request does not seek records concerning the Commission's litigation positions in CFTC v. Arizona, CFTC v. Connecticut, and CFTC v. Illinois specifically; provided that records concerning Cassandra's application itself, or the general administrative handling of no-action requests, remain within scope even if they incidentally reference pending litigation. II. RECORDS REQUESTED. (1) The January 29, 2024 acknowledgment email from submissions@cftc.gov to (b)(6) (7:14 AM), and associated system records. (2) Intake, routing, and assignment records: (a) custodians to whom the submission was forwarded; (b) any docket, matter, or tracking number assigned; (c) the staff attorney, team, or office to which the matter was assigned or any record reflecting a decision not to assign it; (d) any reassignment. (3) Timeline and status metadata — dates of receipt, assignment, review, decision, disposition; calendar entries; case-management entries, logs, and tracking documents. (4) Commission-to-third-party communications referencing Cassandra, including communications with congressional offices (particularly Senators Risch and Hickenlooper), other federal agencies, NFA, and outside parties — including any representation that the application is "on the Chairman's desk," "under review," "pending," or similar. (5) Internal memoranda, recommendations, briefing materials, talking points, staff notes, and decision documents concerning Cassandra's application, whether final or in draft. (6) Internal communications referencing Cassandra. Cassandra authorizes redaction of substantive content under any applicable exemption, provided the following metadata is preserved: date/time, sender, recipients (To/Cc/Bcc), subject line or channel name, communication type. CUSTODIANS (minimum): Director, Deputy Directors, and Chief Counsel of the Division of Market Oversight, including staff with responsibility for event contracts or prediction markets; Director, Deputy Directors, and Chief Counsel of the Market Participants Division; Director and Chief Counsel of the Division of Enforcement; the Office of the Chairman; each sitting Commissioner's personal office; the Office of the General Counsel; the Office of the Secretary (including the submissions@cftc.gov custodian). SEARCH TERMS: "Cassandra," "Cassandra Labs," "Cassandra Laboratories Foundation," "EIN 88-2645005," "@cassandralabs.org," and the names of Cassandra's principal contacts. III. EXPEDITED PROCESSING (17 C.F.R. § 145.7(j)). Cassandra is primarily engaged in disseminating information as a 501(c)(3) research institute. Urgency to inform the public concerning federal government activity arises from three convergent circumstances: (a) the Commission's ANPRM on prediction markets closes April 30, 2026; (b) the Commission is actively litigating its exclusive jurisdiction over prediction-market instruments in three federal district courts; (c) Cassandra's application was acknowledged January 29, 2024 and has received no docket number, point of contact, or substantive response in 26 months, while during the same period the Commission issued no-action relief to commercial applicants including Phantom Technologies Inc. (Staff Letter 26-09, March 17, 2026). Whether the Commission engages applicants consistently	26-00176-FOIA	Barberis Canonico, Lorenzo
Cassandra Labs	04/21/2026	SCOPE: Cassandra seeks records of the Commission's administrative process in handling Phantom Technologies Inc.'s no-action request — timeline, staffing, pre-filing engagement, and decisional workflow — not Phantom's proprietary technology, strategy, customer data, or commercially sensitive terms. I. TIME PERIOD: January 1, 2025 through the date this request is processed. LITIGATION CARVE-OUT: This request does not seek records concerning the Commission's litigation positions in CFTC v. Arizona, CFTC v. Connecticut, and CFTC v. Illinois specifically; provided that records concerning Phantom's application or the general handling of no-action requests remain within scope even if they incidentally reference pending litigation. II. RECORDS REQUESTED. (1) Pre-filing outreach. Phantom has publicly and voluntarily acknowledged substantial pre-filing engagement with the Commission in its March 17, 2026 blog post (https://phantom.com/learn/blog/phantom-secures-cftc-no-action-relief-for-access-to-regulated-derivatives-and-event-contracts). Cassandra requests: (a) all records of communications between Commission staff and Phantom, its counsel (including Kevin Jacobs, General Counsel of Phantom Technologies Inc.), representatives, or personnel prior to the formal filing of Phantom's request; (b) records of Commission staff preparation, participation, and follow-up for such communications; (c) records identifying Commission staff participants, their division, title, and date/nature of engagement. (2) Intake, routing, and assignment records: receipt date, custodians, docket/tracking number, staff assignment, and any reassignment. (3) Timeline and status metadata — dates of	26-00177-FOIA	Barberis Canonico, Lorenzo

		receipt, docketing, review, decision, finalization, communication of relief; records reflecting accelerated-timeline determinations. (4) Post-filing communications between the Commission and Phantom. (5) Internal communications referencing Phantom. Cassandra authorizes redaction of substantive content under any applicable exemption, provided the following metadata is preserved: date/time, sender, recipients, subject or channel, type. CUSTODIANS (minimum): the Market Participants Division (Director, Deputy Directors, Chief Counsel, and staff responsible for intermediary registration); the Division of Market Oversight (Director, Deputy Directors, Chief Counsel); the Division of Enforcement (Director, Chief Counsel); the Office of the Chairman; each sitting Commissioner's personal office; the Office of the General Counsel; the Office of the Secretary. SEARCH TERMS: "Phantom," "Phantom Technologies," "26-09," "Staff Letter 26-09," "Brandon Millman," "Kevin Jacobs," any outside counsel identified in Staff Letter 26-09, and descriptors "self-custodial wallet," "non-custodial interface," and "wallet software" within the relevant window. III. EXPEDITED PROCESSING. Cassandra is primarily engaged in disseminating information as a 501(c)(3) research institute. Urgency arises from: (a) the Commission's ANPRM on prediction markets closes April 30, 2026; (b) the Commission publicized Staff Letter 26-09 through CFTC Press Release 9197-26; (c) the Commission is actively litigating its exclusive jurisdiction over prediction-market instruments in three federal district courts; (d) Cassandra's materially comparable no-action request (filed January 24, 2024, for a nonprofit research prediction market) remains unaddressed 26 months later without docket number or point of contact, raising the question whether the Commission engages applicants consistently. CERTIFICATION: The foregoing is true and cor		
GWU	04/21/2026	All internal memoranda, emails, correspondence, briefing documents, and meeting notes concerning KalshiEX LLC's application to list political event contracts (designated contract market application), including but not limited to: communications between CFTC Division of Market Oversight staff and CFTC commissioners; communications between CFTC staff and KalshiEX LLC representatives; any legal analyses or opinions regarding the application; and any records related to the CFTC's September 2024 decision to appeal the U.S. District Court ruling in KalshiEX LLC v. CFTC. Timeframe: January 1, 2024 through December 31, 2024.	26-00178-FOIA	Zuniga, Edgar
-	04/23/2026	Few days ago my home had a search warrant all sudden im requesting to know who was anonymous caller	26-00179-FOIA	No Name Provided in NFP, No Name Provided in NFP
-	04/23/2026	Dear FOIA Compliance Office, Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the Commodity Futures Trading Commission's FOIA regulations, 17 C.F.R. Part 145, I respectfully request the records described below concerning BNP Paribas SA (NFA No. 247694), in its capacity as a registered Swap Dealer. To facilitate processing, this request is limited to: 1. records furnished or submitted by BNP Paribas SA to the Commission; 2. records sufficient to identify whether required reports or notifications were furnished; and 3. correspondence between the Commission and BNP Paribas SA regarding the timing, completeness, amendment, or existence of such submissions. This request does not seek examination materials, enforcement memoranda, or internal deliberative materials, except to the extent a record merely confirms the existence or non-existence of a registrant-furnished submission. I — REQUEST A — Chief Compliance Officer Annual Reports (17 C.F.R. § 3.3) I request the following records for fiscal years 2022, 2023, 2024, 2025, and 2026 to date: Item 1 Any annual report, annex, amendment, supplement, or other submission furnished by BNP Paribas SA to the Commission pursuant to 17 C.F.R. § 3.3(e)–(f). Item 2 Any record sufficient to identify the date on which each such annual report was furnished to the Commission, including any cover email, transmittal, acknowledgment, or filing confirmation. Item 3 Any correspondence between the Commission and BNP Paribas SA concerning: • (a) the completeness or timeliness of any such annual report; • (b) any amendment to such report; • (c) any request for extension of time; and • (d) any claim, notice, or reliance concerning substituted compliance, comparability, or non-U.S. swap dealer treatment with respect to the content or timing of such annual report. Item 4 For the avoidance of doubt, if no annual report, amendment, or responsive correspondence exists for any specific fiscal year within the above period, I respectfully request a statement confirming that no responsive record was identified for that year. II — REQUEST B — Risk Exposure Reports (17 C.F.R. § 23.600(c)(2)) I request the following records for the period January 1, 2022 through the date of this request: Item 1 Any Risk Exposure Report furnished by BNP Paribas SA to the Commission pursuant to 17 C.F.R. § 23.600(c)(2). Item 2 Any record sufficient to identify the date on which each such Risk Exposure Report was furnished to the Commission, including any cover email, transmittal, acknowledgment, or filing confirmation. Item 3 Any correspondence between the Commission and BNP Paribas SA concerning the timing, completeness, amendment, deficiency, or follow-up	26-00180-FOIA	William, Haris

		relating to any such Risk Exposure Report. Item 4 If no responsive Risk Exposure Report was furnished during the above period, I respectfully request a statement confirming that no responsive record was identified. III — REQUEST C — Registrant-Furnished Compliance Notifications / Remediation Correspondence For the period May 19, 2023 through December 31, 2023, I request: Item 1 Any correspondence, notification, submission, or other communication furnished by BNP Paribas SA to the Commission that reports, describes, self-reports, remediates, or otherwise notifies the Commission of any swap-dealer compliance issue relating to: • (a) supervision; • (b) recordkeeping; • (c) personnel restrictions or limitations on participation in swap-related work; or • (d) controls concerning transaction documentation, confirmations, collateral, or related swap documentation. Item 2 Any direct resp		
New York Post	04/23/2026	Dear FOIA Officer, Under the Freedom of Information Act (5 U.S.C. § 552), I am requesting copies of the following public records pertaining to CFTC Chairman Michael Selig: **Record Parameters:** Please search for and produce the following records created or obtained between September 1, 2025, and the date this search is executed: 1. **Ethics and Financial Disclosures:** Any and all Office of Government Ethics (OGE) Form 278e (Public Financial Disclosure Reports) and OGE Form 278-T (Periodic Transaction Reports) filed by Michael Selig, including any attachments, amendments, and certification notes provided by CFTC ethics officials. 2. **Ethics Pledges:** A copy of the finalized, signed Ethics Pledge (pursuant to Executive Order commitments) executed by Michael Selig upon assuming office. 3. **Recusal and Screening Memos:** Any memoranda, directives, or guidance documents produced by the CFTC Office of the General Counsel (OGC) or Designated Agency Ethics Official (DAEO) detailing recusal obligations, screening arrangements, or authorizations/waivers under 5 C.F.R. § 2635.502 for Chairman Selig. * Specifically include* any guidance pertaining to his former employer, Willkie Farr & Gallagher LLP, and former clients, including but not limited to Paradigm and Kalshi. 4. **Waivers:** Any waivers or exemptions granted to Chairman Selig regarding conflicts of interest, cooling-off periods, or post-employment restrictions. 5. **Visitor and Meeting Logs:** Any calendars, meeting logs, or schedules detailing meetings, phone calls, or video conferences between Chairman Selig (or his immediate deputies) and representatives, lobbyists, or attorneys representing Paradigm or Willkie Farr & Gallagher LLP. **Search Instructions:** To comprehensively fulfill this request, please search the email accounts, calendar files, and text message repositories of the Chairman, his Chief of Staff, and the Designated Agency Ethics Official. **Fee Waiver Request:** I am a representative of the news media gathering information that is of current interest to the public. This information is being sought on behalf of the public interest to illuminate the CFTC's adherence to federal ethics guidelines and the integrity of its regulatory processes. Disclosure of this information is not in my commercial interest. Therefore, I request a waiver of all search and duplication fees. If this fee waiver is denied, please notify me if the costs will exceed \$50.00. Under the statute, I expect a determination regarding this request within 20 working days. If any records are withheld, please provide a Vaughn index detailing the specific statutory exemption claimed for each redaction or withholding. Thank you for your time and assistance. James Franey, NYP	26-00181-FOIA	Franey, James
New York University	04/28/2026	Dear FOIA Officer, I respectfully request production of a structured dataset or, if no such consolidated dataset exists, the records necessary to construct one, containing the following fields for each CFTC enforcement action from the inception of the Commission through the date of this request: (1) The CFTC press release number associated with the enforcement action (e.g., PR8938-24); (2) The total monetary sanctions assessed in the enforcement action (civil monetary penalties, disgorgement, and restitution, stated separately if maintained separately in Commission records); (3) The Notice of Covered Action number(s) (e.g., No. 2025-011) posted by the Commission in connection with the enforcement action, if any; (4) The Final Order/Award Determination number(s) (e.g., No. 26-WB-05) issued by the Commission in connection with the enforcement action, if any; (5) The total number of Form TCR submissions received by the Commission that the Commission's records associate with the investigation or enforcement action, regardless of whether those submissions led to the opening of an investigation or satisfied award eligibility requirements; and (6) Of the Form TCR submissions counted in item (5), the number that were not closed at intake as facially insufficient and were assigned to or reviewed by Division of Enforcement staff in connection with the investigation (i.e., tips that passed initial screening and were associated with the matter, as distinguished from submissions immediately determined to have no connection to the subject of the enforcement action). With respect to item (6), I recognize that the Commission may use different internal terminology or tracking fields to record this distinction. If the Commission does not maintain a binary "passed screening" flag, I request whichever of the following counts the Commission's records do support, listed in order of preference: (a) Tips formally associated with or assigned to the investigation by Enforcement staff; (b) Tips not closed as "no violation" or "insufficient information" at the intake stage; (c) Tips that the	26-00169-FOIA	Leonelli, Sinja

	Commission's records reflect were forwarded to or reviewed by the Division of Enforcement in connection with the underlying matter. I am not requesting any information that could identify any individual claimant or whistleblower, including names, contact information, the content of any Form TCR submission, or any other information that could reasonably be expected to reveal the identity of a whistleblower under 7 U.S.C. § 26(h)(2). The fields requested in items (1) through (6) are limited to case-level identifiers and aggregate counts that do not implicate the confidentiality protections of 7 U.S.C. § 26(h)(2), because: (a) Items (1) through (4) consist entirely of public document identifiers and publicly reported sanction amounts; consolidating them in a structured dataset keyed to an enforcement action does not reveal any claimant information. (b) Items (5) and (6) are aggregate counts analogous in character to the program-level statistics the Commission publishes in its annual reports to Congress under 7 U.S.C. § 26(i)(1), but disaggregated to the enforcement action level. A count of tips associated with a case does not identify any individual. If the Commission takes the position that any portion of this request is exempt, I request that it: (i) produce all non-exempt responsive records in full; (ii) produce all reasonably segregable non-exempt portions of any partially exempt records pursuant to 5 U.S.C. § 552(b); and (iii) provide a Vaughn index specifying the statutor	
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Total No of Requests : 30