Exhibit A-1 – Regulatory Compliance Table

CLEARING ORGANIZATION CORE PRINCIPLES	EXPLANATIONS AND REFERENCES TO RELEVANT DOCUMENTS, RULES AND AGREEMENTS
Core Principle A: Compliance with the core principles.	The Clearinghouse has resources and rules designed to uphold the CFTC's core principles for derivatives clearing organizations, as demonstrated in Exhibits A-1 through A-12.
Core Principle B: Adequate financial, operational, and managerial resources	The Clearinghouse has the financial, operational, and managerial resources as demonstrated in Exhibits B-1 through B-4.
Core Principle C: Appropriate standards for participant and product eligibility	The Clearinghouse has rules for participant standards and product eligibility as demonstrated in Exhibits A-2, C-1, and C-2 including its Participant Agreement (C-1A).
Core Principle D: Adequate and appropriate risk management capabilities	The Clearinghouse has a risk management framework and can measure and limit risk as demonstrated in Exhibit D-1.
Core Principle E: Ability to complete settlements on a timely basis under varying circumstances	The Clearinghouse will at all times have funds for fully collateralized positions and retain records as demonstrated in Exhibit E.
Core Principle F: Standards and procedures to protect member and participant funds	The Clearinghouse will keep participant funds in a Member Property account in U.S. dollars as demonstrated in Exhibit F.
Core Principle G: Efficient and fair default rules and procedures	The Clearinghouse only clears products that are fully collateralized.
Core Principle H: Adequate rule enforcement and dispute resolution procedures	The Clearinghouse Rulebook has adequate enforcement and dispute resolution procedures.
Core Principle I: Adequate and appropriate systems safeguards, emergency procedures, and plan for disaster recovery	The Clearinghouse has extensive system safeguards as demonstrated in the Rulebook (A-2) and its written compliance manual (D-1)

Exhibit A-1 - Regulatory
Compliance Chart

Core Principle J: Obligation to provide necessary reports to allow the CFTC to oversee clearinghouse activities	The Clearinghouse's Compliance Manual (D-1) specifies the reporting requirements and will report as provided by Exhibit J.
Core Principle K: Maintenance of all business records for five years in a form acceptable to the CFTC	The Clearinghouse will keep records as required by Commission Regulation 1.31 and detailed in the Rulebook (A-2).
Core Principle L: Publication of clearinghouse rules and operating procedures	The Clearinghouse will post all public data including rules and procedures on its website and will advise once a URL is finalized, as detailed in Exhibit L.
Core Principle M: Participation in appropriate domestic and international information-sharing agreements	As discussed in Exhibit M, the Clearinghouse currently has not entered any information sharing agreements but will cooperate, as necessary.
Core Principle N: Avoidance of actions that are unreasonable restraints of trade or that impose anti-competitive burdens	The Clearinghouse Rulebook (A-2) has been designed to avoid anti-competitive behavior.
Core Principle O: Governance arrangements and fitness standards	The Clearinghouse has rules and procedures for governance and fitness standards as demonstrated in the Rulebook
Core Principle P: Rules to minimize conflicts of interest in the DCO's decision making process, and a process for resolving any conflicts	The Clearinghouse has rules and procedures to address conflicts of interest as demonstrated in the Rulebook (A-2) and in its written compliance manual (D-1).
Core Principle Q: Composition of governing boards to include market participants	The Clearinghouse has rules that provide for appropriate governing boards as described in the Rulebook (A-2).

Core Principle R: Well-founded legal framework for the activities of the DCO	Chapter V of the Clearinghouse Rulebook (A-2) as well as the compliance manual (D-1) provides for an appropriate legal risk framework for the activities of the DCO.