

**SUBMISSION COVER SHEET**

**IMPORTANT:** Check box if Confidential Treatment is requested

Registered Entity Identifier Code (optional): 14-428

Organization: Commodity Exchange, Inc. ("COMEX")

Filing as a:  DCM  SEF  DCO  SDR

Please note - only ONE choice allowed.

Filing Date (mm/dd/yy): October 9, 2014 Filing Description: Weekly Notification of Product Terms and Conditions Amendments (Week of September 29, 2014)

**SPECIFY FILING TYPE**

Please note only ONE choice allowed per Submission.

**Organization Rules and Rule Amendments**

- |                          |                                     |            |
|--------------------------|-------------------------------------|------------|
| <input type="checkbox"/> | Certification                       | § 40.6(a)  |
| <input type="checkbox"/> | Approval                            | § 40.5(a)  |
| <input type="checkbox"/> | Notification                        | § 40.6(d)  |
| <input type="checkbox"/> | Advance Notice of SIDCO Rule Change | § 40.10(a) |
| <input type="checkbox"/> | SIDCO Emergency Rule Change         | § 40.10(h) |

**Rule Numbers:**

**New Product**

Please note only ONE product per Submission.

- |                          |                                       |            |
|--------------------------|---------------------------------------|------------|
| <input type="checkbox"/> | Certification                         | § 40.2(a)  |
| <input type="checkbox"/> | Certification Security Futures        | § 41.23(a) |
| <input type="checkbox"/> | Certification Swap Class              | § 40.2(d)  |
| <input type="checkbox"/> | Approval                              | § 40.3(a)  |
| <input type="checkbox"/> | Approval Security Futures             | § 41.23(b) |
| <input type="checkbox"/> | Novel Derivative Product Notification | § 40.12(a) |
| <input type="checkbox"/> | Swap Submission                       | § 39.5     |

**Official Product Name:**

**Product Terms and Conditions (product related Rules and Rule Amendments)**

- |                                     |   |                      |
|-------------------------------------|---|----------------------|
| <input type="checkbox"/>            | Certification   | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Made Available to Trade Determination     | § 40.6(a)            |
| <input type="checkbox"/>            | Certification Security Futures                          | § 41.24(a)           |
| <input type="checkbox"/>            | Delisting (No Open Interest)                            | § 40.6(a)            |
| <input type="checkbox"/>            | Approval  | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Made Available to Trade Determination          | § 40.5(a)            |
| <input type="checkbox"/>            | Approval Security Futures                               | § 41.24(c)           |
| <input type="checkbox"/>            | Approval Amendments to enumerated agricultural products | § 40.4(a), § 40.5(a) |
| <input type="checkbox"/>            | "Non-Material Agricultural Rule Change"                 | § 40.4(b)(5)         |
| <input checked="" type="checkbox"/> | Notification  | § 40.6(d)            |

Official Name(s) of Product(s) Affected: Gold; Silver

Rule Numbers: COMEX Chapter 7

October 9, 2014

**VIA ELECTRONIC PORTAL**

Christopher J. Kirkpatrick  
Office of the Secretariat  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, DC 20581

**RE: CFTC Regulation 40.6(d) Notification. Commodity Exchange, Inc. (“COMEX” or “Exchange”) Weekly Notification of Product Terms and Conditions Amendments. COMEX Submission No. 14-428**

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), the Exchange submits this weekly notification of the following product terms and conditions amendments made effective during the week of September 29, 2014.

On Tuesday, September 30, 2014, the Exchange approved the application of United Precious Metals Refining Inc. “UNiTEd” (within an oval) to be good for delivery of gold against the COMEX Gold futures contracts. A notice to the marketplace is attached hereto as Exhibit A.

On Thursday, October 2, 2014, Formation Metals informed the Exchange that it was changing its name to Sunshine Refining Company.

On Thursday, October 2, 2014, the Exchange made amendments to the “Gold Brands” and “Silver Brands” Charts in Chapter 7 of the COMEX Rulebook to reflect the changes referenced above. Amendments to the “Gold Brands” and “Silver Brands” Charts in Chapter 7 of the COMEX Rulebook are attached hereto as Exhibit B, with amendments highlighted.

If you require any additional information, please contact the undersigned at (212) 299-2200 or via e-mail at [Christopher.Bowen@cmegroup.com](mailto:Christopher.Bowen@cmegroup.com).

Sincerely,

/s/ Christopher Bowen  
Managing Director and Chief Regulatory Counsel

Attachments: Exhibit A – Notice to Marketplace (MKR#09-30-14)  
Exhibit B – Amendments to COMEX Rulebook Chapter 7, “Gold Brands” and “Silver Brands” Charts

## Exhibit A

**DATE: September 30, 2014**

**MKR#: 09-30-14**

**SUBJECT: REGULARITY APPROVAL FOR GOLD BRAND**

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The Commodity Exchange, Inc. (COMEX or Exchange) has approved the application of **United Precious Metals Refining Inc. "UNiTEd"**(within an oval) to be good for delivery of gold against the COMEX Gold futures contracts.

This approval is effective immediately.

The Commodity Futures Trading Commission (CFTC) will be notified of this approval during the week of October 6, 2014 via the weekly notification procedures set out in Part 40 of the CFTC Regulations.

Please refer questions on this subject to:

### **Market Surveillance**

Terry Gehring

[Terry.Gehring@cmegroup.com](mailto:Terry.Gehring@cmegroup.com)

(312) 435-3644

**Exhibit B**

**COMEX Rulebook Chapter 7  
“Gold Brands” and “Silver Brands” Charts**

(attached under separate cover)