CFTC FOIA Office
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Washington, DC 20581

Report Date: 04/02/2026
Time: 9:25 AM

Requests Report
Received between 03/01/2026 and 03/31/2026

Organization	Received Date	Request ID	Request Description	Requester Name
-	03/17/2026	26-00144-FOIA	I am requesting access to and copies of records held by the CFTC regarding silver and gold market activity from January 1 through March 7, 2026. 1. Records of Technical Anomalies and System Failures • Internal memos, emails, and technical incident reports concerning data latency, server outages, or liquidity issues involving Hong Kong-based or other international market data feeds used for COMEX Silver (SI) and Gold (GC) futures. • Documentation regarding the performance or failure of exchange circuit breakers (Stop Logic, Limit Up/Limit Down, or Velocity Logic) during periods of high volatility, including January 30 and February 25, 2026. • Records detailing any manual override decisions, suspension of price limits, or emergency technical measures during these events. 2. Records of Market Intervention and Delivery • Correspondence between the CFTC and CME Group (COMEX) regarding Exchange for Physical (EFP) transactions, Cash-in-Lieu settlements, or other delivery mechanisms during the March 2026 delivery cycle (including February 27, 2026 First Notice Day). • Reports, memos, or assessments of Failures to Deliver (FTDs) and evaluations of Registered vs. Eligible silver inventory ratios at COMEX-approved vaults leading up to the First Notice Day. • Any discussions or records referencing Force Majeure or Emergency Rule 7 in relation to silver delivery obligations. 3. Communications with Large Financial Institutions • Records of meetings, emails, and calendar entries involving CFTC Commissioners, the Director of Enforcement, or the Division of Market Oversight (DMO) and representatives of J.P. Morgan Chase & Co., HSBC, or Goldman Sachs between January 1 and March 7, 2026. • Records referencing short position management, delta-neutral positioning, margin mitigation, or off-exchange transfers used during periods of market stress. 4. Large Trader and Profitability Data • Aggregate, anonymized summaries of net profit/loss for the Commercial versus Non-Commercial trader categories during periods of extreme volatility within the requested timeframe. 5. Search Keywords Please use the following terms in your search: "Liquidity Black Hole," "SGE Arbitrage," "Server Latency," "Short Squeeze Mitigation," "Physical Settlement Crisis," "Stop Logic," "Exchange for Physical," "Cash-in-Lieu," "Force Majeure," "Margin Increase," "Margin Call," "Risk Assessment," "Liquidity Impact," "COMEX Margin Decision." 6. Records Regarding Margin Adjustments and Risk Decisions (January 30, 2026) • Internal communications, memos, emails, meeting notes, agendas, or unpublished documents prepared or circulated by CFTC leadership, Oversight Committees, or staff concerning the decision to adjust margin requirements for COMEX Silver and Gold futures. • Correspondence, meeting minutes, or communications with major commercial investors or market participants regarding the rationale, analysis, or intended effects of the margin increase implemented around January 30, 2026. • Any analyses, reports, or discussion documents explaining the decision-making process, risk assessments, or expected impact of the margin adjustment on market liquidity and pricing. 7. Internal Investigations and Post-Event Analyses (January 30, 2026) • Internal communications, reports, emails, memos, or meeting notes documenting any internal review, investigation, or	Beach, Kieran

			analysis conducted by the CFTC in response to the January 30, 2026 market events. • Any post-event assessments by CFTC staff, Divisions, or Committees concerning technical anomalies, margin adjustments, liquidity impacts, or market behavior	
Financial Literacy Advocates	03/17/2026	26-00145-FOIA	I am submitting this request under the Freedom of Information Act (FOIA) to obtain records containing the names, official work email addresses, and contact information of current federal employees within Commodity Futures Trading Commission of the United States. Our organization, Financial Literacy Advocates is a nonprofit dedicated to empowering individuals and families with the knowledge to make informed financial decisions. We provide education on key topics like wills and trusts, federal benefits, budgeting, and retirement planning. To facilitate our outreach and education efforts, we seek access to public records that include: Employee names, Official work email addresses, Titles and office locations. If any of this information is restricted, we request disclosure of all releasable portions. Please provide the records in an electronic format if possible. If processing fees exceed $25, please inform us in advance. Additionally, if any part of this request requires clarification or modification, I would appreciate the opportunity to discuss it. Thanks,	Johnson, Dontae
-	03/18/2026	26-00146-FOIA	I am interested in the communications between JP Morgan and CFTC from 12/30/2025 and the present concerning the CFTC requirement that JP Morgan reduce their silver net short position by 50% in 90 days (from 6.2 billion oz. to 3.1 billion oz.) and JP Morgan's current position as to satisfying the CFTC's requirement.	Stout, Allen
Nexgenixai	03/18/2026	26-00147-FOIA	winning grant applications	Inabnitt, Nick
The Washington Post	03/24/2026	26-00148-FOIA	All whistleblower reports submitted to your agency mentioning Kalshi and/or Polymarket. The date range of this request is January 1, 2020 until this request tis processed.	Jones, Nate
Privacy, Transparency, & Records	03/24/2026	26-00008-CONS	Good afternoon, CFTC, The Department of the Treasury (Treasury), Departmental Offices received a FOIA request from Jason Gart. A copy of the request has been attached for your reference. ? While processing this request, Treasury located five pages that we believe contain Commodity Futures Trading Commission (CFTC) equities.? ? Please review CFTC equities and return your response to our office by April 10, 2026, so we can provide a final response to the requester.? Kindly confirm receipt of this consultation. ? Please reference FOIA case number 2026-FOIA-00912 when contacting our office about this request. ? I have attached a consultation memo, the FOIA request and the transparent record. Please let me know if you have any questions. Note that other government agencies are also reviewing this document.	Pierce III, Melvin
-	03/24/2026	26-00149-FOIA	I'm requesting any and all surveillance records and documentation within the that contain any information on (b)(6) (b)(6) and (b)(6) and pertain to any situation including surveillance via satellite, mobile device, webpages. From any data centres, ground facilities, receiving centres or intelligence/ investigation agencies.	(b)(6)
-	03/24/2026	26-00017-FPA	(b)(6)	(b)(6)

			(b)(6)	f
-	03/24/2026	26-00154-FOIA	(b)(6)	(b)(6)
-	03/26/2026	26-00150-FOIA	All records, including memoranda, reports, analyses, policies, procedures, guidance documents, internal communications, and inter-agency communications, concerning the CFTCs detection, monitoring, analysis, or investigation of unusual, anomalous, or potentially manipulative trading activity in futures markets occurring shortly before Presidential announcements or Presidential actions. This includes records describing the CFTC's use of data analytics, and market-surveillance systems. Please limit this request to records during calendar year 2025 and 2026.	Ravnitzky, Michael
-	03/26/2026	26-00151-FOIA	To Whom It May Concern, I am seeking full disclosure of the oil futures trading by either an individual, a group of individuals, a company, a shell company, or any and all major oil futures trading by any parties just less than twenty minutes before the president's major announcement of the US being in talks with Iran. I'm requesting public full disclosure of all these trades as allowed by law under FOIA. Thank you. All the best, Kevin Kahle	Kahle, Kevin
Front Office Sports	03/26/2026	26-00152-FOIA	Hello, My name is Ben Horney from Front Office Sports. Per the federal freedom of information laws, I'm requesting all records, communications, correspondence, investigative materials, and notes related to Major League Baseball and Polymarket on or around January 1, 2026 through March 19, 2026. This request includes, but is not limited to, emails, text messages, internal memoranda, briefing materials, enforcement records, and communications with external parties referencing Major League Baseball, MLB, Polymarket, prediction markets, or sports event contracts. I would like the records delivered to my email address, which is ben.horney@frontofficesports.com I am requesting any fees be waived because I am a member of the media and these records are being requested for public benefit, not commercial gain. Let me know if you have any questions or if I can do anything to help make this request easier for your office to complete. Thanks, Ben	Horney, Ben
Council of the Inspectors General on Integrity and	03/26/2026	26-00153-FOIA	Good afternoon, Please see the attached FOIA referral for CFTC OIG documents and please let me know if you have any questions. Thanks! ~Faith	Coutier, Faith
Leadership Connect	03/30/2026	26-00155-FOIA	I request a list of all currently available office telephone numbers associated with the Commodity Futures Trading Commission, including headquarters, divisions, and regional offices. Please include the associated office name and corresponding telephone number. I request information that is current at the time of processing this request. Additionally, I request any publicly releasable telephone directories for the Commodity Futures Trading Commission that are current at the time of processing this request. Please provide the records in a machine-readable format, such as CSV or Excel.	Jones, Sidney

Tenantbase	03/31/2026	26-00156-FOIA	any complaints to government agencies that are on file regarding the email address: (b)(6) They would have been from 2021-2024	(b)(6)
Secure Stakes	03/31/2026	26-00157-FOIA	I would like to submit a FOIA request for any current organization charts or anonymized rosters of staff for the part of the CFTC that has oversight of or responsibility for prediction markets. This includes markets for sports, entertainment, politics and other events.	Wein, Matthew
-	03/31/2026	26-00158-FOIA	Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I request access to records related to Contract Number 9523ZY23C0028, titled "Comprehensive Human Resources Support for CFTC," awarded by the Commodity Futures Trading Commission (CFTC) to Grey Street Consulting, LLC. Specifically, I request the following records: • The final Request for Quotation (RFQ) and all associated attachments, including but not limited to: – Performance Work Statement (PWS) – Pricing spreadsheet – Labor Categories (LCATs) • The final solicitation package, including any information regarding set-aside designation(s) • All Questions and Answers (Q&A) documents associated with the solicitation, including any amendments or modifications • (If available) Any award summary documentation, including source selection decision documentation or evaluation summaries, to the extent releasable under FOIA Timeframe: January 1, 2023 through present. I request that all responsive records be provided in electronic format (PDF preferred). If any portion of the requested records is exempt, please provide all reasonably segregable non-exempt portions and cite the applicable FOIA exemption(s).	Jinzo, Martin
PoliScio Analytics	03/03/2026	26-00130-FOIA	Please provide in CSV or Excel format the CFTC FOIA log of requests submitted during the period from February 1, 2026 to February 28, 2026. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	Pietro, Isabella
Fortune	03/04/2026	26-00131-FOIA	I request all documents produced in response to the FOIA request to the CFTC numbered 26-0096-FOIA, submitted on 01/20/2026 by Sharon LaFraniere. The FOIA submitter requested that documents be produced to her on a rolling basis, and I request the same treatment, if possible. This includes the FOIA department's initial response to her request as well as documents produced on said rolling basis.	Weiss, Benjamin
The New York Times	03/04/2026	26-00132-FOIA	I request the following information for the time period from Jan. 20 2025 to the date this request is processed. 1. The number of employees placed under administrative leave. 2. The number of employees placed under investigation. 3. The number of investigations closed. 4 The number of employees fired. 5. The number of employees in the enforcement division placed under administrative leave. 6. The number of employees in the enforcement division placed under investigation. 7. The number of employees in the enforcement division who were fired. 8. The total number of full time employees in the enforcement division as of Jan. 20 2025. 9. The total number of full time employees in the enforcement division as of the date this request is processed. I request this information be provided on an expedited basis and that fees be waived. The New York TImes is a general public interest publication and because legislation is currently being voted on in Congress that will determine the scope of the agency's authority and other critical issues about how it will operate. Some of this information has already been requested by several senators by letter, and I presume is already collected or will soon be, so the processing time should be reduced. Please don't hesitate to contact me if you have any questions. Thank you for handling this request.	LaFraniere, Sharon
Business Insider	03/06/2026	26-00136-FOIA	Please provide copies of the following records: 1. 2. 3. Records sufficient to determine the 4. number or volume of complaints made against a respondent identifiable as Kalshi (using the names Kalshi Inc., KalshiEx, Kalshi Klear and/or KEX) and for a respondent identifiable as Nadex (using the names Crypto.com | Derivatives North America, CDNA, North 5. American Derivatives Exchange Inc. and/or NADEX) for each month from January 2024 until the last full month before the date of fulfillment of this request. 6. 7. 8. 9. The same records as in (1) with respect 10. to CBOT, CFE, CME, COIN, COMEX, MIAX, NYMEX, and QCEX. 11. 12. 13. 14. Records sufficient to determine the 15.	Newsham, Jack

			disposition of the complaints in (1) and (2), e.g., how many complaints were finally adjudicated, how many were withdrawn, how many were dismissed, etc. I don't need to know the disposition for each individual complaint, but I do need to be able to figure 16. out trends in complaint dispositions. 17. 18. 19. 20. The 10 most recently disposed-of 21. complaints against Kalshi and Nadex as of the date of fulfillment of this request, as well as records of the dispositions themselves, including any reasoning. 22. The records in (2) are requested for purposes of comparing to the records mentioned in (1). Your search should include Systems of Records CFTC-3, Docket Files for Reparations and Administrative Adjudication, CFTC-10, Investigatory Records, CFTC-16, Enforcement Case Files, and CFTC-29, Reparations Cases Closed in the Complaints Section. By mentioning these four systems, I do not intend to limit your search to them.	
PRES INC	03/09/2026	26-00015-FPA	(b)(6)	(b)(6)
Albourne Partners (Cyprus) Limited	03/10/2026	26-00138-FOIA	copy of the Form 8-R application for Daniel E. Roitman ("Roitman")	Vaughan, Mairead
-	03/10/2026	26-00014-FPA	(b)(6)	(b)(6)
ProPublica	03/10/2026	26-00137-FOIA	I am requesting any and all records pertaining to referrals from prediction market operators (including Kalshi and Polymarket) to the CFTC concerning potential violations on their platforms. This should include referrals related to misappropriation of confidential information, pre-arranged, noncompetitive trading and wash sales, other prohibited trading practices including disruptive trading and fraud and manipulation under various sections of the Commodity Exchange Act. I would prefer to receive electronic copies of any and all records, when available. If you deny any part of this request, please cite in writing each specific reason that you believe justifies your refusal to release the information and notify me of appeal procedures available under the law. I am willing to consider ways in which the request might reasonably be narrowed. Let me know if clarifying this request might speed the release of the information.	Simani, Ellis
-	03/11/2026	26-00007-CONS	"All external meetings that Secretary Mnuchin or his staff have attended to discuss amendments to the Volcker Rule, including a list of attendees at each meeting."	Mitchell, Renay
Bloomberg News	03/04/2026	26-00133-FOIA	Hello, I would like to pursue this FOIA request and see: 1. All closing memos on enforcement investigations written by CFTC enforcement staff from Jan. 20 to the present. 2. Any communications directing staff to close enforcement matters, including but not limited to communications from the CFTC's acting chairman's office, the director of the division of enforcement, and the acting director of the division of enforcement. To narrow down the number of documents, please omit cases that start with the label "A," which I understand to be old matters. Many thanks. Nicola White	White, Nicola
Washington Global Law Group	03/05/2026	26-00134-FOIA	(b)(6)	(b)(6)

			(b)(6)	
Washington Global Law Group	03/05/2026	26-00135-FOIA	(b)(6)	(b)(6)
Tenantbase	03/12/2026	26-00016-FPA	former forms I've submitted	Davis, Samuel
-	03/12/2026	26-00139-FOIA	Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I request all CFTC-FINRA communications, emails, memos, attachments, or records from October 1, 2021 to January 31, 2023, to, from, or copying jose.asfaw@finra.org (or "Jose Asfaw") that mention any of the following: "MMTLP" together with "energy derivatives", "Orogrande", "Next Bridge Hydrocarbons", "oil/gas swaps", or "commodity futures". This request is limited to records involving CFTC surveillance or data feeds for energy-related derivatives or swaps that may have been shared with or processed by FINRA's AI/ML systems. This narrow request focuses on one individual's communications over a limited period and should be processed on the simple track per CFTC multitrack guidelines. It serves the public interest in transparency regarding cross-market data shares affecting over 65,000 investors.	Murray, Timothy
Scott+Scott Attorneys at Law LLP	03/12/2026	26-00140-FOIA	All documents and communications concerning complaints, investigations, and/or enforcement actions concerning Marex Capital Markets Inc., Marex Group plc, Marex Fund, Marex Financial Limited, Marex North America LLC, MNA Holdco LLC, and Spectron Services Limited from January 1, 2023 to present.	Klyuchnikova, Karolina
-	03/13/2026	26-00141-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I request access to the Artificial Intelligence (AI) Use Case Inventory submitted by your agency to the Office of Management and Budget (OMB) for the 2025 reporting cycle required under OMB Memorandum M-25-21, "Accelerating Federal Use of AI through Innovation, Governance, and Public Trust." Specifically, I request a copy of the records submitted by your agency to OMB on or before December 22, 2025 that identify or describe your agency's AI use cases for the annual inventory requirement. This includes the completed submission form, spreadsheet, or dataset transmitted to OMB through the reporting portal (collect.omb.gov) containing the AI use case inventory fields	gonzalez, andrew
Bloomberg News	03/17/2026	26-00142-FOIA	all reports, presentations, memos, and emails from the last 15 months from the offices of the Chairman (and staff), Commissioners (and staff), Division of Administration (and staff), Chief Information Officer (and staff), Chief Information Security Officer (and staff) containing information on unauthorized use, misuse, or improper use of government computers, networks, and devices.	Beyoud, Lydia
-	03/17/2026	26-00143-FOIA	I am requesting the following information or records regarding Kalshi Klear LLC: 1. Info/conclusion pertaining to the most recent regulatory exams (if it can be revealed); 2. Financial statements (whether annual or quarterly if available); 3. Exhibits submitted with the DCO application: a) Exhibits B-1, B-2, B-3 and B-4 pertaining to the Core Principle - Financial Resources 39.11; b) Exhibits C-1 and C-2 pertaining to the Participant and Product Eligibility 39.12; c) Exhibits D-1, D-2, D-3 and D-4 pertaining to Risk Management 39.13; d) Enterprise	Wisniewski, Eric J.

			Risk Management Plan; e) Compliance Manual; f) Enterprise Risk Management Framework; g) Risk Management Framework.	

Total No of Requests : 35