



CFTC FOIA Office
Three Lafayette Centre 1155 21st Street NW
Washington, DC 20581

Report Date:
Time:

02/05/2025
6:43 AM

Requests Report
Received between 01/01/2025 and 01/31/2025

Final Disposition	Organization	Received Date	Request Description	Request ID	Requester Name
Other Reasons - Duplicate Request	Bloomberg News	01/28/2025	*Communications since 2022 regarding administrative matters between Acting Chair Pham, any of her staff, the CFTC's internal travel booking service, including but not limited to communication by/with Karen Hampton and Christopher Marsh, Chief Financial Officer Joel Mattingly and Executive Director Jeffrey Sutton, General Counsel Robert Schwartz, Deputy General Counsel John Einstman, or other members of the OGC. *Expenses, including accommodation and meals, and travel logs for all five commissioners (i.e. Pham, Mersinger, Behnam, Goldsmith Romero or Johnson) since April 2022. *Communications between CFO Mattingly and Exec Dir Sutton and any personnel of the General Services Administration concerning any of the commissioners' travel arrangements, including but not limited to requests for legal memos. This request includes any copy of such legal memos. *Any correspondence between Pham, any of her staff, and anyone at the Filecoin Foundation or Filecoin Sanctuary, since 2022. *Any communications, since 2022, regarding requests for a change of duty station filed by Pham or her staff, including communications with former Chair Behnam's office, his Chief of Staff David Gillers, the OGC, as well as the Executive Director's office. *Any communications, since 1/20/2025, between Pham or her staff with the executive director's office or other personnel regarding a change in duty station. *Any communications by Pham or her staff with the OGC, CFO staff, Financial Management Branch, or Executive Director's Office regarding a "Presidential appointments with Senate confirmation" or "PAS" executive not being considered an "employee." Please use the keyword PAS as part of	25-00158-FOIA	Beyoud, Lydia
Other Reasons - Duplicate Request	-	01/28/2025	Hello, I was wondering if the CFTC might have records of the KCBT federal closures from 1995 to 2013. I was unable to locate KCBT federal closures on Bloomberg or anywhere on the internet. If there are records, can you please send them over or direct me to where I might be able to find them. Thank you so much. Best regards, Sam Goldblat	25-00159-FOIA	Goldblat, Sam
Other Reasons - No records	Reuters News Agency	01/30/2025	Under the Freedom of Information Act (5 USC 552), I hereby request electronic copies of emails and their underlying attachments between Nov 5, 2024 and the date on which this request is fulfilled that are to, from or cc'd between CFTC employees and emails with the domains @trumpvancetransition.com, @trumpvancetransition.com, @47transition.com or @djtfp24.com. Likely custodians for the emails in question include the former CFTC Chair, the former CFTC Chief of Staff/Chief Operating Officer and the former CFTC General Counsel.	25-00160-FOIA	Gillison, Douglas
Granted/Denied in Part	PoliScp Analytics	01/02/2025	Please provide in CSV or Excel format the CFTC FOIA log of requests submitted during the period from December 1, 2024 to December 31, 2024. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	25-00140-FOIA	Doherty, Caitlin
Other Reasons - No records	-	01/03/2025	Dear FOIA Officer, Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the implementing FOIA regulations		Aamot, Colin

			of the agency, I respectfully request the following: Documents sufficient to account for the agency's occupancy data/vacancy data for the agency's headquarters building by day (or month by month) for the year of 2024. Search Instructions: Please limit all searches in response to this to the timeframe of January 1, 2024 to December 31, 2024. Please search any underlying agency databases that are known to contain the agency's daily occupancy and vacancy rate data, such as employee check-in/badging databases, etc.	25-00141-FOIA	
-	Scoop News Group	01/07/2025	I am requesting documents related to "Spoofing Detect or AI/ML", which is disclosed in your agency's AI use case inventory, which is available here: https://www.cftc.gov/ai . I expect that these documents would be stored with your agency's Chief Information Officer, Chief Technology Officer, or Chief AI Officer. I am requesting: Any statements of work, memos, or contracts associated with agreements for third party companies that provided services to help build this technology Any studies or analyses of the efficacy of these tools. For instance, if models were used to build it, were they studied for accuracy? If so, I am requesting these documents. I am requesting them regardless of whether they were produced by the agency or a third party. Any terms of service that might be associated with the use of the tool. These might be rules of behavior provided by the CIO's office — or terms provided by the technology. Any privacy impact assessments or privacy threshold assessments associated with this tool. Any presentations, such as PDFs, associated with this tool. Any reports on the status of this tool that were created by the chief technology office, chief data office, chief information office, or chief AI office. Any waivers issued by your agency related to required risk management practices Any documentation related to analyses regarding whether this system was rights or safety impact impacting Any documentation related to training data used to build this system Any 'model cards' associated with models used for this use case. I am requesting documents created in the last three years, between 1/3/2022 and 1/3/2025.	25-00142-FOIA	Bracken, Matt
-	Scoop News Group	01/07/2025	I am requesting documents related to "Stress Testing Scenarios with Deep Learning (Acquisition and/or Development)", which is disclosed in your agency's AI use case inventory, which is available here: https://www.cftc.gov/ai I expect that these documents would be stored with your agency's Chief Information Officer, Chief Technology Officer, or Chief AI Officer. I am requesting: Any statements of work, memos, or contracts associated with agreements for third-party companies that provided services to help build this technology Any studies or analyses of the efficacy of these tools. For instance, if models were used to build it, were they studied for accuracy? If so, I am requesting these documents. I am requesting them regardless of whether they were produced by the agency or a third party. Any terms of service that might be associated with the use of the tool. These might be rules of behavior provided by the CIO's office — or terms provided by the technology. Any privacy impact assessments or privacy threshold assessments associated with this tool. Any presentations, such as PDFs, associated with this tool. Any reports on the status of this tool that were created by the chief technology office, chief data office, chief information office, or chief AI office. Any waivers issued by your agency related to required risk management practices Any documentation related to analyses regarding whether this system was rights or safety impact impacting Any documentation related to training data used to build this system Any 'model cards' associated with models used for this use case. I am requesting documents created in the last three years, between 1/3/2022 and 1/3/2025.	25-00143-FOIA	Bracken, Matt
-	-	01/08/2025	I want communications between the CFTC and Kalsh (KEX) regarding markets related to (a) the United Health care CEO's murder and (b) Luigi Mangione.	25-00144-FOIA	Bransfield, Maurice

Granted/Denied In Part		01/07/2025	Dear FOIA Officer, Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the implementing FOIA regulations of the agency, I respectfully request the following: Documents sufficient to account for the agency's oldest 25 open FOIA requests, including: 1) all correspondence with the original requestor 2) all internal case processing and search statuses, notes, and other processing information 3) a copy of the original FOIA request 4) all document productions made the requestor thus far. Search Instructions: Please limit all searches in response to this to the timeframe of January 1, 1990 to December 31, 2024. If the oldest requests open with the agency are less than 18 months (from the date of the original FOIA submission), please close out this FOIA without conducting any searches. Please conduct a search of any relevant agency databases known to house records related to FOIA processing, including any associated notes, data, or documentation pertinent to such processing.	25-00145-FOIA	Aamot, Colin
		01/10/2025	Request for records of all Futures-Related Entities contacted on September 28, 2001: Release: #4572-01: "The CFTC asks that all futures-related entities (whether or not registered with the CFTC) voluntarily check their records for any information concerning the individuals or organizations named in the executive order and the FBI's list, and send that information to the CFTC. Names on those lists are set forth below. The CFTC makes this request for assistance of all futures-related entities, including futures commission merchants, introducing brokers, commodity pool operators, and commodity trading advisors." https://www.cftc.gov/sites/default/files/opa/enf01/opa4572-01.htm Thank you for your assistance, Alan	25-00146-FOIA	Stewart, Alan
Other Reasons - Not a proper FOIA request for some other reason	MARTY LAVILLE LAWTON (b)(6)	01/10/2025	15 U.S. Code Chapter 16 - EMERGENCY RELIEF Rule 4.1 Complaint, Warrant, or Summons by Telephone or Other Reliable Electronic Means us Constitution ArtII.S1.C8.1 Oath of Office for the Presidency Article II, Section 1, Clause 8: 28 U.S. Code § 951 - Oath of office of clerks and deputies 28 U.S. Code § 453 - Oaths of justices and judges 10 U.S. Code § 502 -enlistment oath: who may administer Marbury v. Madison :: 5 U.S. 137- If such be the real state of things, this is worse than solemn mockery. To prescribe, or to take this oath, becomes equally a crime. 18 U.S. Code § 241 - Conspiracy against rights If two or more persons conspire to injure, oppress, threaten, or intimidate any person in any State, under this title or imprisoned for any term of years or for life, or both, or may be sentenced to death. 18 U.S. Code § 1951 - Interference with commerce by threats or violence Whoever in any way or degree obstructs, delays, or affects commerce or the movement of any article or commodity in commerce, by robbery or extortion The term "extortion" means the obtaining of property from another, with his consent, induced by wrongful use of actual or threatened force, violence, or fear, or under color of official right. 9-110.000 - Organized Crime And Racketeering 18 U.S.C. §3117 (b)evidence of a crime; 9-110.800 Violent Crimes in Aid of Racketeering Activity (18 U.S.C. § 1959) Prosecution Memorandum 18 U.S.C. §§ 1511 and 1955), loansharking on investigations and prosecutions involving RICO, 18 U.S. Code § 2441 - War crimes which constitutes a grave breach of common Article 3 (as defined in subsection (d)) when committed in the context of and in association with an armed conflict of an international character Summons; Part 97 Release of Official Information in Litigation and Presentation of Witness Testimony Rule 17. Subpoena Primary tabs (a) Content. A subpoena must state the court's name and the title of the proceeding, include the seal of the court, and command the witness to attend and testify at the time and place the subpoena specifies. The clerk must issue a blank subpoena—signed and sealed—to the party requesting it, and that party must fill in the blanks before the subpoena is served. (b) Defendant Unable to Pay. Upon a defendant's ex parte application, the court must order that a subpoena be issued for a named witness if the defendant shows an inability to pay the witness's fees and the	25-00147-FOIA	Lawton, Marty

			necessity of the witness's presence for an adequate defense. If the court orders a subpoena to be issued, the process costs and witness fees will be paid in the same manner as those paid for witnesses the government subpoenas. (c) Producing Documents and Objects. § 4-407. PAYOR BANK s		
Other Reasons - Not a proper FOIA request for some other reason	-	01/14/2025	(b)(6) fraud/Ponzi scheme involving Ritz Capital Partners, LP (aka Ritz Consultancy Services LP), a Delaware registered pooled investment fund ("Fund"). The Fund comprises approximately \$40+ million in assets domestically, with additional assets (estimated at \$100M) held in India, UK and UAE. (b)(6) (b)(6)	25-00006-FOIA	(b)(6)
Other Reasons - No records	CoinDesk News	01/14/2025	Memos, emails, documents, or other material relating to travel by CFTC staff to CoinDesk's Consensus Hong Kong conference in February 2025. -Time period: Oct 1 2024 - Jan 13 2025	25-00149-FOIA	Reynolds, Sam
Other Reasons - Duplicate Request	-	01/14/2025	I would like a copy that proves my passing the series 3 exam and registration with NFA many years ago (I believe it was between around 2012). I was a registered CTA but no longer active. I have no CRD or other number. I was not sponsored by a firm.	25-00004-FOIA	(b)(6)
Other Reasons - Records not reasonably described	State Democracy Defenders Fund	01/23/2025	Specifically, SDDF modifies its request to include all records from November 5, 2024 to when this request is processed, of: 1. Communications that use the phrase "Department of Government Efficiency" or its acronym, "DOGE" between Elon Musk and agency officials classified at Level 13 of the General Schedule or above. This includes direct correspondences as well as communications facilitated by third parties. 2. Communications that use the phrase "Department of Government Efficiency" or its acronym, "DOGE" between Vivek Ramaswamy and agency officials classified at Level 13 of the General Schedule or above. This includes direct correspondences as well as communications facilitated by third parties. 3. Communications that use the phrase "Department of Government Efficiency" or its acronym, "DOGE" between Brad Smith, Steve Davis, Matt Luby, Joanna Wischer, Rachel Riley, Chris Gober, Steve Roberts, James Burnham, or Bill McGinley, and agency officials classified at Level 13 of the General Schedule or above. This includes direct correspondences as well as communications facilitated by third parties. 4. Communications that use the phrase "Department of Government Efficiency" or its acronym, "DOGE" between agency officials and persons designated by the Trump presidential transition to communicate with agency officials, including those who use a domain name ending "@47transition.com." 5. Any internal agency communications, documents, or correspondence, that use the phrase "Department of Government Efficiency" or its acronym, "DOGE". This includes, but is not limited to, meeting agendas, minutes, notes, memos, briefing materials, drafts, presentations, or analyses created, received, or reviewed by agency personnel.	25-00150-FOIA	Lezra, Gabe
	-	01/14/2025	All unclaimed finances or checks for self / first party		

Other Reasons - Not an agency record				25-00005-FPA	(b)(6)
-	Reuters News Agency	01/16/2025	Under the Freedom of Information Act (5 USC 552), I hereby request electronic copies of all reports submitted to the CFTC by Paul Atkins as independent court-appointed monitor for Deutsche Bank in connection with August 18, 2016 complaint (16-cv-6544 in the Southern District of New York). The applicable date range is Oct 20, 2016 to Aug 3, 2019.	25-00148-FOIA	Gillison, Douglas
Granted in Full	West Texas Lighthouse for the Blind / Lighthouse O	01/21/2025	Subject: List of Purchase Card Managers and/or Approving Officials. Date Range for Record Search: personnel currently active or personnel active in 2024. We are requesting a list of all current purchase card managers and purchase card approving officials in your agency, with the following fields of information: First name, last name, email address, phone number, mailing address, and title. If all fields of information cannot be provided, please provide as many fields of information as possible. .xlsx and .csv file types are preferred.	25-00151-FOIA	Bell, Tracie
-	-	01/23/2025	CFTC records for largest brokerage firms contacted between 9/17/2001 to 10/20/2001 for suspicious trading activity related to 9/11. Pg. 3 of the SEC 9/11 report cites CFTC conducted similar reviews. https://unredacted.com/wp-content/uploads/2010/04/9-11-sec-report.pdf Pg 13 from FBI 302 on 9/11 trading notes CFTC agents contacted Goldman Sachs. https://www.scribd.com/document/355572600/T4-B3-Trading-Info-Rcd-8-28-Fdr-FBI-Timeline-8-14-03-pdf Pg 19 also notes " The CFTC contacted the ten (10) largest brokerage houses in the country which trade commodities : https://www.scribd.com/document/355572600/T4-B3-Trading-Info-Rcd-8-28-Fdr-FBI-Timeline-8-14-03-pdf "	25-00152-FOIA	Stewart, Alan
-	Colbert Law Firm LLC	01/23/2025	Copies of all Freedom of Information Act requests received by the CFTC for the time period beginning January 1, 2024 through, and including December 31, 2024. The electronic version of any responsive documents may be used to satisfy this request. Please transmit responses to me by email at edward@colbertlawfirm.com .	25-00153-FOIA	Colbert, Edward
Other Reasons - Records not reasonably described	-	01/10/2025	Dear FOIA Officer, Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the implementing FOIA regulations of the agency, I respectfully request the following: From the timeframe of January 1, 2024 to present, all of the following records from REBECCA LEWIS (CONFIDENTIAL ASSISTANT): For all inboxes assigned to the custodian, the last 225 emails sent by the custodian (through the custodian's sent/outbox) for each assigned email, regardless of classification (last 225 emails sent at the time of the FOIA/e-discovery search). For all agency/work issued cell phones, the last 75 sent text/SMS messages sent by the custodian (last 75 SMSs sent at the time of the FOIA/e-discovery search). Search Instructions: Please conduct a thorough search of all email accounts assigned to the custodian, regardless of their classification level, including but not limited to unclassified, secret, and top-secret emails. For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request a responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Please comply fully with 5 U.S.C. § 552(b). Please limit all searches to the timeframe of January 1, 2024 to present.	25-00119-FOIA	Aamot, Colin
-	Federal Public Defender	01/23/2025	I am writing to inquire about the process for requesting a certified copy of CFTC Docket No. 23-25, The Bank of Nova Scotia and Scotia Capital USA Inc., Respondents. Please let me know if you need any additional information. Thank you for your assistance with this request.	25-00154-FOIA	Ramos, Corina

Other Reasons - Referrals		01/24/2025	I am a student at the university of Auckland and am writing a paper on limit order-book manipulation in derivatives (momentum ignition, IOP manipulation, spoofing, flipping etc). I'm using Navinder Sarao as one of my case studies and would like access to the home videos he recorded up till his 2015 arrest.	25-00155-FOIA	Smith, Oliver
Other Reasons - No records	Bloomberg News	01/27/2025	I request disclosure from the CFTC Office of the Inspector General the following records: 1. A copy of the letter Sergio Gor, the White House's Director of Presidential Personnel, or any other White House official, sent to Inspector General Christopher Skinner notifying him that he was being terminated as IG. 2. Any response (letter, memo, email) Mr. Skinner sent to Mr. Gor or any other White House official and exchanged with CIGIE regarding his termination as IG or any communications related to the termination of IGs by President Trump. Reasonably Foreseeable Harm. The FOIA Improvement Act of 2016 amended the FOIA as follows (5 USC 552(a)(8)): (A) An agency shall" (i) withhold information under this section only if" (I) the agency reasonably foresees that disclosure would harm an interest protected by an exemption described in subsection (b); or (II) disclosure is prohibited by law; and (ii) (I) consider whether partial disclosure of information is possible whenever the agency determines that a full disclosure of a requested record is not possible; and (II) take reasonable steps necessary to segregate and release nonexempt information. . . . CFTC OIG should not fail to meet the requirements of Section 552(a)(8) when processing my request and release responsive records to me in full or at least in part.	25-00156-FOIA	Leopold, Jason
-	Bloomberg News	01/27/2025	*Communications since 2022 regarding administrative matters between Acting Chair Pham, any of her staff, the CFTC's internal travel booking service, including but not limited to communication by/with Karen Hampton and Christopher Marsh, Chief Financial Officer Joel Mattingly and Executive Director Jeffrey Sutton, General Counsel Robert Schwartz, Deputy General Counsel John Einstman, or other members of the OGC. *Expenses, including accommodation and meals, and travel logs for all five commissioners (ie Pham, Mersinger, Behnam, Goldsmith Romero or Johnson) since April 2022. *Communications between CFO Mattingly and Exec Dir Sutton and any personnel of the General Services Administration concerning any of the commissioners' travel arrangements, including but not limited to requests for legal memos. This request includes any copy of such legal memos. *Any correspondence between Pham, any of her staff, and anyone at the Filecoin Foundation or Filecoin Sanctuary, since 2022. *Any communications, since 2022, regarding requests for a change of duty station filed by Pham or her staff, including communications with former Chair Behnam's office, his Chief of Staff David Gillers, the OGC, as well as the Executive Director's office. *Any communications, since 1/20/2025, between Pham or her staff with the executive director's office or other personnel regarding a change in duty station. *Any communications by Pham or her staff with the OGC, CFO staff, Financial Management Branch, or Executive Director's Office regarding a "Presidential appointments with Senate confirmation" or "PAS" executive not being considered an "employee." Please use the keyword PAS as part of this search.	25-00157-FOIA	Beyoud, Lydia

Total No of Requests : 25



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Three Lafayette Centre 1155 21st Street NW
Washington, DC 20581

Report Date:
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Requests Report
Received between 02/01/2025 and 02/28/2025

Final Disposition	Organization	Received Date	Request Description	Request ID	Requester Name
-	FIA	02/28/2025	The Joint Audit Committee Agreement that self-regulatory organizations have executed pursuant to Rule 1.52 and the Joint Audit Program Plan that self regulatory organizations have submitted and the Commission has approved pursuant to Rule 1.52.	25-00193-FOIA	Lurton, Allison
Other Reasons - Public Records	-	02/24/2025	I hereby request access to the most recent copy of the Directories of CFTC Registrants and NFA Members, as listed on the NFA's website at https://www.nfa.futures.org/registration-membership/membership-and-directories.html . Description of Records Requested: Directories of CFTC Registrants and NFA Members - This includes, but is not limited to: Registration dates for all NFA Members Registration and membership status of all NFA Members and CFTC registrants. NFA ID for each member. Exemption notice filings. City, state, province (if applicable), and country of each member.	25-00181-FOIA	Yankowich, Adam
-	Sport.co	02/24/2025	All comments, letters and feedback received by the CFTC in February 2025—sent electronically or via paper mail—in connection with the planned Prediction Markets Roundtable that include the word(s) "sports" "NBA" "NFL" "NHL" or "MLB."	25-00182-FOIA	Bernstein, Daniel
-	Proton Media	02/25/2025	Any and all employee emails which were sent in response to the OPM email that contained the request that employees: "Please reply to this email with approx. 5 bullets of what you accomplished last week and cc your manager."	25-00183-FOIA	Tomlin, Bennett
-	-	02/25/2025	I would like all communications between Kalshi and the Division of Market Oversight for the month of December 2024 concerning (a) the United Health care CEO's murder and (b) Luigi Mangione.	25-00184-FOIA	Bransfield, Maurice
-	-	02/25/2025	All comments responsive to Release Number 9046-25. (Prediction Markets Roundtable)	25-00185-FOIA	Smiley, Brett
Other Reasons - Duplicate Request	Sport.co	02/25/2025	All comments, letters and feedback received by the CFTC in connection with the upcoming Prediction Markets Roundtable that include reference to sports future event contracts.	25-00186-FOIA	Bernstein, Daniel
-	-	02/25/2025	Please email a copy of submission for Form ITCR (b)(6) Eastern Standard Time.	25-00187-FOIA	(b)(6)
-	Albourne Partners (Cyprus) Limited	02/25/2025	I've noticed that Question E on page 20 is answered in the affirmative. Could you please expand on the specifics of this regulatory disclosure from the Manager?	25-00188-FOIA	Michaelides, Andreas

	The Wa Street Journal	02/25/2025	Dear records officer, Pursuant to the Freedom of Information Act, kindly transmit any agreement(s) between the commission, including its constituent agencies, and the Department of Government Efficiency ("DOGE"), also known as the U.S. DOGE Service, 90 Fed. Reg. at 8441 (Jan. 29, 2025). This includes, but is not limited to, any memoranda of understanding ("MOUs"), assignment agreements, or directives describing DOGE personnel's access to computer source code or payment information. As I am making this request as a journalist and this information is timely, I would appreciate your communicating with me by telephone if you have questions regarding this request. If I can provide any clarification that will help expedite the processing of my request, please contact me directly, at 206-375-9280. If you determine that any of the information qualifies for an exemption from disclosure, I ask you to note whether the exemption is discretionary, and if so whether it is necessary in this case to exercise your discretion to withhold the information. In any event, please provide a signed notification citing the legal authorities on which you rely if you determine that any of the information is exempt and will not be disclosed. REQUEST FOR EXPEDITED PROCESSING I am seeking expedited processing because I am a member of the news media and there is an urgency to inform the public about an actual or alleged federal government activity. See § 552(a)(6) (E). I am reporting on rapid changes to the scope and composition of federal agencies enacted by the US DOGE Service. I ask that this request be expedited because the speed with which records are released should match the speed with which DOGE operates. The records sought pertain to agencies with which DOGE is partnering. The requested information is urgently needed to inform the public about DOGE's activities, as this activity implicates a matter of pressing concern regarding the unprecedented overhaul of federal operations.	25-00189- FOIA	Long, Katherine
Granted in Full	U.S. Department of Justice	02/26/2025	Consultation request for all electronic memos and emails written or received by former Criminal Division Assistant Attorney General Leslie Caldwell, Marshall Miller, and AAG Caldwell's Chief of Staff to or from Andrew Ceresney or Keith Cassidy of the SEC from June 1, 2014 to May 30, 2016	25-00003- COVS	Herrington, Mark
	The Wa Street Journal	02/26/2025	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I request access to and copies of: any agreements with the Department of Government Efficiency ("DOGE"), also known as the U.S. DOGE Service, 90 Fed. Reg. at 8441 (Jan. 29, 2025). This includes, but is not limited to, any memoranda of understanding ("MOUs").	25-00190- FOIA	Gillum, Jack
Other Reasons - Not a proper FOIA request for some other reason	Soc a Security Administration	02/27/2025	New Commissioner at Social Security Administration as of February 16, 2025 two resignations prior to appointing Dudek, Leland 2023 2024 2025 volcanic activity at fax number listed . this FOIA request form: Online meeting 03/13/2025 CDFI- FinancialStrategiesandResearch@CDFI.tres.gov	25-00191- FOIA	Dedek, LeLand

			Meeting I.D. 1612920939 Passcode: 156973 online registration required. Funding for small business.		
		02/27/2025	Please provide all written and electronic material covering the period 1 October 2020 - 31 May 2024, relating to 1. The initiation and commencement of the investigation into JPMorgan Chase & Company (JPMC & Co.) and its subsidiaries, JPMorgan Chase Bank, N.A., and J.P. Morgan Securities LLC (JPMS) (collectively, JPM), pertaining to the "CFTC Finds J.P. Morgan Failed to Surveil Billions of Client Orders on a U.S. Designated Contract Market". 2. Any referrals made to CFTC from other Regulators which contributed or led to the initiation of this investigation. 3. Between the CFTC and JPM in seeking information and responding to CFTC inquiries regarding JPM's processes to inventory trading venues and confirm the completeness of certain data fed to trade surveillance platforms. 4. Details of any other Regulator who received funds as a result of this investigation.	25-00192-FOIA	Bakarich, Vanessa
Other Reasons - Duplicate Request		02/06/2025	Hello, I was wondering if the CFTC might have records of the KCBT federal closures from 1995 to 2013. I was unable to locate KCBT federal closures on Bloomberg or anywhere on the internet. If there are records, can you please send them over or direct me to where I might be able to find them. Thank you so much. Best regards, Sam Goldblat	25-00159-FOIA	Goldblat, Sam
Granted/Denied in Part	Polisci's Analytics	02/04/2025	Please provide in CSV or Excel format the CFTC FOIA log of requests submitted during the period from January 1, 2025 to January 31, 2025. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	25-00161-FOIA	Doherty, Caitlin
Other Reasons - Referrals		02/04/2025	Please provide the initial 7-R for the FCM "Amp Global Clearing"; and any 8-R concerning the associated Daniel "Dan" Culp and Lana Gerasimova. Please provide confirmation or denial of the existence of records pertaining to closed investigations for the aforementioned FCM and principles.	25-00162-FOIA	Lyle, Dustin
Granted/Denied in Part		02/06/2025	FOIA Coordinator, Pursuant to the Freedom of Information Act, 5 U.S.C. § 552 et seq., I write to request a copy of all full time employees' first, middle (if available) and last names, including their job title/position, their office location (city and state only), date of hire and email address. Please provide this information in an electronic format such as Excel or .csv. If these records do not exist in the requested format, please send them in the form in which they are held. If you do not maintain these public records, please let me know who does and include the proper custodian's contact information pursuant to 5 U.S.C. § 552(a)(3)(B). As provided by the Act, I will expect your response within twenty (20) business days. If there is a backlog in your office or you feel this request will take more than 20 business days to complete, please contact me at your earliest convenience to advise how much extra time will be needed to fully respond to my request. If you believe this	25-00163-FOIA	Carlton, Andrew

			information is not public, or choose to deny this request, I ask that you immediately notify me and provide the specific statutory exemption(s) upon which you rely. If part of the information is public and part is not, please provide all segregable portions of otherwise exempt material. Thank you for your assistance. Sincerely, Andrew Carlton andrew@notionize.org http://www.notionize.org/		
Other Reasons - Records not reasonably described	-	02/24/2025	I am requesting all records and communications, including but not limited to emails, reports, letters and memos, between Jan. 20, 2025 and the present (Feb. 6, 2025 or whenever this request is processed) which mention or are to and/or from Kalshi. Email domain includes "@kalshi.com"	25-00164-FOIA	Ulmer, Alexandra
-	Law360	02/07/2025	I'm requesting access to documents and records tied to the investigation into a union grievance filed against then Commissioner Caroline Pham on Oct. 31, 2023. The records I'm requested include but aren't limited to: 1. Any internal complaints made against Pham by individual staff members, the National Treasury Employees Union or members of CFTC management, including then-Chair Rostin Behnam, fellow Commissioners and then-Enforcement Director Ian McGinley between Aug. 1, 2023 and Nov. 1, 2024. 2. Any written results of the internal investigation conducted into Pham's behavior following the submission of the NTEU letter to Workforce Relations Chief Angela Ameen on Oct. 31, 2023. 3. Any email or written communication that Pham sent to Ms. Ameen or others in the human resources department in response to the allegations made against her in that October letter. 4. Any records from the closed meetings held on Oct. 20, 2023 and Aug. 11, 2023, when Pham is alleged to have verbally abused members of CFTC staff. Those records may include recordings of the meeting, official minutes and/or names of the individuals present at the meeting.	25-00165-FOIA	Corso, Jessica
Other Reasons - Not a proper FOIA request for some other reason	-	02/10/2025	Activity of Brian Micheal McCarty, Margaret Louise McCarty and Christopher Todd McCarty from 2022 to current. On Margaret McCarty please go as far back as 2015.	25-00007-FPA	McCarty, Christopher
Granted in Full	Employee Data Analytics	02/10/2025	I am submitting a formal request for access to public records. Specifically, I am seeking a comprehensive list of all employees within the Commodity Futures Trading Commission (categorized by state), including their first and last names, email addresses, job titles/positions, and department locations.	25-00166-FOIA	James, Sarah
Granted in Full	-	02/11/2025	I am requesting a list of the CFTC Office of Inspector General INVESTIGATIONS closed during calendar years 2023 and 2024. The records should include information for each investigation, specifically the case number, the subject of the investigation, the category of the investigation, the open date, and the closing date.	25-00167-FOIA	Ravnitzky, Michael
Other Reasons - No records	Bloomberg News	02/11/2025	Any memorandum of agreement, inter-agency agreements or other contracts for services from the US DOGE Service (previously known	25-00168-FOIA	Korte, Gregory

			as the US Digital Service, or USDS) from January 1, 2024 to the present.		
Granted in Full	Financial Literacy Advocates	02/11/2025	I am submitting this request under the Freedom of Information Act (FOIA) to obtain records containing the names, official work email addresses, and contact information of federal employees within the Commodity Futures Trading Commission of the United States. Our organization, Financial Literacy Advocates is a nonprofit dedicated to empowering individuals and families with the knowledge to make informed financial decisions. We provide education on key topics like wills and trusts, federal benefits, budgeting, and retirement planning. To facilitate our outreach and education efforts, we seek access to public records that include: Employee names Official work email addresses Contact phone numbers (if available) Titles and office locations If any of this information is restricted, we request disclosure of all releasable portions. Please provide the records in an electronic format if possible. If processing fees exceed \$25, please inform us in advance. Additionally, if any part of this request requires clarification or modification, I would appreciate the opportunity to discuss it. Thanks,	25-00169-FOIA	Johnson, Dontae
-	-	02/11/2025	Any and all email correspondence, letters, and memorandums sent by staff members of the Division of Market Oversight or the Chairman's office related to contracts self-certified by the North American Derivatives Exchange, Inc. (d/b/a Crypto.com) between the dates Dec. 1, 2024 and Jan. 31, 2025. The dates cited here refer to the days on which NADEX notified the Commission that it was self-certifying the terms and conditions of the contract.	25-00170-FOIA	Osipovich, Alexander
-	-	02/11/2025	Any and all email correspondence, letters, and memorandums sent by staff members of the Division of Market Oversight or the Chairman's office related to contracts self-certified by KalshiEX LLC between the dates Dec. 1, 2024 and Feb. 11, 2025. The dates cited here refer to the days on which Kalshi notified the Commission that it was self-certifying the terms and conditions of the contract.	25-00171-FOIA	Osipovich, Alexander
-	Université de Franche-Comté	02/12/2025	We are interested in the Excel file "commercial use and registration codes.xlsx" for academic use. We are interested in data from the 1880s and the 1920s if they exist.	25-00172-FOIA	Bonnier, Jean-Baptiste
	Lovel Stewart Haebler Jacobson LLP	02/13/2025	copies of submissions in the proceedings before (a) the Judicial Officer and the Commodity Exchange Authority ("CEA"), predecessor of the Commodity Futures Trading Commission (collectively, "CFTC"), in In re Volkart Brothers, Inc., Volkart Brothers Company, Alfred Boedtger, and Kurt Muller, CEA Docket No. 82 (complaint issued Apr. 24, 1958), 20 Agric. Dec. 306 (decision and order issued Apr. 17, 1961; effective May 22, 1961) (Thomas Flavin, J.O.) ("CEA Decision and Order"); and (b) the U.S. Court of Appeals for the Fifth Circuit in Volkart Bros, Inc., et al. v. Freeman, No. 19020 (5th Cir.) (proceeding to review order of the Secretary of Agriculture made through CEA judicial officer), 311 F.2d 52 (5th Cir. Dec. 5, 1962) (Rives, C.J.) ("Fifth	25-00173-FOIA	Stoll, Ian

			Circuit Opinion and Order”), as follows: 1. All memoranda of law in the proceedings before the CEA Judicial Officer submitted by and/or on behalf of complainant CEA (and/or the Secretary of Agriculture); respondents Volkart Brothers, Inc., Volkart Brothers Company, Alfred Boedtke, and Kurt Muller; intervenors New York Cotton Exchange and New Orleans Cotton Exchange; and any other entity, from the date of issuance of the complaint to the date of issuance of the stay order, In re Volkart Brothers, Inc., CEA Docket No. 82, 20 Agric. Dec. 462 (C.I.A. May 15, 1961) (“Stay Order”) and/or the effective date of the C.I.A. Decision and Order. 2. All memoranda of law in the proceedings before the U.S. Court of Appeals for the Fifth Circuit submitted by and/or on behalf of petitioners Volkart Brothers, Inc., Volkart Brothers Company, Alfred Boedtke, and Kurt Muller; respondents Secretary of Agriculture (Orville Freeman) and CEA Judicial Officer; amicus curiae New York Cotton Exchange; and any other entity, from the date of commencement of these proceedings to the date of issuance of the Fifth Circuit Opinion and Order. 3. The docket (or other list of submissions) of the proceedings before the CEA Judicial Officer, from the date of issuance of the complaint therein through and up to the effective date of CEA Decision and Order, showing memoranda of law submitted by and/or on behalf of the CEA (and/or the Secretary of Agriculture), respondents, intervenors, and any other entity. 4. The docket (or other list of submissions) of the proceedings before the U.S. Court of Appeals for the Fifth Circuit, from the date of commencement of these proceedings to the date of issuance of the Fifth Circuit Opinion and Order, showing memoranda of law submitted by and/or on behalf of the petitioners, respondents, amicus curiae, and any other entity.		
Other Reasons - Records not reasonably described		02/14/2025	(b)(6)	25-00008-FOIA	(b)(6)
		02/18/2025	A copy of all communications (such as emails or letters or directives) at CFTC to or from the Office of Management and Budget (OMB) or OPM (Office of Personnel Management) between February 1, 2025 and February 16, 2025, limited to the CFTC office or offices most likely to have such communications.	25-00174-FOIA	Ravnitzky, Michael
Granted/Denied in Part		02/17/2025	I request documents specific to CFTC that detail how the agency categorizes, reviews, and assesses public comments during the rulemaking process. I am seeking any procedures, guidelines, protocols, or manuals created and used by CFTC for this process, as well as any internal memos or training materials provided to agency staff, within the last eight years. These records are likely found in the Office of General Counsel and/or within the specific office responsible for rulemakings, and I agree to restrict the search to those offices. I agree to limit the search in this request to records found in the first three	25-00175-FOIA	Ravnitzky, Michael

			hours of search. I believe that any responsive records can be found by asking someone in the office that handles rulemakings.		
Other Reasons - Not a proper FOIA request for some other reason	Blackrock asset management	02/18/2025	Regulation § 30.1(a), 17 CFR known to the trade as, an "option," "privilege," "indemnity," "bid," "offer," "put," "call," "advance guaranty" or "decline guaranty," made or to be made on or subject to the rules of any foreign board of trade. 17 CFR 30.1(b). 18CFTC Letter No. 19-17, July 10, 2019, available at https://www.cftc.gov/csl/19-17/download as extended by CFTC Letter No. 20-28, Sept. 15, 2020, available at https://www.cftc.gov/csl/20-28/download; CFTC Letter No. 21-29, Dec. 21, 2021, available at https://www.cftc.gov/csl/21-29/download; CFTC Letter No. 22-11, Sept. 15, 2022, available at https://www.cftc.gov/csl/22-11/download; CFTC Letter No. 23-13, Sept. 11, 2023, available at https://www.cftc.gov/csl/23-13/download; and CFTC Letter No. 24-07, June 24, 2024, available at https://www.cftc.gov/csl/24-07/download. 19See, e.g., SIFMA-AMG letter dated June 7, 2019 to Brian A. Bussey and Matthew B. Kulkin (SIFMA-AMG Letter); CME letter dated June 14, 2019 to Brian A. Bussey and Matthew B. Kulkin (CME Letter); and FIA letter dated June 26, 2019 to Brian A. Bussey and Matthew B. Kulkin (First FIA Letter). 20See CFTC, CFTC Form 40, Statement of a Reporting Trader, available at https://www.cftc.gov/sites/default/files/idc/groups/public/@forms/documents/file/cftcform40.pdf; see also CFTC, Ownership & Control Reporting, available at https://www.cftc.gov/Forms/OCR/index.htm (discussing Ownership and Control Reporting under Form 102). 21See 17 CFR parts 17 (covering reports by reporting markets, FCMs, clearing members, and foreign brokers), 18 (reports by traders), 19 (reports by persons holding reportable positions in excess of position limits and by merchants and dealers in cotton), and 20 (large trader reporting for physical commodity swaps). 22 JAC, Regulatory Alert #19-02, May 14, 2019, available at http://www.jacfutures.com/jac/jacupdates/2019/jac1902.pdf. 23SIFMA-AMG Letter; First FIA Letter. 24First FIA Letter. 25The Industry Letters sometimes used the terms "investment manager" and "asset manager" interchangeably. of the use of omnibus margin accounts, in which the funds of multiple customers are held together, this safeguard is necessary to avoid the misuse of customer funds by mitigating the likelihood that the clearing FCM will effectively cover one customer's margin shortfall using another customer's funds.11 In adopting the Margin Adequacy Requirement of regulation § 39.13 (g)(8)(iii), the Commission stated 12 that the regulation was consistent with the definition of "Margin Funds Available for Disbursement" in the Margins Handbook 13 prepared by the Joint Audit Committee (JAC), a representative committee of U.S. futures exchanges and the National Futures Association (NFA).14 The	25-00176-FOIA	Christopher Asher wray ac, Panagiota ioanni Koutsouk

			Commission noted that although designated self-regulatory organizations (DSROs) reviewed FCMs to determine whether they appropriately prohibited their customers from withdrawing funds from their futures accounts, it was unclear to what extent that requirement applied to cleared swap accounts when such swaps were executed on a designated contract market (DCM) that participated in the JAC. ¹⁵ The Commission also noted that clearing members that cleared only swaps that were executed on a swap execution facility were not subject to the requirements of the JAC Margins Handbook or review by a DSRO. ¹⁶ Thus, although regulation § 39.13(g)(8)(ii) was also designed to apply these risk mitigation and customer protection standards to futures and swap positions carried in customer accounts by clearing FCMs, Commission regulations		
Other Reasons - Not a proper FOIA request for some other reason	Blackrock asset management	02/18/2025	portunity Act, drawing upon antecedent protections in state law. ²² The Consumer Protection Act provided state attorneys general significant new powers to protect consumers. It declared unlawful all "unfair or deceptive acts or practices in the conduct of any trade or commerce," including the eleven practices prohibited by the Uniform Law Commission's Deceptive Trade Practices Act. ²³ To investigate suspected violations of the law, state attorneys general could issue civil investigative demands. ²⁴ Like the Deceptive Trade Practices Act, the Consumer Protection Act originally relied predominantly on injunctive relief, with an additional penalty of \$25,000 for violations of an injunction. ²⁵ Attorneys general could also petition a court for an order for dissolution, suspension, or forfeiture of a corporation which violated the terms of an injunction. ²⁶ 19 Council of State Governments, 1967 Suggested State Legislation A-71 (hereinafter "CSG"). 20 Fed. Trade Comm'n, Annual Report of the Fed. Trade Comm'n for the Fiscal Year Ended June 30, 1971 17 (1971). 21 Paul Rand Dixon, Commissioner, Fed. Trade. Comm'n, Remarks Before Public Seminar of the Fla. Dep't of Agriculture and Consumer Servs. 3-6 (Mar. 8, 1974); Consumer Interests of the Elderly: Hearing Before the Subcomm. on Consumer Interests of the Elderly of the S. Special Comm. on Aging, 90th Cong. 223 (1967) (Appendix 3, Item 2) (FTC Press Release). 22 See, e.g., Sylvia Beckey, Cong. Res. Serv., Women and Credit: Available Legal Remedies Against Discriminatory Practices 2-56 (1974) (surveying pre-ECOA state laws prohibiting credit discrimination), reprinted in Credit Discrimination: Hearings Before the Subcomm. on Consumer Affairs of the H. Comm. on Banking and Currency, 93rd Cong. 509-615 (1974); Fleming, The Long History of 'Truth in Lending', supra note 10, at 238 (observing that "lenders and policymakers fiercely argued over the design of state-level cost disclosure mandates for decades, with each segment of the lending industry advocating for the form that best served its interests"). 23 CSG, 1967 Suggested State Legislation A-73 -74; (CPA	25-00177-FOIA	Christopher Asher wray ac, Panagiota ioanni Koutsouk

		1967, §§ 1-2). 24 CSG, 1967 Suggested State Legislation A-75; (CPA 1967, § 6). 25 CSG, 1967 Suggested State Legislation A-77; (CPA 1967, § 7). 26 CSG, 1967 Suggested State Legislation A-77, 78 (CPA 1967 general counsel's office. 18 Working with the Council of State Governments, the FTC 12 Natl. Ass'n of Att'ys Gen., Report on the Office of Attorney General 396-97 (1971). Some states that created consumer protection programs without state legislation were Michigan, Missouri, Ohio, New Mexico, Pennsylvania, Texas, and North Carolina. Id. See also Hon. Louis Lefkowitz, Consumer Protection: Meeting the Challenge, 4 New Eng. L. Rev. 67, 68-69 (1969). 13 Richard L. Dole, Jr., Merchant and Consumer Protection: The Uniform Deceptive Trade Practices Act, 76 Yale L. J. 485, 486 (1967). 14 Dole, Jr., 76 Yale L. J. at 486. 15 Dole, Jr., Uniform Deceptive Trade Practices Act: Another Step Toward a National Law of Unfair Trade Practices, 51 Minn. L. Rev. 1005 (1966). 16 F.T.C. v. Bunte Bros., 312 U.S. 349, 355 (1941) ("And we merely hold that to read 'unfair methods of competition in (interstate) commerce' as though it meant 'unfair methods of competition in any way affecting interstate commerce', requires, in view of all the relevant considerations, much clearer manifestation of intention than Congress has furnished."). 17 Sen. Warren Magnuson and Jean Carper, The Dark Side of the Marketplace: The Plight of the American Consumer 65-67 (1968). 18 Paul Rand Dixon,		
Other Reasons - No records	02/19/2025	Dennis Phillipson (b)(6) (b)(6) February 2019, 2025 FOIA Officer Commodity Futures Trading Commission (CFTC) Three Lafayette Centre 1155 21st Street NW Washington, D.C. 20581 Email: FOIARequests@cftc.gov Subject: FOIA Request - Investigations and Inquiries Regarding REITs' Use of Derivatives Dear FOIA Officer, I am happy to split this request into ten separate FOIA requests—one for each REIT—if that facilitates processing. Please advise if submitting individual requests would expedite the search and retrieval of responsive records. This request seeks records from April 1, 2021, to the present. The Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, I request copies of all records related to any inquiries, investigations, or internal reviews conducted by the Commodity Futures Trading Commission (CFTC) regarding the following publicly traded Real Estate Investment Trusts (REITs): 1. AvalonBay Communities, Inc. (Ticker: AVB, CIK: 0000915912) 2. Invitation Homes, Inc. (Ticker: INVH, CIK: 0001687229) 3. Mid-America Apartment Communities, Inc. (Ticker: MAA, CIK: 0000912595) 4. Sun Communities, Inc. (Ticker: SUI, CIK: 0000912598) 5. UDR, Inc. (Ticker: UDR, CIK: 0000074208) 6. Equity Residential (Ticker: EQR, CIK: 0000906107) 7. Essex Property Trust, Inc. (Ticker: ESS, CIK: 0000920522) 8. Apartment Income REIT Corp. (Ticker: AIRC, CIK: 0001820872) 9. Camden Property Trust (Ticker: CPT, CIK:	25-00178-FOIA	Phillipson, Dennis

		0000906345) 10. Independence Realty Trust, Inc. (Ticker: IRT, CIK: 0001466085) Scope of Request I seek records from April 1, 2021, to the present, including but not limited to: • Any formal or informal CFTC inquiries, reviews, or investigations involving these REITs. • Any records, internal memoranda, or case files related to concerns about derivatives trading, swap agreements, or structured financial products used by these REITs. • Any referrals to or from the SEC, DOJ, or other agencies related to potential violations of derivatives regulations by these REITs. Why the CFTC Would Have Oversight These REITs may be engaging in derivatives transactions that fall under CFTC jurisdiction, including: 1. Interest Rate Swaps – Used by REITs to hedge debt but could be manipulated to hide true financial risks. 2. Total Return Swaps (TRS) – May be used to create synthetic real estate investments without actual ownership, potentially inflating financial statements. 3. Credit Default Swaps (CDS) – Could be used to hedge against tenant defaults or misrepresent financial exposure. 4. Market Manipulation & Misrepresentation – If a REIT is misclassifying derivatives to conceal liabilities, inflate NAV, or manipulate earnings, this would fall under CFTC enforcement authority. Given the systemic risks associated with REIT derivatives trading, I believe these activities warrant regulatory oversight. Dennis Philipson		
	02/19/2025	Dear FOIA Officer, Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, I request copies of all records related to any inquiries, investigations, or internal reviews conducted by the Commodity Futures Trading Commission (CFTC) regarding BlackRock Inc. (Ticker: BLK, CIK: 0001364742) and its role in derivatives trading, financial structuring, and market activities related to Real Estate Investment Trusts (REITs). Scope of Request I seek any and all records from April 1, 2021, to the present, including but not limited to: 1. Investigations & Inquiries o Any formal or informal CFTC investigations, reviews, or inquiries into BlackRock's role in derivatives transactions involving REITs (including interest rate swaps, total return swaps, and credit default swaps). o Any regulatory concerns or discussions related to BlackRock's involvement in REIT market activities and systemic risk. 2. BlackRock as a Counterparty or Facilitator o Any records showing BlackRock as a counterparty or intermediary in REIT-related derivatives transactions. o Any communications discussing whether BlackRock-backed REITs are using swaps to misrepresent financials, inflate asset values, or conceal risk. 3. BlackRock's REIT ETFs & Synthetic Exposure to Derivatives o Any CFTC records on derivatives exposure in BlackRock-managed REIT ETFs and investment funds. o Any discussions on systemic risk related to BlackRock's use of swaps or structured financial products tied to REITs. 4. Inter-Agency Communications o Any emails, memos, or meeting notes between the CFTC	25-00179-FOIA	Philipson, Dennis

			and the SEC, DOJ, or Federal Reserve regarding BlackRock's involvement in REIT derivatives and market risks.		
-	-	02/24/2025	A copy of the final report and Report of Investigation and Closing Memo and Referral Letter for each of these closed CFTC Office of Inspector General Investigations: 2021-I-4 closed 11/12/2024, 2021-I-7 closed 11/25/2024, 2022-I-1 closed 12/4/2024, and 2023-I-1 closed 11/20/2024.	25-00180-FOIA	Ravnitzky, Michael

Total No of Requests : 37



CFTC FOIA Office
Three Lafayette Centre 1155 21st Street NW
Washington, DC 20581

Report Date:
Time:

04/01/2025
12:29 PM

Requests Report
Received between 03/01/2025 and 03/31/2025

Final Disposition	Organization	Request ID	Request Description	Received Date	Requester Name
-	NOTUS	25-00204-FOIA	To whom it may concern: My name is Taylor Giorno and I'm a reporter at NOTUS. I am writing to request the following emails, letters, memoranda, text messages and third-party communications from the CFTC under the Freedom of Information Act referencing the meme coins \$TRUMP and \$MELANIA launched by President Trump and First Lady Melania Trump. The requested documents will be made available to the general public, and this request is not being made for commercial purposes. I ask, if possible, to receive these documents electronically via email in whatever format is most expedient. I also ask that all fees be waived. If the fees cannot be waived, I would be grateful if you would inform me of the total charges in advance of fulfilling my request. If you have any questions, please don't hesitate to contact me at 540-808-6655 or taylorgiorno@notus.org. My mailing address is 3255 Grace Street NW, Washington, DC 20007. Thank you! Sincerely, Taylor Giorno	03/21/2025	Giorno, Taylor
-	-	25-00205-FOIA	A copy of the CFTC Return to Office Implementation Plan required by the OMB and OPM Memo dated January 27, 2025 which is posted here: https://www.opm.gov/policy-data-oversight/latest-memos/agency-return-to-office-implementation-plans.pdf and which CFTC submitted by email to OMB and OPM.	03/24/2025	Ravnitzky, Michael
-	-	25-00011-FPA	Hello I am messaging in regards to my identity and commodity and futures and stocks and bonds ownership and need a record of my contracts and current holdings and data information I've been undergoing major fraudulent matter's and disaster placements thanks my DOB (b)(6)	03/26/2025	(b)(6)
-	-	25-00194-FOIA	Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, I am requesting access to the following records regarding KalshiEX LLC (Kalshi) and its regulatory oversight by the CFTC: 1?? All regulatory filings submitted by KalshiEX LLC for its trading platform approval, contract listings, and compliance with the Commodity Exchange Act. 2?? Any enforcement actions, investigations, or internal compliance	03/11/2025	Diaz, Elvis

			reviews conducted by the CFTC regarding KalshiEX LLC's trading operations, contract settlements, or rule violations. 3?? Records of consumer complaints or formal inquiries filed against KalshiEX LLC with the CFTC, including those related to contract settlement disputes, market manipulation, or unfair trading practices. 4?? Internal CFTC correspondence (emails, memos, reports) discussing KalshiEX LLC, including concerns about market integrity, systemic risk, or regulatory loopholes in event-based trading. 5?? Records of any exemptions, waivers, or no-action relief granted to KalshiEX LLC, particularly regarding compliance with CFTC trading regulations.		
-	PoliScio Analytics	25-00195-FOIA	Please provide in CSV or Excel format the CFTC FOIA log of requests submitted during the period from February 1, 2025 to February 28, 2025. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	03/04/2025	Doherty, Caitlin
Other Reasons - Duplicate Request	Université de Franche-Comté	25-00196-FOIA	Excel file "commercial use and registration codes.xlsx" for academic use For a research project, a colleague and I are interested in the positions taken by different categories of traders (mainly hedgers and speculators) in the 1880s and 1920s. We were advised by a colleague to contact Eugene Kunda, an agricultural market oversight and surveillance specialist with the CFTC. M. Kunda gave us the following answer: " I have a list of 23 commodity use and 14 registration classifications used in the CFTC Commitments of Traders to distinguish hedgers and speculations. Trader classifications are based on the information provided by the trader on their CFTC Form 40. There are also over 5,200 occupation titles corresponding to the trader commodity use classifications. If you would like to have this information, please submit a FOIA and specifically ask for the Excel file "commercial use and registration codes.xlsx" for academic use."	03/05/2025	Bonnier, Jean-Baptiste
Other Reasons - Not a proper FOIA request for some other reason	N/A	25-00197-FOIA	Stock market cap. 2008 -2025	03/06/2025	Holland, Chad
Granted in Full	-	25-00198-FOIA	I am requesting the contact information for all employees of your agency to include mailing address, email address, and phone number.	03/07/2025	Richmond, John
Other Reasons - Not a proper FOIA request for some other reason	Disabled Service Member - US-Navy Retired	25-00199-FOIA	(b)(6)	03/10/2025	(b)(6)

			(b)(6)		
-	The University of Texas at Austin	25-00200-FOIA	[I seek information on the request submission dates associated with the following No-Action Letters (NALs) issued by the CFTC. This request is divided into two categories: Category 1: Missing Request Dates for Known Submissions For the following NALs, we are aware that a request was submitted, but the specific date(s) are not included in publicly available materials. We are requesting clarification on the exact request submission date(s) that led to the issuance of these NALs. Where applicable, we seek confirmation of: • Unless there was only a single request date (if applicable); • The earliest request submission date; and • The latest request submission date. NALs in this category: (I re-listed them at the end in a friendlier format). NAL 22-09, NAL 21-31, NAL 21-10, NAL 21-05, NAL 21-04, NAL 20-42, NAL 20-31, NAL 20-01, NAL 19-26, NAL 19-19, NAL 19-14, NAL 19-13, NAL 19-06, NAL 18-33, NAL 18-31, NAL 18-02, NAL 17-67, NAL 17-60, NAL 17-55, NAL 17-54, NAL 17-36, NAL 17-34, NAL 17-27, NAL 17-17, NAL 17-12, NAL 17-05, NAL 16-80, NAL 16-76, NAL 16-70, NAL 16-68, NAL 16-64, NAL 16-25, NAL 16-10, NAL 16-08, NAL 15-64, NAL 15-37, NAL 15-24, NAL 15-21, NAL 15-	03/11/2025	Clarke, Kevin

			05, NAL 15-02, NAL 14-157, NAL 14-136, NAL 14-112, NAL 14-84, NAL 14-83, NAL 13-83, NAL 13-80, NAL 13-78, NAL 13-76, NAL 13-70, NAL 13-36, NAL 13-33, NAL 13-31, NAL 13-21, NAL 13-13, NAL 13-12, NAL 13-11, NAL 12-61, NAL 12-52, NAL 12-49, NAL 12-44, NAL 12-40, NAL 12-37, NAL 12-33, NAL 12-32, NAL 12-22, NAL 12-21, NAL 12-20, NAL 12-16. Category 2: Clarification on the Existence of Request Dates For the following NALs, it is unclear whether a request submission date exists in CFTC records. We are seeking confirmation of whether a request was submitted and, if so, the exact date(s). If applicable, we request the same breakdown as in Category 1: • Unless there was only a single request date (if applicable), • The earliest request submission date; and • The latest request submission date. NALs in this category: (I re-listed them at the end in a friendlier format). NAL 20-46, NAL 20-45, NAL 17-06, NAL 14-147, NAL 14-144, NAL 14-141, NAL 14-140, NAL 14-139, NAL 14-137, NAL 14-135, NAL 14-134, NAL 14-127, NAL 14-126, NAL 14-121, NAL 14-119, NAL 14-118, NAL 14-117, NAL 14-108, NAL 14-105, NAL 09-37, NAL 08-10, NAL 08-09.].		
-	FIA	25-00201-FOIA	Current Joint Audit Committee Agreement	03/11/2025	Lurton, Allison
Other Reasons - Not a proper FOIA request for some other reason	-	25-00009-FPA	I, (b)(6) am formally submitting this request for an internal investigation regarding fraudulent actions committed by one of your brokers. I have recently discovered that my account (b)(6) has been subject to unauthorized financial mismanagement and fabricated commission claims, which directly affect my investments. Key Issues: Misrepresentation & Deceptive Practices: My personal investment amount is (b)(6) my total account balance. However, I have identified discrepancies in how funds have been allocated and withdrawn without my full consent. The (b)(6) terms and failed to disclose essential fee structures. Unjustified Fees & Commission Demands: I have been subjected to fabricated commission claims that were never outlined in the initial agreement. Attempts to clarify these charges with the assigned broker have been met with inconsistent explanations and avoidance tactics. Violation of Fiduciary Duty & Investor Protection Laws: The broker's actions raise serious concerns regarding compliance with SEC regulations, FI NRA guidelines, and investor protection laws.	03/11/2025	(b)(6)
-	-	25-00202-FOIA	I request the following records: a copy of the Agency Reorganization Plan submitted to OMB by the CFTC in February or March 2025 as required by Executive Order 14210 of February 11, 2025, Implementing the President's Department of Government Efficiency Workforce Optimization Initiative.	03/17/2025	Ravnitzky, Michael
-	-	25-00010-FPA		03/17/2025	

			I would like all the information that has me involved in. I'm quite positive I'm under some kind of investigation or experiment. Sounds far fetched but I have been reading up on this and trying to figure it all out. Nobody will come out and just talk to me but I get more hints and all sorts of non coincidental information that it's hard not to believe it's happening. Please be upfront with me and I appreciate any help you can give me. I sincerely believe this is happening and I'm not sure if this is the correct contact that can help me but I'm crossing my fingers.	(b)(6)
		25-00203-FOIA	Dear FOIA Officer, Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the implementing FOIA regulations of the agency, I respectfully request the following: 1) Documents sufficient to account for the agency's finalized Diversity, Equity, Inclusion, and Accessibility (DEIA) strategic plan. 2) Documents sufficient to account for all finalized Equity Action Plans (EAP's) created by the agency, or submitted to the White House under Executive Order ('EO') 14091. 1) Documents sufficient to account for the agency's finalized Diversity, Equity, Inclusion, and Accessibility (DEIA) strategic plan. 2) Documents sufficient to account for all finalized Equity Action Plans (EAP's) created by the agency. Search Instructions: Please limit all searches in response to this request from January 20, 2021 to January 19, 2025. Please include the full email chain and attachments relevant to any responsive documents to provide further context. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. The terms "pertaining to," "referring," "relating," or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes,	03/18/2025 Aamot, Colin

			notations of any type of conversation, telephone call, voicemail, meeting or other communication. Please comply fully with 5 U.S.C. § 552(b). Accordingly, without limitation to the foregoing, if any portion of this request is denied for any reason, please provide written notice of the records or portions of records that are being withheld and cite each specific exemption of the Freedom of Information Act on which the agency relies. Moreover, to the extent that responsive records may be withheld in part produce all reasonably segregable portions of those records.		
-	-	25-00192-FOIA	Please provide all written and electronic material covering the period 1 October 2020 - 31 May 2024, relating to 1. The initiation and commencement of the investigation into JPMorgan Chase & Company (JPMC & Co.) and its subsidiaries, JPMorgan Chase Bank, N.A., and J.P. Morgan Securities LLC (JPMS) (collectively, JPM), pertaining to the "CFTC Finds J.P. Morgan Failed to Surveil Billions of Client Orders on a U.S. Designated Contract Market". 2. Any referrals made to CFTC from other Regulators which contributed or led to the initiation of this investigation. 3. Between the CFTC and JPM in seeking information and responding to CFTC inquiries regarding JPM's processes to inventory trading venues and confirm the completeness of certain data fed to trade surveillance platforms. 4. Details of any other Regulator who received funds as a result of this investigation.	03/17/2025	Bakarich, Vanessa
-	-	25-00012-FPA	(b)(6)	03/26/2025	(b)(6)
-	-	25-00206-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I request copies of any aggregation exemption requests, disaggregation notices, or position limit relief filings submitted by Gresham Investment Management LLC, Nuveen LLC, or any affiliated funds or entities under the control of TIAA (including but not limited to Gresham Dynamic Commodities Fund, L.L.C., GreshamQuant ACAR Fund, Ltd., and TAP Fund, Ltd.) from January 1, 2017 to present. Please include all correspondence, memoranda, or rulings associated with such filings. request access to the following records from January 1, 2017 to the present: All filings, letters, or notifications submitted by or on behalf of the following entities requesting disaggregation relief under 17 C.F.R. § 150.4(b): Gresham Investment Management LLC Nuveen Fund Advisors LLC Nuveen Asset Management, LLC Teachers Insurance and Annuity	03/26/2025	Pavelock, Richard

			Association of America (TIAA) TIAA Trust, N.A. Any fund or entity affiliated with the "Gresham Dynamic Commodities Fund, L.L.C." or "Nuveen Gresham Diversified Commodity Strategy Fund" Any CFTC determinations, approvals, denials, or correspondence related to disaggregation relief, aggregation exemptions, or position limit compliance for these entities or their funds. All communications between the CFTC and any of the above-listed entities regarding: Position limits under 17 C.F.R. Part 150 Commodity exposure or derivatives trading by affiliated funds The use of Cayman or offshore subsidiaries to hold commodity futures or swaps Aggregation of positions across affiliated accounts		
Other Reasons - Duplicate Request	-	25-00207-FOIA	Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I request access to the following records from January 1, 2017 to the present: All filings, letters, or notifications submitted by or on behalf of the following entities requesting disaggregation relief under 17 C.F.R. § 150.4(b): Gresham Investment Management LLC Nuveen Fund Advisors LLC Nuveen Asset Management, LLC Teachers Insurance and Annuity Association of America (TIAA) TIAA Trust, N.A. Any fund or entity affiliated with the "Gresham Dynamic Commodities Fund, L.L.C." or "Nuveen Gresham Diversified Commodity Strategy Fund" Any CFTC determinations, approvals, denials, or correspondence related to disaggregation relief, aggregation exemptions, or position limit compliance for these entities or their funds. All communications between the CFTC and any of the above-listed entities regarding: Position limits under 17 C.F.R. Part 150 Commodity exposure or derivatives trading by affiliated funds The use of Cayman or offshore subsidiaries to hold commodity futures or swaps Aggregation of positions across affiliated accounts	03/26/2025	Pavelock, Richard
-	-	25-00208-FOIA	Via: Online Portal Dear CFTC FOIA Officer, Under the Freedom of Information Act, 5 U.S.C. § 552, I request the following records regarding JPMorgan Chase & Co. and its affiliates (e.g., JPMorgan Chase Bank, N.A., JPMorgan Securities LLC) from January 1, 2010, to March 27, 2025, to uncover systemic misconduct potentially affecting consumer contracts and financial markets: 1. All investigative files, reports, correspondence, memoranda, or enforcement actions related to JPMorgan's swap, futures, or derivatives trading practices, including but not limited to reporting failures, trade surveillance lapses, or market manipulation (e.g., spoofing), beyond the May 23, 2024, order (CFTC Docket No. 24-24). 2. Records identifying and detailing the involvement of approximately 30 CFTC-regulated trading platforms (e.g., designated contract markets, swap execution facilities) used by	03/28/2025	Doe, John

		JPMorgan for swaps, futures, or derivatives trades, including platform names, operational roles, and any documented disruptions or violations linked to JPMorgan's activities. 3. Records identifying and detailing the involvement of three significant algorithmic trading firms engaged with JPMorgan (e.g., proprietary trading entities or third-party providers), including firm names, their roles in developing or executing JPMorgan's trading algorithms, and any CFTC scrutiny or penalties for misconduct. 4. Records showing how JPMorgan's consumer-derived revenue (e.g., from credit cards, loans) has been utilized in swap, derivatives, or trading operations on these platforms or via these firms, especially if linked to regulatory violations. 5. All documents concerning ongoing investigations, compliance monitoring, or remediation efforts involving JPMorgan as of March 27, 2025, including post-2024 order oversight or new probes into trading misconduct across these platforms or firms. 6. Records of fines, settlements, or penalties (e.g., beyond the 2020 \$920M spoofing settlement) related to JPMorgan's market manipulation or fraudulent practices on these platforms or with these firms, including impacts on retail investors, pension funds, or 401(k) holders. 7. Data or analyses on how JPMorgan's trading violations via these platforms or firms may have financially harmed consumers or investors, directly or indirectly, through market distortions or revenue allocation. These records are sought in connection with a civil lawsuit involving JPMorgan and I request a fee waiver as a private individual using this for non-commercial purposes (5 U.S.C. § 552(a)(4)(A)(iii)). Please provide records electronically to (b)(6). To protect my privacy, please treat my identity as confidential and redact any personal identifiers from responsive records or correspondence. If any portion is exempt, release non-exempt parts with an explanation. Contact me at (b)(6) for clarification. I expect a response within 20 business days (by ~April 24, 2025), per 5 U.S.C. § 552(a)(6)(A), as this bears on urgent litigation timing. Sincerely, Anonymous Requester (b)(6) (Date Range for Record Search: From 1/1/2010 To 3/27/2025)		
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Total No of Requests : 20



CFTC FOIA Office
Three Lafayette Centre 1155 21st Street NW
Washington, DC 20581

Report Date:

05/01/2025

Time:

9:35 AM

Requests Report
Received between 04/01/2025 and 04/30/2025

Organization	Final Disposition	Received Date	Request ID	Request Description	Requester Name
PoliScio Analytics	-	04/01/2025	25-00209-FOIA	Please provide in CSV or Excel format the CFTC FOIA log of requests submitted during the period from March 1, 2025 to March 31, 2025. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	Doherty, Caitlin
Democracy Forward Foundation	-	04/01/2025	25-00210-FOIA	Democracy Forward Foundation ("DFF") requests that the your agency produce the following within twenty (20) business days and on an expedited basis: All approved Agency RIF and Reorganization Plans ("ARRPs") plans, or records reflecting such approved plans, following your agency's submission of ARRPs to OMB and OPM for approval as required by OMB Director Vought and OPM Acting Director Ezell's February 26, 2025 memorandum titled "Guidance on Agency RIF and Reorganization Plans Requested by Implementing The President's 'Department of Government Efficiency' Workforce Optimization Initiative". Please provide all records from February 1, 2025, through the date the search is conducted. Democracy Forward expects this request for a set of readily identifiable records regarding a high-profile matter will be assigned to the Simple processing track and processed expeditiously, in addition to receiving expedited processing.	Perryman, Skye
Quinlan Partners	-	04/08/2025	25-00211-FOIA	Pursuant to the Freedom of Information Act, we request that you, in your official capacity, furnish any and all records referencing Erin E. Hill, former Deputy Chief Auditor of BNY Mellon (CRD# 4779631), dated January 2017 to the present, in the following formats: Documents collected or created in the course of CFTC inquiries, investigations or enforcement actions, including exhibits; Internal and external CFTC correspondence, including email; and Comment letters, including exhibits.	Pfeifer, Jamison
Quinlan Partners	-	04/09/2025	25-00212-FOIA	any and all records referencing Thomas M. Joyce, former Chairman and CEO of Knight Capital Group, dated May 2002 to the present, in the following formats: Documents collected or created in the course of CFTC inquiries or investigations, including exhibits; Internal and external CFTC correspondence, including email; and Comment letters, including exhibits.	Pfeifer, Jamison
SOCIAL MEDIA FILM CREW	-	04/10/2025	25-00213-FOIA	To Whom It May Concern, Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, I hereby request copies of any and all records created, received, or transmitted between January 1, 2006 and December 31, 2013 that relate to the design, development, research, monitoring, or assessment of decentralized digital currency systems utilizing proof-of-work consensus mechanisms, SHA-256 hashing algorithms, public-private key encryption, blockchain-based architecture, or other cryptographically-secured distributed systems. This request also includes any records referencing the economic, regulatory, or financial implications of non-sovereign digital currencies, cryptographically-secured assets, or decentralized monetary systems. This includes any analyses, forecasts, classification studies, or impact assessments regarding the circulation of digital assets not issued by a central authority, and any interagency discussions related to their potential classification or oversight as	Crookston, Tristan

				commodities, securities, or other regulated financial instruments. Although this request is focused on materials from 2006 to 2013, I respectfully request the inclusion of any responsive records discovered outside that range if they are reasonably related to the subject matter and surfaced in the course of a good-faith search.	
-	Other Reasons - Public Records	04/10/2025	25-00214-FOIA	Date: 10 April 2025 To FOIA Office Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street NW Washington, DC 20581 Subject: FOIA Request Under 5 U.S.C. § 552 Dear Sir, The Commodity Futures Trading Commission plays a vital role in regulating U.S. derivatives markets, including futures, swaps, and certain options. There is strong public interest in understanding the Commission's oversight and enforcement activities. Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I respectfully request the following information: 1. A list of enforcement actions taken by the CFTC from January 1, 2022, to December 31, 2023, involving market manipulation or fraud. 2. Copies of any public advisories or guidance documents issued by the CFTC during this period related to cryptocurrency or digital asset derivatives. 3. Records showing the number of whistleblower tips received between 2022 and 2023, categorized by the type of alleged violation. Please provide the requisite information. Sincerely, Kashif Shahzad Khan WhatsApp: (b)(6)	Shahzad Khan, Kashif
-		04/11/2025	25-00215-FOIA	Please provide the CFTC Regulation 40.2(a) Notification Regarding the Initial Listing filed by KalshiEX LLC for the following markets: "Pro Basketball Western Conference Finals Winner?" (https://kalshi.com/markets/kxnbawest/nba-western-conference-championship) "Masters Tournament" (https://kalshi.com/markets/kxmasters/masters-tournament) "Men's College Basketball Tournament Winner" (https://kalshi.com/markets/kxmarmad/march-tournament-m_)	Pritchard, JJ
-		04/14/2025	25-00013-FPA	I am requesting all the records available on records pertaining to my identity and on the documentation and system dealing with my name is what I mean sorry to not clarify in better explanation I am interested in the contracts if Any and the listed exchanges as well please and my account user name and password again if possible my name is (b)(6)	(b)(6)
-		04/15/2025	25-00216-FOIA	Subject: FOIA Request for KalshiEX LLC Self-Certification Documents Related to March Tournament Event Contract Dear FOIA Officer, Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I hereby request access to and copies of all self-certification submissions, including but not limited to Form 40.2 filings, accompanying exhibits, correspondence, and any related materials, submitted by KalshiEX LLC to the Commodity Futures Trading Commission (CFTC) concerning the event contract titled "March Tournament" (https://kalshi.com/markets/kxmarmad/march-tournament-m) or any similarly named contract related to the NCAA Men's Basketball Tournament. This request encompasses: The initial self-certification submission(s) made by KalshiEX LLC for the aforementioned event contract. Any supplemental materials, analyses, or correspondence between KalshiEX LLC and the CFTC pertaining to the contract's compliance with the Commodity Exchange Act (CEA) and CFTC regulations, including but not limited to discussions related to CEA Section 5c(c)(5)(C) and CFTC Rule 40.11.	Pritchard, JJ
-		04/15/2025	25-00217-FOIA	Subject: FOIA Request for KalshiEX LLC Self-Certification Documents Related to Masters Tournament Event Contract Dear FOIA Officer, Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I hereby request access to and copies of all self-certification submissions, including but not limited to Form 40.2 filings, accompanying exhibits, correspondence, and any related materials, submitted by KalshiEX LLC to the Commodity Futures Trading Commission (CFTC) concerning the event contract titled "Masters Tournament" (https://kalshi.com/markets/kxmasters/masters-tournament) This request encompasses: The initial self-certification submission(s) made by KalshiEX LLC for the	Pritchard, JJ

			forementioned event contract. Any supplemental materials, analyses, or correspondence between KalshiEX LLC and the CFTC pertaining to the contract's compliance with the Commodity Exchange Act (CEA) and CFTC regulations, including but not limited to discussions related to CEA Section 5c(c)(5)(C) and CFTC Rule 40.11.	
	04/18/2025	25-00218-FOIA	Dear FOIA Officer, Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I respectfully request access to all records related to the Commodity Futures Trading Commission's issuance of a No-Action Letter or any form of no-action relief granted to UBS Group AG in connection with its merger with Credit Suisse Group AG, as handled by the Division of Market Oversight (DMO). Specifically, I request access to the following documents dated from January 1, 2023 to the present: Drafts and final versions of the No Action Letter; Internal communications among CFTC staff, commissioners, and/or the DMO regarding this relief; Communications with UBS Group AG, Credit Suisse Group AG, or their legal or regulatory representatives; Legal analyses, risk assessments, or internal memoranda evaluating UBS's compliance or noncompliance with swap clearing and uncleared margin requirements; Communications with other regulatory bodies (e.g., SEC, Federal Reserve, FINMA) regarding this matter; Any documents addressing precedent, market impact, or systemic risk concerns raised in response to this action. I request that all responsive records be provided in electronic format (PDF preferred). If the request is too broad or burdensome, please contact me to discuss potential narrowing or prioritization. Given the public interest in transparency, regulatory consistency, and systemic risk oversight, I also request a waiver of all applicable fees under 5 U.S.C. § 552(a)(4)(A)(iii). Please confirm receipt of this request and feel free to contact me at (b)(6) with any questions or to discuss scope adjustments. Sincerely, David Richardson Carlsbad, CA (b)(6)	Richardson, David
	04/23/2025	25-00219-FOIA	I would like to access data on margin requirement of the following products from 01/01/2018 to 04/21/2025: - Wheat SRW - Corn - Soybean - Soybean meal - Soybean oil - Coffee C - Cocoa - Cotton no.2 - Sugar no.11	Tran, Son
	04/23/2025	25-00220-FOIA	I seek all of the one or more parts of the "CFTC record associated" with "WJH § 13.1 Petition of May 26, 2020 ("§ 13.1 Petition to the Secretariat for the U.S. Commodity Futures Trading Commission to Issue a Rule that Prohibits a Swap Dealer, Major Swap Participant, or Other Regulated Entity from Predicating a Swap Obligation on a Flip Clause, Walk-Away, or Variable Subordination")" that I did not provide via email to the Secretary of the Commission on or before today, April 23, 2025.	Harrington, William
	04/23/2025	25-00221-FOIA	I would like to formally make a FOIA Records Request for the following records between the date range of January 1, 2000 - April 15, 2025 : 1. All correspondence between the CFTC and representatives of Cordish Companies. 2. All correspondence between the CFTC and lobbyists registered under the principal: Cordish Companies. 3. Any complaints or disciplinary actions or sanctions involving representatives of Cordish Companies. 4. Any complaints or disciplinary actions or sanctions involving lobbyists registered under the principal: Cordish Companies. 5. Any calendar entries and/or meeting records that relate to Cordish Companies or involve Cordish Companies representatives. 6. Any calendar entries and/or meeting records that relate to lobbyists registered under the principal: Cordish Companies. 7. All disclosures related to Cordish Companies. 8. All disclosures related to lobbyists registered under the principal: Cordish Companies.	Wortmann, Patrick
Federal Housing Finance Agency	04/24/2025	25-00004-CONS	A copy of the current listing of MOAs and MOUs at FHFA. A copy of each MOA or MOU with a federal agency (for example, HUD, CFPB, etc.)	Easter, Stacy
	04/24/2025		I request access to all records, filings, communications, memos, reports, or investigatory materials related to the	Root, Troy

			25-00222-FOIA	following: - World Liberty Financial (WLF) - \$WLF token - DT Marks DEFI LLC - Eric Trump - WLF Holdco LLC - Any communications between CFTC personnel and individuals or entities affiliated with the Trump family in connection with the above Please include documents from January 1, 2023 to the present.	
A-110-4-2	-	04/28/2025	25-00223-FOIA	1. The mirror swap detail of the CFTC 2. Professional Bios of Major Heads of the CFTC 3. Major Department, Division, Sector of CFTC 4. Current Budget Justification to Stakeholder of CFTC 5. Top 2 Commodities Displaying Erratic Monitoring Record 6. Current (New) Regulation, Monitoring Changes, CFTC Rules new rules of engagement, updates on wheat commodities, all versions 7. Current Budget Justification to Stakeholder of CFTC 8. New updates official considering Ukraine Wheat Market 9. Top Sock Indexed Monitored International by CFTC 10. None of Computer Simulations/Modelling Projects of CFTC	Caraveo (b)(6) Phillip
-	-	04/28/2025	25-00224-FOIA	Dear FOIA Officer, Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the implementing FOIA regulations of the agency, I respectfully request the following records Documents sufficient to account for all FOIA and Mandatory Declassification Review (MDR) logs for Quarter 1, 2025 (comprising of the dates of January 1, 2025 through 30 April, 2025). Please comply fully with 5 U.S.C. § 552(b).	Aamot, Colin
-	-	04/28/2025	25-00225-FOIA	Please provide any documents regarding fines, penalties, or disgorgements by the CFTC against Centaurus Energy Advisors LLC from 2009-2018. Specifically, it is my understanding that a \$2.3M fine for market manipulation in the natural gas futures market was issued in 2018. The firm goes by the following names as well: Centaurus Energy Master Fund, LP Centaurus Energy, LP, Centaurus Energy Partners, LP Centaurus Advisors, LLC Any documents on CFTC investigations into the above firm over the same period would be helpful as well if information on penalties is limited	Foxworthy, Russell
-	-	04/29/2025	25-00014-FPA	1. All records, reports, communications, and actions taken by the CFTC in response to my complaint. 2. All internal investigative reports, memos, case notes, or findings related to my complaint. 3. All communications between the CFTC and the following entities regarding my case: o Fifth Third Bank, Fifth Third Bancorp, Freddie Mac, OCC, CFPB, FDIC, DFPI, and any legal representatives involved. 4. All records, communications, and documentation regarding whether the CFTC conducted an investigation into potential financial and securities violations related to my case. 5. Any internal policies, legal opinions, or procedural guidelines used in handling wrongful foreclosure and financial fraud cases. 6. Any documentation confirming whether an investigation was conducted, the results, and whether enforcement actions were taken.	(b)(6)
Bloomberg News	-	04/30/2025	25-00226-FOIA	All monthly hiring reports submitted by the DOGE team lead to the United States DOGE Service (USDS) Administrator under Section 3(b)(iii) of Executive Order 14210, "Implementing the President's 'Department of Government Efficiency' Workforce Optimization Initiative," from February 11, 2025 through the date of search.	Korte, Gregory

Total No of Requests : 21



CFTC FOIA Office
Three Lafayette Centre 2155 21st Street NW
Washington, DC 20551

Report Date: 06/05/2025
Time: 2:14 PM

Requests Report
Received between 05/01/2025 and 05/31/2025

Final Disposition	Organization	Received Date	Request Description	Request ID	Requester Name
		05-01-2025	Please provide all email correspondence between CFTC agency officials and the law firm Arnold & Porter pertaining to: (1) lease extension of the CFTC Headquarters at 1155 21st Street and (2) termination of the lease at 2551 Street from January 20, 2025 to May 1, 2025.	25-00227-FOIA	Rowe, Michael
		05-01-2025	On Tuesday February 25, 2025, the acting chairman met with Alex Boudreau, Co-Founder and CEO of Exotic Oil, Officer of Borel, Inc. I request any meeting minutes, documents or correspondence and meeting documents related to the meeting. Thanks, Michael Roddan	25-00228-FOIA	Roddan, Michael
Other Reasons - No records	The Wall Street Journal	05-01-2025	Any memoranda, reports or correspondence sent to the Department of Justice, including offices within the Department of Justice and individual executives and staffers, regarding Executive Order 14173, titled "Ending Legal Discrimination And Restoring Merit-Based Opportunity," from January 21, 2025 until the present. If one portion of the request can be filled more quickly -- for example, final memoranda and reports -- I request that these items be sent as soon as possible, even if other portions of the request take longer to fill and are sent separately at a later date.	25-00229-FOIA	Webster, Lauren
Other Reasons - Not a proper FOIA request for some other reason		05-01-2025	Comment for Proposed Rule 75 FR 4143 Comment No: (b)(6) Comment for Proposed Rule 76 FR 4752 Comment No: (b)(6) Please redact my personal information from these comments. My Home Address and Phone Number is listed in both of these Comments and I request that they be redacted. They are not visible via a search on Google.	25-00230-FOIA	(b)(6)
		05-13-2025	Please produce all emails to/from Rostin Behnam containing the terms "position limits" and/or "JP Morgan" and/or "J.P. Morgan" and/or "JPM" between March 1 - July 31, 2024	25-00231-FOIA	Wood, Sumaiya
		05-05-2025	Please produce all emails to/from Caroline Pham containing the terms "position exemptions" and/or "Goldman" for the time period between January 1 - April 30, 2025	25-00232-FOIA	Wood, Sumaiya
		05-05-2025	Please produce all emails to/from Kristin Johnson containing the terms "exemption criteria" and/or "BNA" for the time period between November 1, 2024 - February 28, 2025.	25-00233-FOIA	Wood, Sumaiya
		05-05-2025	1987 CFTC interpretive letter (CFTC Staff Letter No. 87-2), which purportedly clarifies that gold leases between commercial entities for physical delivery and return, used in the normal course of business, do not constitute futures contracts.	25-00234-FOIA	Hilton, Lawrence
		05-06-2025	Scott Schiller 421 North Beverly Dr. Ste 200 Beverly Hills, CA 90210 Scott.Schiller@me.com 310-368-3637 May 5, 2025 FOIA Officer Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, NW Washington, DC 20581 Email: foia@cftc.gov Subject: Freedom of Information Act Request One FOIA Officer, Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I am requesting access to records held by the Commodity Futures Trading Commission (CFTC) regarding the reorganization of the Division of Enforcement's task forces, as announced in the CFTC press release dated April 28, 2025 (https://www.cftc.gov/PressRoom/PressReleases/9071-25). Specifically, I am seeking the following information: The total number of staff members involved in or affected by the reorganization of the Division of Enforcement's task forces. I request that the records be provided in electronic format (e.g., PDF, a email to [Your Email Address]). If any responsive records are available in the CFTC's Electronic Reading Room or other publicly accessible sources, please direct me to those resources. I am requesting these records as an individual for non-commercial purposes and fall under the "all other" requester category for fee purposes. I agree to pay fees up to \$25 for search, review, and duplication. If fees are expected to exceed this amount, please provide an estimate before processing and offer an opportunity to narrow the request. Additionally, I request a fee waiver, as the disclosure of this information is in the public interest, likely to contribute significantly to public understanding of CFTC operations and activities, and is not primarily in my commercial interest. If any records or portions thereof are withheld, please provide a detailed explanation of the specific FOIA exemptions applied. Please process this request expeditiously, as the information is relevant to ongoing public interest in government transparency. For any questions or to clarify this request, please contact me at (312) 393-3627 or Scott.Schiller@me.com. I expect a response within 20 working days, as required by the FOIA. Thank you for your attention to this matter. Sincerely, Scott Schiller I declare under penalty of perjury that the foregoing is true and correct. Executed on May 5, 2025.	25-00235-FOIA	Schiller, Scott
	NOTUS	05-05-2025	I am writing to request the following emails, letters, memoranda, text messages and third-party communications from the CFTC under the Freedom of Information Act related to the agency's decision to propo...	25-00236-FOIA	Giorno, Taylor
	PulCrin Analytics	05-05-2025	Please provide in CSV and format the CFTC FOIA log of requests submitted during the period from Jan 1, 2025 to April 30, 2025. Please include fields for the requester name, requester organization, request creation date, request ID number, and any other routine fields.	25-00237-FOIA	Deherthy, Caitlin
	Bloomberg News	05-07-2025	Spec of any electronic or hard copy correspondence, between the CFTC and outside law firms, including but not limited to correspondence between division chief counsels, the office of general counsel, and outside law firms, regarding requests for edit or red lines on drafts of staff letters or staff advisories, including but not limited to the Staff Letter Relating to Certain Foreign Exchange Transactions (Letter No. 25-10) issued April 9, 2025. Please conduct a search from Jan. 20, 2025 to the current date of your search.	25-00238-FOIA	Reynold, Lydia
		05-10-2025	Any and all documents or records pertaining to him which may be contained in your indices of files	25-00239-FOIA	(b)(6)
Other Reasons - Not a proper FOIA request for some other reason		05-14-2025	H.R. 4173 - Dodd-Frank Wall Street Reform and Consumer Protection Act 111th Congress (2009-2010). Law of Overview Sponsor: Rep. Frank, Barney [D-MA-4] (Introduced 12/02/2009) Committees: House - Agriculture, Financial Services; Energy and Commerce; Judiciary; Rules; Budget; Oversight and Government Reform; Ways and Means Senate - Banking, Housing, and Urban Affairs 2025 Cerberus Capital Management L.P. Assets Under Management ("AUM") is as of March 31, 2024. The AUM referenced represents the total Cerberus-managed funds' AUM, excluding co-investments, but, depending on the type of fund, the AUM calculation would vary. For unlevered commitment funds in their investment period, AUM represents equity commitments and during those funds' post-investment period AUM represents, either adjusted cost or NAV, depending on the fund. The AUM of each multi-strategy fund is assigned across the relevant strategies in proportion to the percent of market value of the investments in the various strategies shifting service and product strategies to the future profile of the high-net-worth demographic, according to The Cerulli Report—U.S. High-Net-Worth and Ultra-High-Net-Worth Markets 2024. Cerulli projects that wealth transferred through 2048 will total \$224 trillion—\$105 trillion is expected to flow to heirs, while \$18 trillion will go to charity. Nearly \$100 trillion will be	25-00239-FOIA	Poutsoukou, Panagiotis

		transferred from Baby Boomers and older generations, representing 81% of all transfers. More than 80% of the overall total volume of transfers (\$62 trillion) is		
Other Reasons - Not a proper FOIA request for some other reason		CS 14 2025H.R. 4173 - Dodd-Frank Wall Street Reform and Consumer Protection Act 111th Congress (2009-2010) Overview Sponsor: Rep. Frank, Barney [D-MA-4] (Introduced 12/02/2009) Committees: House - Agriculture, Financial Services; Energy and Commerce; Judiciary; Rules; Budget; Oversight and Government Reform; Ways and Means Senate - Banking, Housing, and Urban Affairs© 2025 Cerberus Capital Management L.P. Assets Under Management ("AUM") is as of March 31, 2024. The AUM referenced represents the total Cerberus-managed funds' AUM, excluding co-investments, but, depending on the type of fund, the AUM calculation would vary. For unlevered commitment funds in their investment period, AUM represents equity commitments and during those funds' post-investment period AUM represents, either adjusted cost or NAV, depending on the fund. The AUM of each multi-strategy fund is assigned across the relevant strategies in proportion to the percent of market value of the investments in the various strategies	25-00249-FOIA	Koutsoukou, Panagiota
Other Reasons - Not a proper FOIA request for some other reason		CS 14 2025UNDER THE SECURITIES ACT OF 1933 BondBlox Private Credit Trust (Exact name of registrant as specified in its charter) Delaware 6199 (State or other jurisdiction of incorporation or organization) (Primary) Standard Industrial Classification Code Number) (I.R.S. Employer Identification No.) 700 Larkspur Landing Circle, Suite 250 Larkspur, CA 94939 (800) 696-5089 (Address, including zip code, and telephone number, including a na code, of Registrant's principal executive offices) BondBlox Investment Management Corporation 700 Larkspur Landing Circle, Suite 250 Larkspur, CA 94939 (Name, address, including zip code, and telephone number, including a na code, of agent for service) Please send copies of all communications to: Lay L. Benbow, Esq., Clifford R. Kane, Esq., Jacob A. Lapidarian, Esq., Clifford Chance US LLP Two Manhattan West 1195 6th Ave New York, NY 10001 Tel (212) 878-7000 private credit market is a \$30 trillion asset class, and the smallest market of companies that BLMH is focused on is presentBONDH OXX PRIVATE CREDIT CLUB IF Investment Objective The BondBlox Private Credit CLUB IF (the "Fund") seeks capital preservation and current income, fees, and expenses. The following table describes the fees and expenses that you will incur if you buy, hold, and sell shares of the Fund	25-00241-FOIA	Koutsoukou, Panagiota
	Squire Patton Boggs (US) LLP	CS 14 2025Write pursuant to the Freedom of Information Act (FOIA), to request the records described below. This request is on behalf of Squire Patton Boggs (US) LLP. This agency receives complaints from persons asserting they have been the victims of fraud or other unlawful behavior, and our understanding is that the agency maintains a database of the complaints received. We ask the agency to search its database of complaints and produce to us any complaints containing the word "FTX" or any of the entities listed on Appendix A between January 1, 2019 and September 30, 2022. In this request, the term "records" refers to all correspondences, notes, memoranda, telephone records, photographs, permits, maps, studies, data compilations, field notes, analyses, test results, transcripts, electronic transmissions, electronic documents, including "email," or any other material responsive to this request that has been memorialized in writing or other means of data recordation, including all cover letters or transmittal notes attached to such documents (including all internal correspondence, notes, memoranda, electronic transmissions or other records and those transmitted by the agency's contractors and/or subcontractors). This request for "records" specifically includes all records currently in the possession of the agency's contractors, subcontractors, experts, or technical assistants that the agency could obtain through a similar request to such contractors, subcontractors, experts, and technical assistants. If you conclude that some materials that are responsive to the above requests are eligible for withholding pursuant to one or more exemptions, please provide an index listing each document withheld and identifying the grounds for withholding each document. In addition, if the grounds for withholding are applicable to only a portion of a document, please provide the balance of such document with the exempt material redacted. In the event that any complainant's name or address is deemed protected information, we are amenable to your redaction of same in the production of the documents. We request that the above records be provided as soon as possible and on a rolling basis. Please send them to my attention at Squire Patton Boggs (US) LLP, 1120 Avenue of the Americas, 13th Floor, New York, NY 10036. We agree to advance to pay the costs of this request up to a maximum of \$500. If the cost of this request will exceed \$500, please contact me in advance. We are open to and would prefer receiving the records on a disk or in other electronic format, provided that you identify the software and format for the records and that it is a software format generally available for review of electronic records (e.g., Word, WordPerfect, Excel). We would also be happy to send you a hard drive for you to load the records to if you feel that would be the most efficient method. We would like to obtain these records in the least burdensome way for you that will enable us to obtain them quickly and efficiently. To the extent that any of the requested documents or records cannot be located, reviewed, and released within the deadline, we ask that you not delay the release of those documents or records that can be located, reviewed, and released. We appreciate your attention to this request. Please contact me if you have any questions or instructions relating to this request. Thank you in advance for your assistance. Appendix A - List of Websites http://cryptomode.com https://cryptocurrency-sat.com https://pl.beincrypto.com http://fx-arabia.com http://cryptodog.jp https://	25-00242-FOIA	Saney, Michelle
Other Reasons - Duplicate Request	Squire Patton Boggs (US) LLP	CS 14 2025Write pursuant to the Freedom of Information Act (FOIA) to request the records described below. This request is on behalf of Squire Patton Boggs (US) LLP. This agency receives complaints from persons asserting they have been the victims of fraud or other unlawful behavior, and our understanding is that the agency maintains a database of the complaints received. We ask the agency to search its database of complaints and produce to us any complaints containing the word "FTX" or any of the entities listed on Appendix A between January 1, 2019 and September 30, 2022. In this request, the term "records" refers to all correspondences, notes, memoranda, telephone records, photographs, permits, maps, studies, data compilations, field notes, analyses, test results, transcripts, electronic transmissions, electronic documents, including "email," or any other material responsive to this request that has been memorialized in writing or other means of data recordation, including all cover letters or transmittal notes attached to such documents (including all internal correspondence, notes, memoranda, electronic transmissions or other records and those transmitted by the agency's contractors and/or subcontractors). This request for "records" specifically includes all records currently in the possession of the agency's contractors, subcontractors, experts, or technical assistants that the agency could obtain through a similar request to such contractors, subcontractors, experts, and technical assistants. If you conclude that some materials that are responsive to the above requests are eligible for withholding pursuant to one or more exemptions, please provide an index listing each document withheld and identifying the grounds for withholding each document. In addition, if the grounds for withholding are applicable to only a portion of a document, please provide the balance of such document with the exempt material redacted. In the event that any complainant's name or address is deemed protected information, we are amenable to your redaction of same in the production of the documents. We request that the above records be provided as soon as possible and on a rolling basis. Please send them to my attention at Squire Patton Boggs (US) LLP, 1120 Avenue of the Americas, 13th Floor, New York, NY 10036. We agree to advance to pay the costs of this request up to a maximum of \$500. If the cost of this request will exceed \$500, please contact me in advance. We are open to and would prefer receiving the records on a disk or in other electronic format, provided that you identify the software and format for the records and that it is a software format generally available for review of electronic records (e.g., Word, WordPerfect, Excel). We would also be happy to send you a hard drive for you to load the records to if you feel that would be the most efficient method. We would like to obtain these records in the least burdensome way for you that will enable us to obtain them quickly and efficiently. To the extent that any of the requested documents or records cannot be located, reviewed, and released within the deadline, we ask that you not delay the release of those documents or records that can be located, reviewed, and released. We appreciate your attention to this request. Please contact me if you have any questions or instructions relating to this request. Thank you in advance for your assistance. Sincerely, Squire Patton Boggs (US) LLP Michelle N. Saney, Esq.	25-00243-FOIA	Saney, Michelle
	Bloomberg News	CS 15 2025Phase 1 and Phase 2 Agency RIF and Reorganization Plans (ARRPs) submitted to the Office of Personnel Management and the Office of Management and Budget pursuant to the memo of February 26, 2025 RE Guidance on Agency RIF and Reorganization Plans Requested by Implementing The President's Department of Government Efficiency Workforce Optimization Initiative."	25-00244-FOIA	Korte, Gregory
Other Reasons - Not a proper FOIA		CS 15 2025Confidential Existing Records.	25-00216-FPA	(b)(6)

request for some other reason					
Other Reasons - Not a proper FOIA request for some other reason		05-15-2025	05-15-2025	05-15-2025	05-15-2025
Other Reasons - Public Records	T.T.C.C. B.A. 105	05-15-2025	05-15-2025	05-15-2025	05-15-2025
Other Reasons - Records not reasonably described	Steadfast Fiduciary Advisors LLC	05-19-2025	05-19-2025	05-19-2025	05-19-2025
Other Reasons - Duplicate Request	Squire Patton Boggs (US) LLP	05-20-2025	05-20-2025	05-20-2025	05-20-2025
Other Reasons - Public Records	Deering Background Review Unit	05-23-2025	05-23-2025	05-23-2025	05-23-2025
		05-27-2025	05-27-2025	05-27-2025	05-27-2025
	West Texas Lighthouse for the Blind / Lighthouse Q	05-27-2025	05-27-2025	05-27-2025	05-27-2025
Other Reasons - Not a proper FOIA request for some other reason		05-28-2025	05-28-2025	05-28-2025	05-28-2025

Total No of Requests : 28



Report Date: 08/06/2025
Time: 4:20 PM

Requests Report
Received between 06/01/2025 and 06/30/2025

Requester Name	Request ID	Request Description	Requested Date	Organization
Korte, Gregory	25-00253-FOIA	All communication with the Office of the Attorney General and the Office of Management and Budget concerning the implementation of Executive Order 14173, "Ending Illegal Discrimination and Restoring Merit-Based Opportunity," including any reports required under Section 4 of that executive order.	06/01/2025	Bloomberg News
BROWN DENNIS, MICAH	25-00254-FOIA	I WANT INFORMATION ON FUTURE STOCK PERFORMANCE SINCE THE TIME TRAVEL started. we have proof. that satan the donald trump asdmin the exutive branch. the congress. and the repub ca " party are satan worshippers or were turned into galations by dt covid 19 or mrna. so please go back and make sure things go right by monitoring stock performance through hiostory with and without historical reviosionism. also i demand to know the results	06/02/2025	GOLDMAN SACHS
Perryman, Skye	25-00255-FOIA	Democracy Forward Foundation ("DFF") requests that your agency produce the following within twenty (20) business days: 1) All initial reports and plans submitted by the head(s) and/or acting head(s) of your department/agency to OPM (at employ@opm.gov or otherwise) as required by OPM Director Charles Ezell's April 28, 2025 memorandum titled, "Initial Guidance on President Trump's Executive Order Strengthening Probationary Periods in the Federal Service". 2) All documents reflecting the appointment of designees responsible, or any other delegation of authority, for evaluating the continued employment of probationary/trial period employees at your agency. 3) All guidance, policies, memoranda, or directives created by your agency to implement OPM Director Charles Ezell's April 28, 2025 memorandum titled, "Initial Guidance on President Trump's Executive Order Strengthening Probationary Periods in the Federal Service", including, but not limited to, records created to effectuate the repeal of any rule or regulation pursuant to Executive Order 14284. This request seeks records from April 24, 2025 until the date of the search.	06/02/2025	Democracy Forward Foundation
Harty, Declan	25-00256-FOIA	Any emails, memos, guidance and/or other related materials put together/drafted/crafted by the Office of the General Counsel between October 2021 and April 2022 detailing the Office's perspective on the legality of a single-person commission at the CFTC.	06/03/2025	POLITICO
Sterling, Joshua	25-00257-FOIA	Official record of the seriatim vote on the designation order for Quanta Exchange.	06/03/2025	Milbank
Kelley, Sam	25-00258-FOIA	For the time period Jan 1 2019 to the time the search is conducted: All policies, analyses, correspondence, meeting minutes, memoranda, reports, or electronic communications in relation to: -cryptocurrency order routing -retail cryptocurrency trading -payment-for-order-flow ("PFOF") in crypto markets -best execution for retail crypto	05/27/2025	MuckRock News
(b)(6)	25-00017-FPA	I am requesting a copy of my entire personnel file. (b)(6)	05/27/2025	-
Sterling, Joshua	25-00259-FOIA	We wish to receive a record of all votes by former Commissioners Mersinger and Goldsmith Romero on matters that either (a) were in seriatim with the Commission as of June 2, 2025 or (b) have been removed from seriatim after May 31, 2025. If it simplifies matters for the Commission, we would ask only for votes by those former Commissioners on applications for designation as a DCM or approval as a SEF or DCO where any such designation or approval was not concluded as of May	06/03/2025	Milbank

		31, 2025. In essence, we are trying to understand to what extent the Commission has relied, is relying, or may in the future rely upon votes of former Commissioners following their resignations and departures in order to take final Commission action on seriatim matters.		
Doherty, Caitlin	25-00260-FOIA	Please provide in CSV or Excel format the CFTC FOIA log of requests submitted during the period from May 1, 2024 to May 31, 2025. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	06/04/2025	PoliScio Analytics
Massa, Annie	25-00261-FOIA	1. Complete lists of all personnel with title/role that includes "special advisor" or "special assistant" hired on or after January 1, 2025, and their corresponding financial disclosures; 2. Spreadsheet listing all names of personnel who received "special advisor" or "special assistant" designation hired on or after January 1, 2025 and their corresponding financial disclosures	06/06/2025	Bloomberg News
Bosco Tafro, Lisa	25-00018-FPA	I would like any of my private information that has been seized by DOGE.	06/07/2025	-
Duong, Richard	25-00263-FOIA	I would like to request any information that the government may have on me that may have a direct or indirect impact on my transactions.	06/13/2025	-
Haraguchi, Yuki	25-00265-FOIA	This is a request for records made pursuant to the Freedom of Information Act 5 U.S.C. § 552. We request production of the following: 1. Any and all documents relating to the Assignment of Benefit of Creditors (ABC) of Wyre Payments, Inc. and Wyre, Inc. and the formation of YER ABC, LLC (together the "Wyre Companies"). 2. Specifically, we are interested in viewing any information submitted to the CFTC (or any other agency the CFTC may control documents of) by the Wyre Companies regarding: a. their claimed assets; b. their plan for liquidation of those assets; c. what method the Wyre Companies used to evaluate the monetary value of their assets; d. their claimed liabilities; e. their plan for distributing payments for their liabilities; f. any information related to returning customer accounts. 3. Any and all written information or documents the CFTC have sent to the Wyre Companies regarding the ABC process. 4. Any information related to Rock Wallet, LLC acquiring the customer accounts of Wyre Companies. 5. Any information related to Wyre Companies' customer (b)(6). 6. Any and all information regarding creditors of Wyre Companies including secured, priority, and unsecured creditors. 7. Any and all information regarding Wyre Companies' plan to make creditor distributions. Please note that we are willing to pay the appropriate costs or fees attributable to this request. We do not require notice of fees unless they exceed \$500. If the estimated costs for production will exceed \$500, please contact us before proceeding. Please produce documents electronically to yharaguchi@hphattorneys or to: Yuki Haraguchi, Esq. Holmes Pittman & Haraguchi LLP P.O. Box 380 Chester, MD 21619 You can contact us any time at (202) 329-3558 with further questions. Thank you for your assistance with this request. Respectfully, Yuki Haraguchi Attorney for (b)(6) (202) 660.2788 yharaguchi@hphattorneys.com	06/10/2025	-
Small, Jenny	25-00284-FOIA	In August, 2022 Commodity Futures Trading Commission (CFTC) released an RFQ (Solicitation #9523ZY22Q0068) for Palo Alto Networks' Prisma Access SASE licenses, maintenance and support. As part of the RFQ consideration, CFTC provided a Brand-Name Justification (J&A). In this J&A, CFTC indicates this is required to support CFTC's implementation of Zero Trust Architecture (ZTA) across the enterprise which supports a phased approach to comply with Federal mandates like Executive Order 14028: Improving the Nation's Cybersecurity and M-22-09 - Moving the US Government toward Zero Trust Cybersecurity policy. As a result of the RFQ process, Four LLC was awarded a fixed price base plus 3 option year Delivery Order (No. 9523ZY22F0087, dated 8/29/2022) under SI WP Contract No. NNG15SC/3B to provide CFTC with the Palo Alto products identified on the RFQ. On April 23, 2025, Four LLC received Modification P00006, terminating the order in its entirety for the convenience of the Government. Since Executive Order 14028 and M-22-09 (referenced above) are still valid Federal mandates which CFTC must comply with, Four LLC request copies of any awards for: 1. Copies of documentation used by CFTC to make the determination that it was in the government's best interest to terminate for convenience Order No. 9523ZY22F0087; 2. Any other orders for Palo Alto Networks' Prisma Access SASE licenses, maintenance and support provided under Four LLC's Delivery Order identified above, which have been awarded to other Prime Contractors for the time from 8/29/2022 thru present; and 3. Any other orders for other SDWAN products, which perform the same or similar functions as the Palo Alto products provided under Four LLC's Delivery Order identified above which have been awarded to other Prime Contractors for the time from 8/29/2022 thru present.	06/24/2025	Four, LLC

(b)(6)	25-00285-FOIA	(b)(6)	06/24/2025	-
(b)(6)	25-00286-FOIA	(b)(6)	06/24/2025	-
Belyea, Andrew	25-00287-FOIA	Hello, I would like to request and and all documentation regarding U.S. Navy Rifle MARK 2 MOD 1 or more commonly (M1 Garand Rifle 7.62NATO) Serial number : 1772978. I am willing to pay up to \$25 for this information.	06/25/2025	N/A
Burton, Timothy	25-00288-FOIA	I am looking at any records from the Army (Walter Reed), Navy (San Diego Naval) or any VA Hospital that has performed, paid for, or authorized any active duty, veteran, or federal employee to receive Discseel or any use of fibrin in the spine. I would also like any records pertaining services or payments performed by Dr. Kevin Pauza, Dr. Sebastian Klisiewicz, or Dr. Boris Terebuh and any said practice concerning any procedure covering the spine. No PII or PHI is requested, just the claims or number of procedures performed, specifically those related to, but not limited to Discsee .	06/27/2025	None
Marchan, Ephraim J	25-00289-FOIA	Agency Documents: Request all official documents, reports, and communications related to the CFTC's establishment and historical development since 1974. Regulatory Actions: Obtain records of all major regulations, rulemakings, and policy changes implemented by the CFTC since its inception. Enforcement Records: Request information on enforcement actions taken against entities violating commodity futures and options regulations, including case details and outcomes. Budget and Funding: Seek detailed records of the agency's yearly budgets, funding allocations, and expenditures since 1974. Commission Membership: Request information on the composition, appointment processes, and terms of CFTC commissioners over the years. Public Comments and Hearings: Obtain records of public comments, hearings, and stakeholder engagement related to key regulatory decisions. Performance and Oversight Reports: Request annual performance reports, audits, and evaluations assessing the CFTC's effectiveness in fulfilling its mandate.	06/27/2025	TROTTYZONE
Leung, Shirley	25-00290-FOIA	U.S. immigration, naturalization, employment, and tax records for (b)(6) Time period 1920 - 1980.	06/26/2025	-
(b)(6)	25-00291-FOIA	I respectfully request copies of all records pertaining to ALL MY Lawful ENTRIES AND EXITS to the United States with my visitor's Visa through the port of entry of San Ysidro, CA. Please provide complete records available at the CBP database including any Form I-94s. In addition, please provide records of any interactions with CBP at the border around 1994 or 1996 when a document was presented to enter the USA but entry was denied. I do not have a copy of my entry document, but what I remember is that I would always enter the United States accompanied by my mother (b)(6) and my visa was attached to her passport which unfortunately was lost. I would really appreciate it if you search in your system and provide any record that could exist pertaining to any of my entry to the United States, based on my complete name and my date of birth.	06/30/2025	-
Cummins, Tom	25-00299-FOIA	[1.] The complete set of files transmitted by SIC staff to CFTC staff on or about October 21 and 22, 2021, in response to the CFTC's request for a grant of access dated October 18, 2021 (transfer email is PDF page 175 of 234 pages in the last production, subject line: "[EXTERNAL] NY-10181, requested documents" and request email is PDF page 92); [2.] Follow-up internal emails, memos, or notes generated by James Wheaton and Manal Sultan based on their calls with James Cavoli at Milbank discussed in the August 9, 2021 email (PDF page 186; subject line: "[EXT] Re: Call/Meeting re JPMorgan?" where Cavoli says to Wheaton and Sultan: "Would Monday August 16 work for you for a call/video conference with Simpson Thatcher lawyers and Milbank to address the findings in SEC context and other questions that came up on our last call?"); That August 9 email describes at least two calls on SEC findings. We ask for Wheaton and Sultan's pre and/or post meeting emails, memos, or notes of factual recitations about "SEC context." [3.] Follow-up internal emails, memos or notes of pre and/or post meeting factual recitations generated by James Wheaton concerning February 8 and 10, 2021 calls with Margaret Rubin, Executive Director and Assistant General	06/16/2025	Washington Global Law Group

		Counsel Compliance at JP Morgan. (PDF page 13). [4.] All emails and attachments or other communications between Margaret Rubin and CFTC staff from January 1, 2020 (when JP Morgan self-reported to the SEC) to December 1, 2021. This request expands our request from CFTC custodians James Wheaton and Jack Murphy. But the limited scope of the request should make this production narrow. [5.] All emails and attachments or other communications between Alex Biel, Dan Michael, and Thomas Smith of the SEC and CFTC staff from May 1, 2021 and December 1, 2021 regarding this matter. This request expands our request from CFTC custodians James Wheaton and Jack Murphy. But the limited scope of the request should make this production narrow. [6.] All emails, memos, or notes generated by James Wheaton relating to his November 15, 2021 Subpoena to JPM c/o Milbank (PDF page 200), including those generated before and after the Subpoena, and any response and responsive documents provided by JPM related to such Subpoena. [7.] All James Wheaton and Jack Murphy emails that cite FINRA from July 2021 to December 2021 on this matter. (PDI page 116 where Cavoli tells Wheaton that FINRA wanted an CFTC contact). FINRA would have been in charge of disciplining [REDACTED] and is one of the search terms) and other JPM employees found to have violated communications policies as a result of JPM's investigation of off-channel communications usage.		
Howell, Mike	25-00266-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: STEVEN ADAMSKE, DIRECTOR: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspeninstitute.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request all responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search timeframe: Please limit all	06/12/2025	The Daily Signal and Oversight Project
Howell, Mike	25-00267-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: CLARK HUTCHISON, DIRECTOR: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspeninstitute.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request a	06/12/2025	The Daily Signal and Oversight Project

		responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all		
Howell, Mike	25-00268-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: CAROLINE PHAM, COMMISSIONER: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspensite.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request a responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all	06/12/2025	The Daily Signal and Oversight Project
Howell, Mike	25-00269-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: ROSTIN BEHNAM, CHAIRPERSON: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspensite.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive	06/12/2025	The Daily Signal and Oversight Project

		documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request all responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all		
Howell, Mike	25-00270-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: CHRISTY GOLDSMITH ROMERO, COMMISSIONER: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspeninstitute.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request all responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other Supporting documentation Fees Request category	06/12/2025	The Daily Signal and Oversight Project
Howell, Mike	25-00271-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: KRISTIN JOHNSON, COMMISSIONER: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspeninstitute.org'	06/12/2025	The Daily Signal and Oversight Project

		OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request a responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all		
Howell, Mike	25-00272-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: TIMOTHY ACHINGER, CONFIDENTIAL ASSISTANT: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspensite.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request all responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other	06/12/2025	The Daily Signal and Oversight Project
Howell, Mike	25-00273-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: SUMMER MERSINGER, COMMISSIONER: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR	06/12/2025	The Daily Signal and Oversight Project

		stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspeninstitute.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request all responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all		
Howell, Mike	25-00274-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: NICHOLAS ELLIOT, CONFIDENTIAL ASSISTANT: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspeninstitute.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request all responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all	06/12/2025	The Daily Signal and Oversight Project
Howell, Mike	25-00275-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: TAYLOR FOY, SENIOR ADVISOR: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR	06/12/2025	The Daily Signal and Oversight Project

		hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspeninstitute.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request all responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all		
Howell, Mike	25-00276-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian: ZACHARY COPLAN, CONFIDENTIAL ASSISTANT: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspeninstitute.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request all responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all	06/12/2025	The Daily Signal and Oversight Project
Howell, Mike	25-00277-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, and the agency's implementing regulations, I hereby request copies of the following agency records, specifically for the custodian:	06/12/2025	The Daily Signal and

		HARRY JUNG, SENIOR ADVISOR: Documents sufficient to account for all communications to or from the custodian to or from the following email domains: 'law.harvard.edu' OR 'harvard.edu' OR 'hks.harvard.edu' OR 'post.harvard.edu' OR 'gwu.edu' OR 'vt.edu' OR 'american.edu' OR 'wcl.american.edu' OR 'cpl.ucla.edu' OR 'ucla.edu' OR 'georgetown.edu' OR 'law.georgetown.edu' OR 'stanford.edu' OR 'law.stanford.edu' OR 'brown.edu' OR 'umich.edu' OR 'yale.edu' OR 'nyu.edu' OR 'brennan.law.nyu.edu' OR 'berkeley.edu' OR 'calstatela.edu' OR 'cornell.edu' OR 'aspens.institute.org' OR 'brookings.edu' OR 'nas.edu'. Please use each email domain root as a separate, distinct search term for e-discovery and search purposes. Search Instructions: For any potentially responsive documents please include all file attachments regardless of type and the entire email chain for completeness. I am amicable to a rolling production as records are available. I request a responsive documents be delivered electronically, via email. To further narrow down the scope of the request, requester does not seek correspondence that merely forwards press clippings, such as news accounts or opinion pieces, newsletters, and published or docketed materials, if that correspondence has no comment or no substantive comment added by any party in the thread. Records Definitions: The terms "pertaining to", "referring", "relating", or "concerning" with respect to any given subject means anything that constitutes, contains, embodies, reflects, identifies, states, refers to, deals with, or is in any manner whatsoever pertinent to that subject. The term "record" means any written, recorded, or graphic matter of any nature whatsoever, regardless of how recorded, and whether original or copy, including, but not limited to, the following: memoranda, reports, expense reports, books, manuals, instructions, financial reports, working papers, records, notes, letters, notices, confirmations, telegrams, receipts, appraisals, pamphlets, magazines, newspapers, prospectuses, inter-office and intra-office communications, electronic mail (emails), MMS or SMS text messages, instant messages, messaging systems (such as IRC, WebIRC, Rocketchat, Signal, iMessage, Microsoft Teams, WhatsApp, Telegram, Signal, Google Chat, Twitter direct messages, Lync, Slack, and Facebook Messenger), contracts, cables, telexes, notations of any type of conversation, telephone call, voicemail, meeting or other communication. Search Timeframe: Please limit all		Oversight Project
Archer III, Alexander	25-00278-FOIA	Under the Freedom of Information Act, 5 USC Section 552, I am requesting information or records regarding any and all communications and records relating to the voting record of the Commissioners of the CFTC with regards to the approval of Railbird Exchange, LLC for Designation as a Contract Market. Specifically included in this request is any communications and records of Acting Chairman Caroline Pham with regards to any voting record or explanation of recusal in said vote of the Commission with regards to the approval of Railbird Exchange, LLC for Designation as a Contract Market. Thank you!	06/17/2025-	
Submitter, Anonymous	25-00279-FOIA	Please provide this information for all offices: Number of employees covered by (a/any) collective bargaining agreement(s) that are currently teleworking at maximum capacity allowed as of June 1, 2025. Expiration of the current telework agreement offered to said employees and all emails between Union employees addressing future telework once the current agreement expires. Please provide this information for the Chicago office: Number of employees physically showing up to the office 4 or more days a week as required by the executive order. Number of employees that are not showing up to the office more than 4 days a week due and are still teleworking on a recurring basis of 2 or more days a week.	06/18/2025-	
Baughman, John	25-00281-FOIA	all documents concerning any recommendations, communications, consultations, suggestions, or other involvement by any employee of the CFTC's Division of Enforcement with respect to Gemini Titan, LLC's May 20, 2020 application to become a designated contract market. Responsive documents would likely have been created between May 20, 2020 and December 31, 2022.	06/18/2025	Baughman Kroup Bosse
Koutsoukou, Panagiota	25-00282-FOIA	https://news.gtp.gr/2025/04/11/delphi-forum-greeces-public-investment-program-to-surpass-e17-billion-in-2026/	06/21/2025-	
Sullivan, John	25-00283-FOIA	ERIC WHITAKER 904.598.0034 ewhitaker@bishoppage.com June 24, 2025 FOIA Compliance Office Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, NW Washington, DC 20581 Via Online Portal Re: Estate of Gregory V. Faull v. McAfee, United States District Court, Middle District of Florida, Case No. 6:13-cv-1746 Dear FOIA Officer: Our firm represents the Estate of Gregory V. Faull concerning efforts to collect on a wrongful death judgment against John McAfee ("McAfee"; DOB: (b)(6)), issued by the U.S. District Court Middle District of Florida on March 20, 2019, case number 6:13-cv-1746-Orl-31LRH. Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I am requesting documents from the Commodity Futures Trading Commission (the	06/24/2025	Bishop & Page, PLLC

"CFTC") related to McAfee's assets, and the CFTC's investigation into those assets. Specifically, I request all files, documents, records, and any other forms of information pertaining to McAfee, dated from January 1, 2015, to the present. This includes, but is not limited to, the following:

- Records reflecting assets, accounts, ownership interests, or financial disclosures involving McAfee;
- Trading activity or account statements obtained by the CFTC in connection with investigations;
- Documents related to any asset freeze, forfeiture, restitution, or disgorgement involving McAfee;
- Investigative reports or case files involving or referencing McAfee;
- Complaints filed against McAfee, formally or informally;
- Records of any enforcement actions, settlements, administrative proceedings, or formal cases brought by the CFTC against McAfee;
- Orders, findings, or decisions issued by the CFTC concerning McAfee;
- Communications to, from, or referencing McAfee, including with third parties;
- Records of McAfee's registration, licensing, or regulatory status with the CFTC;
- Internal memos, notes, or briefing materials referencing McAfee;
- Press releases, public statements, or referrals to or from other agencies involving McAfee.

If possible, I prefer to receive responsive records in electronic format. I authorize fees related to this request up to \$500. If fees exceed \$500 or if any pre-payment of fees is required, please contact me with an estimate of expected fees. To assist you in identifying information related to McAfee and provide background for my request, attached to this letter are several documents. They are: 1. Amended Memorandum Opinion and Order, Estate of Gregory V. Faull v. McAfee, case no. 6:13-cv-1746-Orl-31LRH, U.S. District Court Middle District of Florida; 2. Judgment in a Civil Case, Estate of Gregory V. Faull v. McAfee, case no. 6:13-cv-1746-Orl-31LRH, U.S. District Court Middle District of Florida; 3. Plaintiff's Notice of Voluntary Dismissal as to Defendant John David McAfee, Commodity Futures Trading Commission v. John David McAfee, et al, case no. 21-cv-1919 (JGK), U.S. District Court Southern District of New York. If you require any additional information to understand the nature and scope of this request, please contact me directly. My email address is ewhitaker@bishoppage.com and my telephone number is (904) 598-0034. I look forward to hearing from you and appreciate your assistance with this matter. Sincerely, BISHOP & PAGE Eric Whitaker EW/ns/

Total No of Requests : 39



CFTC FOIA Office
Three Lafayette Centre 1155 21st Street NW
Washington, DC 20581

Report Date: 08/06/2025
Time: 4:34 PM

Requests Report
Received between 07/01/2025 and 07/31/2025

Requester Name	Request ID	Request Description	Organization	Received Date
Atkins, Sheryl	25-00292-FOIA	See	FBI	07/08/2025
Koutsoukou, Panagiota	25-00293-FOIA	https://www.nissanbook.ru/en/Serena/C24/electrics/schemes/principialnaya-elektricheskaya-shema-avtomobilya	-	07/08/2025
Hindley, Connor	25-00294-FOIA	All documents, communications, emails, memoranda, reports, notes, and records** in the possession of the Commodity Futures Trading Commission relating to FTX Trading Ltd., FTX US, FTX.com, or Alameda Research **from January 1, 2021 through March 31, 2021**, including but not limited to: ### **FTX Oversight Records:** 1. **Registration applications** and related correspondence from FTX entities 2. **Regulatory correspondence** between CFTC staff and FTX representatives 3. **Examination reports** or compliance reviews of FTX operations 4. **Risk assessments** of FTX's business model, products, or market impact 5. **Internal CFTC communications** discussing FTX's regulatory status or operations ### **GameStop/Market Volatility Period Communications:** 6. **Communications regarding extreme volatility** in equity markets during January-March 2021 7. **Interagency communications** with SEC, Federal Reserve, Treasury, or other agencies regarding market volatility and FTX 8. **Market surveillance reports** or analyses mentioning FTX during high volatility periods 9. **Communications regarding tokenized stocks** or equity derivatives offered by FTX 10. **Risk monitoring reports** related to retail trading platforms during the GameStop trading surge ### **Specific Areas of Interest:** - FTX's role as a derivatives exchange during equity market volatility - Any discussions of FTX's tokenized stock products and their market impact - Coordination between CFTC and other	-	07/08/2025
Woodhead, Irina	25-00295-FOIA	Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I respectfully request access to any and all records, filings, reports, and correspondence submitted by or related to: Shell Trading (US) Company (also known as STUSCO) Headquartered at: 1000 Main Street, Suite 1700, Houston, TX 77002 Possible NFA/CFTC registration involving trading, swap dealer status, and/or risk management compliance. Specifically, I am requesting: 1. Copies of any annual Chief Compliance Officer (CCO) reports submitted by Shell Trading (US) Company under CFTC 2. Any risk management program (RMP) summaries, filings, or notifications made by Shell Trading (US) Company pursuant to Dodd-Frank compliance obligations. 3. Any formal enforcement actions, warnings, deficiency letters, or correspondence between STUSCO and the CFTC regarding operational risk management, internal controls, or trading conduct between 2015 and the present. 4. Confirmation of the company's registration status with the CFTC. Pursuant to the Freedom of Information Act (5 U.S.C. § 552), I respectfully request access to any and all records, filings, reports, and correspondence submitted by or related to: Shell Trading (US) Company (also known as STUSCO) Headquartered at: 1000 Main Street, Suite 1700, Houston, TX 77002 Possible NFA/CFTC registration involving trading, swap dealer status, and/or risk management compliance. Specifically, I am requesting: 1. Copies of any annual Chief Compliance Officer (CCO) reports submitted by Shell Trading (US) Company under CFTC 2. Any risk management program (RMP) summaries, filings, or notifications made by Shell Trading (US) Company pursuant to Dodd-Frank compliance obligations. 3. Any formal enforcement actions,	-	07/08/2025

		warnings, deficiency letters, or correspondence between STUSCO and the CFTC regarding operational risk management, internal controls, or trading conduct between 2015 and the present. 4. Confirmation of the company's registration status with the CFTC .		
(b)(6)	25-00296-FOIA	The name: [ALL CAPS LEGAL NAME] (b)(6) SSN: [Insert SSN or redact as appropriate] (b)(6) (b)(6) -Any related EINs, Treasury Direct Accounts, or accounts held in my name or assigned to my person or legal estate. This includes but is not limited to: - Original issue discount (OID) bonds issued or monetized by the Treasury, - Bonds connected to my birth certificate, Social Security account, or legal fiction, - Any transactional logs showing usage of my credit, estate, or bonded instruments, - Accounts associated with my (b)(6) (b)(6)	Cosmiclee Private Express Trust	07/09/2025
(b)(6)	25-00019-FPA	(b)(6) 17 U.S.C. §2550(2)(A) (CEA) (b)(6) (b)(7)(C) (b)(7)(D)		07/10/2025
(b)(6)	25-00020-FPA	I am requesting all records that pertain to my employment with/at the CFTC from 04/2020 - 11/30/2022. The records I am seeking are any and all emails that pertain to me to include emails sent/received from my user email account.	Self	07/10/2025
Kochurka, Kim	25-00006-CONS	This email concerns a request from Colin Aamot, which was received by this office on March 1, 2024. The requester is seeking calendars for the months of February, March, April, and June of 2024 and communications to/from specified email domains and containing specific keywords for Assistant Section Chief David Chu. The Antitrust Division located the attached records, portions of which contain information about your agency or an office for which you are responsible. We are seeking your input regarding the disposition of these records, including whether any portions should be withheld and if so, which exemptions apply. You do not need to respond to the requester; we will do	U.S. Department of Justice, Antitrust Division	07/14/2025

		so after receiving your input. A copy of the initial request, consolidation letter to the requester, and the records are attached for your reference.		
Anic, Monika	25-00297-FOIA	I would like to submit a request for a copy of the 12-month lease extension for CFTC's headquarters building located at 1155 21st St. NW, Washington, DC 20581. The award and justification was posted on SAM under Project ID: CFTCHQLeaseExtension - https://sam.gov/opp/3084432d952547df95d892a524209139/view	FD Stonewater	07/14/2025
Vallipuram, Mithran	25-00298-FOIA	I'm interested in compiling records relating to the NYSE listed stock \$HKD (AMTD Digital) during the period of July 1, 2022, to August 31, 2022. The records I seek to attain would be investment reports, internal memos, communications, and any records and summaries relating to the 21,000% surge in \$HKD stock that occurred within the period. Records relating to AMTD Digital or any its related subsidiaries would be best in electronic form.	-	07/15/2025
Bonds, James	25-00300-FOIA	Commitment of Traders Report	-	07/17/2025
(b)(6)	25-00301-FOIA	I am requesting contact information for the Quaxt Top crypto brokerage. I believe they have defrauded me and there is no contact information on the App or on the web. They have no website. I searched the SEC database and found nothing. I believe that they should be registered in this agency. The Apple App store lists Warren Correa as developer, but nothing more.	-	07/22/2025
Jennings, Priscilla	25-00021-FPA	This request is being made for lawful fiduciary, administrative, and estate settlement purposes. Please search your databases, regulatory filings, and archived records for any documentation connected to her name, Social Security Number, or estate from 1970 to 2025. I specifically request: 1. Trading Account and Derivatives Oversight o Records indicating whether (b)(6) held or was linked to any futures, options, swaps, or other derivatives accounts regulated by the CFTC o Registration data, trading licenses, or investor profiles associated with her personally or under any trust or estate designation 2. Market Participant and Clearing Data o Records showing whether any Designated Contract Market (DCM), Swap Execution Facility (SEF), or Derivatives Clearing Organization (DCO) reported trades linked to her identity o Name matches in trading surveillance logs or trader identification databases 3. Fraud, Restitution, or Enforcement History o Investigations, enforcement actions, or fraud cases where (b)(6) was listed as a claimant, victim, or associated party o Records of restitution orders, disbursements, or unclaimed recovery funds 4. Estate and Trust-Linked Financial Accounts o Any records of trust accounts, fiduciary-managed trading accounts, or authorized third-party access to markets under her name o Documentation indicating intergenerational or posthumous trading control, assets, or investment vehicles connected to the estate 5. Data Collection and Internal Oversight Logs o Internal reports, audit trails, or regulatory memos referencing her SSN, name, or financial accounts o Correspondence between the CFTC and exchanges, firms, or custodians involving her or the estate 6. Off-Ledger or Legacy Instrument Disclosures o Any documentation of legacy commodities contracts, bearer instruments, or off-ledger transactions under review or monitoring by the CFTC involving her name	-	07/22/2025
Jennings, Priscilla	25-00022-FPA	I, Priscilla Jane Jennings, in my official fiduciary capacity as Administrator of the (b)(6) estate, respectfully submit this petition to request the discovery and full disclosure of all accounts, instruments, ledgers, and financial positions-on-ledger and off-ledger-that may have been opened, operated, or otherwise associated with the decedent or the decedent's estate. • This request includes, but is not limited to: 1. Custodial, fiduciary, or legacy accounts held in the name of (b)(6) during her lifetime; 2. Any securitized instruments, collateralized assets, or treasury obligations assigned or traded in her name or Social Security Number; 3. All on-ledger financial activity reported through standard public accounting or records; 4. All off-ledger (shadow ledger, internal, or undisclosed) accounting entries or records that reflect holdings, claims, transactions, or obligations assigned under her legal or financial identity; 5. Related CUSIP identifiers, internal bank reference codes, digital wallet accounts, trust accounts, or legacy bearer bonds; 6. Documentation of any ledger-based accounts created under fiduciary registration, estate number, EIN, or trust EIN.	-	07/22/2025
Reynolds, Sam	25-00302-FOIA	Requested Records: Subpoenas Issued by Joseph Platt in Case No. C9543 (In re Polymarket) All subpoenas issued under the authority of Trial Attorney Joseph Platt, including but not limited to subpoenas sent to third parties, individuals, or entities in relation to the Polymarket investigation. Any cover letters or correspondence accompanying these subpoenas. Internal Notes and Memoranda Any internal memoranda, notes, meeting summaries, or draft subpoenas authored or received by Joseph Platt, or any other Enforcement Division staff, relating to the investigation into Polymarket	CoinDesk News	07/23/2025

		(C9543). Notes or memoranda referencing deliberations or decisions about issuing subpoenas or enforcement strategy in the Polymarket case. Communications with Other Agencies Communications between the CFTC and any other federal or state agencies (e.g., DOJ, SEC, FinCEN) regarding Polymarket, including referrals, coordination efforts, or shared investigatory material. Closing Memos or Resolution Documents Any closing memorandum, case closure notification, or final determination letter documenting the conclusion of CFTC case C9543, including any rationale for enforcement action or decision to close the matter. FOIA-Processable Attachment A Templates A redacted version of the "Attachment A" included with subpoenas issued by Joseph Platt related to C9543, sufficient to show the categories of information requested from other parties (excluding personally identifiable information or confidential commercial data). Additional Notes: The matter in question (C9543) has been publicly acknowledged and is now closed. Therefore, exemption 7 (law enforcement proceedings) should no longer apply, as there is no foreseeable risk to an ongoing investigation. If any portion of these documents is exempt from disclosure, please provide all reasonably segregable portions of non-exempt material as required under 5 U.S.C. § 552(b). I request that any responsive records be provided in electronic format (PDF) via email, if possible.		
King, Ailish	25-00303-FOIA	Pursuant to 5 U.S.C. § 552, I request all records from the CFTC's investigation of GMAC LLC (now Ally Financial) and its UK subsidiary GMAC-RFC Ltd regarding: a. GBP LIBOR submissions (6M/12M tenors) tied to UK adjustable-rate mortgages (ARMs). b. Communications between GMAC (U.S.) and GMAC-RFC (UK) regarding LIBOR ratesetting strategies. c. Internal audits/compliance reviews of GMAC-RFC's UBOR-linked mortgage products. Specific Documents Requested: Unredacted investigative files from CFTC Docket No. 15-33 (In re GMAC LLC), including: a. Trader emails/chats referencing "GBP LIBOR", "UK ARMs", or "RFC". b. Compliance reports on GMAC-RFC's LIBOR submission processes. i. Communications with UK FCA regarding GMAC-RFC's LIBOR practices. ii. Economic analyses of harm to UK borrowers from LIBOR suppression. Timeframe: January 1, 2005 - December 31, 2008 a. Trader emails/chats referencing "GBP LIBOR", "UK ARMs", or "RFC".	-	07/24/2025
Hopton, Master Hopton	25-00023-FPA	I request disclosure of all documents concerning: Any instruments orders, records, or correspondence authorizing the Crown, HM Treasury Cabinet Office, or affiliated ministers to take control of, manage gift, or redirect assets from a private 501(C)(4) grantor trust linked to Martin Hopton Trust. Internal Cabinet Office/legal opinions referring to the treatment of the undersigned as a ward of the state, or similar designation. Treasury records (including legal advice, ledgers, invoices) pertaining to the Court of Protection/Government documentation relating to a wardship or guardianship finding decision or auxiliary order involving the undersigned or trust assets. Please provide all relevant documents (emails, legal memos, financial ledgers, trust correspondence, cabinet submissions) from 2017 to present.	Martin Hopton Estate Living Trust	07/24/2025
Gouker, Dustin	25-00304-FOIA	July 24, 2025 FOIA Compliance Office Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, NW Washington, DC 20581 FOIA Compliance Officer: Under the Freedom of Information Act, 5 USC Section 552, I am requesting information or records regarding any correspondence between Brian Quintenz (the nominee to chair the commission), Kevin Webb, and current CFTC staff and commissioners from Feb. 12, 2025, to today, July 24, 2025. I agree to pay any search, review, or copying fees that may be incurred in processing this request \$500. However, I am asking for these records as a member of the media, as part of my work at a newsletter I publish called The Closing Line (https://closingline.substack.com/).	The Closing Line	07/25/2025
Baughman, John	25-00280-FOIA	all documents concerning the CFTC's decision to sue Gemini Trust Company, LLC ("Gemini Trust") in June 2022 for alleged false statements in violation of Section 6(c)(2) of the Commodity Exchange Act, 7 U.S.C. § 9(2). Responsive documents likely include, but are not limited to, the following: • Documents prepared for and/or considered by the Commission in connection with that decision; • Meeting minutes, transcripts, notes, recordings, or other documents reflecting, reporting, or summarizing the Commission's discussions or deliberations regarding that decision; • Documents recording or reflecting questions asked or concerns raised by any Commissioner regarding potential claims against Gemini Trust and any answers or responses to the same; • Documents concerning the scheduling of the Commission's vote on whether to sue Gemini Trust; and • Documents reflecting how each Commissioner voted on whether to sue Gemini Trust and any reasons given for those votes, including, but not limited to, all written opinions or dissents. Responsive documents would likely have been created between January 1, 2022 and June 1, 2022.	Baughman Kroup Bosse	07/03/2025
Francisco, Jaison	25-00305-FOIA	Re: Freedom of Information Act Request - CFTC Docket No. 24-R001 Dear FOIA Officer: Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I hereby request the following records related to	-	07/25/2025

		CFTC Docket No. 24-R001: 1. Employment and Professional Relationship Records All records regarding (b)(6) prior employment at the Employment dates, positions held, and departments Names of supervisors and direct colleagues during his employment Current CFTC employees who previously worked with (b)(6) Any conflict of interest disclosures or ethics determinations related to his appearances before the CFTC 2. Communications Records All communications between (b)(6) and CFTC employees from [his departure date or "all dates"] to present, including: Emails, meeting records, and phone logs Any communications related to CFTC Docket No. 24-R001 Records of any ex parte communications July 23, 2025 CFTC, including: Emails, meeting records, and phone logs Any communications related to CFTC Docket No. 24-R001 Records of any ex parte communications 3. Video Evidence Records All records regarding video evidence in CFTC Docket No. 24-R001, including: Communications regarding the classification of video evidence Analysis or review of video showing account balance of \$696,134.32 Determinations regarding the nature or authenticity of video evidence References to video URL: https://www.youtube.com/watch?v=iPrqd4hpy2I 4. Leverage Setting Records All records regarding leverage settings and instructions in CFTC Docket No. 24-R001, including: Discussions of leverage settings or customer instructions References to September 2023 leverage instructions versus August 2023 account settings Analysis of email exhibits regarding leverage requests Considerations of chronology of customer instructions Records addressing execution or non-execution of leverage instructions 5. Evidence and Discovery Records All records regarding evidence requirements and discovery in CFTC Docket No. 24-R001, including: Communications about documentation requirements for parties Discussions regarding system logs or technical documentation Records about evidence needed to explain account balance displays Standards applied for evidence submission 6. Bonus and Account Opening Records All records regarding account opening bonuses in CFTC Docket No. 24-R001, including: References to \$2000 bonus and futures account eligibility Communications about Introducing Broker regulations Discussions of testimony or admissions by (b)(6) Analysis of bonus eligibility requirements 7. Fraud Allegation Records All records regarding fraud allegations in CFTC Docket No. 24-R001, including: Communications about fraud claims or allegations Analysis of evidence supporting fraud allegations Procedural considerations for fraud claims 8. Initial Decision Records All records regarding the Initial Decision in CFTC Docket No. 24-R001, including: Internal communications about evidence evaluation Discussions of which evidence to address in the decision Drafts, notes, or memoranda related to the Initial Decision Communications about exhibit handling or consideration Time Period: (b)(6) employment period or "all dates of employment"] through July 23, 2025		
Phillips, Benny	25-00306-FOIA	To Whom It May Concern, This is a formal request under the Freedom of Information Act (FOIA). I request any and all records, complaints, audits, correspondence, enforcement referrals, investigations, or findings related to: - Apex Clearing Corporation (NFA ID: 0476618), formerly known as Ridge Clearing and Outsourcing Solutions Inc and US Clearing Corporation - Associated entities: Apex Fintech Solutions Inc, PEAK6 Investments LLC, PEAK6 APX Holdings LLC - Listed individuals: William Walter Capuzzi, Matthew Nels Hulsizer, Jennifer Jane Just, and any other principals - Any filings or correspondence involving Apex Clearing's relationship with Webull Financial LLC or Public Holdings Inc Please include: - NFA regulatory actions (if any) - CFTC audits, enforcement actions, or internal memos - All complaints filed by customers or whistleblowers, redacted as appropriate Preferred format: PDF via email. I am an individual requesting these records for personal use and educational review.		07/25/2025
Roeder, Oliver	25-00307-FOIA	1. The material furnished in response to the recent FOIA request(s) of Dustin Gouker, of the publication The Closing Line, which was used to assemble this article — in other words, all material that was provided to Mr. Gouker. 2. Any additional material that would have fallen within Mr. Gouker's request if it were made today. 3. All email since November 5, 2024, sent to or received from all email addresses associated with the names Brian Quintenz or Kevin Webb. 4. All email since November 5, 2024, containing the words PredictIt, Kalshi, Polymarket, Railbird or QCX, or the phrase "prediction market".	Financial Times	07/28/2025
Beyoud, Lydia	25-00308-FOIA	1. Communications between Brian Quintenz, Kevin Webb, and any legal counsel or staff for Quintenz to Acting Chairman Caroline Pham, CFTC staff, and the CFTC's general counsel office from Jan. 20, 2025 to present, including but not limited to documents provided via Freedom of Information Act request to the Closing Line Substack newsletter in this July 26, 2025 report: https://closingline.substack.com/p/what-an-foi-request-tells-us-about-cftc-nominee 2.	Bloomberg News	07/28/2025

		Communications between Brian Quintenz, Kevin Webb, and any legal counsel or staff for Quintenz to CFTC division directors and their staff from Jan. 20, 2025 to present.		
Hall, Stephen	25-00309-FOIA	1. All records relating directly or indirectly to the Application or its approval by the CFTC. 2. A records embodying, referring to, or reflecting any face-to-face discussions, telephonic discussions, texts, emails, or any other forms of communication between or among Pham, any other CFTC commissioner, any CFTC staff member, any employee or representative of QCX (also known as QCX Exchange), or any employee or representative of Polymarket, including but not limited to those relating directly or indirectly to: a. QCX; b. the QCX Application; c. Polymarket; d. the acquisition of QCX by Polymarket; and e. whether approval of the Application was in full compliance with all applicable ethics codes and legal requirements. 3. All records relating to or reflecting the specific types of contracts that QCX intends to offer for trading on its platform. 4. All records relating directly or indirectly to whether the contracts that QCX intends to offer for trading on its platform violate the CEA or the CFTC's rules, including but not limited to, 17 C.F.R. § 40.11. 5. All portions of the Application that have been withheld from the public either in response to QCX's request for confidential treatment or for any other reason. 6. All records relating directly or indirectly to the CFTC's decision to end its investigation into possible violations by Polymarket of the 2022 settlement agreement between Polymarket and the CFTC. 7. All records relating directly or indirectly to the CFTC's decision not to enforce the CEA or Rule 40.11 against any event contracts offered by Kaishi that any state alleges are unlawful under state gaming laws. 8. All records relating to or reflecting any action taken or not taken at each step in the CFTC's process for reviewing or approving the Application. a. This request includes, but is not limited to, all records relating to or reflecting the process for voting on the Application, including descriptions of each step in the process and when the draft decision was made available or assigned to each Commissioner's office for a separate vote. b. This request also includes, but is not limited to, all records relating to or reflecting how each CFTC Commissioner voted on the Application and their reasons for their respective votes. This request encompasses all records whether they are on official government devices or personal devices and whether they are public or confidential communications, including those made via Signal or any other similar type of communication channel.	Better Markets, Inc.	07/28/2025
Harty, Declan	25-00310-FOIA	a copy of the FOIA request results that were sent Dustin Gouker of The Closing Line this month (July 2025).	POLITICO	07/29/2025
Beyoud, Lydia	25-00311-FOIA	We request disclosure of the following records: 1. Communications between the CFTC and its offices (both for former Chairman Behnam and Acting Chairman Pham) with Polymarket, their legal counsel and lobbyists, the Department of Justice and the White House, dating between July 2024 to present. 2. Communications between CFTC enforcement staff and the DOJ regarding the Polymarket investigation from July 2024 to present. 3. Enforcement Division documents re: the Polymarket investigation, including but not limited to memos, subpoenas, testimony transcripts from July 2024 to present. Reasonably Foreseeable Harm: The FOIA Improvement Act of 2016 amended the FOIA as follows (5 USC 552(a)(8)): (A) An agency shall— (i) withhold information under this section only if— (I) the agency reasonably foresees that disclosure would harm an interest protected by an exemption described in subsection (b); or (II) disclosure is prohibited by law; and (ii) (I) consider whether partial disclosure of information is possible whenever the agency determines that a full disclosure of a requested record is not possible; and (II) take reasonable steps necessary to segregate and release nonexempt information. . . . The CFTC should not fail to meet the requirements of Section 552(a)(8) when processing my request and release responsive records to me in full or at least in part. INSTRUCTIONS REGARDING SEARCH 1. Request for Public Records: Please search for any records even if they are already publicly available. 2. Request for Electronic and Paper/Manual Searches: I request that searches of all electronic and paper/manual indices, filing systems, and locations for any and all records relating or referring to the subject of my request be conducted. I further request that the agencies conduct a search of its "soft files" as well as files in its locked cabinets. 3. Request regarding Photographs and other Visual Materials: I request that any photographs or other visual materials responsive to my request be released to me in their original or comparable forms, quality, and resolution. For example, if a photograph was taken digitally, or if the agencies maintains a photograph digitally, I request disclosure of the original digital image file, not a reduced resolution version of that image file nor a printout and scan of that image file. Likewise, if a photograph was originally taken as a color photograph, I request disclosure of that photograph as a color image, not a black and white image. Please contact me for any clarification on this point. 4.	Bloomberg News	07/29/2025

Beyoud, Lydia	25-00312-FOIA	Request for Duplicate Pages: I request disclosure of any and all supposedly "duplicate" pages. Scholars analyze records not only for the information available on any given page, but also for the relationships between that information and information on pages surrounding it. As such, though certain pages may have been previously released to me, the existence of those pages within new context renders them functionally new pages. As such, the only way to properly analyze released information is to analyze that information within its proper context. Therefore, I request disclosure of all "duplicate" pages. 5. Request to Search Emails: Please search for emails relating to the subject matter of my request. 6. Request for Search of Records Transferred to Other Agencies: I request that in conducting its search, the agencies disclose releasable records even if they are available publicly through other sources outside the agencies, such as NARA. FORMAT I request that any releases stemming from this request be provided to me in digital format We request disclosure of the following records: Communications between Brian Quintenz, Kevin Webb, and any legal counsel or staff for Quintenz to Acting Chairman Caroline Pham, CFTC staff, and the CFTC's general counsel office from Jan. 20, 2025 to present, including but not limited to documents provided via Freedom of Information Act request to the Closing Line Substack newsletter in this July 26, 2025 report: https://closingline.substack.com/p/what-an-foi-request-tells-us-about-cftc-nominee Communications between Brian Quintenz, Kevin Webb, and any legal counsel or staff for Quintenz to CFTC division directors and their staff from Jan. 20, 2025 to present.	Bloomberg News	07/29/2025
Harty, Declan	25-00313-FOIA	DESCRIPTION OF REQUESTED FOIA INFORMATION Please specify the information you are requesting. Be specific and include the time period covered by your request if possible. Hello, I'm seeking a copy of the FOIA request results that were sent Dustin Gouker of The Closing Line this month (July 2025). Thank you!	POLITICO	07/29/2025
Harty, Declan	25-00314-FOIA	Hello. I'm seeking any and all email communication sent or received by acting Chair Caroline Pham (cpham@cftc.gov), Harry Jung (hjung@cftc.gov), Meghan Tente (mtente@cftc.gov) and/or any other members of the acting Chair's personal team between June 1, 2025, and July 28, 2025, that include any of the following terms: "Brian", "Quintenz", "Kevin", "Webb", "Kalshi", "polymarket", "BQ". I am a reporter with POLITICO (https://www.politico.com/staff/declan-harty) and this is for news-gathering purposes and of immediate value for the public interest. Thank you! PROCESSING FEES	POLITICO	07/29/2025
Bowman, Emily	25-00315-FOIA	Public Records Request for Contact Details and Ediscovery Contracts Hi, I am requesting the contact details for current employees working in the U.S. Commodity Futures Trading Commission with job titles that include any of the following keywords: Attorney, Information Technology, IT, Paralegal, Lawyer, Legal, Special Agent, Defender, Litigation, Counsel, Investigator, Executive Director, AUSA, Investigative, FOIA, Records, CIO, Inspector General, Information Officer, Chief, Investigations, Law, Information Specialist Contact details should include: First name, Last name, job classification, working title, Department Name, email address, and direct phone number. I am requesting this information be sent to me in an Excel doc within 14 days. I am also requesting all contracts involving the U.S. Commodity Futures Trading Commission containing the word 'ediscovery', 'eDiscovery', 'ediscovery', 'e-Discovery', 'E-discovery', or 'E-Discovery', as well as any contracts where the vendor name includes 'Relativity', 'RelativityOne', 'Everlaw', 'Casepoint', 'Logikcull', 'CS DISCO', 'Exterro', 'Nextpoint', 'ZyLab', or 'Reveal' that have been signed in the past 5 years. I am requesting these contracts in PDF format. Thank You, Emily Bowman	-	07/30/2025
Bernstein, Daniel	25-00316-FOIA	-Electronic copies of all July 10, 2025, to July 30, 2025, email correspondence between the White House (likely "@who.eop.gov" address) and CFTC employees Caroline Pham, Harry Jung, Brigitte Weyis, Rahul Varma, Kevin Webb, Taylor Foy or Jeffrey Sutton in which the messages mention the nomination process of Brian Quintenz to lead the CFTC or otherwise refer to Brian Quintenz's potential future with the agency -This can but does not need to include the term "vote" or "nomination" as it pertains to U.S. Senate committee business regarding Brian Quintenz during the requested timeframe, which included two votes canceled on short notice	Sportico	07/30/2025
Hanson, Stephanie	25-00321-FOIA	Hello FOIA Officer of Commodity Futures Trading Commission, Pursuant to the Missouri Sunshine Law, Mo. Rev. Stat. § 610.010 et seq., I respectfully request access to public records containing a current listing of all employees within Commodity Futures Trading Commission. I am specifically seeking the following information for each employee: * First Name * Last Name * Email Address * Title/Position * Primary Campus or Department Location If available, I request that this data be provided in an electronic format such as Excel (.xlsx) or CSV (.csv), and sent to this email address. If your office does not maintain these records, I kindly ask that you direct me to the appropriate records custodian and provide their name, email address, and mailing address. Please inform me in	-	07/31/2025

		advance of any fees that may be associated with retrieving or copying these records. I also request a waiver of any such fees in the public interest, as this information is intended for public employee research and government transparency. In accordance with Missouri law, I look forward to your response within three business days. If any portion of my request is denied, please cite the specific statutory exemption and include information on how I may appeal the decision. I also request that any non-exempt portions of responsive records be released. Thank you for your time and assistance. Sincerely, Stephanie Hanson Employee Data Analytics publicemployeeersearchdata.com		
Boguslaw, Daniel	25-00322-FOIA	Under the Freedom of Information Act (5 U.S.C. 552), I am requesting copies of all electronically transmitted communications between Chris Brummer and other members of the Commodity Futures Trading Commission's Subcommittee on Virtual Currencies including one or more of the following terms: TRM Labs OR PayPal OR K2 Integrity OR Paradigm OR Fannie Mae between the dates of 1/1/2018 and 12/30/2020. I also request any news items and duplicative records be excluded from this search. As I am making this request in the public interest as a member of the news media to disseminate information regarding public activities and operations of the CFTC to the general public, I request that you waive any fees associated with the processing of this request. I understand that there may be fees for this request and would appreciate it if you would inform me of the total charges in advance of fulfilling my request.	-	07/31/2025
Boguslaw, Daniel	25-00323-FOIA	Under the Freedom of Information Act (5 U.S.C. 552), I am requesting copies of all records, including but not limited to, electronic records, emails, correspondence, calendar entries, meeting notes, and any other communications from April 15, 2025 – July 25, 2025, pertaining to communications originating or sent to one or more of the following: CFTC commissioners Christy Goss Smith Romero and Summer K. Mersinger, chairman Caroline D. Pham, and commissioner Kristin N. Johnson. To limit the scope of this search, please query available records related to meetings, scheduled calls, emails, and other forms of communication originating or sent to the aforementioned CFTC members including the following search terms: "Jeffries" and "Hakeem". I also request any news items and duplicative records be excluded from this search. I understand that there may be fees for this request and would appreciate it if you would inform me of the total charges in advance of fulfilling my request. If my request is denied in whole or part, I ask that you justify all deletions by reference to specific exemptions of the act. I also request the release of all segregable portions of otherwise exempt material. As I am making this request in the public interest as a member of the news media to disseminate information regarding public activities and operations of the CFTC to the general public, I request that you waive any fees associated with the processing of this request. Please respond to this request within 20 business days, as outlined by the statute. Thank you for your consideration of this request. Sincerely, Daniel Boguslaw.	-	07/31/2025
Boguslaw, Daniel	25-00324-FOIA	Under the Freedom of Information Act (5 U.S.C. 552), I am requesting copies of all records, including but not limited to, electronic records, emails, correspondence, calendar entries, meeting notes, and any other communications from April 15, 2025 – July 25, 2025, pertaining to communications originating or sent to one or more of the following: CFTC commissioners Christy Goss Smith Romero and Summer K. Mersinger, chairman Caroline D. Pham, and commissioner Kristin N. Johnson. To limit the scope of this search, please query available records related to meetings, scheduled calls, emails, and other forms of communication originating or sent to the aforementioned CFTC members including the following search terms: "Gottheimer" and "Joshua Gottheimer". I also request any news items and duplicative records be excluded from this search. I understand that there may be fees for this request and would appreciate it if you would inform me of the total charges in advance of fulfilling my request. If my request is denied in whole or part, I ask that you justify all deletions by reference to specific exemptions of the act. I also request the release of all segregable portions of otherwise exempt material. As I am making this request in the public interest as a member of the news media to disseminate information regarding public activities and operations of the CFTC to the general public, I request that you waive any fees associated with the processing of this request. Please respond to this request within 20 business days, as outlined by the statute. Thank you for your consideration of this request. Sincerely, Daniel Boguslaw	-	07/31/2025
Beyoud, Lydia	25-00325-FOIA	We request disclosure of the following records: 1. Communications (including but not limited to emails and text messages) between the CFTC chair's offices (Acting Chairman Pham and her staff) with representatives from Gemini, including but not limited to Tyler Winklevoss, Cameron Winklevoss, Jack Baughmann, and Tyler Meade between January 1, 2025 to the present; 2. Calendar appointments for Acting Chairman Pham between January 1, 2025 to present with representatives	Bloomberg News	07/31/2025

		from Gemini, including but not limited to Tyler Winklevoss, Cameron Winklevoss, Jack Baughmann, and Tyler Meade		
Beyoud, Lydia	25-00326-FOIA	We request disclosure of the following records: 1. Documents pertaining to Acting Chairman Pham's recusals from any commission votes or matters discussed in seriatim, from January 20 to present, including but not limited to dates, description of matters, and reasons for abstentions.	Bloomberg News	07/31/2025
Wilson, Joseph	25-00327-FOIA	Under the Freedom of Information Act, 5 U.S.C. § 552, I request copies of the following records from the Commodity Futures Trading Commission: All final policies, directives, standards, or guidance documents issued to CFTC employees regarding the format and content of email signatures, including any restrictions on the use of personal pronouns or preferred names, from January 20, 2025 to present. Any employee-facing communications (such as all-staff emails, intranet postings, or internal memoranda) announcing or explaining email signature requirements or changes to such requirements during the specified timeframe. Current employee handbook sections, human resources materials, or professional standards that address workplace communication protocols, email signature formats, or use of names and pronouns in professional communications. Any modifications, updates, or rescissions of previous policies regarding email signatures, pronouns, preferred name usage, or employee identification in professional contexts that occurred on or after January 20, 2025. Any guidance regarding how CFTC employees should identify themselves in external communications with regulated entities, market participants, or the public, including any changes to such identification requirements. I am NOT requesting internal deliberative communications, drafts, or attorney-client privileged materials.	Syracuse University	07/31/2025
Aponte, Luis	25-00328-FOIA	Hello. I would like to have a PDF copy of the full job description, education and experience requirements to become a librarian for the Commodity Futures Trading Commission. I would also like to know if you have any online educational opportunities that would be relevant to effectively serving in this position. Thank you!	-	07/31/2025
Bernstein, Daniel	25-00317-FOIA	-All emails or other correspondence dated January 1, 2025, through July 29, 2025, involving CFTC employees Caroline Pham, Harry Jung, Brigitte Weyls, Rahul Varma, Kevin Webb, Taylor Foy or Jeffrey Sutton that consider the legal and/or ethical merits of Kalshi's sports prediction market contracts being offered under the agency's watch and have not already been posted by the CFTC publicly -All emails or other correspondence dated January 1, 2025, through July 29, 2025, involving CFTC employees Caroline Pham, Harry Jung, Brigitte Weyls, Rahul Varma, Kevin Webb, Taylor Foy or Jeffrey Sutton that discuss opposition to these Kalshi sports prediction market contracts from tribal groups or state gaming regulators (and are not already posted by the CFTC publicly) -These records do not need to include correspondence with media organizations asking the CFTC for comment about Kalshi, or copies of the many standard issue forms in which Kalshi is outlining the sports contracts it will offer according to standard CFTC procedures	Sportico	07/30/2025
Bernstein, Daniel	25-00318-FOIA	-Any emails or other correspondence dated January 20, 2025, through July 29, 2025, to, from, or merely mentioning Donald (aka Don) Trump Jr. or his associated venture firm 1789 Capital in connection to Kalshi, the company with which the son of the president serves as an advisor -If required to reasonably process this request, this search can be narrowed down to emails or other correspondence on the above subject with CFTC employees Caroline Pham, Harry Jung, Brigitte Weyls, Rahul Varma, Kevin Webb, Taylor Foy or Jeffrey Sutton	Sportico	07/30/2025
Bransfield, Maurice	25-00319-FOIA	I would like the FOIA request made by Dustin Gouker and all communication related to the request.	-	07/31/2025
Cole, Courtney	25-00320-FOIA	Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I am requesting access to records related to how much has been secured in restitution for victims of investment scams since 2020. For clarification, this includes all forms of investment scams, such as forex or cryptocurrency scams. Specifically, I am seeking information on the following: 1. The total amount secured in restitution for victims of all types of investment scams for each of the following years: 2020, 2021, 2022, 2023, and 2024 and partial data for 2025 if available. 2. The total amount secured in restitution for victims of forex-related scams, by year, for 2020-2024, and partial data for 2025 if available. 3. The total amount secured in restitution for victims of cryptocurrency-related scams, by year, for 2020-2024, and partial data for 2025 if available. 4. The number of reports received regarding fraud recovery scams (also referred to as refund scams, restitution scams, or recovery fraud). For the purposes of this request, I define "restitution secured" to mean any funds recovered or returned to victims, whether through legal proceedings, regulatory enforcement, settlements, asset recovery, or		07/31/2025

	voluntary returns. If your agency uses a different definition or categorization, please provide clarification.		
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Total No of Requests : 43



Report Date: 09/03/2025
Time: 1:28 PM

Requests Report
Received between 08/01/2025 and 08/31/2025

Organization	Received Date	Request ID	Request Description	Requester Name
-	08/12/2025	25-00322-FOIA	Under the Freedom of Information Act (5 U.S.C. 552), I am requesting copies of all electronically transmitted communications between Chris Brummer and other members of the Commodity Futures Trading Commission's Subcommittee on Virtual Currencies including one or more of the following terms: TRM Labs OR PayPal OR K2 Integrity OR Paradigm OR Fannie Mae between the dates of 1/1/2018 and 12/30/2020. I also request any news items and duplicative records be excluded from this search. As I am making this request in the public interest as a member of the news media to disseminate information regarding public activities and operations of the CFTC to the general public, I request that you waive any fees associated with the processing of this request. I understand that there may be fees for this request and would appreciate it if you would inform me of the total charges in advance of fulfilling my request.	Boguslaw, Daniel
PoliScio Analytics	08/05/2025	25-00329-FOIA	CFTC FOIA log of requests submitted during the period from June 1, 2025 to June 30, 2025. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	Doherty, Caitlin
PoliScio Analytics	08/05/2025	25-00330-FOIA	CFTC FOIA log of requests submitted during the period from July 1, 2025 to July 31, 2025. Please include fields for the requester name, requester organization, request description, request date, request ID number, and any other routine fields.	Doherty, Caitlin
Baughman Kroup Bosse	08/06/2025	25-00331-FOIA	all written communications, documents, and memos that concern Gemini Titan, LLC's application that was filed on December 31, 2024 to become a designated contract market. Responsive documents would likely have been created on or after December 31, 2024.	Baughman, John
Paul Hastings LLP	08/12/2025	25-00332-FOIA	Request 1: -The "Confidential Appendices with further information" submitted with KalshiEX LLC's Self-Certification of its "Will <pres candidate> win the Presidency by being the first inaugurated as President for the <year> Term of Office?" contract, submitted on November 1, 2024. See https://www.cftc.gov/IndustryOversight/IndustryFilings/TradingOrganizationProducts/53957 and https://www.cftc.gov/sites/default/files/filings/ptc/24/11/ptc1101248049.pdf , with "Confidential Appendices" referenced on pp. 1 and 5.] ETC	Mariotti, Renato
3Red Partners LLC	08/14/2025	25-00046-FOIA	We are directly aware of a letter issued by CFTC staff in December 2012 to RGM Advisors regarding the CFTC's definition of a Commodity Pool Operator. We are requesting a copy of this letter.	Kostylev, Polina
-	08/15/2025	25-00334-FOIA	CFTC FOIA Request U.S. Commodity Futures Trading Commission - FOIA Office FOIA Request - Records of Communications from the UK Financial Conduct Authority Regarding Connaught Income Fund / Tiuta PLC Pursuant to the Freedom of Information Act, 5 U.S.C. § 552, I request access to and copies of all records, communications, correspondence, meeting notes, or reports received by the U.S. Securities and Exchange Commission from the UK Financial Conduct Authority ("FCA"), or any of its staff or representatives, between January 1, 2010, and December 31, 2024, which reference or relate to: 1. Connaught Income Fund Series 1 2. Connaught Asset Management 3. Tiuta PLC 4. George Patellis (in any context as whistleblower, complainant, or former executive) This request specifically seeks: - Emails - Memoranda - Formal notifications under the SEC-FCA Memorandum of Understanding - Any other records evidencing information-sharing or notifications. Additionally, if any of the above information is held by the SEC but was not disclosed UK Financial Conduct Authority ("FCA"), or any of its staff or representatives, please provide that information as well. If possible, please search both the Office of International Affairs and the Division of Enforcement records. If no such records exist, please confirm in writing that no responsive records were located. Regards, George Patellis (b)(6)	Patellis, George
321-34judge@telegram.harvard	08/15/2025	25-00335-FOIA	Creature necessity warrants preclude Dionysius.	Aaron Dennis Rodgers <<<Jo, S6676-4
The New York Times	08/15/2025	25-00336-FOIA	Pursuant to the Freedom of Information Act, I hereby request the records of all 2025 commission votes by commissioner, including decisions to file complaints and to dismiss complaints. I request that this request be expedited because The New York Times is a general public interest publication and because legislation is pending in Congress, with a possible vote in early September, regarding the CFTC's scope of authority and other critical issues.	LaFraniere, Sharon
-	08/18/2025	25-00337-FOIA	All information and files sent to Dustin Gouker	Bransfield, Maurice
Boa	08/19/2025	25-00338-FOIA	Merrill Lynch I coslorado springs	Mayon, Joyce
-	08/19/2025	25-00339-FOIA	Any and all information, records, and communications regarding the OTC stock "MMTLP", and the companies Torchlight Energy Resources, Meta Materials, and Next Bridge Hydrocarbons from January 1, 2021 through August 18th, 2025.	Smith, Michael
POLITICO	08/19/2025	25-00340-FOIA	Hello! I'm seeking the "closing memos" of any and all enforcement matters that have been put together between January 20, 2025, and August 18, 2025. Given the scale of this request, I'm also asking for a tally of how many enforcement matters were closed in that time period as a preliminary request.	Harty, Declan

Bloomberg News	08/20/2025	25-00341-FOIA	This is a request under the Freedom of Information Act. I request a copy of the following documents: Any and all training materials referring or relating to "AI Deregulation Decision Tool." In order to help to determine my status to assess fees, you should know that I am a representative of the news media. I am a reporter for Bloomberg News. This information is being sought for news-gathering purposes and will not be used for commercial purposes. I am willing to pay fees for this request up to a maximum of \$50. If you estimate that the fees will exceed this limit, please inform me first. I request a waiver of all fees for this request. Disclosure of the requested information to me is in the public interest because it is likely to contribute significantly to public understanding of the operations or activities of the government and is not primarily in my commercial interest. These documents will further public understanding of how artificial intelligence tools are affecting the creation and modification of government regulations. I request an expedited processing of this request. A DOGE presentation published by the Washington Post (link: https://www.washingtonpost.com/documents/857b6c65-0690-4b3c-b438-e3dc1dc87340.pdf) provided a timeline of employing a related tool by September 1.	Gordon, Aaron
National Treasury Employees Union	08/20/2025	25-00342-FOIA	NTEU requests all petitions submitted by the CFTC to the Office of Personnel Management identifying positions within the agency to be transferred into Schedule Policy/Career, as created by Executive Order 13957, and pursuant to OPM Guidance on Implementing President Trump's Executive Order titled, "Restoring Accountability To Policy-Influencing Positions Within the Federal Workforce" issued on Jan. 27, 2025.	Giles, Allison
onlyabbcustom	08/20/2025	25-00343-FOIA	all information	abbington, Lamont
Colliers	08/25/2025	25-00344-FOIA	a data summary of CFTC direct leased real property inventory, excluding leases contracted through the General Services Administration (GSA).	Ford, Sophia
Fieldnotes	08/26/2025	25-00345-FOIA	Dear Public Records Officer, Pursuant to the Freedom of Information Act, I respectfully request access to and copies of the following public records: 1) All communications, including attachments, between acting chair Caroline Pham and commissioners Brian Quintenz, Christy Goldsmith Romero, and Kristin Johnson with any of the following email domains: "@satoshiaction.io" "@satoshiaction.org" "@alliancedefendingfreedom.org" "@adfllegal.org" 2) All communications, including attachments, between acting chair Caroline Pham and commissioners Brian Quintenz, Christy Goldsmith Romero, and Kristin Johnson with any of the following individuals (in case they aren't using the email domains above): Mandy Gunasekara Eric Peterson Dennis Porter Please search your records from Jan. 20 2025 to the date in which this request is being processed.	Plachta, Ariella
Declaration of Independence Animals	08/26/2025	25-00346-FOIA	Declaration of Independence and The Constitution Respectability this Due Diligence	Williams, Justin
-	08/26/2025	25-00347-FOIA	Subject: FOIA — "MMTLP / CUSIP 59134N203 + TRS" (Policy + Logs, 2022-11-01 – 2023-01-31) Scope: Request A: TRS policy/process documents referencing MMTLP or CUSIP 59134N203 (no enforcement files). Request B: FOIA log entries containing "TRS" + ("MMTLP" or "59134N203"). =200 pages, CSV/PDF preferred, custodians limited (TRS policy staff; FOIA Office logs). Rolling releases requested, FOIA Officer – U.S. Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, NW Washington, DC 20581 foia@cftc.gov FOIA Request – "MMTLP / CUSIP 59134N203 + Trade Reporting System (TRS)" (2022-11-01 – 2023-01-31) Under 5 U.S.C. § 552, I request the following records: Request A (Policy/SOPs): All final policy documents, staff instructions, manuals, and standard operating procedures (SOPs) referencing the Trade Reporting System (TRS) that contain the keyword "MMTLP" or the CUSIP "59134N203" between November 1, 2022, and January 31, 2023. Exclude case-specific enforcement records, surveillance data, or investigative files. Records sought include TRS user guides, system specifications, staff FAQs, data schema, or reporting templates where "MMTLP" or "59134N203" appears. Request B (FOIA Logs): All CFTC FOIA and appeals log entries where subject/description/keywords include both "TRS" and ("MMTLP" or "59134N203") between November 1, 2022, and January 31, 2023.	Piscazzi, Rocco
-	08/29/2025	25-00348-FOIA	My previous FOIA, FOIA #25-00339-FOIA, was denied. Therefore, I am limiting the scope of this FOIA to include simply the following: "All email communication regarding MMTLP." I believe the Commodities Futures Trading Commission may have communicated with other individuals and government agencies regarding MMTLP. I am looking for those email communications and records.	Smith, Michael
Fieldnotes	08/27/2025	25-00349-FOIA	Dear Public Records Officer, Pursuant to the Freedom of Information Act, I respectfully request access to a log of all FOIA requests made to your office beginning January 20, 2025. Thank you, Ari	Plachta, Ariella
Baughman Kroup Bosse	08/14/2025	25-00262-FOIA	• Written communications or other documents created, sent, or received by Division Enforcement Staff concerning President Donald J. Trump; • Written communications or other documents created, sent, or received by Division of Enforcement Staff concerning Donald J. Trump, Jr.; • Written communications or other documents created, sent, or received by Division of Enforcement Staff concerning Eric Trump; and • Written communications or other documents created, sent, or received by Division of Enforcement Staff concerning any Republican member of Congress. This request seeks documents created between January 1, 2020 and December 31, 2024.	Baughman, John
POLITICO	08/14/2025	25-00264-FOIA	Hello — I'm seeking a copy of acting Chair Caroline Pham's calendar of external meetings on a daily basis from July 1, 2025 through Aug. 12, 2025, structured similarly to those found in her other public external calendars found here: https://www.cftc.gov/About/Commissioners/CarolineDPham/PublicExternalCalendar . I'm specifically asking that the calendar show any and all external meetings and calls that the acting Chair participated in and when.	Harty, Declan
Forensic Research & Media LLC	08/14/2025	25-00333-FOIA	I am requesting access to and copies of all complaints, whistleblower tips, or similar submissions received by the Commodity Futures Trading Commission concerning any company called "Marex" from January 1, 2023, to the present. This request includes any such records regardless of format (including electronic submissions, email correspondence, letters, or other forms of communication) and regardless of whether the company is referenced as "Marex" alone or as part of a longer name (e.g., "Marex Group," "Marex North America," etc.).	Wirth, Niklas

Total No of Requests : 25