U.S. COMMODITY FUTURES TRADING COMMISSION
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www.cftc.gov

Frequently Asked Questions – CFTC Comment Process and Regulations.gov

1.0 CFTC Comment Process Basics

1.1 How do I find CFTC rulemaking proposals and other matters open for public comment?

Please use the following links, noting the applicable timeframes, to view a list of CFTC matters open for the submission of comments:

- Opened on or after April 28, 2026: View CFTC Comment Periods in Regulations.gov
- Opened prior to April 28, 2026: View CFTC Comment Periods in CFTC.gov Public Comments System

1.2 How do I submit a comment electronically on a CFTC rulemaking proposal or other request for comments?

Please carefully review the updated instructions on the How to Submit a Comment page on the CFTC website, paying close attention to the date the CFTC document you want to comment on was issued.

For comment periods opened on or after April 28, 2026, follow the steps outlined under the section, "Comment Periods Opened On or After April 28, 2026 – Use Regulations.gov."

For any comment periods opened prior to April 28, 2026, that have not yet closed, follow the steps outlined under the section, "Comment Periods Opened Prior to April 28, 2026 – Use the CFTC Online Form."

1.3 Are there any non-electronic methods for submitting comments to the CFTC?

Electronic submission of comments is encouraged to avoid delays associated with mail and other physical deliveries.

If you do not wish or are unable to submit a comment electronically, please review the instructions in the specific document on which you intend to comment for alternative submission methods, including addresses.

1.4 Where do I go to review CFTC comment files?

Comment files opened on or after April 28, 2026, can be found in the associated docket on Regulations.gov. CFTC dockets and comments can be filtered by selecting "Commodity Futures Trading Commission" under the Agency filter in the left column.

Comment files opened prior to April 28, 2026, are available through the CFTC website in the Public Comments section.

Please visit the CFTC Public Comments page on the CFTC website for direct links to the CFTC comment file locations.

1.5 Where can I find CFTC Federal Register documents?

Please visit the Federal Register page on the CFTC website for links to the Commission's Federal Register documents.

2.0 Regulations.gov – Submitting a Comment

2.1 How do I submit a comment on Regulations.gov?

For specific instructions, please visit the How to Submit a Comment page on the CFTC website and follow the steps outlined under the section, "Comment Periods Opened On or After April 28, 2026 – Use Regulations.gov."

You may also visit the Regulations.gov Frequently Asked Questions page, under the "Commenting" topic, to review the answer to "How do I submit a comment on Regulations.gov?".

2.2 Why can't I see a comment I submitted?

Please be aware, your comment will NOT appear in the Regulations.gov public comment file immediately after submission. This is a change from the process under the CFTC's former online comment system. You will know that your comment has been properly received by Regulations.gov when you receive a Comment Tracking Number.

When your comment is received, CFTC staff must process it before it is posted to Regulations.gov. This may take up to several days. Once processed, your comment becomes publicly viewable on Regulations.gov.

The best way to find your comment after it becomes publicly viewable is to enter your Comment Tracking Number in the search field on the Regulations.gov homepage and navigating to the Comment tab on the Search Results page. You can also search by Keyword or Submitter Name on the Comment tab.

2.3 What are my options to identify myself when I comment?

There are 3 options for submitters to identify themselves when submitting a comment:

- **An Individual:** You or another single person is the author of the comment. The comment form will require the submitter's first and last name.
- **An Organization:** A company, organization, or government agency. The comment form will require the organization type and organization name.
- **Anonymous:** If you do not want a person or entity associated with the comment. There will be no required additional information.

2.4 Can I upload files with my comment?

You can attach up to 20 files, but each file cannot exceed 10MB. Valid file types include .bmp, .docx, .gif, .jpeg, .jpg, .pdf, .png, .pptx, .rtf, .sgml, .tif, .tiff, .txt, .wpd, .xlsx, .xml.

2.5 What is the time deadline for comments on the due date?

The comment due date on Regulations.gov is based on Eastern Time (ET). For example, if the comment due date is 08/05/2026, you have until 08/05/2026 at 11:59 PM ET to submit your comment on Regulations.gov.

2.6 Why can't I submit my comment?

Before submitting your comment, check that you have:

- Entered information in all required fields marked with a red asterisk (*).
- Selected an identity: Individual, Organization, or Anonymous.
- Resolved any errors on the Comment Form.

If you continue to have issues, please contact the Regulations.gov Help Desk:
Email: regulationshelpdesk@gsa.gov

2.7 Can I edit or withdraw my comment after submitting it?

Comments are not retrievable or editable once submitted. To make changes, submit another comment referring to your previous comment, correcting any errors, and/or re-stating your position or opinion.

To withdraw your comment or for additional questions, please directly contact the CFTC point of contact listed in the associated Federal Register document or other comment request document.

2.8 How do I find my comment on Regulations.gov?

The Comment Tracking Number is the best way to find your comment once it has been posted on Regulations.gov. After submitting your Comment, the Success Screen will appear, which contains a Comment Tracking Number. We recommend you save this number (i.e., print the page, write it down, or email the number to yourself) or provide your email address on the Comment Form and click the "Opt to receive email confirmation of submission and tracking number" checkbox prior to submitting it.

Your comment will not be immediately posted to Regulations.gov. Once the CFTC completes its review of the comment and posts it, you can find it on Regulations.gov by entering the Comment Tracking Number in the Search field on the Regulations.gov homepage and navigating to the "Comments" tab on the "Search Results" page. You can also search by Keyword or Submitter Name.

For questions regarding a specific comment, please directly contact the CFTC point of contact listed in the associated Federal Register document or other comment request document.

3.0 Regulations.gov – Finding and Viewing Comments and Comment Files (Dockets)

3.1 What is a Docket?

A Docket, which is an electronic folder, is a collection of Documents related to a particular rulemaking or non-rulemaking action.

The Docket may contain, among other items:

- One or more Federal Register Documents (ex. Rules and Notices);
- A non-Federal Register Document requesting comment on some agency matter; and
- The public comment file associated with the Documents that are part of the Docket.

3.2 How do I find and read all Comments submitted by others on a Docket or a Document?

Comments are made on individual Documents within a Docket. Please note that while everyone can comment, not every comment is made publicly available on Regulations.gov. For example, certain comments may not have been processed by CFTC staff yet or they may contain confidential information not available for public view.

When viewing the "Docket Details" page, you have two options for accessing the posted Comments:

- From the "All Comments on Docket" tab, you can access a consolidated list of all comments associated with all Documents in the Docket. This tab will include two Comment Count boxes, indicating how many comments have been received and how many comments have been posted on Documents within the Docket.
- From the "Docket Documents" tab, you can link to individual Documents to review comments posted by others for that specific Document under the "Document Comments" tab. The "Docket Documents" tab also is where you can find the Federal Register and other supporting and related materials regarding that Docket.

When viewing an individual Document that has posted comments, the "Document Details" page will include a "Comments" tab. Users can search, filter, and navigate to the comments associated with a Document directly from the

"Comments" tab, which also displays the total number of associated comments that are posted.

3.3 How do I search for a Docket ID or Comment Tracking Number if the search results only return Documents?

When searching from the Regulations.gov homepage, the search results default to the "Documents" tab. To view Dockets or comments, navigate to the "Dockets" or "Comments" tab. The content in the Search bar will be rerun against all Dockets or comments, and any filters will be cleared.

3.4 How do I get notified of changes or updates to a specific Docket of interest?

The Docket Subscriptions functionality allows users to sign up for notifications when a Docket has had Documents added, modified, or withdrawn.

The "Subscribe" button is available on the "Docket Details" page of any Docket. When a user clicks the "Subscribe" button, the system will display a "Subscribe to Docket" pop-up window, which will require a user to input an email address to receive notification emails.

The Docket Notification Email is a daily email sent to users who have successfully subscribed to the appropriate Docket. This email displays up to 25 Documents that have been added, removed, or modified within the subscribed Docket. If there are more than 25 Documents that have updated the Docket, hyperlinks will be available at the bottom of the email directing users to the rest of the updated Documents.

Please Note:** The preceding FAQs related to Regulations.gov were excerpted or adapted from the Regulations.gov Frequently Asked Questions page. Users are encouraged to visit that page for more comprehensive information regarding all aspects of using Regulations.gov.