



CFTC FOIA Office
Three Lafayette Centre 1155 21st Street NW
Washington, DC 20581

Report Date: 02/04/2026
Time: 10:18 AM

Requests Report Received between 01/01/2026 and 01/31/2026

Organization	Request Description	Request ID	Requested Date	Requester Name	Final Disposition
ClearCompliance Group, LLC	I would like to know if there is a list of programs administered by your federal agency. If so, I would like to request a complete list of active programs funded for the fiscal year ended September 30, 2025 and ending September 30, 2026. I am only interested in the full name of the programs and the agency division that oversees those programs. I am not requesting any specific documentation about the programs, but just a listing during periods requested. Please let me know if it is possible to obtain such information. I can be reached if there are any questions, comments, concerns, or need for clarification about my FOIA request pertaining to a list programs administered by your agency.	26-00084-FOIA	01/04/2026	Lee, Fredrick	-
PoliScio Analytics	Please provide in CSV or Excel format the CFTC FOIA log of requests submitted during the period from December 1, 2025 to December 31, 2025. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	26-00085-FOIA	01/05/2026	Pietro, Isabella	-
-	This is a request under the Freedom of Information Act, 5 U.S.C. § 552. I respectfully request access to and copies of any and all records of communications between the U.S. Securities and Exchange Commission (including, but not limited to, the Commissioners, the Division of Trading and Markets, the Division of Enforcement, the Division of Examinations, the Office of Credit Ratings, and any other SEC offices or staff) and Murray Pozmanter (including in his capacity at any employer, past or present) that: Mention, reference, or concern the following identifiers: BBG012YNQGL9 BBG011J195M8 Include, without limitation: Emails (including attachments) Web X LDL Letters and memoranda Meeting notes, agendas, and minutes Call logs and summaries Instant messages, internal chat messages, or similar electronic communications Presentations or briefing materials Any other records of communication, whether internal or external Time frame requested: From January 1, 2020 to the present For purposes of this request, "communications" should be interpreted broadly to include any written, electronic, or recorded exchanges. If any portion of the requested records is exempt from disclosure, please provide all reasonably segregable non-exempt portions of such records. If you determine that this request is too broad or does not "reasonably describe" the records, please contact me so I may	26-00086-FOIA	01/07/2026	Jenkins, Tonya	Other Reasons - No records

	narrow or clarify the request as needed, consistent with SEC guidance that requests must reasonably describe the records sought. ?				
-	This is a request under the Freedom of Information Act, 5 U.S.C. § 552. I respectfully request access to and copies of any and all records of communications between the Commodity Futures Trading Commission (including, but not limited to, the Commissioners and all CFTC divisions, offices, and staff) and Michele Hillery General Manager of Equity Clearing and DTC Settlement Service at DTCC (including in his/her capacity at any employer, past or present) that: Mention, reference, or concern the following identifiers: BBG012YNQGL9 BBG0111195M8 Include, without limitation: Emails (including attachments) Letters and memoranda Web X LDL Meeting notes, agendas, and minutes Call logs and summaries Instant messages, internal chat messages, or similar electronic communications Presentations or briefing materials Any other records of communication, whether internal or external Time frame requested: From January 1, 2020 to the present. For purposes of this request, "communications" should be interpreted broadly to include any written, electronic, or recorded exchanges. If any portion of the requested records is exempt from disclosure, please provide all reasonably segregable non-exempt portions of such records, consistent with the CFTC's obligations under FOIA. If you determine that this request is too broad or does not "reasonably describe" the records, please contact me so that I may narrow or clarify the request as needed. Please provide the requested records in electronic format via email if feasible.	26-00087-FOIA	01/07/2026	Jenkins, Tonya	-
-	This is a request under the Freedom of Information Act, 5 U.S.C. § 552. I respectfully request access to and copies of any and all records of communications between the Commodity Futures Trading Commission (including, but not limited to, the Commissioners and all CFTC divisions, offices, and staff) and Murray Pozmanter Head of Clearing Agency Services and Global Business Operations at DTCC (including in his capacity at any employer, past or present) that: Mention, reference, or concern the following identifiers: BBG012YNQGL9 BBG0111195M8 Include, without limitation: Emails (including attachments) Letters and memoranda Web X LDL Meeting notes, agendas, and minutes Call logs and summaries Instant messages, internal chat messages, or similar electronic communications Presentations or briefing materials Any other records of communication, whether internal or external Time frame requested: From January 1, 2020 to the present. For purposes of this request, "communications" should be interpreted broadly to include any written, electronic, or recorded exchanges. If any portion of the requested records is exempt from disclosure, please provide all reasonably segregable non-exempt portions of such records, as required by FOIA and the CFTC's implementing regulations. ? If you determine that this request is too broad or does not "reasonably describe" the records, please contact me so that I may narrow or clarify the request as needed, consistent with FOIA guidance that requests must reasonably describe the records	26-00088-FOIA	01/07/2026	Jenkins, Tonya	-

	sought. ? Please provide the requested records in electronic format via email if feasible.				
-	This is a request under the Freedom of Information Act, 5 U.S.C. § 552. I respectfully request access to and copies of any and all records related to meetings, communications, and correspondence involving Prashant Yerramalli, in his capacity as Chief of Staff to SEC Chair Gary Gensler, during the period from January 1, 2021, through December 31, 2022, to the extent such records are in the possession, custody, or control of the Commodity Futures Trading Commission (CFTC), that meet the criteria below. 1. Meetings involving Brookfield Asset Management All records of any meetings between Prashant Yerramalli, Gary Gensler, and representatives of Brookfield Asset Management, including but not limited to meetings with Mark Carney, where any CFTC officials, staff, or representatives participated, were invited, or were briefed, including: Meeting notes Agendas Minutes Summaries or readouts Calendar entries or invitations Briefing or background materials prepared for or resulting from such meetings 2. Communications with Brookfield Asset Management All emails, text messages, or other written or electronic communications in CFTC files between Prashant Yerramalli and any representatives, employees, officers, or agents of Brookfield Asset Management during the period January 1, 2021, through December 31, 2022, including: Emails and attachments Text messages, instant messages, or chat logs Letters, memoranda, or other correspondence Internal CFTC messages summarizing or relaying communications with Brookfield Asset Management involving or referencing Prashant Yerramalli 3. Brookfield's interests in ExxonMobil and ConocoPhillips Any records in CFTC possession that reference Brookfield Asset Management's interests in ExxonMobil or ConocoPhillips in connection with CFTC or joint CFTC-SEC matters, where such records: Involve, are addressed to, from, or copied to Prashant Yerramalli; or Were prepared for his review or use; during the period January 1, 2021, through December 31, 2022. 4. Records referencing TRCH, MMAT, MMTLP with Brookfield or Anson Any records in CFTC possession that reference Torchlight Energy Resources (TRCH), Meta Materials (MMAT), or MMTLP, in connection with Brookfield Asset Management or Anson Funds, where such records: Involve, are addressed to, from, or copied to Prashant Yerramalli; or Were prepared for his review or use; during the period January 1, 2021, through December 31, 2022. This includes, without limitation: Emails (including attachments) Web X LDL Internal or external memoranda Meeting notes, agendas, minutes, or summaries Briefing materials or issue summaries Analytical or policy documents referencing these entities in connection with Brookfield Asset Management or Anson Funds Scope and interpretation For purposes of this request, "communications" and "records" should be interpreted broadly to include any written, electronic, or recorded material, including but not limited to emails, text messages, instant messages, letters, memoranda, notes, calendars, audio recordings, and electronic files. If any portion of the requested records is exempt from	26-00089-FOIA	01/07/2026	Jenkins, Tonya	Other Reasons - No records

	disclosure, please provide all reasonably segregable non-exempt portions of such records, as required by FOIA and the CFTC's implementing regulations. ? If you determine that any part of this request is too broad or does not "... The description has exceeded the character limit.				
-	I am requesting all records, filings, reports, correspondence, and other documents in the possession or control of the Commodity Futures Trading Commission ("CFTC") reflecting or relating to compliance by Dyno Nobel Inc., Dyno Nobel Americas, Inc., Dyno Nobel Limited, and/or any affiliated or parent entities (including Incitec Pivot Limited) with registration, reporting, recordkeeping, or other obligations under the Commodity Exchange Act (7 U.S.C. § 1 et seq.) and related CFTC regulations, including but not limited to Parts 3, 23, 45, and 46 of Title 17 of the Code of Federal Regulations. This request includes, without limitation: Any Swap Dealer ("SD") or Major Swap Participant ("MSP") registrations, applications, exemptions, or determinations concerning the above entities; Any swap data reporting or recordkeeping filings by or concerning the above entities under 17 C.F.R. Parts 45 and 46, including reports made to Swap Data Repositories ("SDRs"); Any communications, correspondence, or enforcement actions between the CFTC (including the Division of Swap Dealer and Intermediary Oversight, Division of Market Oversight, or Enforcement Division) and any of the above entities, concerning cross-border swap activity, guarantees, or reporting compliance; Any comparability or substituted compliance determinations referencing these entities or their foreign affiliates under 17 C.F.R. § 23.23; Any notices of investigation, enforcement referrals, settlement agreements, or other regulatory actions involving Dyno Nobel or its affiliates regarding swap reporting, position limits, or cross-border commodity transactions. This request covers the period from January 1, 2015 to the present, and includes any materials received, reviewed, or created by the CFTC or any of its divisions, including electronic records, filings, memoranda, internal emails, or database entries relating to the above subjects. If any portion of this request is denied or deemed exempt from disclosure, please identify the specific exemption(s) relied upon and release all reasonably segregable portions of responsive records. I request electronic copies of all responsive records in searchable PDF or native electronic format where available. I am particularly interested in any records identifying whether these entities have submitted swap data, cross-border reporting, or compliance documentation to a registered Swap Data Repository (SDR), and any determinations by the CFTC regarding their obligations as U.S. persons or guaranteed affiliates under 17 C.F.R. § 23.23 (Final Rule, 85 Fed. Reg. 56924, July 23, 2020).	26-00090-FOIA	01/08/2026	Vernieri, Annette	Other Reasons - No records
-	To whom it may concern: With regards to ErisX RSBI NFL futures contracts, please provide me with the following documents: 1. Proposed Order by Commission staff indicating whether ErisX NFL future contracts involved gaming, were prohibited by statute or Commission regulation, or were contrary to the public interest. (The Proposed Order was created	26-00091-FOIA	01/03/2026	Wallach, Daniel	-

	sometime between December 23, 2020 and March 25, 2021, and was circulated to Commission members for a vote not later than March 25, 2021). 2. Any and all memoranda, analyses, and/or documents created by Commission staff addressing whether ErisX NFL future contracts involved "gaming," were prohibited by statute or Commission regulation, and/or were contrary to the public interest. The time period covered by my request is December 23, 2020 to April 30, 2021. Please send these records to me electronically via email at (b)(6) or by mailing a copy to Daniel Wallach, (b)(6) (b)(6) I am willing to pay all costs associated with searching for, copying, and transmitting the document to me. I am also willing to pay all overnight courier costs to send by UPS or Fedex. The maximum fee I am willing to pay is \$100. If the actual cost exceeds this amount, please let me know so that I can consider paying the additional fees over and above \$100. If you have any questions, please do not hesitate to contact me. My cell phone number is (b)(6) Thank you very much for your anticipated cooperation. Best regards, Daniel Wallach				
Office of FOIA Services	Good Afternoon: The Office of FOIA Services at the U.S. Securities & Exchange Commission (SEC) identified the attached material that contains information of interest to your agency. Please see the attached Consultation package. This request is in litigation. As such, we respectfully request that you please respond no later than Monday, February 09, 2026. Please note: We have included only those pages containing your agency's equities. The responsive term may appear in portions of the email threads internal to the SEC and not included herein. Please confirm receipt of this e-mail. If you have any questions, please contact me. Thank you, Sam Dykstra Attorney Advisor Office of FOIA Services OFFICE 202-551-2056 dykstras@sec.gov	26-00004-CONS	01/09/2026	Dykstra, Samuel	Granted in Full
Leadership Connect	I request a list of all Commodity Futures Trading Commission employees. Specifically, I request the following information for each employee: first, middle, and last name, title, sub office name, appointment type (if applicable), pay scale, direct dial number, email address and start date. I request information for employees who are current at the time of processing this request. Please provide the records in a machine-readable format, such as CSV or Excel.	26-00092-FOIA	01/14/2026	Jones, Sidney	-
Albourne Partners {Cyprus} Limited	NFA received your email (below) requesting additional information pertaining to Mesirow Financial Investment Management, Inc. We are forwarding your request along with the applicable non-public registration documents to the Commodity Futures Trading Commission for a response. Please see attached confirmation letter.	26-00093-FOIA	12/26/2025	Vishnumolakala, Janna	-
The New York Times	Pursuant to the Freedom of Information Act, I hereby request the records of all commission votes by commissioner, including decisions to file complaints and to dismiss complaints, for the period 2020 through 2024. I request that this request be expedited because The New York Times is a general public interest publication and because legislation is pending in Congress regarding the CFTC's scope of authority and other critical issues.	26-00094-FOIA	01/15/2026	LaFraniere, Sharon	-

The New York Times	Pursuant to the Freedom of Information Act, I request logs of all FOIA requests filed from 8/1/2025-1/15/2026, including the requester, the content of the request and the disposition. I ask that this request be expedited because The New York Times is a general public interest publication and because legislation is currently being voted on in Congress that will determine the scope of the agency's authority and other critical issues about how it will operate. Please don't hesitate to contact me if you have any questions. Thank you for handling this request.	26-00095-FOIA	01/15/2026	LaFraniere, Sharon	-
-	the march incident at coinbase where I apparently had a very large account need to know all information in regards to this	26-00010-FPA	01/18/2026	Khatri, Mohammed	-
The New York Times	For the period 11/4/2024 through 12/31/2025, I request records from Commissioner and later Acting Chairman) Caroline Pham with any of the following terms: Kalshi; Polymarket; Gemini (including Gemini Trust, Gemini Earn, Gemini Titan and other Gemini-related entities); Winklevoss, Tyler, Cameron, Quintenz, OKX and KuCoin. By records, I mean any written or recorded material including calendar invites and notations, memoranda, notes, letters, directives, orders, votes, email correspondence and text messages including those sent over Signal, WhatsApp, Telegram, Slack and Google Chat. I am not seeking communications that that merely forwards press clippings or public material with no comment or no substantive comment added by any party. I ask that this material be provided in electronic, searchable form and if possible, on a rolling basis. I am making this request on behalf of the New York Times, a mass media publication with more than ten million daily subscribers. It will be used to help inform the general public of matters of urgent public interest regarding the operation, policies, and role of the C.F.T.C. at a time of dramatic change when Congress is poised to reshape the agency's authority. For these reasons, I ask that all fees be waived and that the information be provided on an expedited basis. Please do not hesitate to contact me with any questions and thank you for handling my request.	26-00096-FOIA	01/20/2026	LaFraniere, Sharon	-
The New York Times	Pursuant to the Freedom of Information Act, I request the agency's responses to the FOIAs submitted in 2025 and listed below. Please provide the information in electronic, searchable form. Since the agency has already searched for the information requested and released (or not released) it, I respectfully request release of the information within ten days. The New York Times is a publication serving ten million subscribers daily. This information of urgent public importance because of critical decisions underway about the agency's responsibilities and operation. For these reasons, I ask that fees be waived and this request be handled on an expedited basis. Please do not hesitate to contact me with any questions and thank you for handling my request. The responses for which I am seeking the responses are FOIAs with the following numbers and requesters: 25-0280 Baughman Kroup and Bosse 25~00311--Bloomberg News 25-00325- Bloomberg News 25-00318- Daniel Bernstein 25-00320 - Courtney Cole 25-00321- Stephanie Hanson 25-00331 - John Baughman 25-0016 - Sarah James 23-00167 - Michael Ravnitzky	26-00097-FOIA	01/20/2026	LaFraniere, Sharon	-
OPM	Good afternoon, OPM has an ongoing FOIA litigation. During our search and review of the	26-00005-CONS	01/22/2026	Carrington, Tonya	Granted in Full

	responsive records, OPM has located records that contain equities that originated with or are of interest to your office. Please review the attached records for your release determination. Once you have completed your review, please return the records to me as soon as possible along with your appeal language. We would like to have your response by close of business February 5, 2026. If you require more time, please don't hesitate to let me know. Please let me know if I can provide any additional information. Best regards, Tonya D. Carrington (she/her) Government Information Specialist U.S. Office of Personnel Management Telephone: 202-936-2466 Email: FOIA@opm.gov				
The Wave by IRNA LLC / STEVE SPERRY MUSIC	Can you confirm that my organization is not associated with commodity futures trading at the moment?	26-00098-FOIA	01/24/2026	Smith, Stephen	Other Reasons - Not a proper FOIA request for some other reason
Foia.gov	mailto:National.FOIAPortal@usdoj.gov https://www.foia.gov/ Bloomberg submit a tip secure drop upload tv ^+	26-00099-FOIA	01/24/2026	Doe, John jane	Other Reasons - Not a proper FOIA request for some other reason
Foia.gov	mailto:National.FOIAPortal@usdoj.gov	26-00100-FOIA	01/25/2026	Doe, John jane	Other Reasons - Not a proper FOIA request for some other reason
-	Hello, I kindly request that you send all files, documents, and information registered under my name in your system and institution, including approved, pending approval, allocated, transferred, and unapproved files, to my email address.	26-00011-FPA	01/26/2026	Savci, Suavi Gorkem	-
-	I need a confirmation of my CTFC -NYMEX license from 2002 please.	26-00012-FPA	01/22/2026	Bernstein, Neil	-
The Wall Street Journal	Seeking copies of all records, including emails, investigative reports and notes, related to the following OIG investigations. Because the Council of the Inspectors General does not include case numbers in its reports, I am including a brief description of each investigation for which I'm seeking records, based on its reports: the IG grossly mismanaged the OIG; wasted government funds; and improperly shared usernames and passwords with agency staff (disclosed in the 2023 report); the AIG for Investigations who created the appearance of using an official position for an improper purpose (disclosed in the 2023 report); the IG whose relationship with a senior management agency employee wrongfully created the appearance that the IG's and the OIG's independence was compromised (disclosed in the 2023 report.)	26-00101-FOIA	01/29/2026	Peterson, Kristina	-
The Wall Street Journal	Dear records officer. This is a request under the Freedom of Information Act, 5 U.S.C. § 552, and the Federal Advisory Committee Act (FACA), 5 U.S.C. §§ 1001-1014. I am seeking all records pertaining to the Innovation Advisory Committee (IAC) created or received between January 01, 2026, and January 29, 2026. Pursuant to its charter, the IAC is a "a discretionary advisory committee established by the authority of the Commodity Futures	26-00102-FOIA	01/29/2026	Long, Katherine	-

Trading Commission ('Commission') in accordance with the Federal Advisory Committee Act ('FACA'), as amended, 5 U.S.C. §§ 1001-1014." This request specifically includes, but is not limited to: • Official Records: All meeting agendas, minutes, and verbatim transcripts. • Substantive Materials: All reports, studies, and documents prepared for or by the IAC. • Working Records: Pre-decisional materials, drafts, working papers, and studies circulated to or among committee members. • Communications: Correspondence (including emails and attachments) between CFTC staff and IAC members regarding committee business. Please note that under Section 10(b) of FACA, the government is required to make available for public inspection and copying the "records, reports, transcripts, minutes, appendixes, working papers, drafts, studies, agenda, or other documents which were made available to or prepared for or by each advisory committee." The D.C. Circuit has held that FACA's disclosure requirements are broader than FOIA's, and materials provided to an advisory committee generally cannot be withheld under Exemption 5 once they have been shared with the committee members. I am requesting these records in my capacity as a representative of the news media. The disclosure of this information is in the public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government regarding financial innovation and oversight. If this request is denied in whole or in part, please cite the specific exemption for each redaction and provide a Vaughn Index. I look forward to a response within 20 working days. Sincerely, Katherine				
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Total No of Requests : 24