



April 24, 2025

Subj: SUMMARY OF INVESTIGATION INTO ALLEGED TELEWORK VIOLATIONS BY CFTC RISK ANALYST (Investigation No. CFTC-00041)

In January 2025, Commodity Futures Trading Commission (CFTC) Office of Inspector General (OIG) opened an administrative investigation into allegations that a Risk Analyst (CT-1101-14) assigned to the Chicago Regional Office was teleworking from outside the United States in violation of CFTC telework policy. During the investigation, OIG identified multiple emerging allegations to include timekeeping misconduct, false or misleading statements under oath, administrative leave violations, and prohibited political activity under the Hatch Act. All allegations and specifications were substantiated by preponderant evidence.

On April 24, 2025, OIG forwarded the Report of Investigation to the Commission for such action as may be appropriate with regard to the Subject. In addition, OIG made three recommendations for corrective action.

1. Information Technology Branch should review CFTC Cyber Security Operations Center (eSOC) standard operating procedures to ensure detections of foreign IP addresses are effectively resolved, particularly when multiple detections are received for the same employee.
2. In compliance with the collective bargaining agreement, Human Resources Branch should update supervisory controls as necessary to ensure compliance with Article 46 of the CFTC-NTEU Master Collective Bargaining Agreement, as amended, and prevent misuse of official time.
3. Human Resources Branch should review language in the standard administrative leave memorandum (“Subj: Place on Administrative Leave”) and update the memorandum to clearly state requirements for employees placed in an administrative leave status.